

Compiling a functional language

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- Closures
- Tail calls
- Inline expansion
- Compiling pattern-matching

Functional language

- Avoiding (or limiting) side-effects :
 - mathematical reasoning $f(3) = f(3)$
 - optimizing by code transformation easier
- Higher-order features (functions as first-class citizen) :
 - Functions as arguments
 - Functions as results of functions
 - Anonymous functions

The FUN language : concrete syntax

$$\begin{aligned} E ::= & \quad n \mid \text{true} \mid \text{false} \\ & \mid x \mid E_{\text{op}} E \\ & \mid \text{if } E \text{ then } E \text{ else } E \\ & \mid e \ e \mid \text{fun } x^+ \rightarrow e \\ & \mid \text{let rec? } x \ x^* = E \text{ in } E \end{aligned}$$

- Syntactic sugar for function definition
 - function with only one argument : **fun** $x \rightarrow e$
 - local declaration **let rec?** $x = e \text{ in } e$

The FUN language : abstract syntax

```
type bop = Add | Sub | Mul | Lt | Eq (* / ... *)  
type expr =  
  | Int of int  
  | Bop of bop * expr * expr  
  | Var of string  
  | Let of string * expr * expr  
  | If of expr * expr * expr  
  | Fun of string * expr  
  | App of expr * expr  
  | Fix of string * expr  
type prog = expr (* or (string * expr) list *)
```

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Representation of functions

- Formal parameters (arguments) + body
- When applied, we execute the body with actual values
- The body : code + a label to access it
- The body refers to variables : how to encode access to their values ?

What are the possible variables ?

- Global variables
- Arguments
- Local variables
- What else ?
 - depends on where functions can be defined/used
 - can/cannot appear inside another function ?
 - can/cannot be called outside the function which defines it

Stack allocation for imbricated functions

Example in Pascal

```
1 program main;  
2 var f : integer;  
3 procedure fib(n : integer);  
4     procedure somme();  
5         var tmp : integer;  
6         begin  
7             fib(n-2);  
8             tmp := f;  
9             fib(n-1);  
10            f := f + tmp  
11        end;  
12 begin  
13     if n <= 1 then f := n else somme()  
14 end;  
15 begin  
16     fib(3);  
17     writeln(f)  
18 end.
```

Stack frames

- we keep as before a pointer to the stack frame of the calling procedure
 - restored when the call is finished
 - *f* can be called from different functions
- an extra pointer to the last stack frame of the function *g* in which *f* was defined
 - *f* can access variables stored in the stack frame of *g* or one of its parents
- *fib* : argument *n* + saved values of *\$fp* and *\$ra* + stack frame of *main*



- *some* : saved values of *\$fp* and *\$ra* + local variable *tmp* + stack frame of *fib*



Dynamic behavior

```
main()
fib(3)
somme()
fib(1)
f:=1-ret fib(1)
tmp:=f
fib(2)
somme()
fib(0)
f:=0-ret fib(0)
tmp:=f
fib(1)
f:=1-ret fib(1)
f:=f+tmp
ret somme(), fib(2)
f:=f+tmp
ret somme(), fib(3)
```

main	a_0	f 1012		$\$fp$
fib(3)	a_1	$\$ra$ 17	$\$fp$ a_0	fpa a_0 n 3
somme	a_2	tmp 1	$\$ra$ 14	$\$fp$ a_1 fpa a_1
fib(1)	a_3	$\$ra$ 8	$\$fp$ a_2	fpa a_0 n 1
fib(2)	a_3	$\$ra$ 10	$\$fp$ a_2	fpa a_0 n 2
somme	a_4	tmp 0	$\$ra$ 14	$\$fp$ a_2 fpa a_2
fib(0)	a_5	$\$ra$ 8	$\$fp$ a_4	fpa a_0 n 0
fib(1)	a_6	$\$ra$ 10	$\$fp$ a_4	fpa a_0 n 1

Functions as output

- In Pascal, a function f defined in the procedure g will only be called in the body of g ,
- There is at least one active frame-stack for g
- The function f can use variables introduced by g
- Does not work when functions are ordinary values

```
let g x =  
  if x < 0 then fun y -> y - x  
  else fun y -> y + x  
let square f x = f (f x)  
let main = square (g 5) 4
```

- How to design the code for the value of $g\ x$ in a generic way?
- This code mentions the argument x
- The value of x is in the stack frame of g
- This value disappears when $g\ 5$ returns !

- The solution is to use a structure called closure to represent a function
- The closure has 2 components
 - the address of the code to be executed
 - the environment : values of the variables used by the body of the function
- The closure is allocated in the heap :
 - if the closure is created by a function g it will still be accessible after g returns

Which variables in the environment ?

In the closure of the function **fun** $x \rightarrow e$ we need all the values of the free variables

$$\begin{aligned}fv(c) &= \emptyset \\fv(x) &= \{x\} \\fv(e_1 \text{ op } e_2) &= fv(e_1) \cup fv(e_2) \\fv(\text{fun } x \rightarrow e) &= fv(e) \setminus \{x\} \\fv(e_1 \ e_2) &= fv(e_1) \cup fv(e_2) \\fv(\text{let } x = e_1 \text{ in } e_2) &= fv(e_1) \cup (fv(e_2) \setminus \{x\}) \\fv(\text{let rec } x = e_1 \text{ in } e_2) &= (fv(e_1) \cup fv(e_2)) \setminus \{x\} \\fv(\text{if } e_1 \text{ then } e_2 \text{ else } e_3) &= fv(e_1) \cup fv(e_2) \cup fv(e_3)\end{aligned}$$

Example

Program which approximates $\int_0^1 x^n dx$

```
let rec pow i x =  
  if i = 0 then 1. else x *. pow (i-1) x  
  
let integrate_xn n =  
  let f = pow n in  
  let eps = 0.001 in  
  let rec sum x =  
    if x >= 1. then 0. else f x +. sum (x +. eps) in  
  sum 0. *. eps
```

Example

We explicit `fun` constructions

```
let rec pow =  
  fun i ->  
    fun x -> if i = 0 then 1. else x *. pow (i-1) x
```

- in the first closure, `fun i ->`, the environment is $\{\text{pow}\}$
- in the second, `fun x ->`, it is $\{i, \text{pow}\}$

Example

```
let integrate_xn = fun n ->
  let f = pow n in
  let eps = 0.001 in
  let rec sum =
    fun x -> if x >= 1. then 0. else f x +. sum (x+.eps) in
  sum 0. *. eps
```

- for `fun n ->`, the environment is `{pow}`
- pour `fun x ->`, the environment is `{eps, f, sum}`

Representing a closure

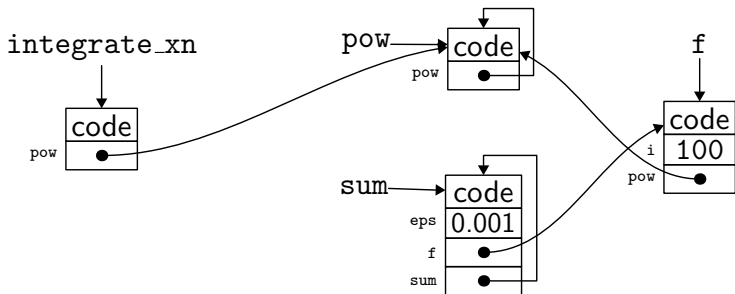
- a unique block in the heap
- the first field is the address of the code
- the remaining fields contain values of the free variables

(other solutions are possible : environment in a second bloc, linked closures, etc.)

Example

```
let rec pow i x = if i = 0 then 1. else x *. pow (i-1) x
let integrate_xn n =
  let f = pow n in
  let eps = 0.001 in
  let rec sum x = if x >= 1. then 0. else f x +. sum (x+.eps) in
  sum 0. *. eps
```

when executing `integrate_xn 100`, we have 4 closures :



A relatively easy way to compile closures is to proceed with 2 phases

- 1 we look in the code for constructions `fun  $x \rightarrow e$` and we replace them with an explicit closure construction

$$\text{clos } f [y_1, \dots, y_n]$$

with y_i the free variables of `fun  $x \rightarrow e$` and f a new name associated with a global declaration of function

$$\text{let fun } f [y_1, \dots, y_n] \ x = e'$$

with e' obtained from e by recursively removing the constructions `fun` (*closure conversion*)

- 2 we compile the obtained code which has only `let fun` function declarations

Example

```
letfun fun2 [i,pow] x =  
  if i = 0 then 1. else x *. pow (i-1) x  
letfun fun1 [pow] i =  
  clos fun2 [i,pow]  
let rec pow =  
  clos fun1 [pow]  
letfun fun3 [eps,f,sum] x =  
  if x >= 1. then 0. else f x +. sum (x +. eps)  
letfun fun4 [pow] n =  
  let f = pow n in  
  let eps = 0.001 in  
  let rec sum = clos fun3 [eps,f,sum] in  
  sum 0. *. eps  
let integrate_xn =  
  clos fun4 [pow]
```

Change of representation

before

```
type var = string
```

```
type expr =  
  | Evar of var  
  | Efun of var * expr  
  | Eapp of expr * expr  
  | Elet of var * expr * expr  
  | Eif
```

```
of expr * expr * expr  
  | ...
```

```
type decl = var * expr
```

```
type prog = decl list
```

after

```
type var =  
  | Vglobal of string  
  | Vlocal of int  
  | Vclos of int  
  | Varg
```

```
type expr =  
  | Evar of var  
  | Eclos of string * var list  
  | Eapp of expr * expr  
  | Elet of int * expr * expr  
  | Eif
```

```
of expr * expr * expr  
  | ...
```

```
type decl =  
  | Let of string * expr  
  | Letfun of string * expr
```

```
type prog = decl list
```

An ident in the body of the function can represent

- $V_{\text{global}}\ s$: a global variable (introduced by `let`) with name `s`
- $V_{\text{local}}\ n$: a local variable (introduced by `let in`), at position `n` in the stack-frame
- $V_{\text{clos}}\ n$: a free variable in the closure, at position `n`
- V_{arg} : the unique argument of the fonction (`x in fun x -> e`)

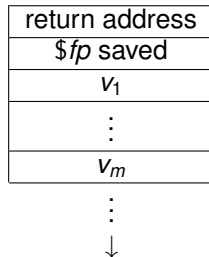
this scope analysis of variable can be done simultaneously with closure conversion

Compiling scheme

each fonction has a unique argument (Varg), passed in register $\$a_0$
the cloture address is passed in the register $\$a_1$

$\$fp \rightarrow$

the stack frame looks like :
with $v_1, \dots, v_m$ places for local variables :
it is built by the callee



We need to explain how to compile

- the construction of the closure $\text{E}_{\text{clos}}(f, l)$
- a function call $\text{E}_{\text{app}}(e_1, e_2)$
- access to a variable $\text{E}_{\text{var}} x$
- function declaration $\text{Let fun}(f, e)$

Building a closure

Compiling

$\text{Eclos}(f, [y_1, \dots, y_n])$

- 1 allocate a block of size $n + 1$ on the heap (using `malloc`)
- 2 put the f address in the field 0
(f is a label referring to the address)
- 3 store the value of variables $y_1, \dots, y_n$ in the fields 1 to n
- 4 returns the address of the block

note : we wait for the GC to free the block when possible

Compiling an application

$E_{app}(e_1, e_2)$

- 1 compile e_1 and put its value (the address of a closure) in register $\$a1$
- 2 compile e_2 and put its value in register $\$a0$
- 3 call the function using the adress stored in the first field of the closure
`jar ($a1)`

Accessing a variable

For compiling the access to a variable

`Evar X`

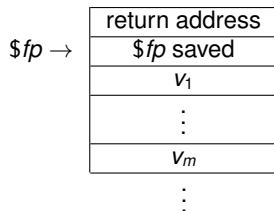
we have 5 possible cases

- `Vglobal s` : the value is at the address with label `s`
- `Vlocal n` : the value is at the address `n($fp)`
- `Vclos n` : the value is at the address `n($a1)`
- `Varg` : the value is in `$a0`

Function declaration

Compiling declaration

Let fun(f, e)



we do as usual for functions

- 1 we save and replace $\$fp$
- 2 we allocate the stack-frame (enough room for local variables) in e
- 3 we produce code to evaluate e with a result in $\$v0$
- 4 we free the stack-frame and restore $\$fp$
- 5 we return

Optimisations

If a function f is defined by

$$\text{let } f\ x_1 \dots x_n = e$$

and called with n arguments

$$f\ e_1 \dots e_n$$

building the intermediate closures will be costly

We can implement a « traditional » with all arguments passed

However a partial application will generate a closure

OCaml does this optimisation ; on first-order code, the efficiency is the same as in a traditional (non functional) language

- If the closure does not survive the end of the function where it is defined, then the closure can be allocated on the stack
- A static analysis should be performed to make sure it is safe (*escape analysis*)

Example

```
let integrate_xn n =  
  let f = ... in  
  let eps = 0.001 in  
  let rec sum x = if x >= 1. then 0. else f x +. sum (x+.eps) in  
  sum 0. *. eps
```

Compiling a functional language

- Closures
- **Tail calls**
- Inline expansion
- Compiling pattern-matching

Definition

A call to $(f\ e_1 \dots e_n)$ in the body of a function g is a tail call if it is the last instruction of g before returning its result.

- a *tail recursive function* is a function where all recursive calls are tail calls
- a tail call can be a non-recursive call
- in a recursive function, only some of the calls can be tail calls
- when f performs a tail call to g ,
 - the stack-frame of the caller f contains the right return address for g
 - the local values stored in the stack frame of f will not be used after calling g
 - the stack frame of f can be reused as a stack frame for g
 - we can do a simple jump to the code of g
- tail-recursive functions can be compiled as efficiently as loops

Using higher-order functions

- How to get tail-recursive functions ?
- Example :

```
type 'a tree = Empty | Node of 'a tree * 'a * 'a tree
let rec height = function
| Empty          -> 0
| Node (l, _, r) -> 1 + max (height l) (height r)
```

Solution using continuations

- Instead of computing the height h , we compute $k(h)$ for an arbitrary function k (the continuation)

```
val heightc: 'a tree -> (int -> 'b) -> 'b  
let height t = heightc t (fun h -> h)
```

What for ?

```
let rec heightc t k = match t with  
  | Empty ->  
    k 0  
  | Node (l, _, r) ->  
    heightc l (fun hl ->  
    heightc r (fun hr ->  
    k (1 + max hl hr)))
```

- Calls to `heightc` and `k` are now tail-calls
- The stack will remain constant
- The closure will be allocated on the heap

Compiling a functional language

- Closures
- Tail calls
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Optimizing functions calls

- Introducing many functions can be good for code readability
- A function call is costly
 - building the stack-frame
 - jumping to another part of the code
- Optimization (register allocation) are done inside the body of a function

Inline expansion of function bodies

- If we have a function definition **let** $f\ x_1 \dots x_n = e$
- We replace a function call $f\ a_1 \dots a_n$ by
let $x_1 = a_1$ **and** $\dots x_n = a_n$ **in** e
- if a_i is atomic we replace x_i by a_i in e
- We need to avoid variable capture (rename in order to have different variable names)

```
let x = 5 in  
let g y = y + x in  
let f x = g 1 + x  
in f 2 + x
```

```
let x = 5 in  
let g y = y + x in  
let f x = 1+x+x  
in f 2 + x
```

```
let x = 5 in  
let g y = y + x in  
let f a = 1+x+a  
in f 2 + x
```

- If all occurrences of the function have been inlined, remove the function definition

Loop invariant hoisting

- Some recursive functions have “invariant” arguments

let rec f x1 .. xn = e[f, x1, .., xn]

- in e all recursive calls to f e1 .. en satisfies $e_k = x_k$ for some k
- change the definition of f to avoid recomputing a constant parameter

```
let f x1 .. xn =  
let rec f' (xi)_{i<>k}  
    = e[(f e1 .. en) <- f' (ei)_{i<>k}]  
in f' (xi)_{i<>k}
```

Example

```
let rec dolist f l c = match l with
  [] -> c ()
  |a::m -> let dorest () = dolist f m c
           in f a dorest
let double j = j + j
let print_double i c =
  let again () = putInt (double i) c
  in putInt i again

let print_table l c =
  dolist print_double l c
```

```
let dolist f l c =
  let rec dlrec l = match l with
    [] -> c ()
    |a::m -> let dorest () = dlrec m
             in f a dorest
             in dlrec l
  ...
let print_table l c =
  let rec dlrec l = match l with
    [] -> c ()
    |a::m -> let dorest () = dlrec m
             in print_double a dorest
             in dlrec l
```


Heuristics for program inlining

- Inlining makes code bigger, and has to stop at some point
- Inline some specific function calls that are frequently executed
- Inline functions that are only called once ! then delete the function definition
- Inline functions with very small bodies (compensates the extra instructions for function calls)

Compiling a functional language

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- **Compiling pattern-matching**

Pattern-matching in ML

- *pattern matching* generalises conditional constructions
- used in combination with definition of algebraic data-types
- function definition

`function  $p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$`

- generalized conditionals

`match  $e$  with  $p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$`

- exceptions handling

`try  $e$  with  $p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$`

Pattern-matching The compiler will translate these high-level constructions to elementary tests (testing constructors, comparing constants) and access to fields of structured values.

we only consider the construction

$$\text{match } x \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$$

A *pattern* is defined using the abstract syntax

$$p ::= x \mid C(p, \dots, p)$$

with C a constructor, which can be

- a constant like `false`, `true`, `0`, `1`, `"hello"`, etc.
- a constant constructor of an algebraic types, like `[]` or for example `Empty` déclaré by `type t = Empty | ...`
- a constructor with arity $n \geq 1$ like `::` or for instance `Node` déclaré by `type t = Node of t * t | ...`
- a constructor for n -tuple, with $n \geq 2$

Linear patterns

Definition (linear patterns)

A pattern p is linear if a variable appears at most once in p .

example : the pattern (x, y) is linear, but (x, x) is not

note : OCaml accepts non linear patterns only in OR patterns

```
# let (x, x) = (1, 2);;
```

Error : Variable x is bound several times in this matching

```
# let x, 0 | 0, x = ...;;
```

We only consider linear patterns and no disjunctive patterns (can be rewritten as several disjoint cases)

The values are

$$v ::= C(v, \dots, v)$$

with C the set of constants and constructors defined like for patterns

Definition (pattern-matching)

A value v matches a pattern p if there exists a substitution σ (which replaces variables by values) such that $v = \sigma(p)$.

note : we may assume that the domain of σ is exactly the set of variables of p

Any value matches a pattern $p = x$ which is just a variable ; PROP A value v matches $p = C(p_1, \dots, p_n)$ iff v is equal to $v = C(v_1, \dots, v_n)$ and v_i matches p_i for all $i = 1, \dots, n$. proof :

It works because we have linear patterns, counter-example with the pattern $C(x, x)$ and the value $C(0, 1)$

Pattern-matching with several cases

Definition

In the pattern-matching

$$\text{match } x \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n$$

if v is the value of x , we say that v matches the case p_i if v matches p_i but v does not match p_j for $j < i$.

The result of the matching is $\sigma(e_i)$, with σ a substitution such that $\sigma(p_i) = v$.

if v does not match any p_i , then the matching raises an error (exception `Match_failure` in OCaml)

Compiling pattern-matching

First algorithm :

We suppose given

- $\text{constr}(e)$, returns the constructor for the value of e ,
- $\#_i(e)$, returns the i -ième component of the value

If $e = C(v_1, \dots, v_n)$ then $\text{constr}(e) = C$ and $\#_i(e) = v_i$

Compiling pattern-matching

We start by compiling d'one line

$$\text{code}(\text{match } e \text{ with } p \rightarrow \text{action}) = F(p, e, \text{action})$$

with the compilation function F defined by :

$$\begin{aligned} F(x, e, \text{action}) &= \\ &\quad \text{let } x = e \text{ in } \text{action} \\ F(C, e, \text{action}) &= \\ &\quad \text{if } \text{constr}(e) = C \text{ then } \text{action} \text{ else } \text{error} \\ F(C(p), e, \text{action}) &= \\ &\quad \text{if } \text{constr}(e) = C \text{ then } F(p, \#_1(e), \text{action}) \text{ else } \text{error} \\ F(C(p_1, \dots, p_n), e, \text{action}) &= \\ &\quad \text{if } \text{constr}(e) = C \text{ then} \\ &\quad \quad F(p_1, \#_1(e), F(p_2, \#_2(e), \dots F(p_n, \#_n(e), \text{action}) \dots)) \\ &\quad \text{else } \text{error} \end{aligned}$$

Example

```
match x with 1 :: y :: z -> y + length z
```

its compilation gives the (pseudo-)code :

```
if constr(x) = :: then  
  if constr(#1(x)) = 1 then  
    if constr(#2(x)) = :: then  
      let y = #1(#2(x)) in  
      let z = #2(#2(x)) in  
      y + length(z)  
    else error  
  else error  
else error
```

note : $\#_2(x)$ is computed several times, we could use `let` expressions in the definition of F to avoid that.

if $e \xrightarrow{*} v$ alors

$$F(p, e, action) \xrightarrow{*} \sigma(action)$$

$$F(p, e, action) \xrightarrow{*} error$$

if there exists σ s.t. $v = \sigma(p)$

otherwise

proof : by induction on p

Dealing with several lines

We replace *error* by interpreting next line

$$\text{code}(\text{match } x \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n) = \\ F(p_1, x, e_1, F(p_2, x, e_2, \dots F(p_n, x, e_n, \text{error}) \dots))$$

F now defined by :

$$\begin{aligned} F(x, e, \text{success}, \text{error}) &= \\ &\quad \text{let } x = e \text{ in } \text{success} \\ F(C, e, \text{success}, \text{error}) &= \\ &\quad \text{if } \text{constr}(e) = C \text{ then } \text{success} \text{ else } \text{error} \\ F(C(p_1, \dots, p_n), e, \text{success}, \text{error}) &= \\ &\quad \text{if } \text{constr}(e) = C \text{ then} \\ &\quad \quad F(p_1, \#_1(e), F(p_2, \#_2(e), \dots F(p_n, \#_n(e), \text{success}, \text{error}) \dots, \text{error}) \\ &\quad \text{else } \text{error} \end{aligned}$$

Example

```
match x with [] -> 1 | 1 :: y -> 2 | z :: y -> z
```

```
if constr(x) = [] then  
  1  
else  
  if constr(x) = :: then  
    if constr(#1(x)) = 1 then  
      let y = #2(x) in 2  
    else  
      if constr(x) = :: then  
        let z = #1(x) in let y = #2(x) in z  
      else error  
  else  
    if constr(x) = :: then  
      let z = #1(x) in let y = #2(x) in z  
    else error
```

Its not very efficient because

- we do the same tests on different lines
- some tests are useless : if $constr(e) \neq []$ then we can deduce $constr(e) = ::$)

Another algorithm

We consider another algorithm which handle all the lines simultaneously
the problem is represented as a matrix

$$\left| \begin{array}{ccccccc} e_1 & e_2 & \dots & e_m & & & \\ p_{1,1} & p_{1,2} & \dots & p_{1,m} & \rightarrow & action_1 & \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \\ p_{n,1} & p_{n,2} & \dots & p_{n,m} & \rightarrow & action_n & \end{array} \right|$$

correspondin to the pattern-matching

$$\begin{array}{l} \text{match } (e_1, e_2, \dots, e_m) \text{ with} \\ | (p_{1,1}, p_{1,2}, \dots, p_{1,m}) \rightarrow action_1 \\ | \dots \\ | (p_{n,1}, p_{n,2}, \dots, p_{n,m}) \rightarrow action_n \end{array}$$

Another algorithm

The algorithm F proceeds recursively on the matrix

- $n = 0$

$$F \left| \begin{array}{ccc} e_1 & \dots & e_m \end{array} \right| = \textit{error}$$

- $m = 0$

$$F \left| \begin{array}{c} \rightarrow \textit{action}_1 \\ \vdots \\ \rightarrow \textit{action}_n \end{array} \right| = \textit{action}_1$$

Another algorithm

If the left column has only variables

$$M = \left| \begin{array}{cccc} e_1 & e_2 & \dots & e_m \\ \underline{x_{1,1}} & p_{1,2} & \dots & p_{1,m} \rightarrow action_1 \\ \vdots & & & \\ \underline{x_{n,1}} & p_{n,2} & \dots & p_{n,m} \rightarrow action_n \end{array} \right|$$

on élimine cette colonne en introduisant des `let`

$$F(M) = F \left| \begin{array}{cccc} e_2 & \dots & e_m & \\ p_{1,2} & \dots & p_{1,m} \rightarrow \text{let } x_{1,1} = e_1 \text{ in } action_1 & \\ \vdots & & & \\ p_{n,2} & \dots & p_{n,m} \rightarrow \text{let } x_{n,1} = e_1 \text{ in } action_n & \end{array} \right|$$

Another algorithm

The left columns has at least one pattern starting with a constructor (or constant)

For instance we have three different constructors : C arity 1, D arity 0 and E arity 2

$$M = \left| \begin{array}{ccccccc} e_1 & e_2 & \dots & e_m & & & \\ C(q) & p_{1,2} & \dots & p_{1,m} & \rightarrow & action_1 & \\ D & p_{2,2} & & p_{2,m} & \rightarrow & action_2 & \\ x & p_{3,2} & & p_{3,m} & \rightarrow & action_3 & \\ E(r, s) & p_{4,2} & & p_{4,m} & \rightarrow & action_4 & \\ y & p_{5,2} & & p_{5,m} & \rightarrow & action_5 & \\ C(t) & p_{6,2} & & p_{6,m} & \rightarrow & action_6 & \\ E(u, v) & p_{7,2} & \dots & p_{7,m} & \rightarrow & action_7 & \end{array} \right|$$

for each constructor C , D et E , we built a sub-matrix with all lines that could match a value starting with this constructor correspondant au filtrage d'une valeur pour ce constructeur

Another algorithm

$$M = \left| \begin{array}{cccc} e_1 & e_2 & \dots & e_m \\ \underline{C(q)} & p_{1,2} & \dots & p_{1,m} \rightarrow action_1 \\ D & p_{2,2} & & p_{2,m} \rightarrow action_2 \\ \underline{x} & p_{3,2} & & p_{3,m} \rightarrow action_3 \\ E(r, s) & p_{4,2} & & p_{4,m} \rightarrow action_4 \\ \underline{y} & p_{5,2} & & p_{5,m} \rightarrow action_5 \\ \underline{C(t)} & p_{6,2} & & p_{6,m} \rightarrow action_6 \\ E(u, v) & p_{7,2} & \dots & p_{7,m} \rightarrow action_7 \end{array} \right|$$

so

$$M_C = \left| \begin{array}{cccc} \#_1(e_1) & e_2 & \dots & e_m \\ q & p_{1,2} & \dots & p_{1,m} \rightarrow action_1 \\ - & p_{3,2} & & p_{3,m} \rightarrow \text{let } x = e_1 \text{ in } action_3 \\ - & p_{5,2} & & p_{5,m} \rightarrow \text{let } y = e_1 \text{ in } action_5 \\ t & p_{6,2} & \dots & p_{6,m} \rightarrow action_6 \end{array} \right|$$

Another algorithm

$$M = \left| \begin{array}{cccc} e_1 & e_2 & \dots & e_m \\ C(q) & p_{1,2} & \dots & p_{1,m} \rightarrow action_1 \\ \underline{D} & p_{2,2} & & p_{2,m} \rightarrow action_2 \\ \underline{x} & p_{3,2} & & p_{3,m} \rightarrow action_3 \\ E(r, s) & p_{4,2} & & p_{4,m} \rightarrow action_4 \\ \underline{y} & p_{5,2} & & p_{5,m} \rightarrow action_5 \\ C(t) & p_{6,2} & & p_{6,m} \rightarrow action_6 \\ E(u, v) & p_{7,2} & \dots & p_{7,m} \rightarrow action_7 \end{array} \right|$$

so

$$M_D = \left| \begin{array}{ccc} e_2 & \dots & e_m \\ p_{2,2} & & p_{2,m} \rightarrow action_2 \\ p_{3,2} & & p_{3,m} \rightarrow \text{let } x = e_1 \text{ in } action_3 \\ p_{5,2} & \dots & p_{5,m} \rightarrow \text{let } y = e_1 \text{ in } action_5 \end{array} \right|$$

Another algorithm

$$M = \left| \begin{array}{cccc} e_1 & e_2 & \dots & e_m \\ C(q) & p_{1,2} & \dots & p_{1,m} \rightarrow action_1 \\ D & p_{2,2} & & p_{2,m} \rightarrow action_2 \\ \underline{x} & p_{3,2} & & p_{3,m} \rightarrow action_3 \\ \underline{E(r, s)} & p_{4,2} & & p_{4,m} \rightarrow action_4 \\ \underline{y} & p_{5,2} & & p_{5,m} \rightarrow action_5 \\ C(t) & p_{6,2} & & p_{6,m} \rightarrow action_6 \\ \underline{E(u, v)} & p_{7,2} & \dots & p_{7,m} \rightarrow action_7 \end{array} \right|$$

so

$$M_E = \left| \begin{array}{cccc} \#_1(e_1) & \#_2(e_1) & e_2 & \dots & e_m \\ - & - & p_{3,2} & & p_{3,m} \rightarrow \text{let } x = e_1 \text{ in } action_3 \\ r & s & p_{4,2} & & p_{4,m} \rightarrow action_4 \\ - & - & p_{5,2} & & p_{5,m} \rightarrow \text{let } y = e_1 \text{ in } action_5 \\ u & v & p_{7,2} & \dots & p_{7,m} \rightarrow action_7 \end{array} \right|$$

Another algorithm

we define a submatrix for all remaining values (with constructors different from C , D and E), ie variables

$$M_R = \left| \begin{array}{ccc} e_2 & \dots & e_m \\ p_{3,2} & & p_{3,m} \rightarrow \text{let } x = e_1 \text{ in } \textit{action}_3 \\ p_{5,2} & \dots & p_{5,m} \rightarrow \text{let } y = e_1 \text{ in } \textit{action}_5 \end{array} \right|$$

Another algorithm

we define

$$F(M) = \text{case } \textit{constr}(e_1) \text{ in} \\ \quad C \Rightarrow F(M_C) \\ \quad D \Rightarrow F(M_D) \\ \quad E \Rightarrow F(M_E) \\ \quad \text{otherwise} \Rightarrow F(M_R)$$

Termination

The algorithm terminates

The value

$$\sum_{i,j} \text{size}(p_{i,j})$$

decreases strictly with each recursive call to F , with

$$\begin{aligned} \text{size}(x) &= 1 \\ \text{size}(C(p_1, \dots, p_n)) &= 1 + \sum_{i=1}^n \text{size}(p_i) \end{aligned}$$

indication : use the interprétation of the matrix as

$$\begin{array}{l} \text{match } (e_1, e_2, \dots, e_m) \text{ with} \\ | (p_{1,1}, p_{1,2}, \dots, p_{1,m}) \rightarrow \textit{action}_1 \\ | \dots \\ | (p_{n,1}, p_{n,2}, \dots, p_{n,m}) \rightarrow \textit{action}_n \end{array}$$

The type of the expression e_1 leads to optimizations :

```
case constr( $e_1$ ) in  
   $C \Rightarrow F(M_C)$   
   $D \Rightarrow F(M_D)$   
   $E \Rightarrow F(M_E)$   
otherwise  $\Rightarrow F(M_R)$ 
```

in many cases :

- no test if only one constructor (for instance a n -tuple) : $F(M) = F(M_C)$
- no `otherwise` case when C , D and E are the only constructors
- a simple `if then else` if only 2 constructors
- a table for jumping directly to the appropriate case when finitely many constructors
- a binary tree or hash-table when infinitely many constructors (integers or strings)

Example

considérons

```
match x with [] -> 1 | 1 :: y -> 2 | z :: y -> z
```

matrix

$$M = \left| \begin{array}{ll} x & \\ \begin{array}{l} [] \\ 1 :: y \\ z :: y \end{array} & \begin{array}{l} \rightarrow 1 \\ \rightarrow 2 \\ \rightarrow z \end{array} \end{array} \right|$$

We obtain

```
case constr(x) in  
  [] -> 1  
  :: -> case constr(#1(x)) in  
    1 -> let y = #2(x) in 2  
    otherwise ->  
      let z = #1(x) in let y = #2(x) in z
```

- We detect redondant cases
when an action does not appear in the code produced

example

```
match x with false -> 1 | true -> 2 | false -> 3
```

gives

```
case constr(x) in false -> 1 | true -> 2
```

- We detect non exhaustive patterns
error appears in the code

example

```
match x with 0 -> 0 | 1 -> 1
```

gives

```
case constr(x) in 0 -> 0 | 1 -> 1  
                  | otherwise -> error
```

- Functions as first-class citizen : code + environment (values for free variables)
- Variables correspond to different locations in the memory
 - Static addresses for global variables
 - Stack for values with a known limited lifetime
 - Registers for actual parameters, some local variables. . .
 - Heap for other values
- Generating access code :
 - Convention for a static place (register) where to find the (dynamic) address of the block (+ a static shift value)
- Code transformation
 - Understanding the semantics of high-level features by translation into “simpler code” (closer to the machine-level architecture)
 - Sometimes transformation is done in the programming language itself
 - Many possible optimizations (preserving the semantics)