



# Microbiological quality of pharmaceutical water

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## Part I



- Water chemistry
- Regulatory reminders
- Production techniques

# Water source

## Surface

- High level of pollution
- Low mineralization

## Well

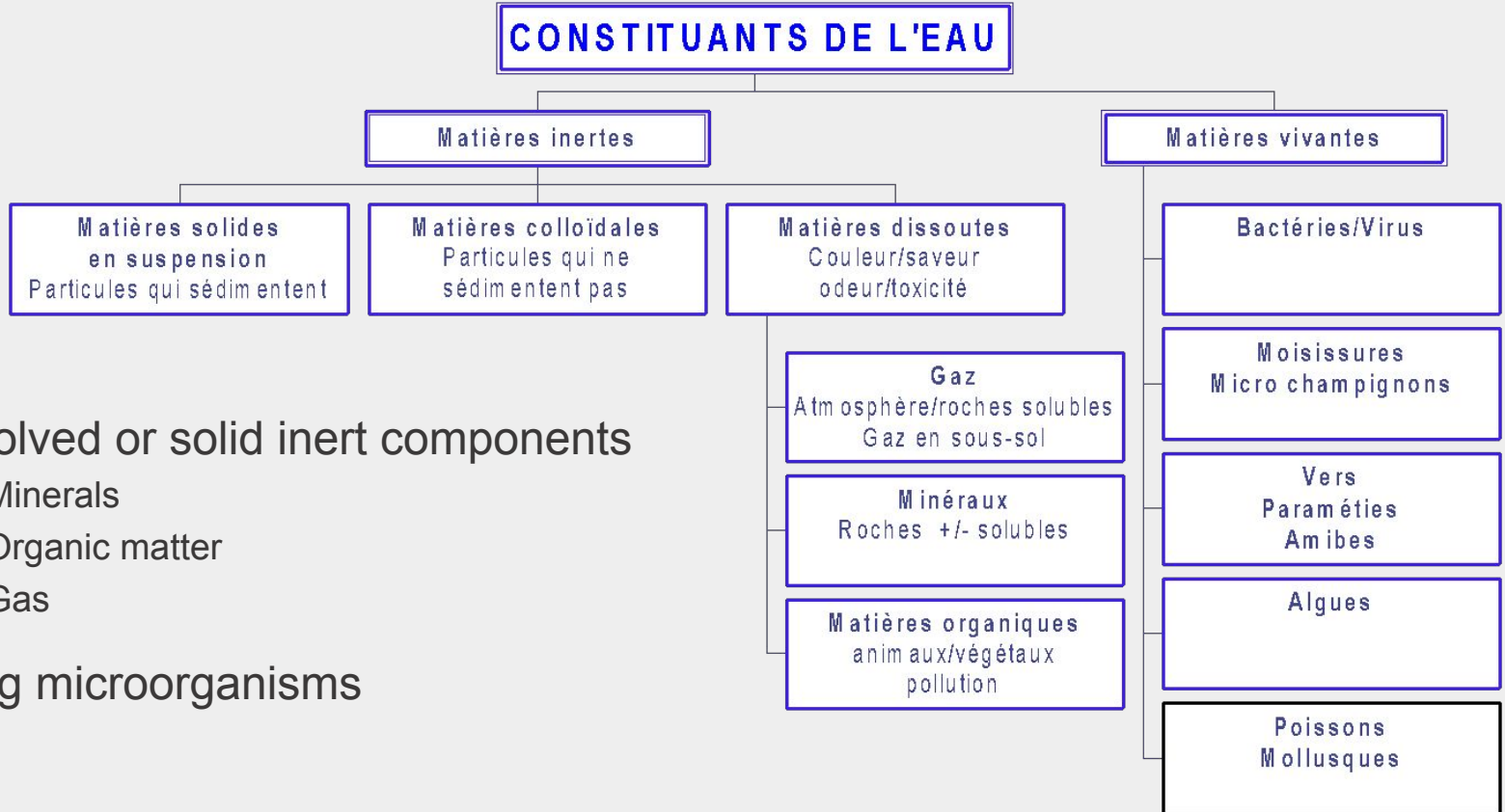
- Good microbiological quality
- Highly mineralized

## City water

- Meets drinking water specifications



# Water constituents



## → Dissolved or solid inert components

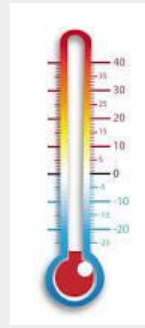
- Minerals
- Organic matter
- Gas

## → Living microorganisms

# Physical parameters

## 1. TEMPERATURE

- chemical reactions (kinetic, solubility, ...)
- growth of microorganisms



## 2. ORGANOLEPTIC CHARACTERS

Flavor, smell, color



## 3. TURBIDITY

- suspended solids

## 4. CLOGGING POWER

- fouling index
- colloids



# Ionic balance

## → CATIONS

### ◆ Hardness

- Calcium  $\text{Ca}^{2+}$
- Magnesium  $\text{Mg}^{2+}$

### ◆ Monovalents

- Sodium  $\text{Na}^+$
- Potassium  $\text{K}^+$

## → ANIONS

### ◆ TAC

- Carbonates  $\text{CO}_3^{2-}$
- Bicarbonates  $\text{HCO}_3^-$

### ◆ Salt of strong acid

- Chlorides  $\text{Cl}^-$
- Sulfates  $\text{SO}_4^{2-}$
- Nitrates  $\text{NO}_3^-$

## Gas

### → Oxygen (O<sub>2</sub>)

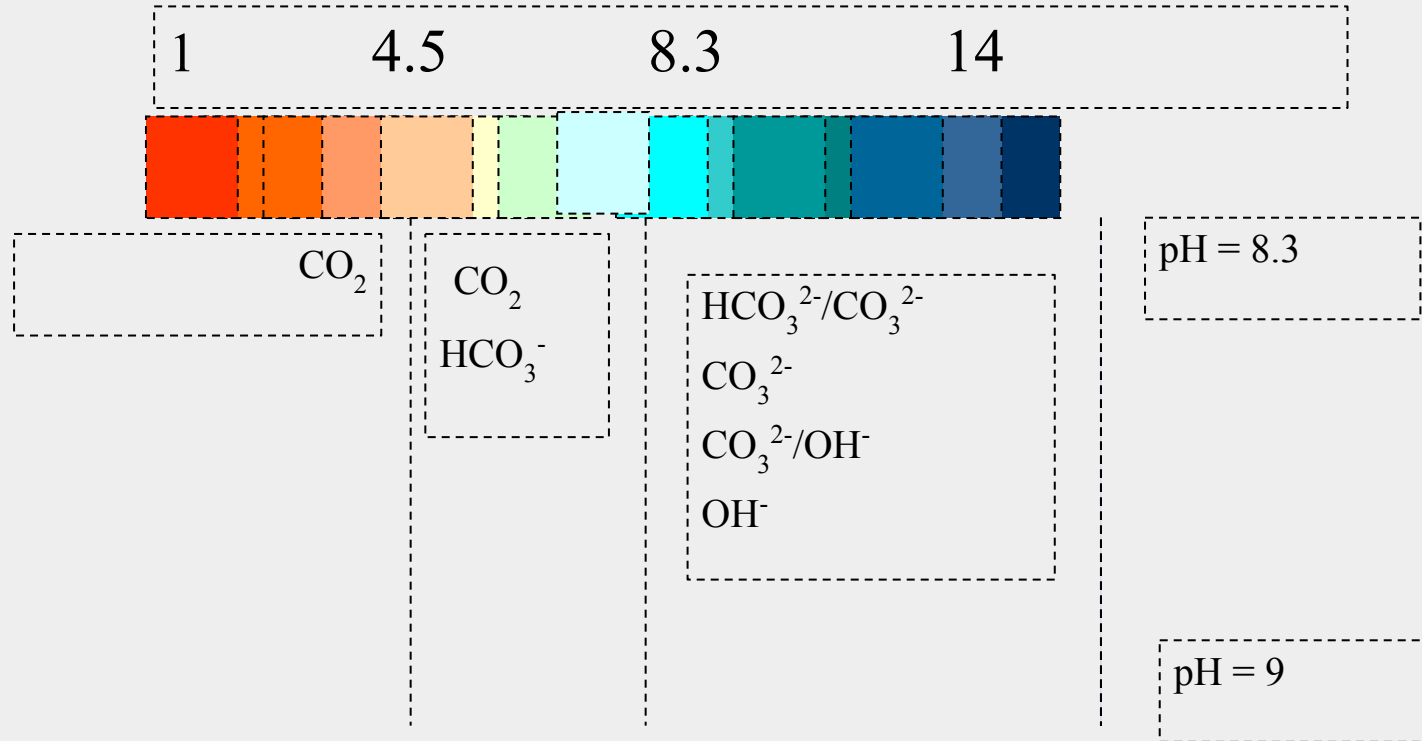
expressed in mg/l (ppm); It varies from 0 to saturation; maximum content decreases with temperature

### → Carbon dioxide (CO<sub>2</sub>)

expressed in mg/l in balance with carbonates and bicarbonates. Balance depends on pH



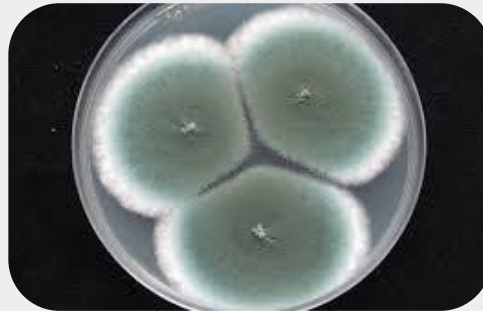
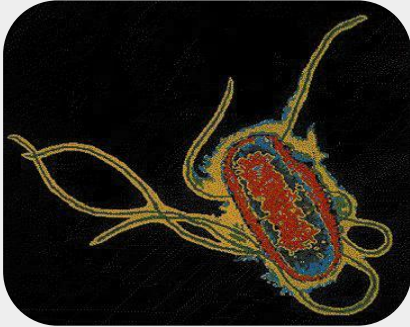
# The PH





# Microorganisms

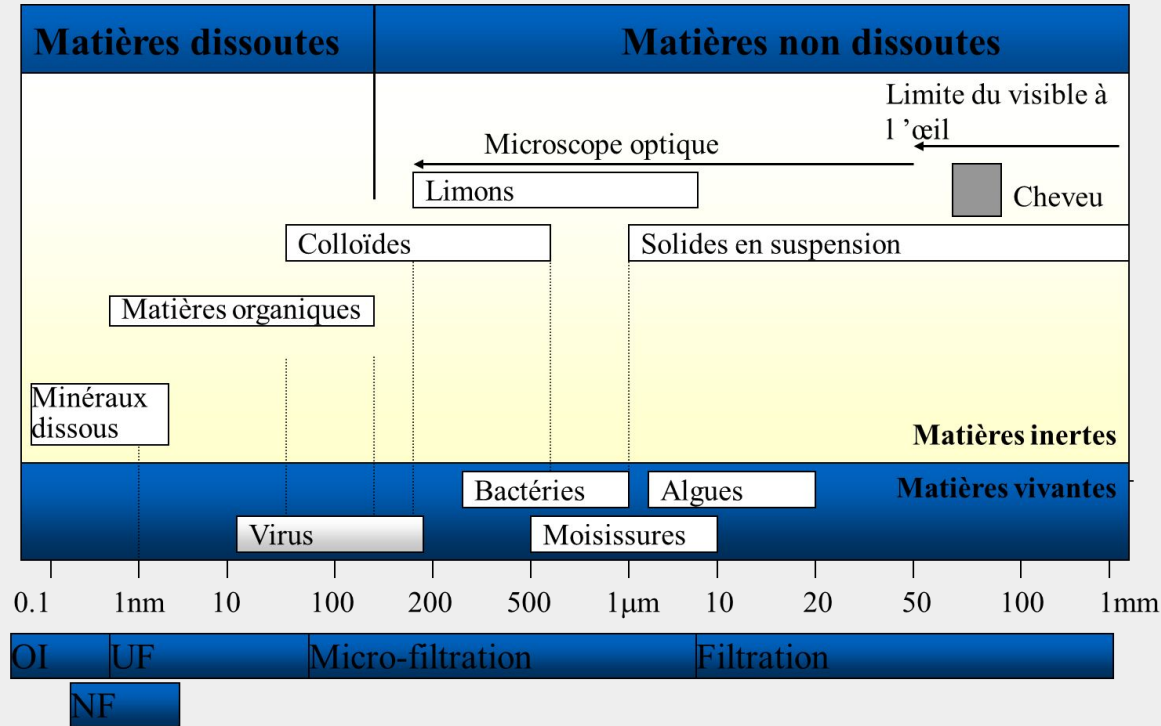
- Virus
- Bacteria
- Yeast
- Mold



## Growth factors

- pH (algae: pH - 5 - 6, bacteria: pH - 7 - 9)
- High temperature
- Light
- Absence or presence of oxygen (aerobic, anaerobic species)
- Nutrient (organic element, biofilm ...)
- Lack of growth inhibitors (biocides)

# Particles in water



# Water for pharmaceutical use



# Waters for pharmaceutical use

- Purified water
- Water for injection

# Bulk purified water (Aqua Purificata)

## DÉFINITION

Eau destinée à la préparation de médicaments autres que ceux qui doivent être stériles et exempts de pyrogènes, sauf exception justifiée et autorisée.

## PRODUCTION

L'eau purifiée en vrac est préparée par distillation, par échange d'ions, par osmose inverse ou par tout autre procédé approprié à partir d'une eau destinée à la consommation humaine comme établi par l'Autorité compétente.

L'eau purifiée en vrac est conservée et distribuée dans des conditions visant à empêcher la croissance de microorganismes et à éviter toute autre contamination.

*Extrait Pharmacopée européenne*

**Definition**

# Water for injection

## DÉFINITION

Eau destinée soit à la préparation de médicaments pour administration parentérale à véhicule aqueux (eau pour préparations injectables en vrac), soit à la dissolution ou la dilution de substances ou préparations pour administration parentérale (eau stérilisée pour préparations injectables).

*Extrait Pharmacopée européenne*

## Eau pour préparations injectables en vrac

### PRODUCTION

L'eau pour préparations injectables en vrac est obtenue soit à partir d'une eau destinée à la consommation humaine, comme établi par l'Autorité compétente, soit à partir d'une eau purifiée. Elle est produite :

- soit par distillation dans un appareil dont les composants en contact avec l'eau sont constitués de verre neutre, de quartz ou d'un métal approprié ; également, l'appareil est muni d'un dispositif efficace pour empêcher le primage ;
- soit par un procédé de purification équivalent à la distillation. Une osmose inverse, en simple ou double passage et couplée à d'autres techniques appropriées comme l'électrodésionisation, l'ultrafiltration ou la nanofiltration, convient. L'autorité de supervision du fabricant est informée préalablement à la mise en application du procédé.

Quelle que soit la méthode de production employée, le bon suivi des opérations et l'entretien correct du système sont essentiels. Afin de garantir l'obtention d'une eau de qualité appropriée, des méthodes validées sont appliquées et un suivi en cours de production de la conductivité électrique, ainsi que des contrôles réguliers du carbone organique total et de la contamination microbienne sont effectués.

# Definition

# Quality requirements

PARAMÈTRES	ORIGINE	PW	WFI
Origine	PE / USP	Eau potable	Eau potable
Couleur	PE	Limpide, incolore	Limpide, incolore
Odeur	PE	Inodore	Inodore
Goût	PE	Insipide	Insipide
Conductivité ( $\mu\text{S}/\text{cm}$ à 20°C)	PE	$\leq 4,3$	$\leq 1,1$
Conductivité ( $\mu\text{S}/\text{cm}$ à 25°C)	USP	$\leq 1,3$	$\leq 1,3$
Carbone Organique Total (COT)	PE / USP	$\leq 500$ ppb	$\leq 500$ ppb
Nitrates $\text{NO}_3^-$	PE	$\leq 0,2$ ppm	$\leq 0,2$ ppm
Métaux lourds (équivalent Pb ppm)	PE	$\leq 0,1$	$\leq 0,1$
Germes aérobies viables totaux	PE / USP	$\leq 100$ UFC / ml	$\leq 10$ UFC / 100 ml
Endotoxines	PE / USP	ND	$\leq 0,25$ UI / ml





## Purified water generation



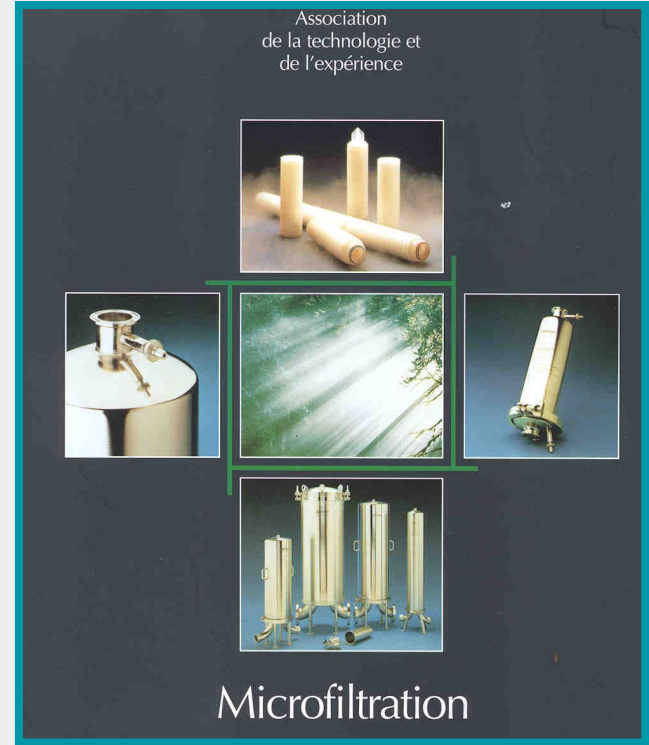
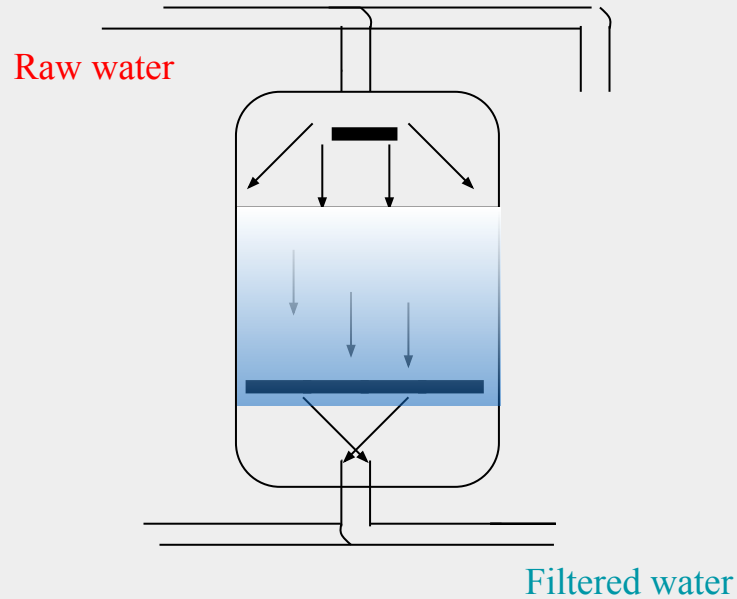


# Water treatment techniques

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- Filtration
- Softening
- Ion exchange
- Reverse osmosis
- Electrodeionisation

# Sand filtration / Cartridges



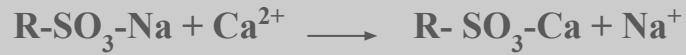
*Retention of particles : size from 10 to 0.2  $\mu\text{m}$*

# Softening

## → Objective:

Replace Calcium and Magnesium by another cation: SODIUM.

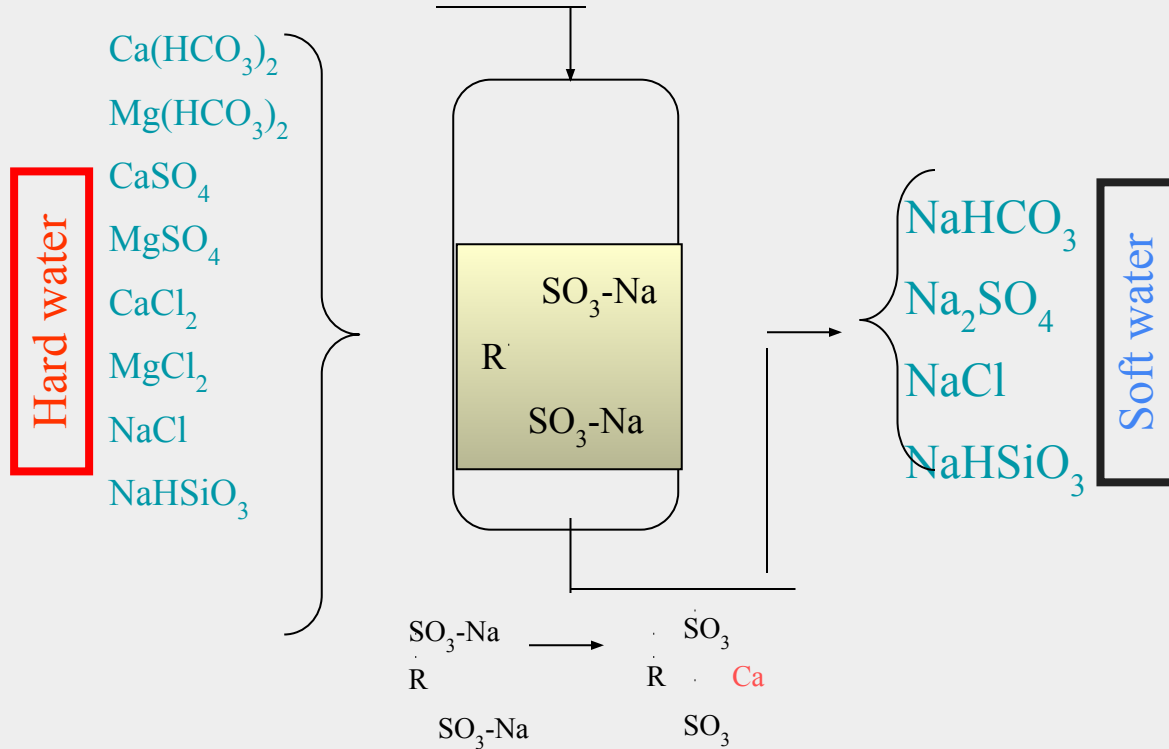
## → Principle



## → Regeneration



# Principle of softening



## Demineralization by ion exchange process

→ Strong cationic resin for the cations elimination



→ Strong anionic resin for anions elimination

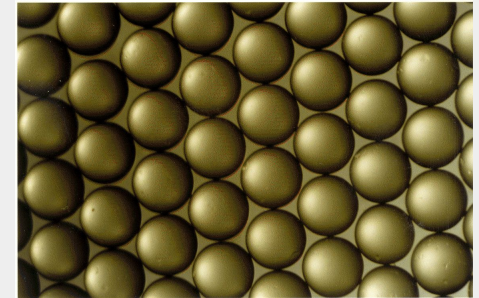
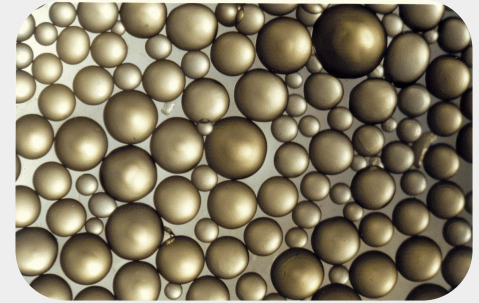


→ Regeneration

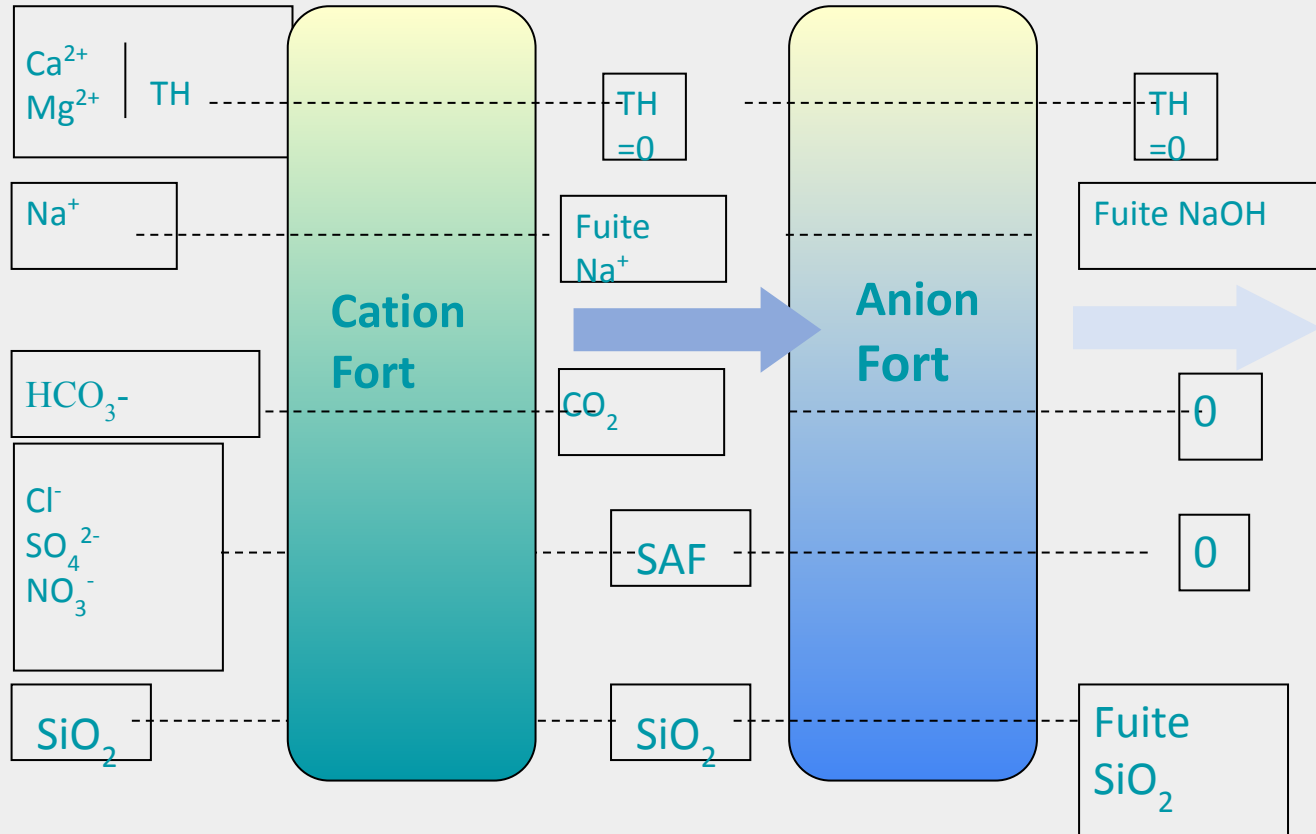
Cationic resin: HCl, H<sub>2</sub>SO<sub>4</sub>, HNO<sub>3</sub>



Anionic resin: NaOH, KOH

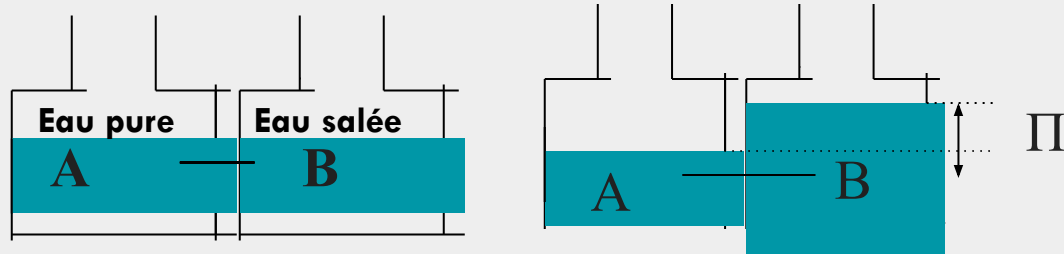


# Demineralization



# | Reverse osmosis

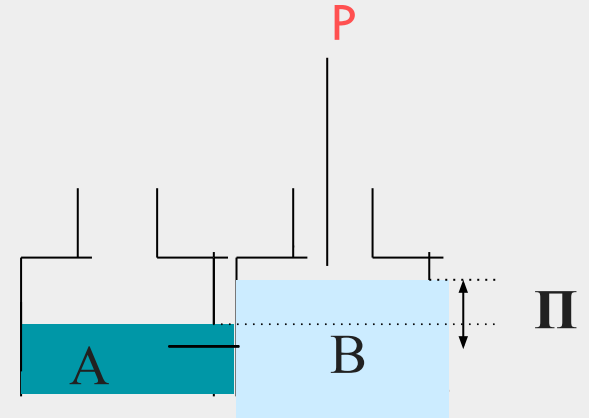
Direct osmosis is a natural phenomenon of diffusion between two solutions of different concentrations through a semipermeable membrane that acts as a partition of separation



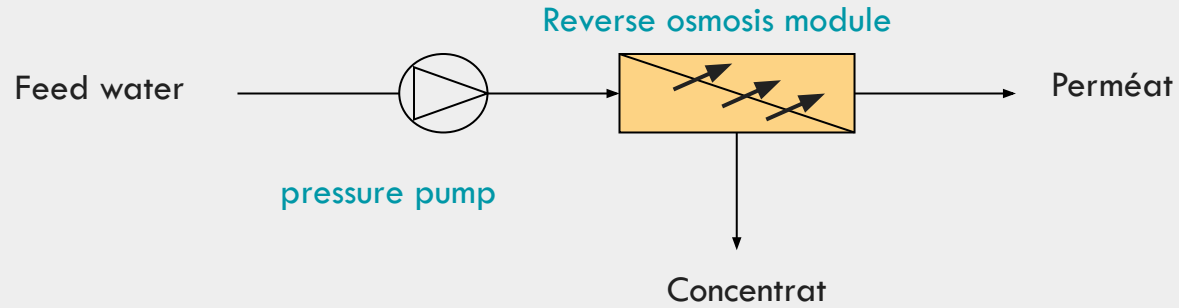


# Reverse osmosis

- Need to apply to the most concentrated solution a pressure  $P$  greater than its osmotic pressure to reverse the natural flow.
- By applying pressure  $P$  to the B part containing the dissolved material, the water molecules diffuse to purified water (part A), simultaneously, the concentration of dissolved material in the water on the other side of the membrane increases in part B.

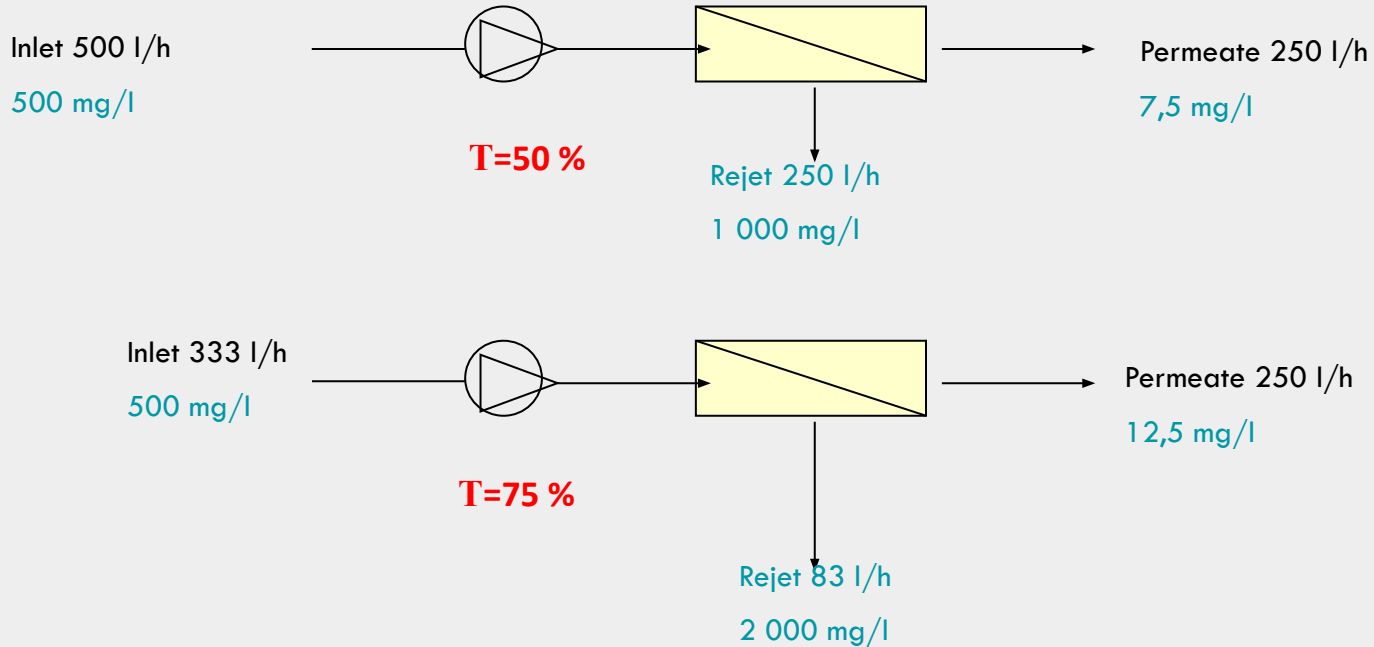


# Representation



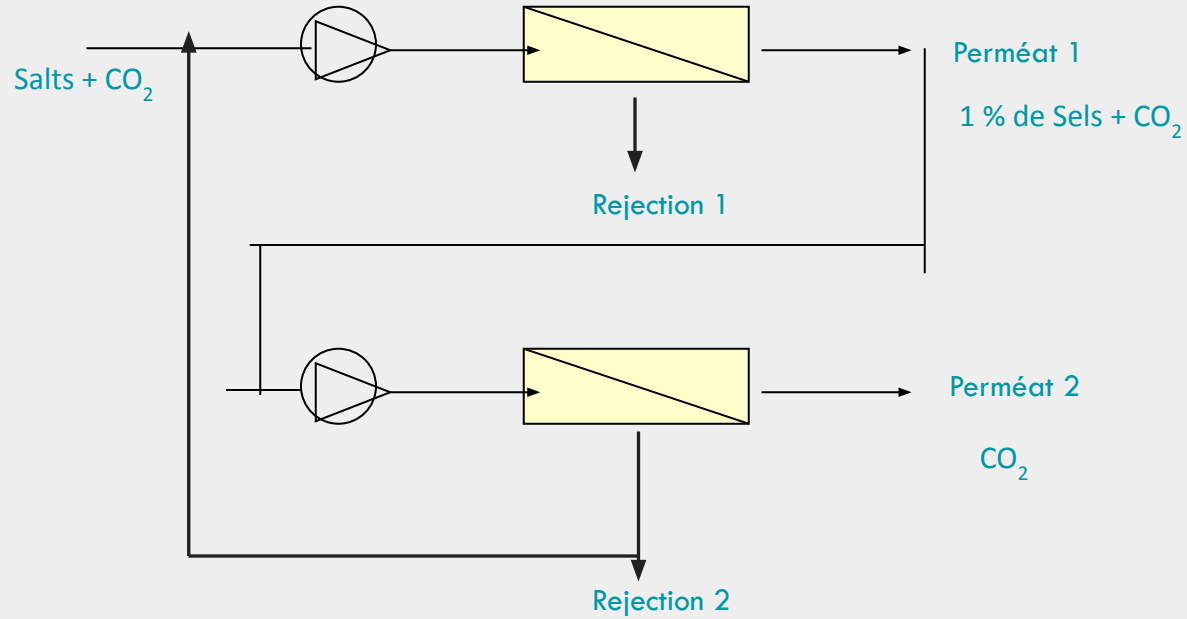
$$\text{Conversion rate} = (\text{Permeate} / \text{feed water}) \times 100$$

# Concentration of salts



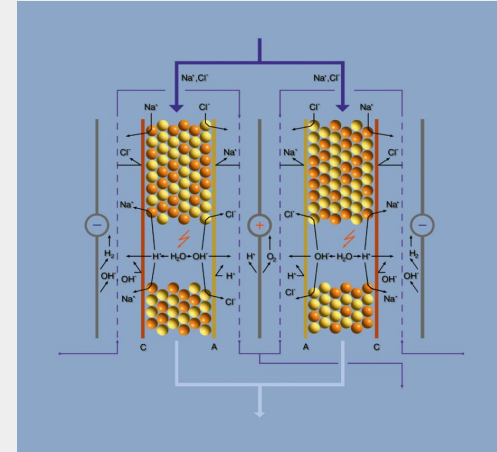
*The saving of discharge water implies a lower quality of the permeate*

# Double pass RO



# Electrodeionization

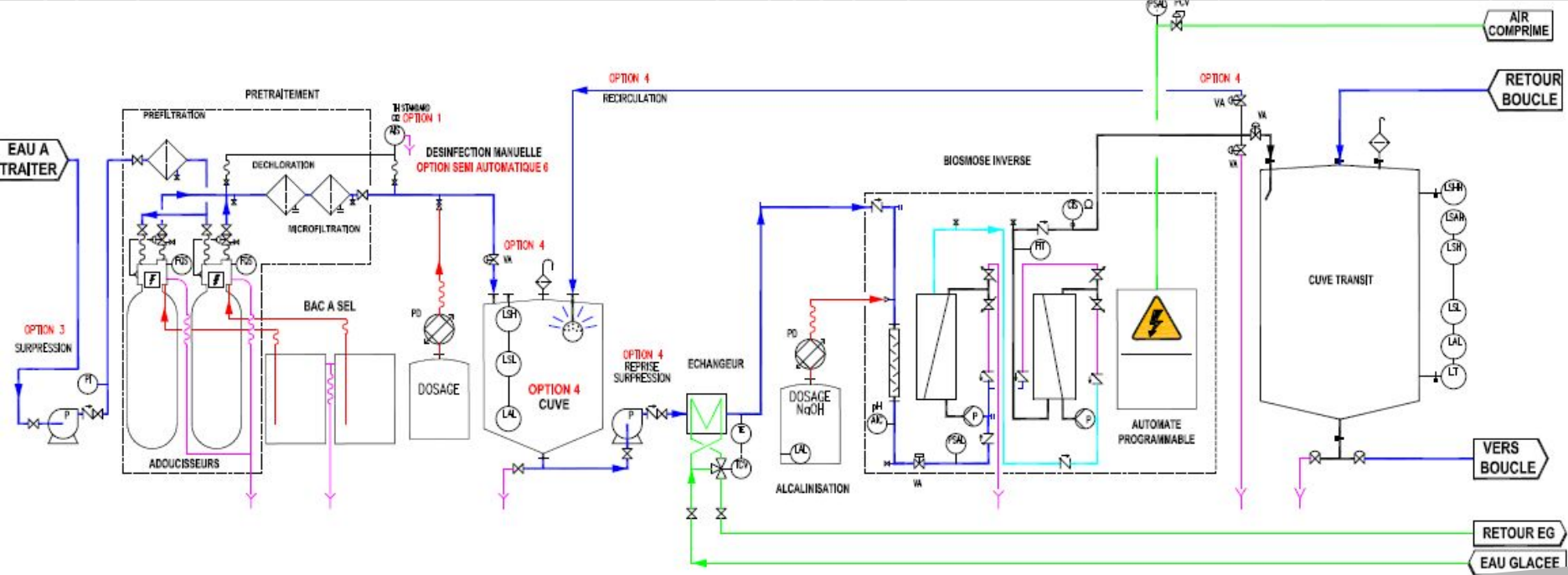
- EDI is a process combining ion exchange resins, selective ion membranes and electrolysis.
- The resin used is a mixed bed made up of cationic and anionic resins. It is constantly regenerated by the  $H^+$  and  $OH^-$  ions from the electrolysis of the water.
- Under the influence of an electric field, the anions and cations are attracted respectively to the anode and the cathode and pass through the selective membranes.



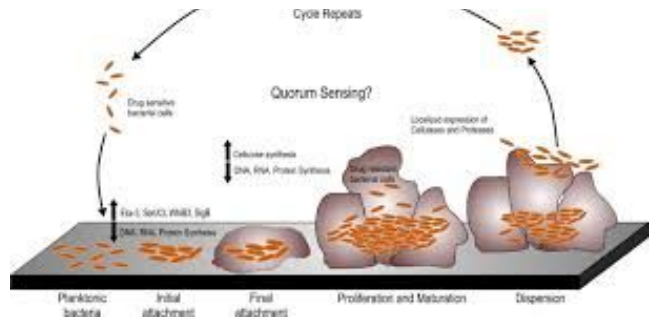
# Purified water production systems

## Examples





# Double pass RO



## Part II

# BIOFILMS & BIOCONTAMINATIONS

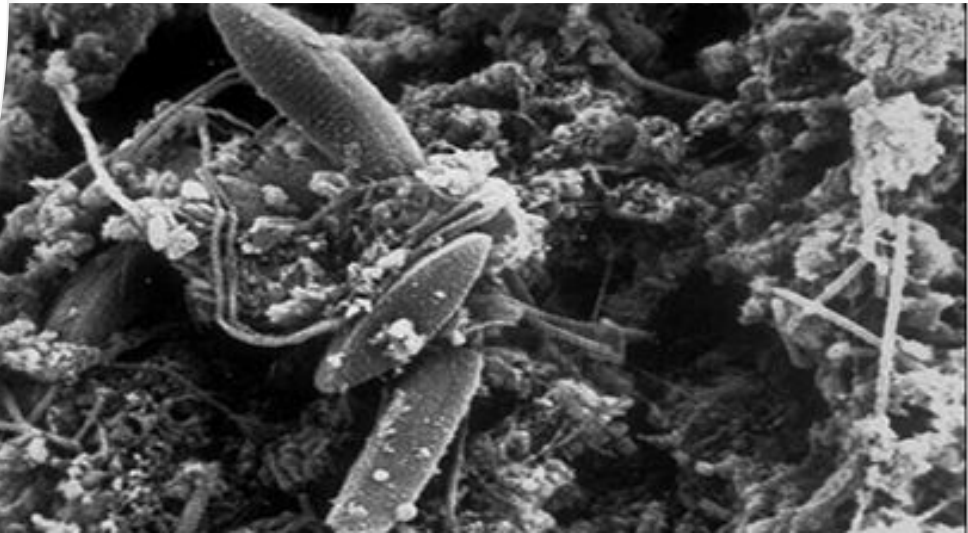
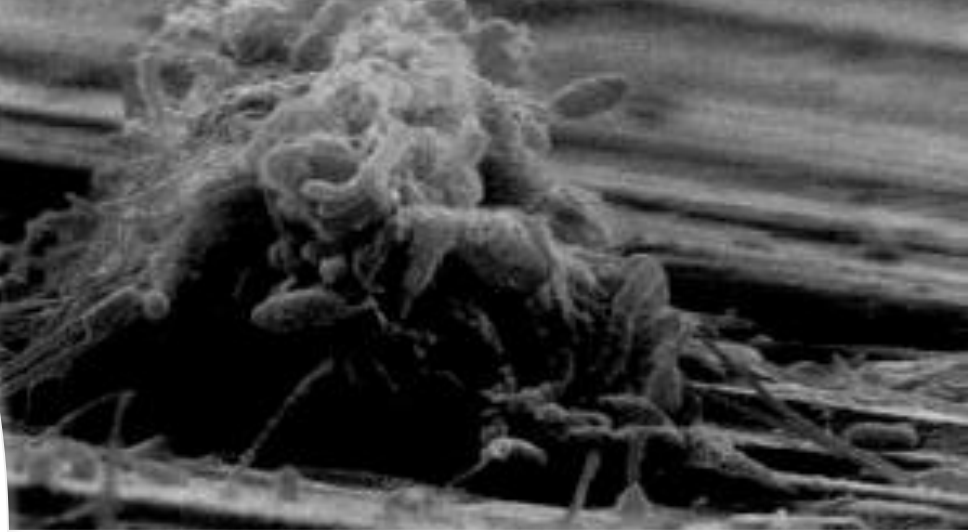


# Biofilm generation

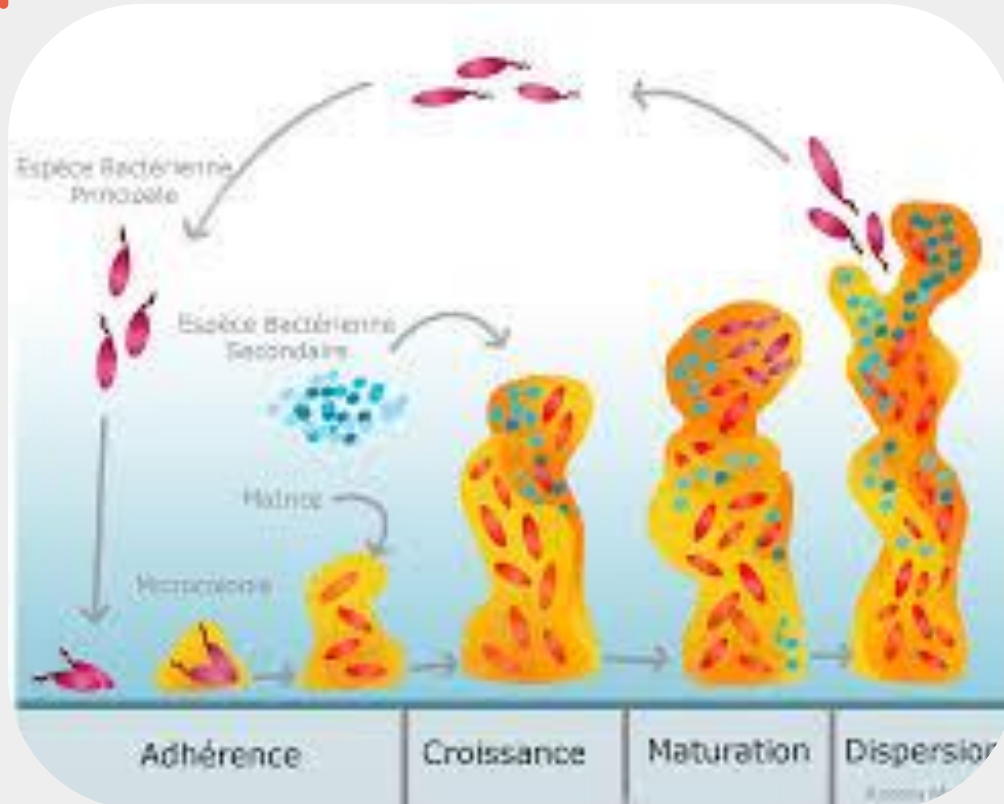
The adhesion of microorganisms is a natural process observed regardless of the nature

- of the environment
- of microorganisms
- Support material

Matrix based on organic polymers of microbial origin



# Biofilm generation



- Reversible attachment
- Irreversible attachment
- Mature biofilm community
- Re-colonization

# Detection

## ◆ Physical and chemical analysis

- TOC



## ◆ Microbiological

- Endotoxin testing
- Total viable aerobic germs





# Solutions

→ Design

→ Preventive solutions

→ Curative solutions

# Conception

- Preventing adhesion of microorganisms
- Reducing their proliferation
- Installation design
- Limitation of dead legs
- Lack of stagnation
- Continuous operation
- Turbulent mode
- Equipment design (Drainability)
- Disinfection
- Surface roughness (Ra) : distribution loop, Welds, equipment ...
- Specific materials (316L stainless steel)

## Preventive solutions

### → Physical methods

Temperature : Maintaining the distribution loop at T :70- 80°C  
Ultraviolet ray

### → Chemical methods

Ozone : Protection of the storage tank and distribution loop by micro-ozonation

**Objective: Maintaining the quality**

# Chemical treatment

## Benefits

- Effective because it is possible to choose the disinfectant based on the contaminant nature
- Low cost solution

## Disadvantages

- Requires to stop the production
- Long operation
- Requires validation
- Handling and storing chemicals



**Preventive or curative treatment**

# Thermal treatment

## Benefits

- Maintaining microbiological quality all times
- Efficiency
- No chemical handling and storage

## Disadvantages

- Expensive solution (specific equipment)
- Operational safety
- Significant energy consumption
- Requires a point-of-use cooling device

**Maintaining the distribution temperature at up to 70°C  
Sterilization at T 121°C**





## Ozone treatment

- Disinfectant effect
- Destroying the bacteria envelope
- Destroying the virus envelope
- Destroying of bacterial endotoxins
- Fast action (less than 4 min)
- Oxidizing effect (Potential of 2.08V)
- Reducing the TOC

# Characteristics

- Quick action
- Unstable Gas (in situ production)
- Destruction by UV radiation : 254 nm to 120 mJ/cm

## → Dosing

- ◆ Use period:

10 to 30 ppb in the outlet of the storage tank  
Destruction of ozone by UV radiation

- ◆ Disinfection phase

Maintaining concentration during non-use periods

Disinfection phase with a dose at 80 - 100 ppb for 20 to 30 min

# Benefits

- No chemicals
- No storage of hazardous product
- Automatic operation
- Traceability
- Safety
- Compatible with SS 316 L , Silicone, EPDM, PTFE, PVDF...

# Microbiological quality monitoring

- **Establishing a microbiological control card**
  - Defining trends
  - Highlighting fluctuations in the quality of water
  
- **Monitoring microbial contamination**
  - Establishing a flora map / identification of germs
  - Definition of background noise
  - Defining alert thresholds and action thresholds
  - Defining the frequencies of cleaning/disinfection
  
- **Establishing the routine control and sampling plan**

**Conclusion**



Qualification of a purified  
water production and  
distribution unit

## Part III



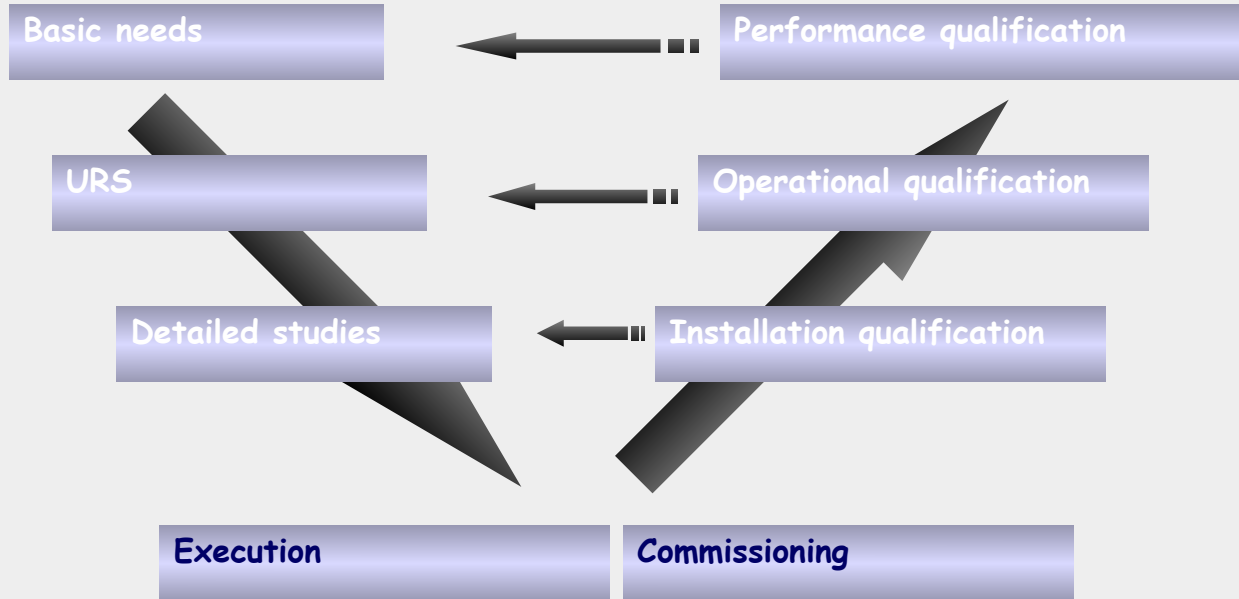
- Design qualification
- Installation qualification
- Operational qualification
- Performance qualification



**Complex installation**



# Qualification approach



## Design qualification

- The purpose of the design qualification is to provide **documented evidence** that a systematic study of needs and constraints associated to the process has been conducted in order to achieve the predetermined objectives.
- The design qualification must deliver a **protocol** and a **report**.

# Design qualification

## Objective

- confirm technical choices based on regulatory needs and requirements (pharmacopeia, cGMP/ GMP)

## Methodology

- Defining needs
- Identification of constraints

## Points to be defined

- PID scheme
- Process calculation sheet
- Mechanical and electrical performance
- Choosing materials
- Measurement techniques



## Installation qualification

To check the presence and right positioning of components, their identification and compliance with the specifications previously defined by the specifications.

# Installation qualification

## Static verification of supply

- Presence of all elements as provided in the specifications
- Positioning in relation the P&ID
- Identifying the elements of the installation

## Documentary verification

- Plans and diagrams in their TQC version
- Nomenclature / technical specifications
- Material Certificates EN 10204
- Weld control



## Operational qualification

Through operational qualification, it is the dynamic function of the equipment that is verified.

Each function of the equipment must be operational in accordance with the specifications previously defined in the specifications.

# Operational qualification

## Dynamic checking

- How the elements work individually
- How the whole thing works

## Checking safety device

- System response to standard incidents
- Alarm systems

## Automatism

- Regulation: Temperature, Flow... etc.
- Valves: Opening, Closing



# Performance qualification

## Objective

Ability to produce water that meets pre-defined specifications under normal operating conditions

## Methodology

Intensive water quality control program at all stages of treatment



# Performance qualification

## Phase I (2 to 4 weeks)

- Sampling under standard operating conditions
- Daily sampling
- All stages of purification
- All point of use
- Controlling the quality of raw water

***Water shouldn't be used***

# Performance qualification

## Phase II (2 to 4 weeks)

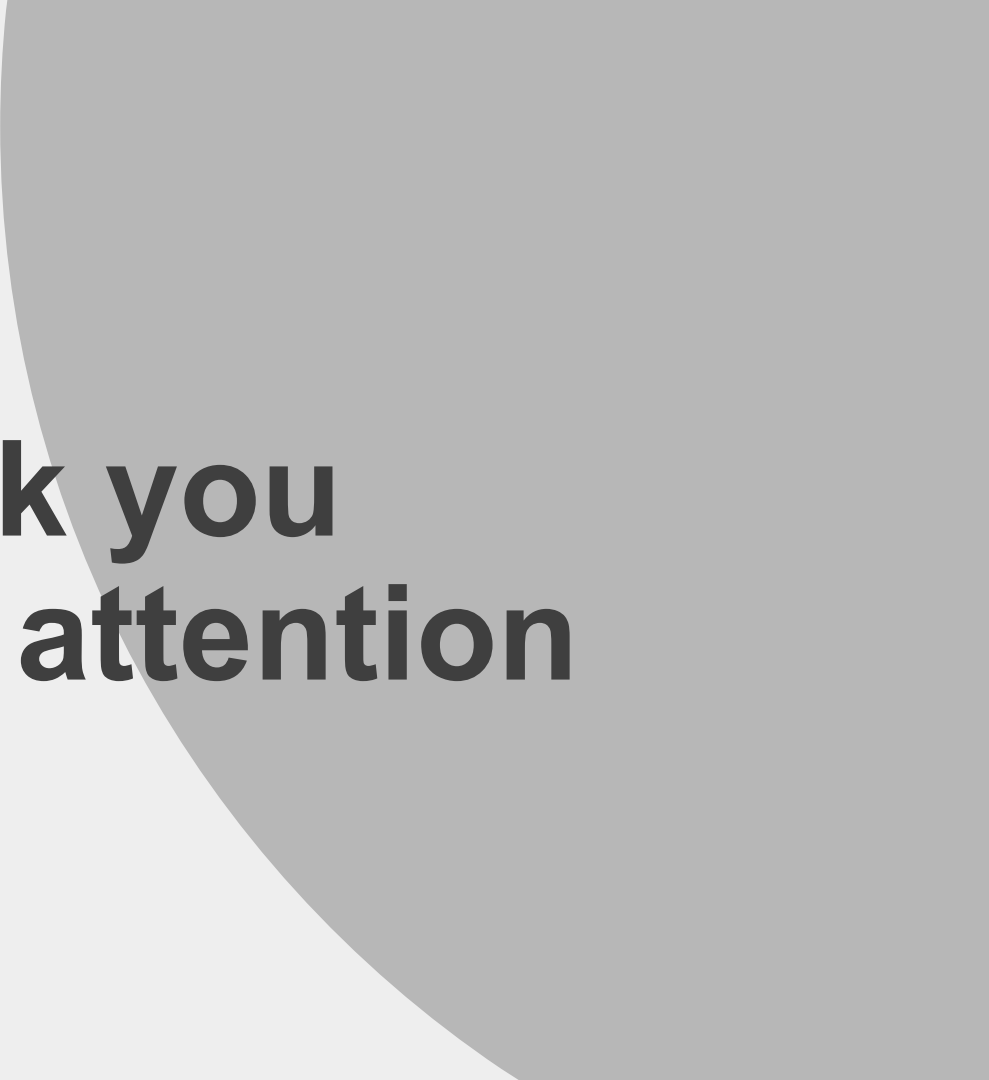
- Sampling under standard operating condition
- Daily sampling
- All stages of purification
- All POU
- Controlling the quality of raw water

***Water could be used if the results of the first period are consistent***

# Performance qualification

## Phase III ( 1 year)

- Establishing a control card for critical settings
  - Highlighting fluctuations in water quality
  - Setting alert thresholds and action thresholds
- Tracking microbiological contamination
  - Establishing a flora map
  - Defining the frequencies of cleaning/disinfection
- Establishing the routine control and sampling plan
- Establishing preventive maintenance schedules



**Thank you  
for your attention**