

M2 Chimie Organique 2023-24

Synthetic Organic Photochemistry

Lecture Course by

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PROGRAMME

Theory & practice of organic photochemistry

Photooxygenation

Photochemistry of alkenes and dienes

Photochemistry of enones

Photochemistry of carbonyls

Photochemistry of diazo compounds

Asymmetric photochemical synthesis

Recommended Reading

A. Albini & M. Fagnoni, Eds.
Handbook of Synthetic Photochemistry
Wiley, 2010

A. G. Griesbeck & J. Mattay, Eds.
Synthetic Organic Photochemistry
Marcel Dekker, 2005

W. Horspool & F. Lenci, Eds.
CRC Handbook of Organic Photochemistry and Photobiology
2nd Edn. CRC Press, 2003

P. Klán & J. Wirz
Photochemistry of Organic Compounds
Wiley, 2009

Organic Photochemistry: Theory & Practice

Introduction

A. Fundamentals

A.1 Generation of an excited state

A.2 Evolution of an excited state

A.3 Electronic energy transfer: photosensitization

B. Practical Aspects

B.1 Light sources

B.2 Solvents and solutions

B.3 Apparatus

INTRODUCTION

The importance of the interaction between light and matter has been known since ancient times, and is still of prime importance today

For living systems, there are benefits:

- vision; photosynthesis

But there are also negative effects:

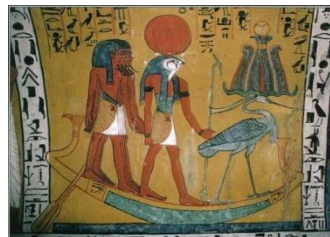
- skin cancer; atmospheric pollutants

Present-day applications of organic photochemistry are found in:

- medicine; biology; energy storage and conversion; environmental science ...

A Short History of Organic Photochemistry

Ancient history: *sunlight is beneficial for life, but more of a mythology than a science*



18th century: *first deliberate (inorganic) photochemical reactions: observation of photosynthesis*



“I fully ascertained the influence of light in the production of dephlogisticated air in water by means of a green substance”

Experiments and Observations on Different Kinds of Air, 1790. Joseph Priestley (1733-1804)

« La lumière est-elle une modification du calorique, ou bien le calorique est-il une modification de la lumière ? C'est sur quoi il est impossible de prononcer dans l'état actuel de nos connaissances. »

Traité Élémentaire de Chimie, 1789.



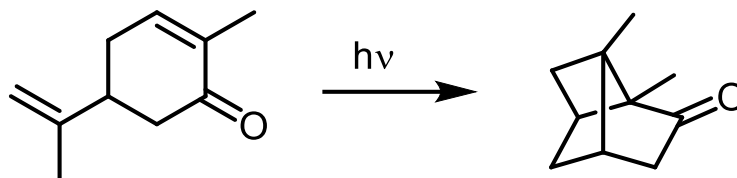
Antoine-Laurent de Lavoisier (1743-1794)

A Short History of Organic Photochemistry

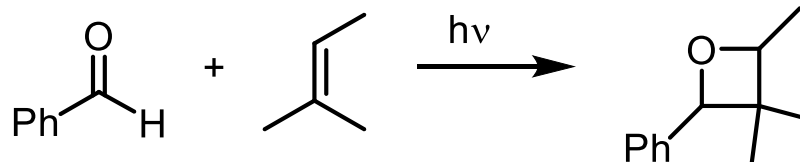
19th century: photoreactions of santonin (Cannizzaro); olefin isomerization (Perkin); photodimers (Fritzsche, Liebermann); halogenation (Schramm); photoreduction of carbonyls (Klinger)...

Early 20th century: prototype [2+2] photocycloadditions

Ciamician & Silber (1908)
photocarvone



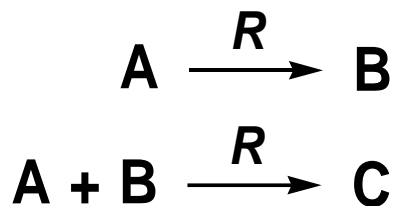
Paternò & Chieffi (1909)
carbonyls + olefins \rightarrow oxetanes



Since 1950: much fuller appreciation of the **synthetic potential of organic photochemistry**; exponential increase in applications in the literature (Europe – America – Asia)

Importance in Organic Synthesis

Objective : transformation of one substance into another



Keywords: *selectivity; efficiency; economy*

In a photochemical transformation, the reagent **R** is a photon

Advantages : selective excitation of only one part of the molecule
acquisition of a significant amount of energy without heating

Limitations : requirement of a chromophore
Appropriate apparatus required; scale-up is not a trivial matter

A. FUNDAMENTALS

1. Generation of an Excited State

Total energy of a molecule

Born-Oppenheimer
Approximation

$$E_{\text{tot}} = E_n + E_r + E_v + E_e$$

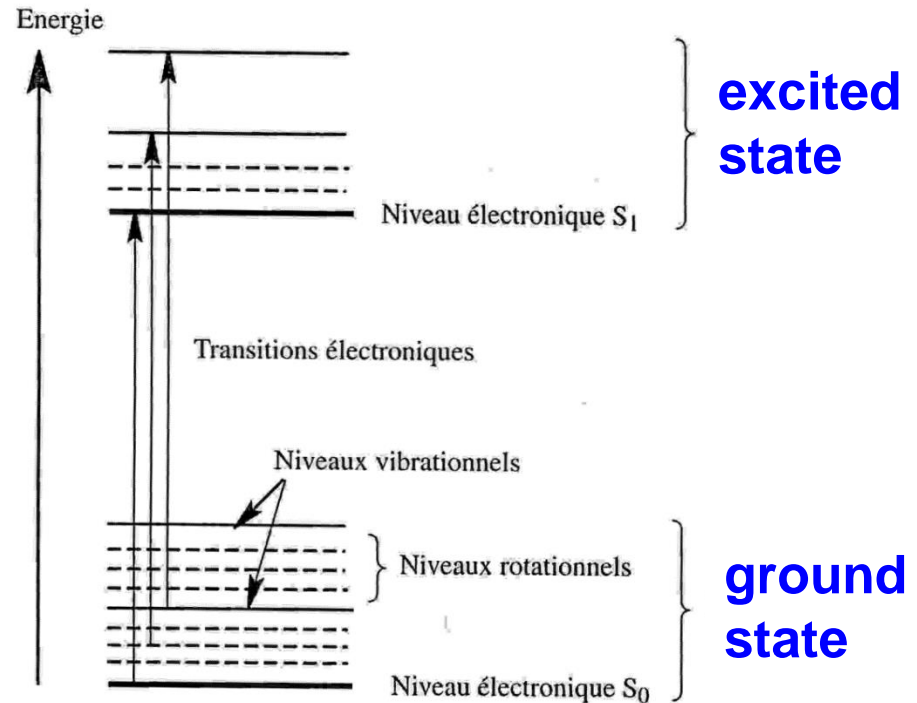
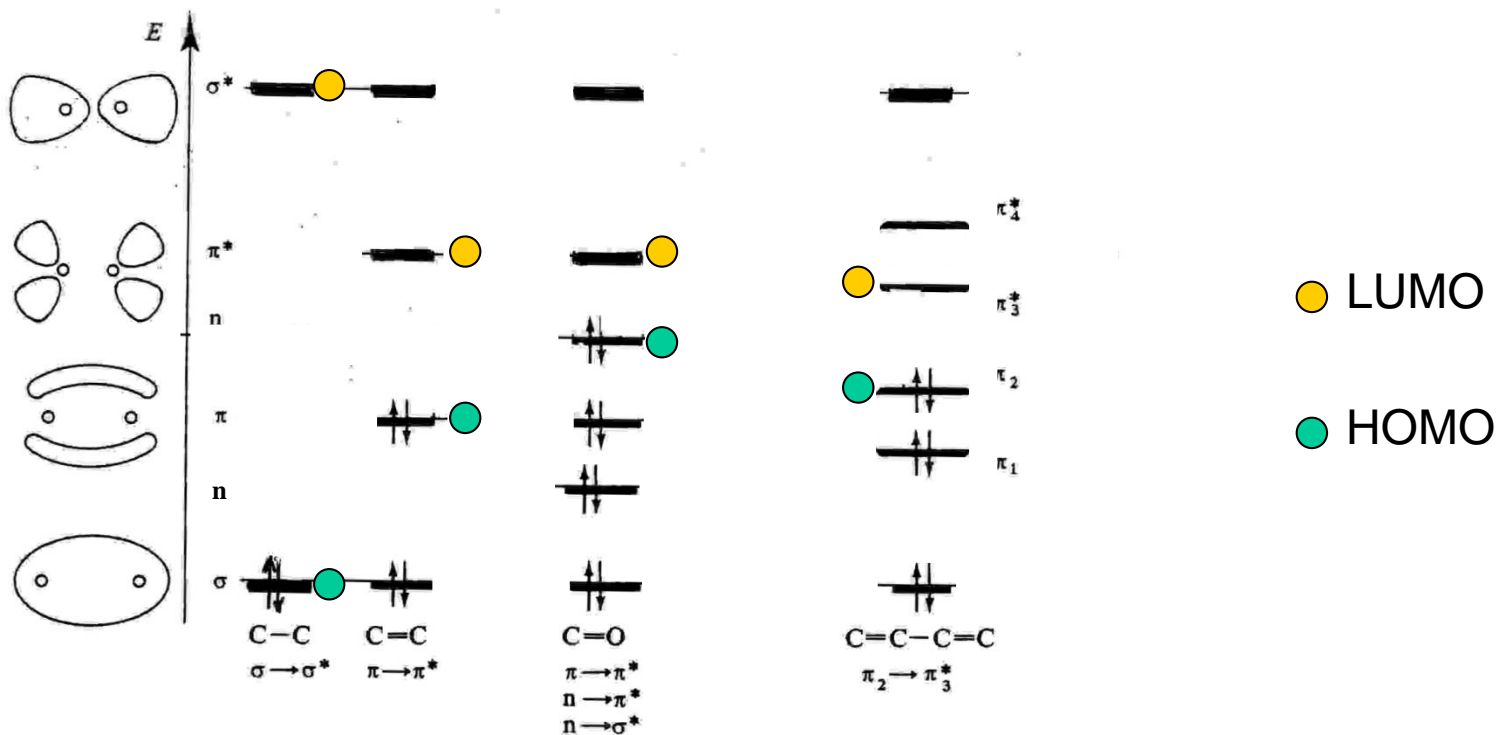


Figure 1 - Diagramme énergétique réunissant les niveaux de rotation, de vibration, et électroniques d'une molécule

Electronic configuration and molecular orbitals (respecting de Pauli's exclusion principle)



For any molecule in its **ground state**, the total spin $S = 0$

Multiplicity = $2S + 1$ → Multiplicity in the ground state = 1

Electronic ground state: **singlet; S_0**

Electronic Absorption

Collision photon—molecule, resulting in transfer of all the energy

$$E = h\nu = hc/\lambda$$

$$c = \nu\lambda = 3,0 \times 10^8 \text{ m}\cdot\text{s}^{-1}$$

$$h = 6,6 \times 10^{-34} \text{ J}\cdot\text{s}^{-1}$$

Energy range: 200-700 nm (UV-vis)

Duration of the absorption process: 10^{-15} s

(Franck-Condon principle: no other changes in the system during the process)

The energy acquired by the molecule is used to promote an electron from a low-energy orbital to a higher-energy orbital (typically HOMO \rightarrow LUMO)

Conservation of spin ($\Delta S = 0$)
during the absorption process

The molecule is obtained in a
singlet excited state: S_1

In this excited state, de Pauli's
exclusion principle no longer
applies \rightarrow *possible evolution to
a same-spin configuration*

In this state, total spin $S = 1$
multiplicity = $2S + 1 = 3$

triplet excited state: T_1

Transition $S_1 \rightarrow T_1$:
Intersystem crossing (ISC)

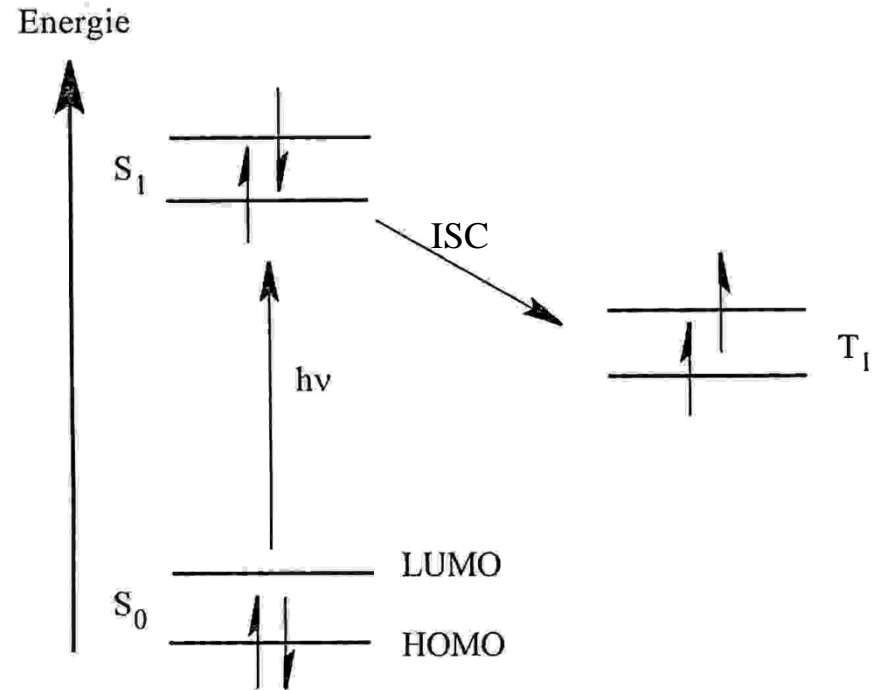



Figure 3 - Diagramme énergétique
indiquant les premiers états excités singulet et triplet

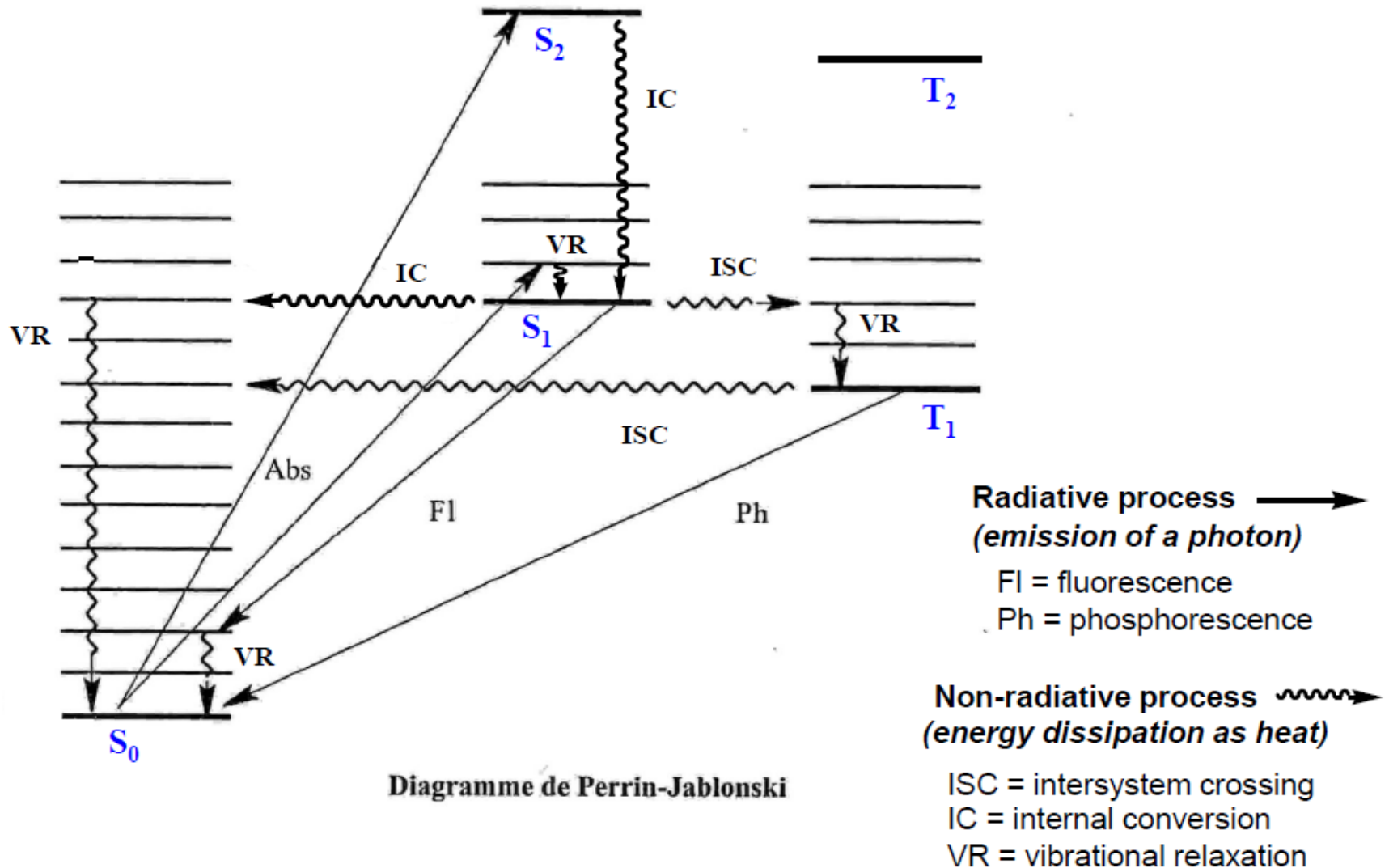
The direct transition $S_0 \rightarrow T_1$ does not occur by absorption

Electronic absorption energies

Characterized (λ_{\max} , ϵ_{\max}) by the chromophore

Chromophore	λ_{\max} (nm)	ϵ_{\max} ($1 \text{ mole}^{-1} \text{ cm}^{-1}$)	Transition
C=C	185	8 000	$\pi\pi^*$
C=O	188	900	$\pi\pi^*$
	279	15	$n\pi^*$
C=C—C=C	217	20 900	$\pi\pi^*$
C=C—C=O	202	11 800	$\pi\pi^*$
	336	21	$n\pi^*$
	184	46 000	$\pi\pi^*$
	202	6 920	$\pi\pi^*$
	255	225	$\pi\pi^*$

2. Evolution of an Excited State



Process	Transition	Time (sec)
Absorption	$S_0 \rightarrow S_n$	$\sim 10^{-15}$
Internal Conversion	$S_n \rightarrow S_1$	$10^{-14} - 10^{-11}$
	$S_1 \rightarrow S_0$	$10^{-7} - 10^{-5}$
Vibrational Relaxation	$S_n \rightarrow S_n$	$10^{-12} - 10^{-10}$
Intersystem crossing	$S_1 \rightarrow T_1$	$10^{-11} - 10^{-6}$
	$T_1 \rightarrow S_0$	$10^{-3} - 100$
Fluorescence	$S_1 \rightarrow S_0$	$10^{-9} - 10^{-6}$
Phosphorescence	$T_1 \rightarrow S_0$	$10^{-3} - 100$

Overview

Radiative process *light* \rightarrow *light*

Non-radiative process *light* \rightarrow *heat*

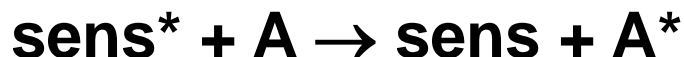
Organic synthesis *light* \rightarrow *chemical reaction*

3. Electronic Energy Transfer: Photosensitization

The transition $S_1 \rightarrow T_1$ cannot occur by absorption (change of spin)

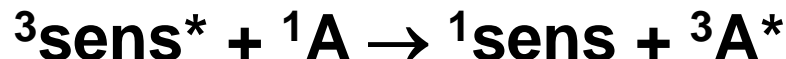
Alternative way of achieving this: *photosensitization*

Transfer of electronic energy from one molecule to another:



Conditions apply: - $E(A^*) \leq E(sens^*)$

- global conservation of spin:



The molecule A is acquired in its T_1 state *without* having absorbed a photon. The overall process begins with absorption by sens:



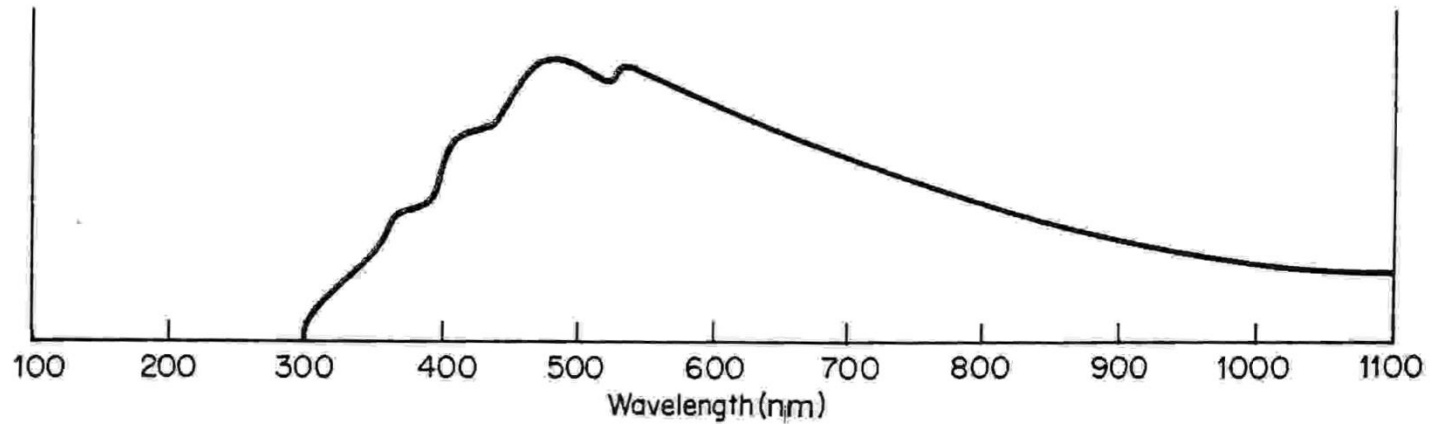
An ideal photosensitizer:

- *is obtained efficiently in its own T_1 state*
- *has a long-lived T_1 state*
- *does not react with the molecule it sensitizes*

B. PRATICAL ASPECTS

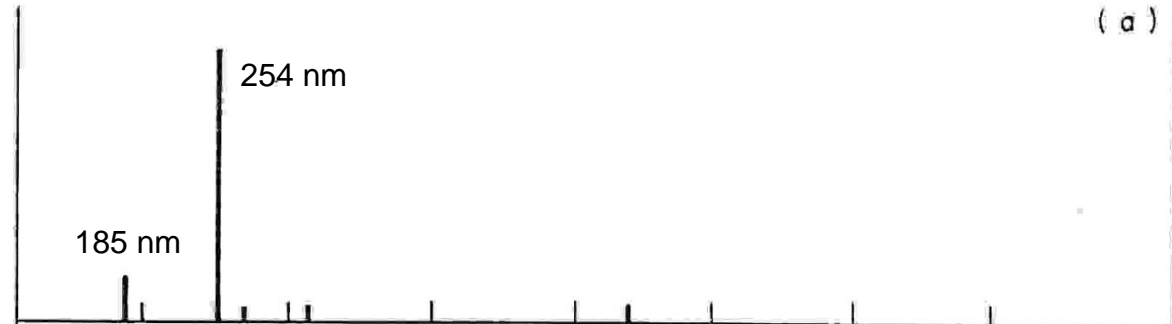
1. Light Sources

Solar emission spectrum (as detected on Earth)



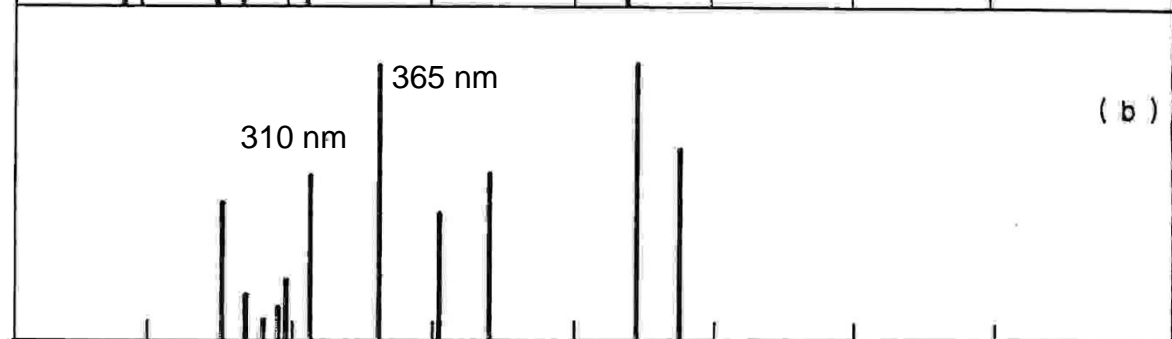
Multi-wavelength emission spectrum – Hg vapor lamp

low pressure
(0,005 à 0,1 atm)



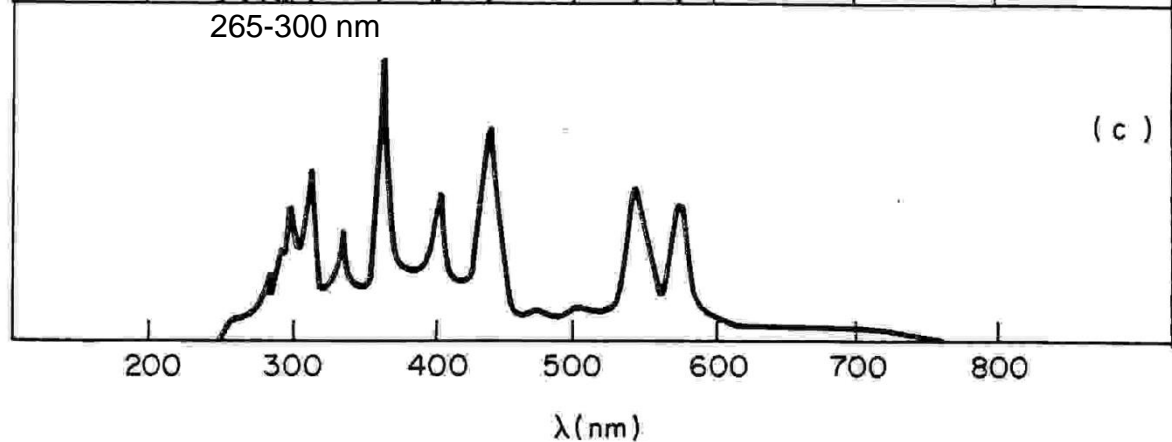
medium pressure
(1 à 10 atm)

200 – 500 W
heating



(super)high pressure
(100 à 200 atm)

considerable
heating



Temperature

Advantage of a photochemical reaction:

Conduct a chemical transformation in a selective manner, through the acquisition of a significant quantity of energy by one functional group. Thermal energy acquisition is not function-selective

Combining thermal and photochemical activation is generally counter-intuitive

At the “hottest”, a photochemical reaction is conducted at room temp.

If the light source is also a source of heat, cooling system may be necessary

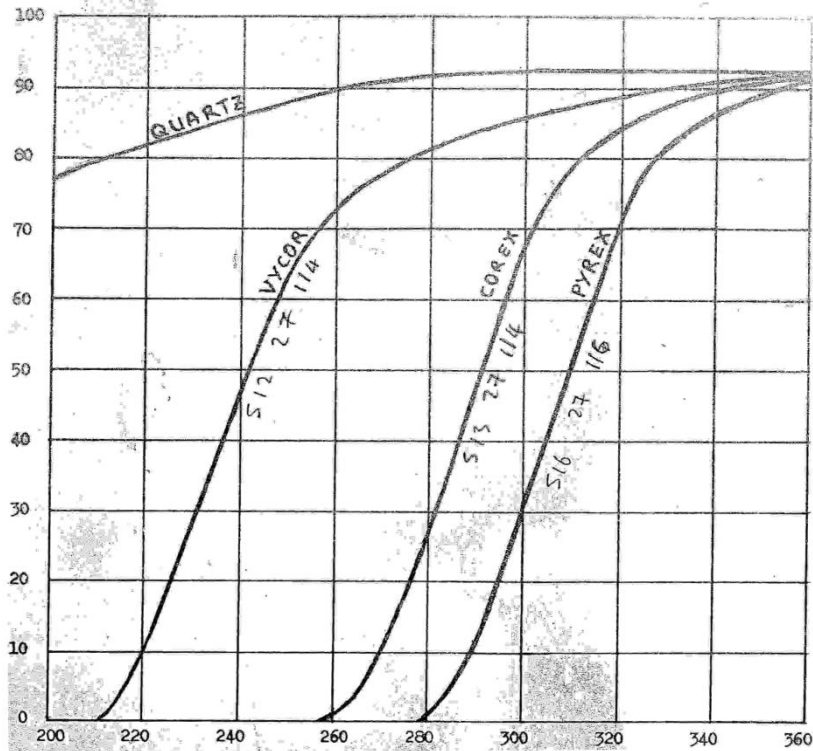
Filters

Often, irradiation with light of a particular wavelength (or wavelength range) is required. To remove unwanted wavelengths, a filter is used.

Two types of filter : solid filters, liquid filters

Solid filters

Transmission (%)



λ (nm)

TABLE 10.2

The wavelengths (nm) of irradiation that give 20%, 50% and 90% transmission through a 1-mm filter of some glasses

Glass	Transmission		
	20%	50%	90%
Quartz	<200	<200	240
Vycor	200	220	280
Corex	270	290	360
Pyrex	290	300	360

Liquid filters

Aqueous solutions of mineral salts/complexes

Upper and/or lower wavelength cut-offs are available

TABLE 10.3

Short- and long-wavelength cut-off filter solutions

Wavelength of cut-off (nm)	Chemical composition
<250	Na_2WO_4
<305	SnCl_2 in HCl (0.1 M in 2 : 3 $\text{HCl-H}_2\text{O}$)
<330	2-M Na_3VO_4
<355	BiCl_3 in HCl
<400	KH phthalate + KNO_2 (in glycol at pH 11)
<460	0.1-M K_2CrO_4 (in $\text{NH}_4\text{OH-NH}_4\text{Cl}$ at pH 10)
>360	1-M NiSO_4 + 1-M CuSO_4 (in 5% H_2SO_4)
>450	CoSO_4 + CuSO_4

2. Solvents and solutions

In general, photochemical reactions are conducted in solution.
A successful reaction depends on:

a) Choice of solvent

Clear solution (not turbid)

If the solvent is inert, it should be transparent

If the solvent is also a sensitizer, it should absorb

b) Solvent purity

Usual solvent purification procedures (no stabilizers, peroxides, ...)

Deoxygenation of the solution (except in photooxygenations)

c) Concentration

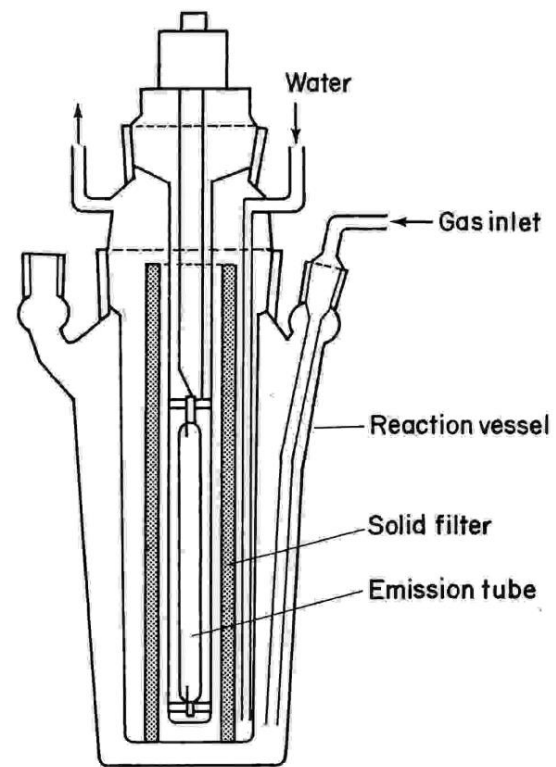
Generally 1 – 100 mM. Dilute for unimolecular reactions,
concentrated for bimolecular reactions

3. Apparatus

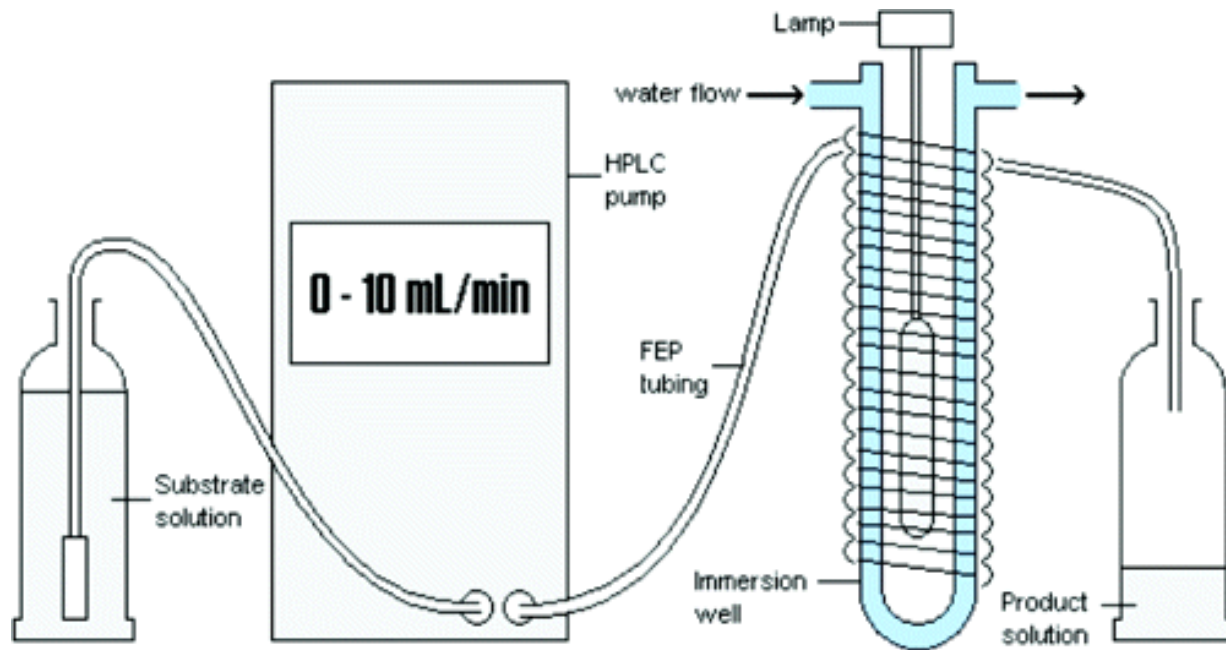
External irradiation reactors



Immersion reactors



Continuous flow reactors



FEP (fluorinated ethylene propylene) tubing: 0.7 mm \varnothing

Reactor: 5 cm \times 30 cm

Lamp: 400 W Hg-vapor

> 500 g product in 24 h

Microreactors



Micro-size channel
1 mm × 0.1 mm × 2 m long
(volume 0.2 ml)

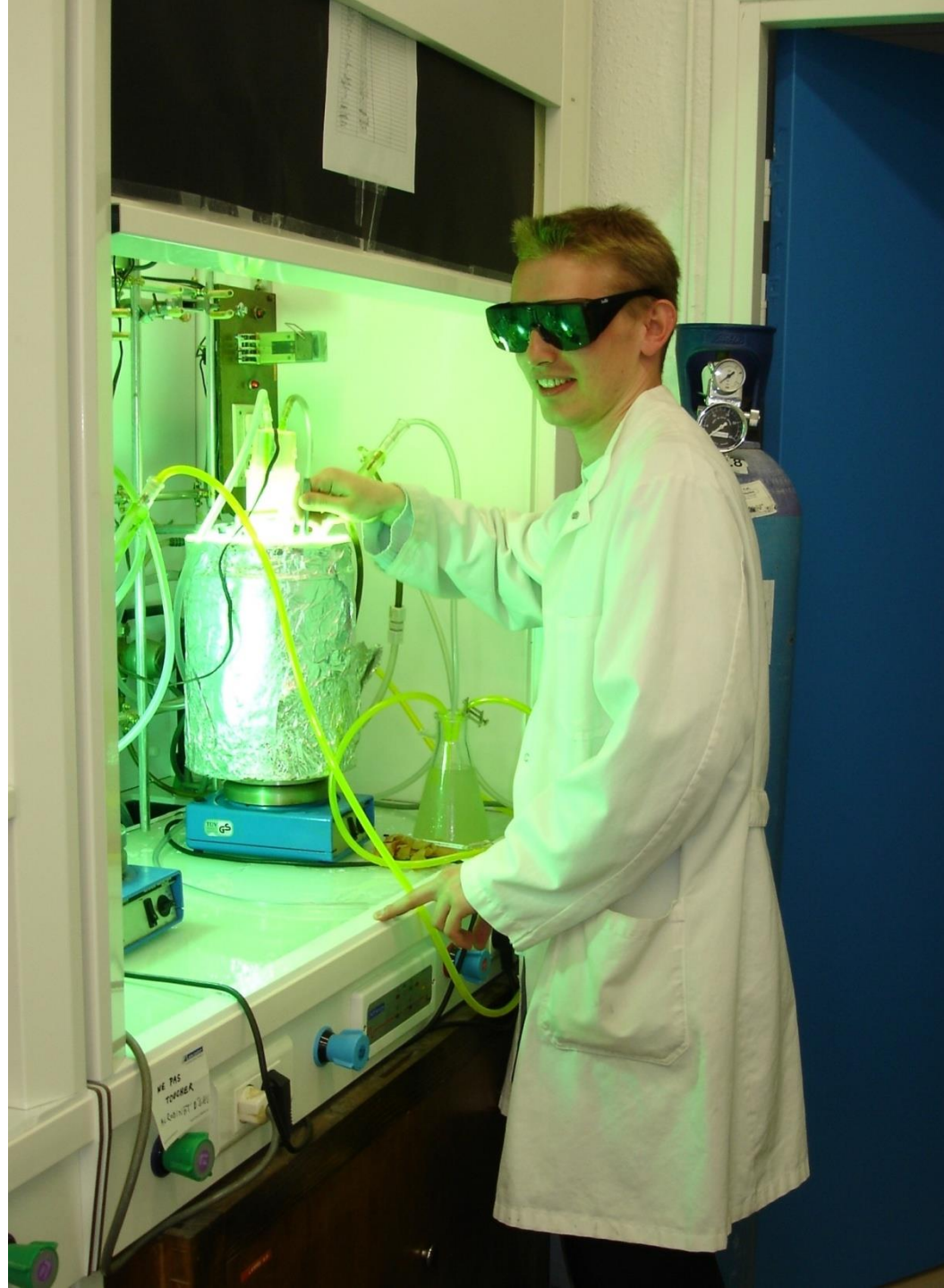


"Black light"
 λ_{\max} 352 nm; 15 W



LED array
 λ_{\max} 365 nm; 6 × 250 mW





Photooxygenation

Introduction

A. Generation of singlet oxygen

B. Reactions of singlet oxygen with alkenes

1. **Allylic addition:**
formation of allylic hydroperoxides
2. **2+2 Cycloaddition:**
formation of dioxetanes
3. **4+2 Cycloaddition:**
formation of endoperoxides

INTRODUCTION

Photooxygenation is sometimes considered to be a destructive process for synthetic and natural organic compounds

- degradation of plastics
- degradation of biological materials

The reactive species is **singlet oxygen**

Some dates for singlet oxygen

1924 – discovery by astrophysicists

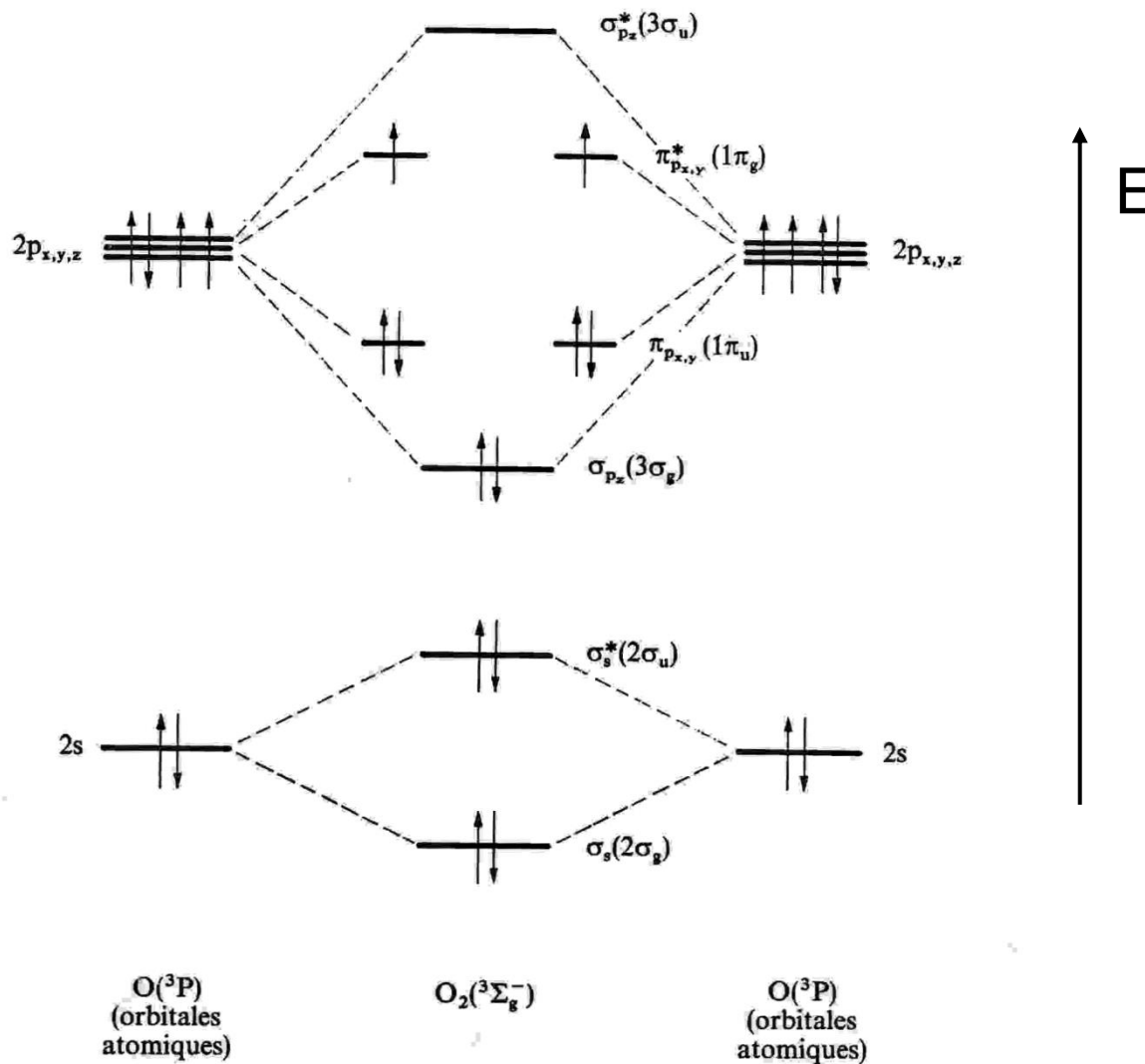
1963 – shown to be the reactive species in photooxygenations

2000 – industrial production: 10 tons/year

A. GENERATION OF SINGLET OXYGEN

1. Electronic states of dioxygen

Molecular orbitals
of dioxygen



The electronic ground state is a **triplet**

The first two excited states of dioxygen

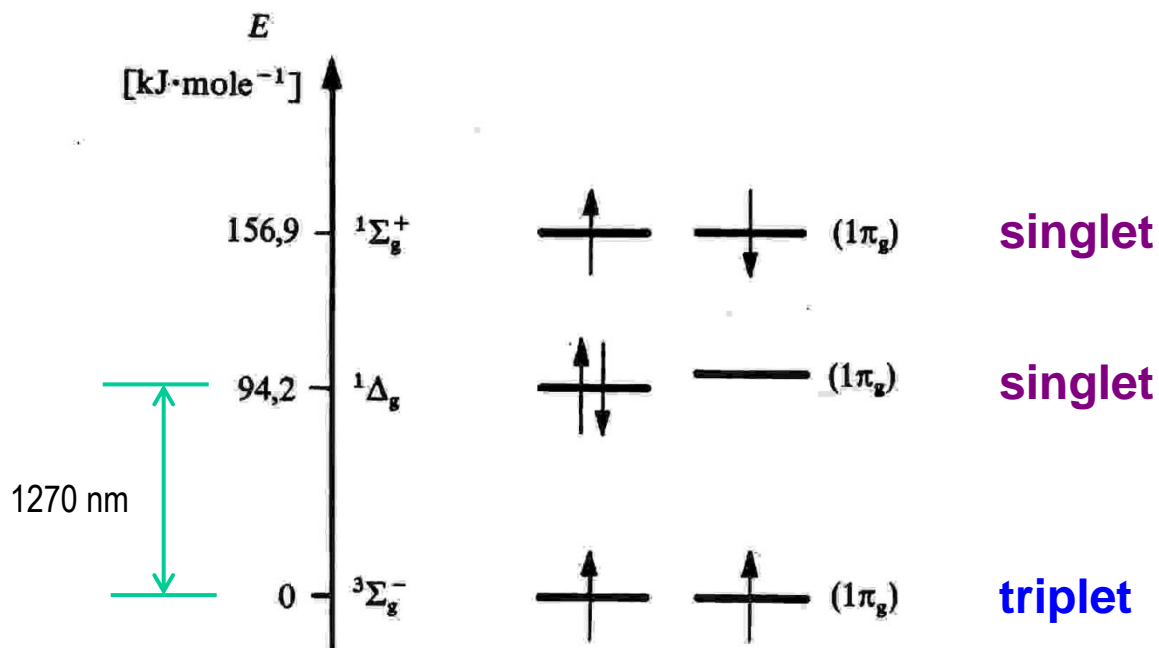


Fig. 11.2 Représentation simplifiée de l'occupation électronique des orbitales $1\pi_g$ ($\pi_{p_{x,y}}^*$) dans l'état fondamental et dans les deux premiers états excités de l'oxygène moléculaire.

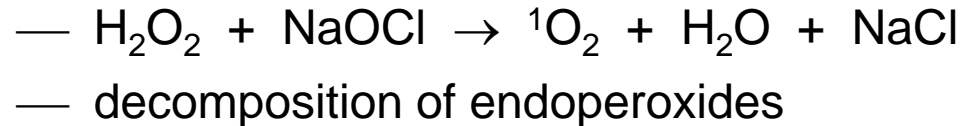
Lifetime	$^1\Sigma_g$	$^1\Delta_g$
<i>in gas phase</i>	$\sim 10 \text{ s}$	72 min
<i>in solution</i>	10^{-12} s	$10^{-2} - 10^{-6} \text{ s}$

In photooxygenation reactions, the $^1\Delta_g$ state is implicated.

Singlet oxygen: 1O_2

2. Production of Singlet Oxygen

chemical methods

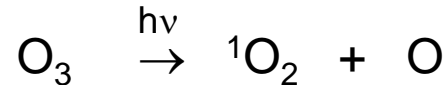


electric discharge

In an oxygen plasma



photolysis of ozone

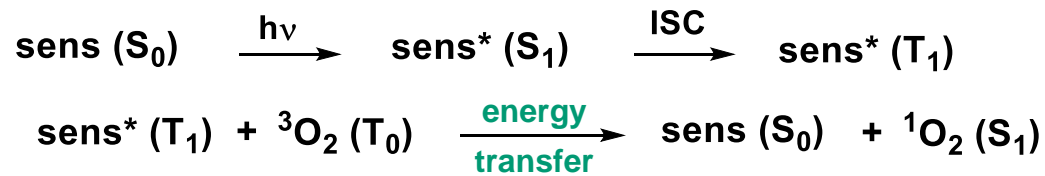
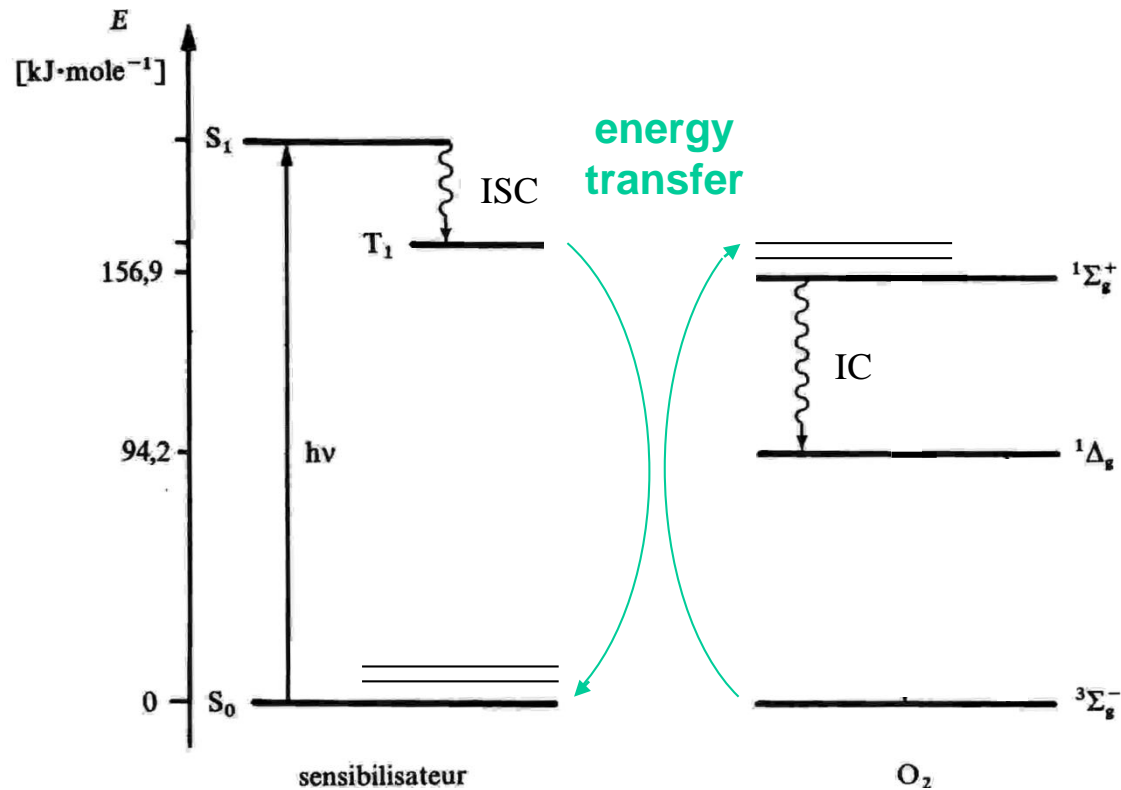


photochemically

problem: excitation from the ground state (triplet) to the excited state (singlet) by absorption of a photon *does not occur*

solution : photosensitization

Production of singlet oxygen by photosensitization

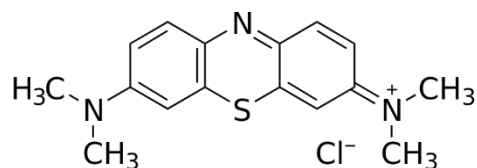


Conservation of total spin : two triplets ($\uparrow\uparrow + \downarrow\downarrow$) become two singlets ($\uparrow\downarrow + \uparrow\downarrow$)

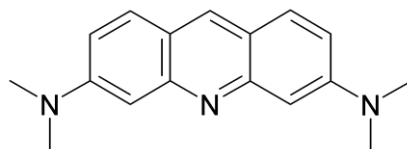
Photosensitizers for the production of $^1\text{O}_2$

— inorganic substances: TiO_2 , CdS , ...

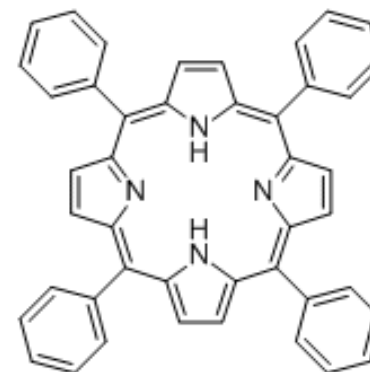
— organic compounds:



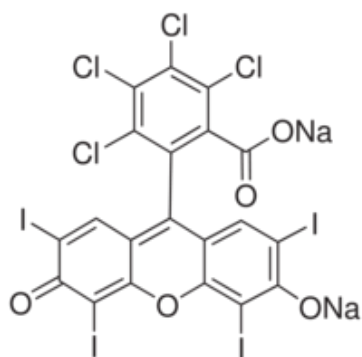
Methylene blue



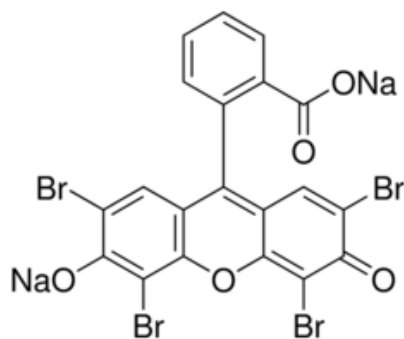
Acridine orange



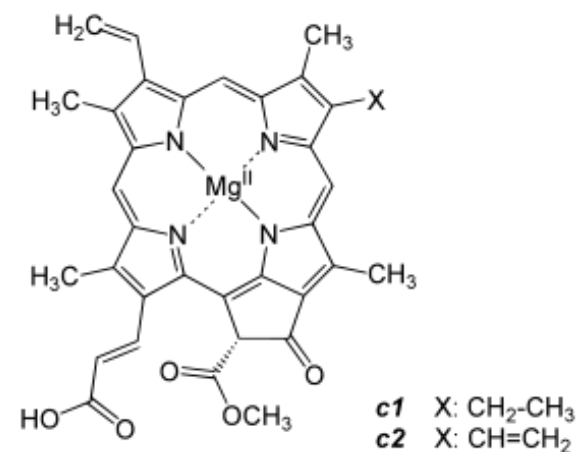
Tetraphenylporphyrin (TPP)



Rose Bengal



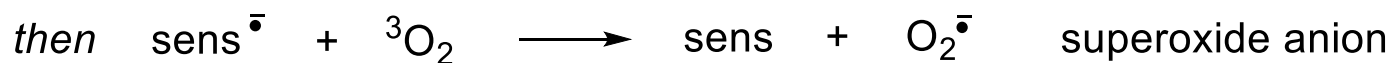
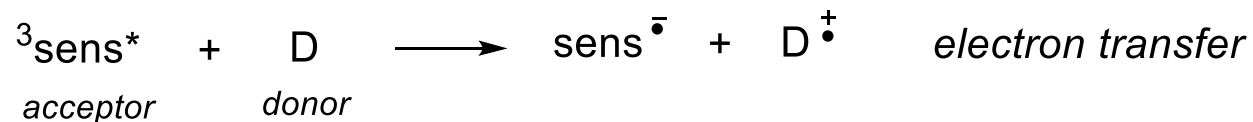
Eosin Y



Chlorophyll

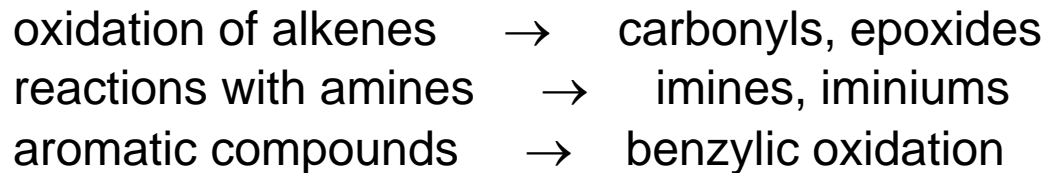
Photosensitized oxidation

Implicates electron transfer

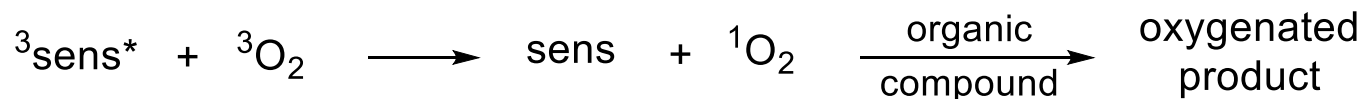


Efficiency depends on the nature of the donor and the sensitizer

Numerous photo-oxidation reactions implicating electron transfer exist:



In this lecture course we will deal only with reactions involving energy transfer: photooxygenations

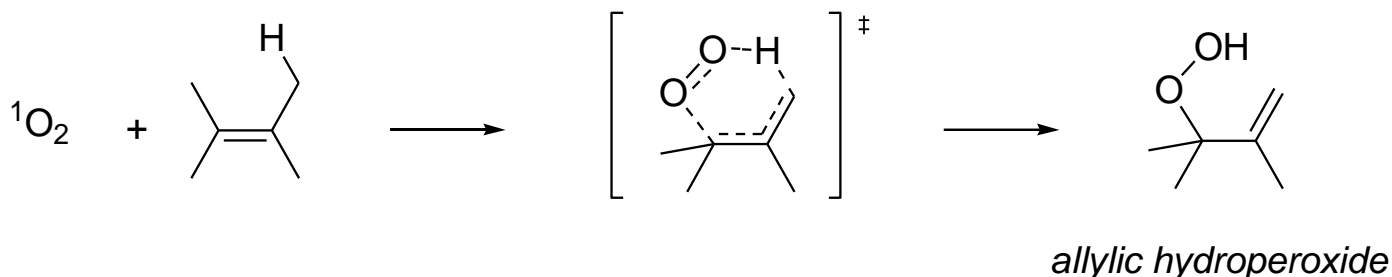


B. REACTIONS OF SINGLET OXYGEN WITH ALKENES

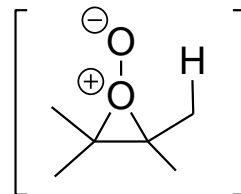
1. Allylic addition: formation of allylic hydroperoxides

This reaction involves alkenes which have at least one hydrogen atom in an **allylic position**

General scheme:



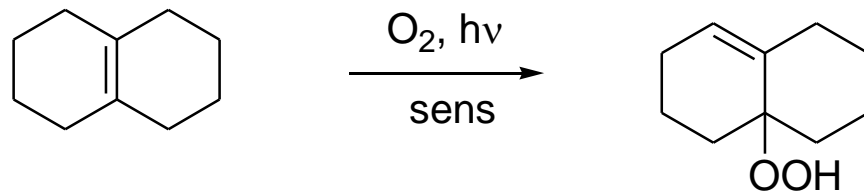
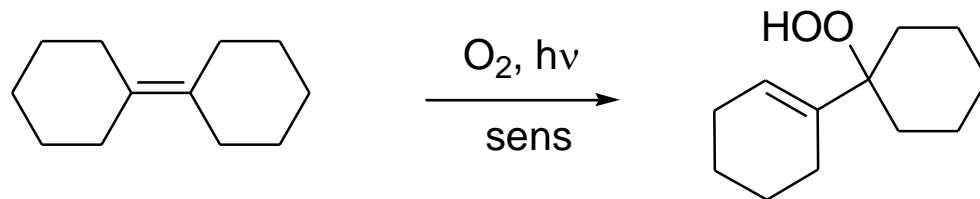
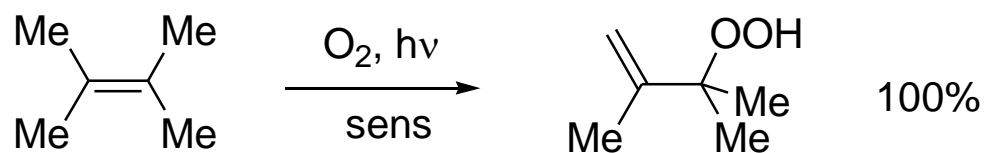
Several mechanisms are possible,
still a subject of debate
(e.g. via a perepoxide intermediate?)



Here, we will assume a concerted process; “ene” reaction

Allylic Addition Reactions

Examples:

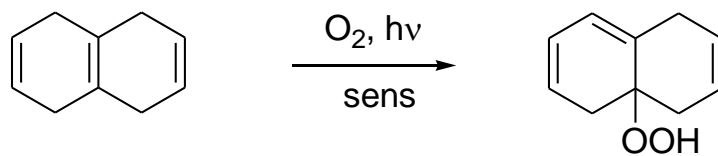


Allylic Addition Reactions

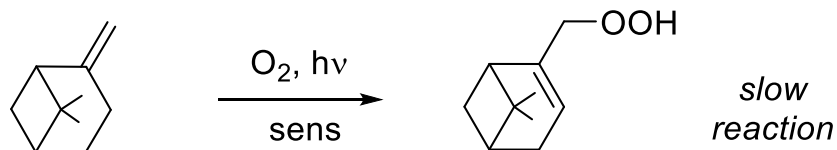
Regioselectivity Issues

Depending on the nature of the alkene

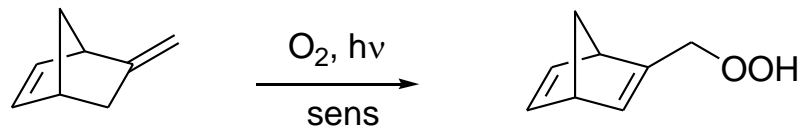
Singlet oxygen preferentially attacks the most-substituted double bonds



Terminal alkenes react slowly



Exceptions exist, e.g. ring strain constraints (Bredt's rule)

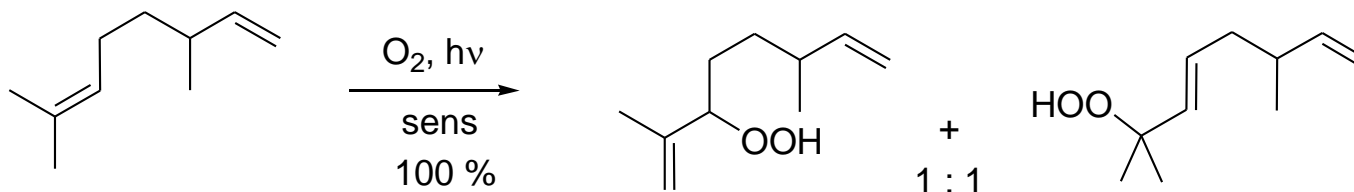
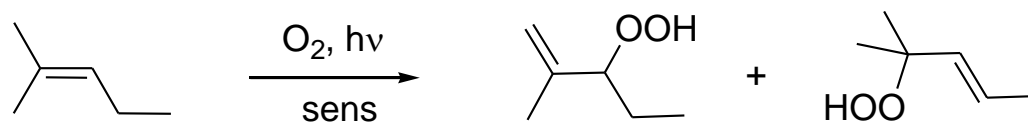


Allylic Addition Reactions

Regioselectivity Issues

Depending on the nature of the allylic carbon

Little differentiation if any between methyl and methylene carbons; methine carbons often react more slowly, but this is due to steric hindrance rather than a lack of intrinsic reactivity



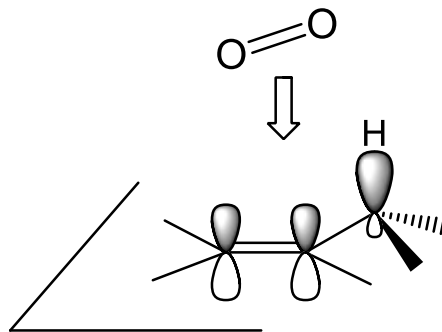
Allylic Addition Reactions

Regioselectivity Issues

Depending on geometrical or steric constraints

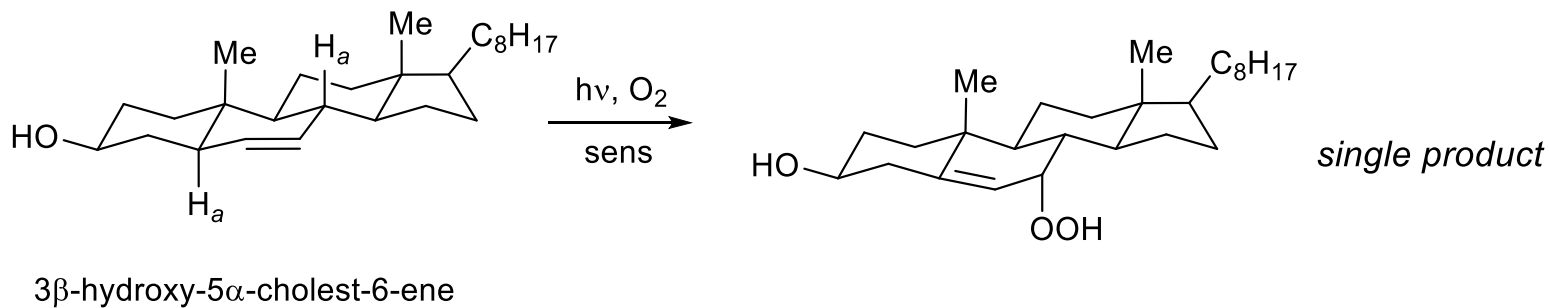
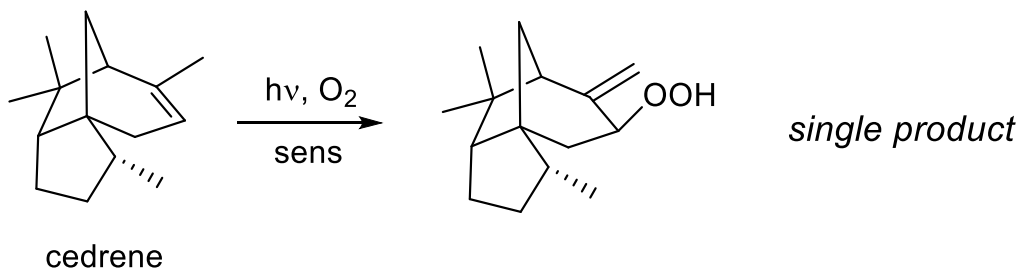
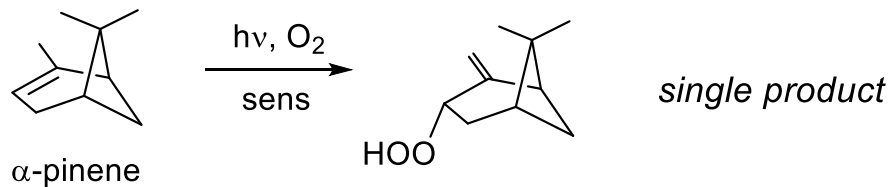
The concerted mechanism imposes regioselectivity and/or stereoselectivity when complex/rigid alkenes are used

- arrival of $^1\text{O}_2$ and transfer of allylic-H occur on the same face of the alkene
- allylic-H transfer requires parallel alignment of C-H σ -bond and the alkene π orbital

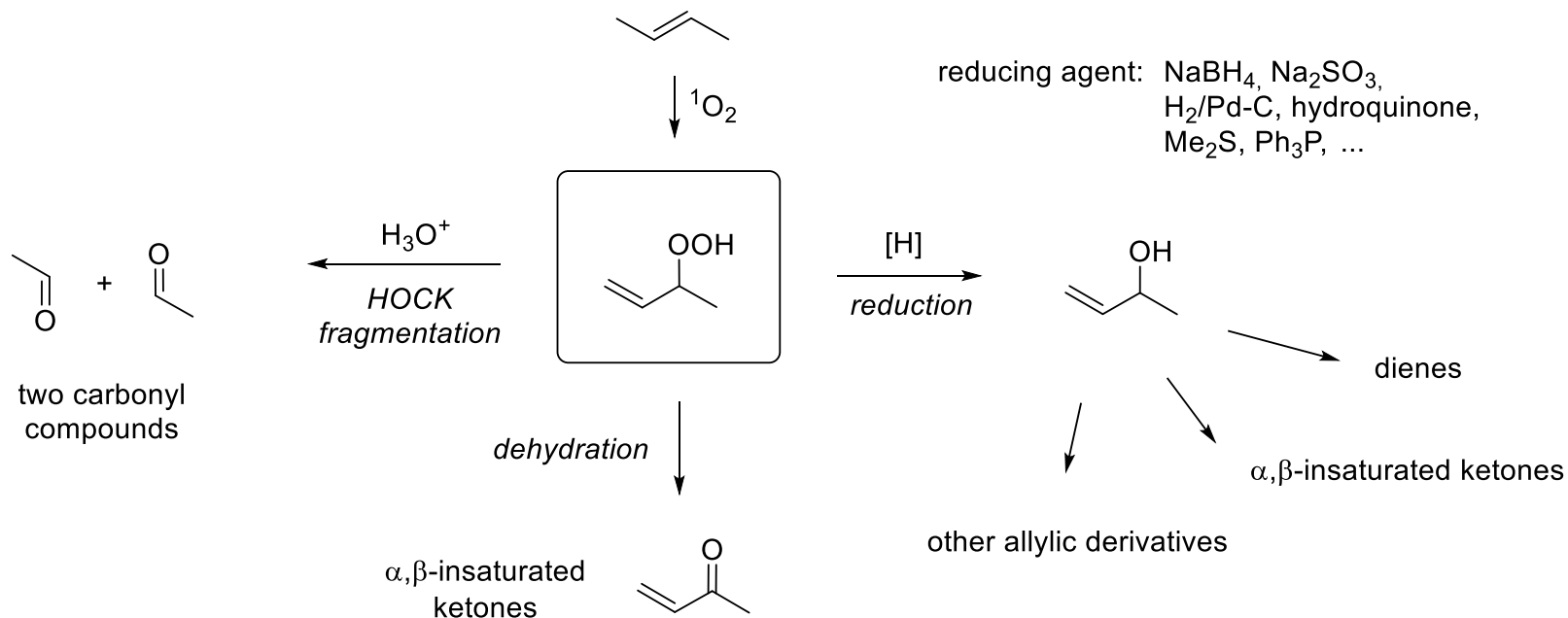


Allylic Addition Reactions

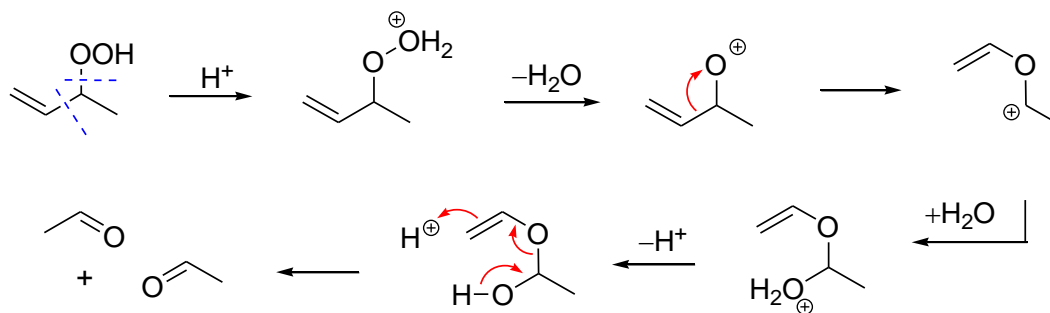
Regioselectivity Issues



Transformation of allylic hydroperoxides



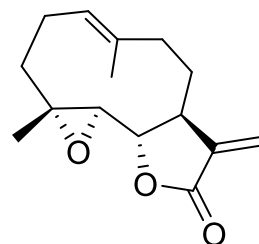
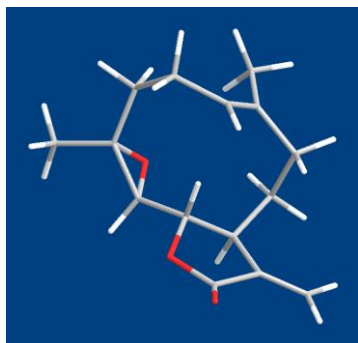
Mechanism of the HOCK fragmentation



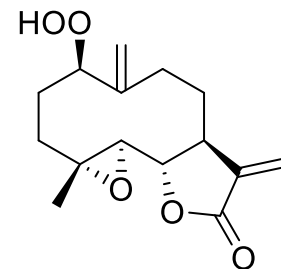
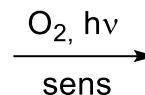
Examples in organic synthesis

- **Synthesis of peroxyparthenolide** *cytotoxic component of Magnolia leaves*

Tetrahedron Lett. **1977**, 1973



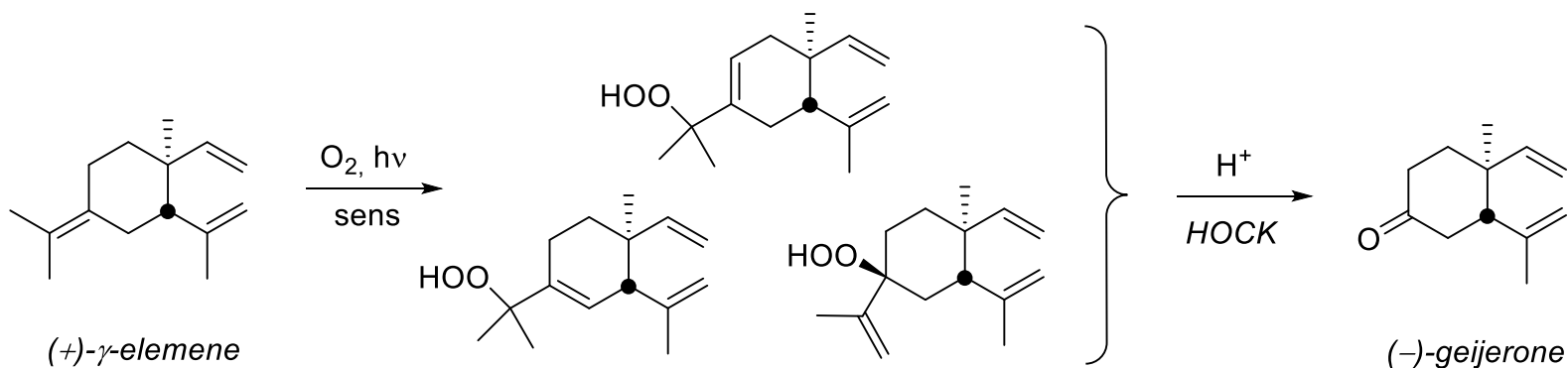
parthenolide



peroxyparthenolide

- **Synthesis of (-)-geijerone** *sesquiterpene from juniper*

Helv. Chim. Acta **1972**, 55, 2429

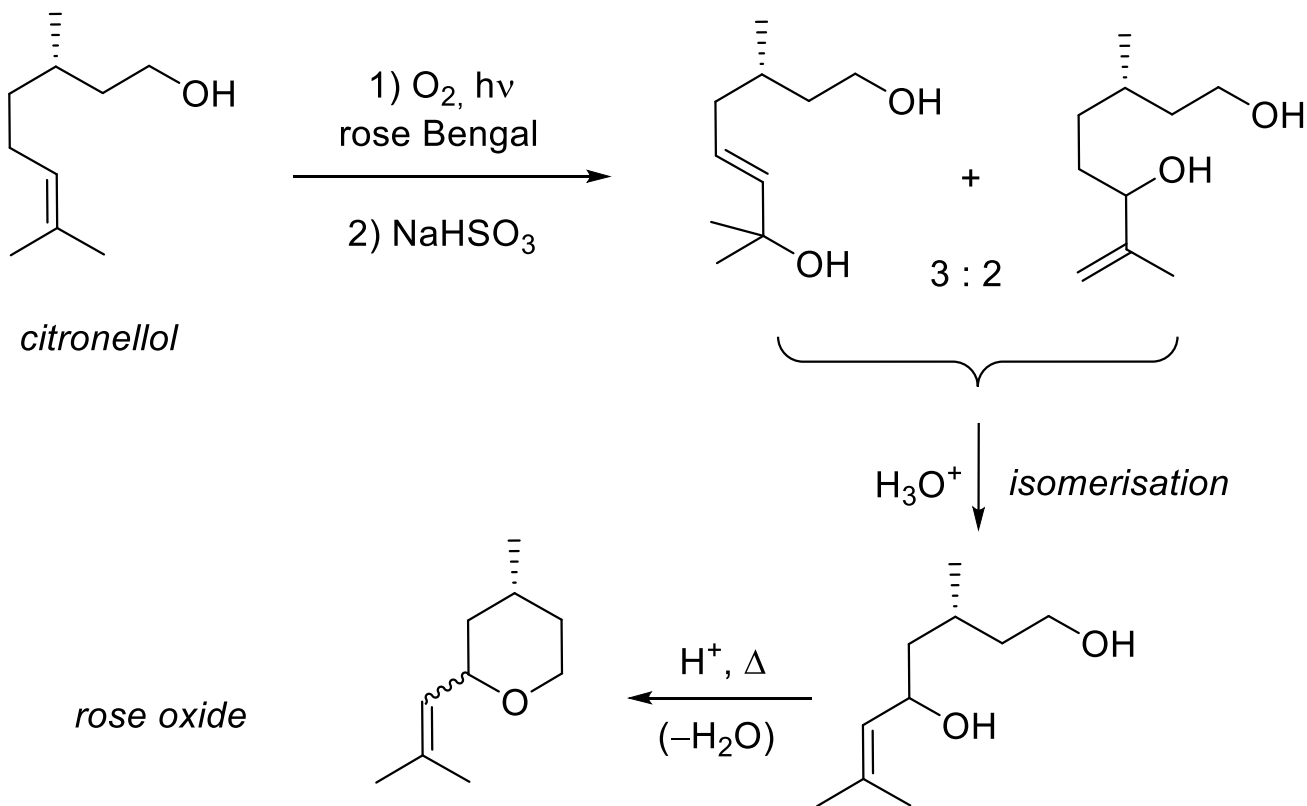


Examples in organic synthesis

- **Synthesis of rose oxide**

Sol. Energy Eng. 2001, 123, 171

*Fragrance found in roses and rose oil
(also in fruits and white wines).
High value in perfume industry*



- ***Industrial synthesis of rose oxide***

Photo : Dragoco (Germany)

Cylindrical immersion photoreactors,
each is 3 m high, with 5 kW light source

Production: several tons / year

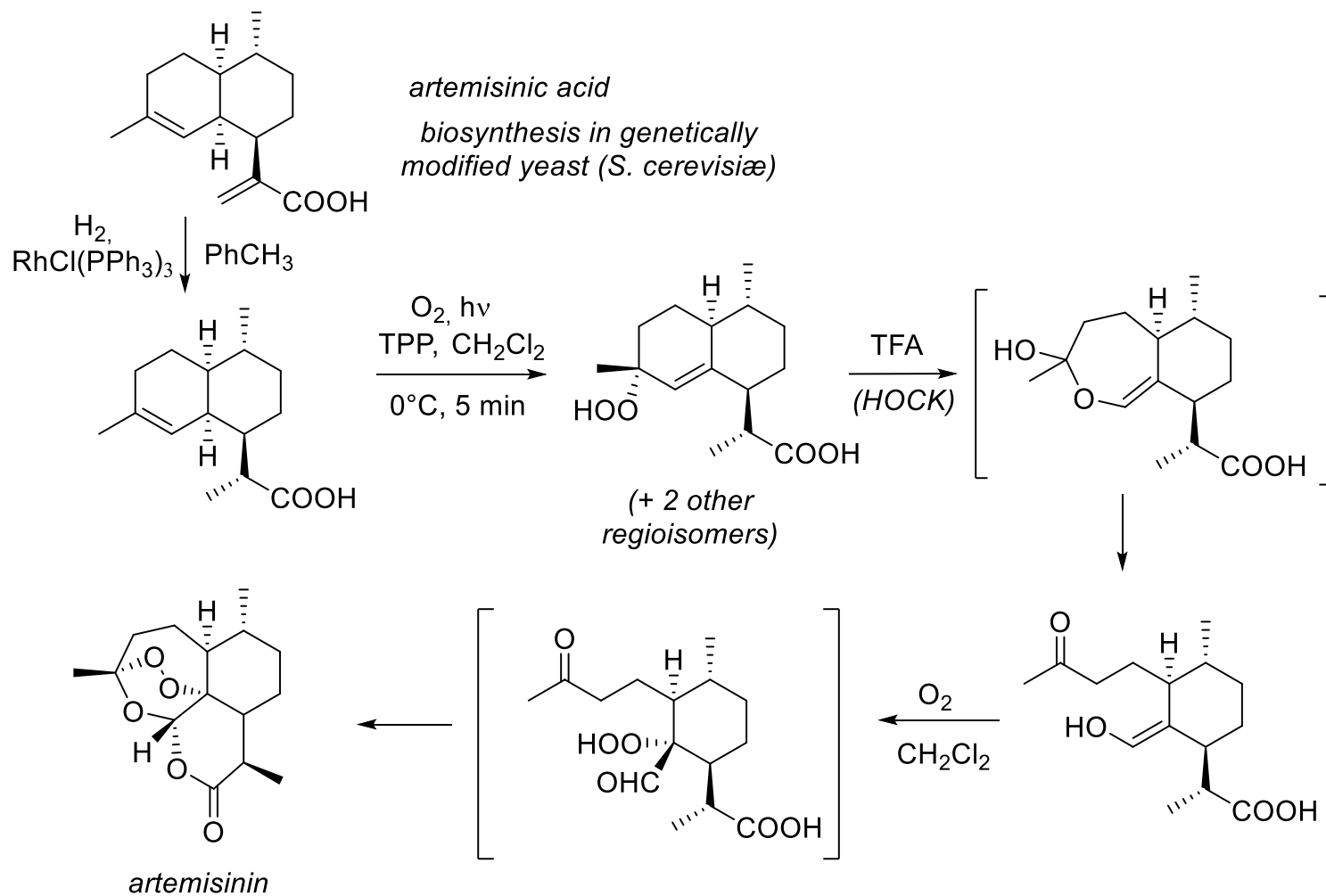


Examples in organic synthesis

• **Synthesis of artemisinin**

Angew. Chem. Int. Ed. **2012**, *51*, 1706

sesquiterpene from Artemisia annua, antimalarial activity



- ***Industrial synthesis of artemisinin***

Sanofi, in cooperation with *The Bill and Melinda Gates Foundation*, has established an industrial production of artemisinin.

Output:
35 tons in 2013,
60 tons in 2014.

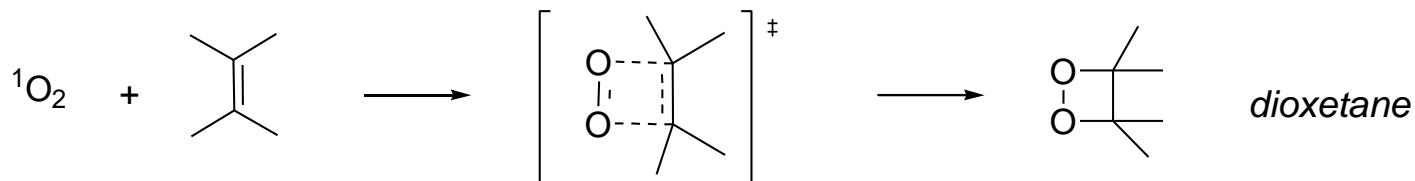


Photo : Sanofi factory at Garessio (Italy)

2. 2+2 Cycloaddition: formation of dioxetanes

This reaction involves alkenes

General scheme:



Several mechanisms are possible, still a matter of debate

Here, we assume a concerted process

Singlet oxygen + alkene:

Allylic addition or 2+2 cycloaddition?

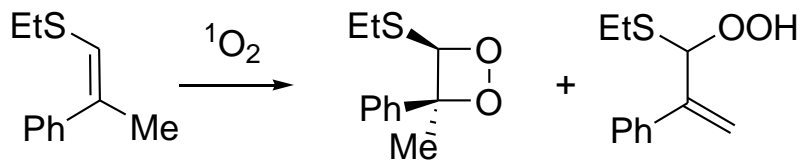
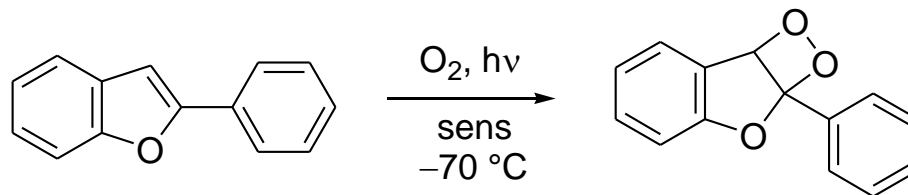
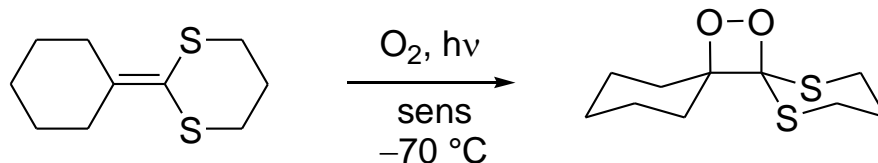
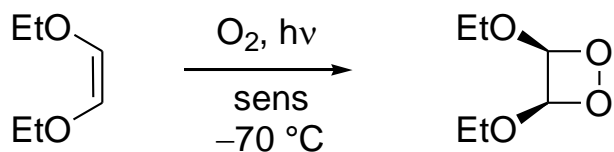
As a general rule the allylic hydroperoxidation prevails.

However, 2+2 cycloaddition is favored in the following cases:

- absence of an available allylic H
- the alkene is electron-rich due to the presence of one or more heteroatom (O, S, N)
- reaction conditions of low temperature and/or protic solvent

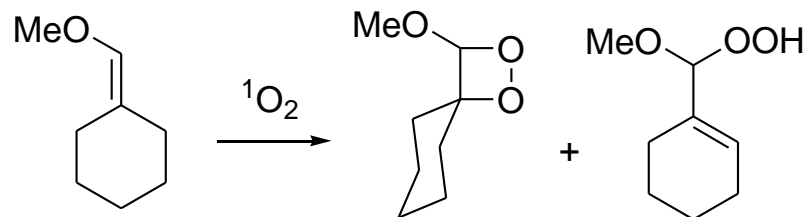
2+2 Cycloaddition Reactions

Examples:



MeOH, $20^\circ C$
 CH_2Cl_2 , $20^\circ C$

95 : 5
20 : 80

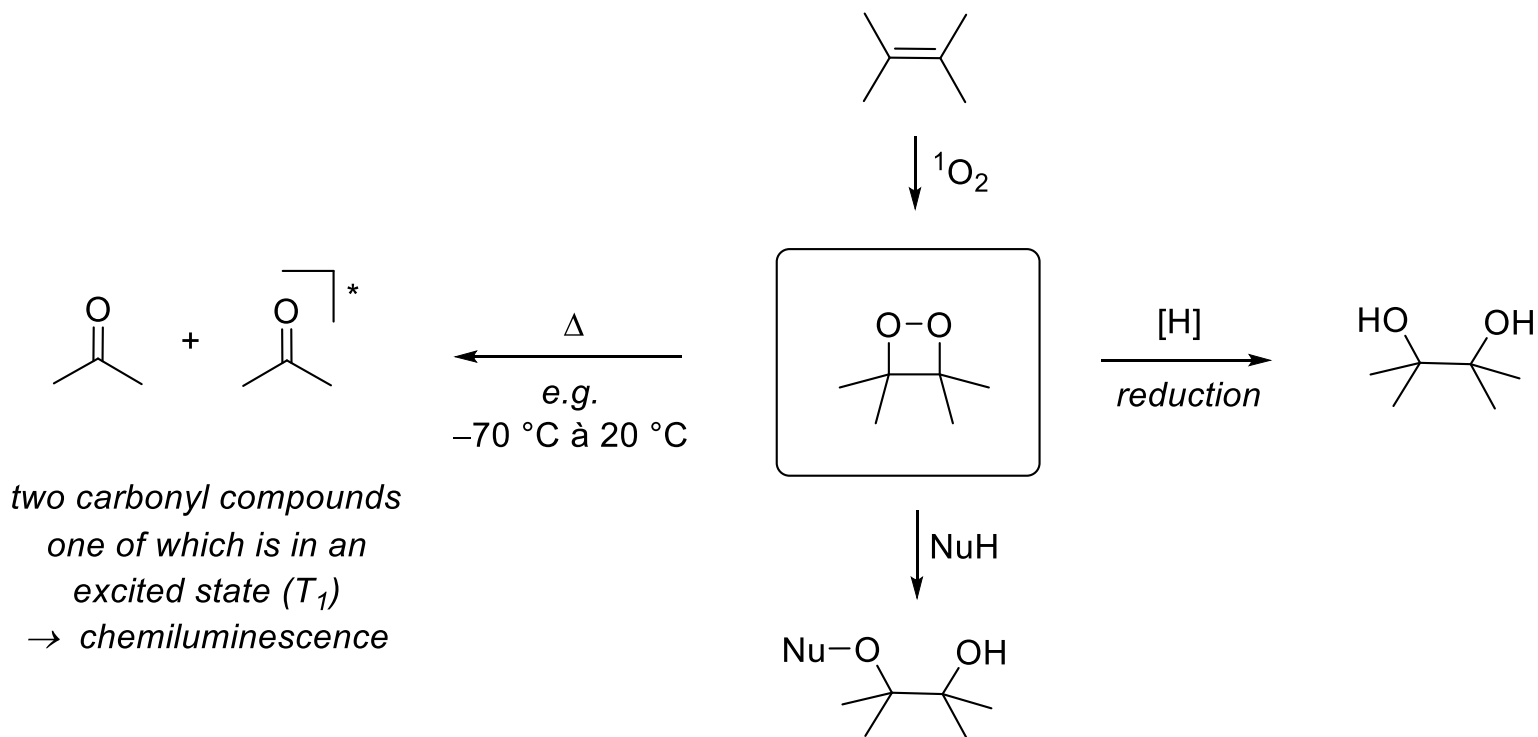


MeOH, $-80^\circ C$
 C_6H_6 , $20^\circ C$

85 : 15
3 : 97

Transformation of dioxetanes

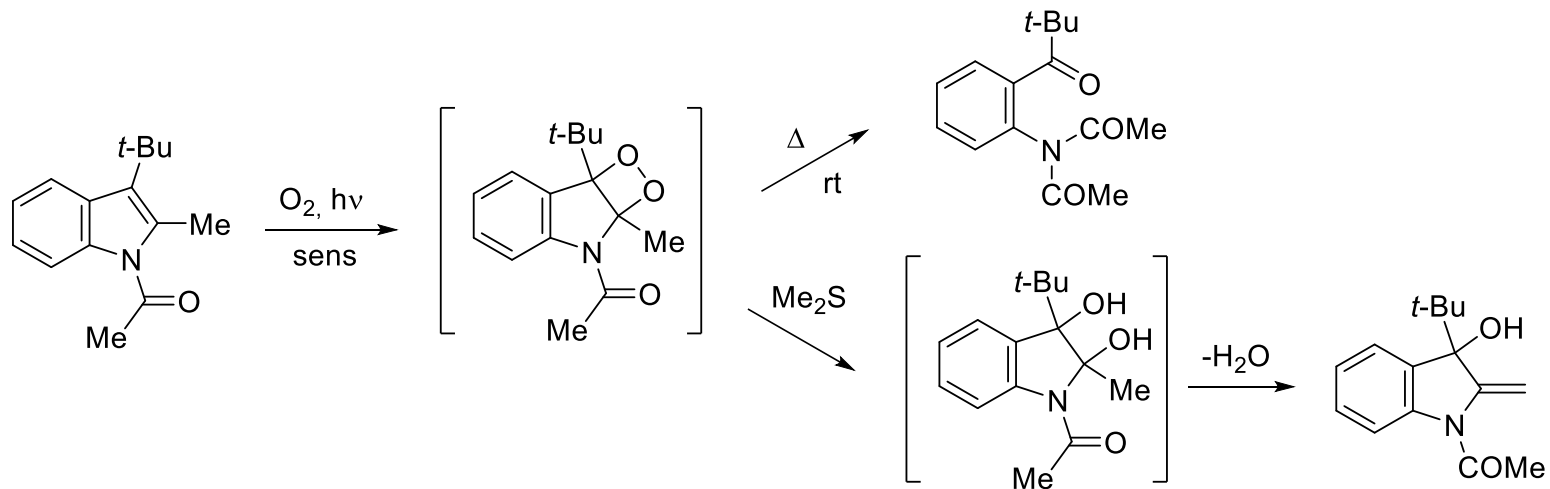
Some dioxetanes are stable at room temperature, others undergo thermal decomposition



Examples in organic synthesis

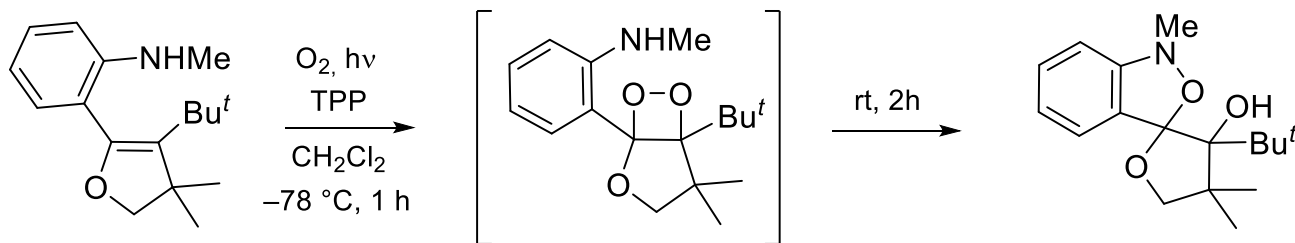
• Photooxygenation of indoles

J. Org. Chem. **1993**, *58*, 47



• Formation of spiroacetals

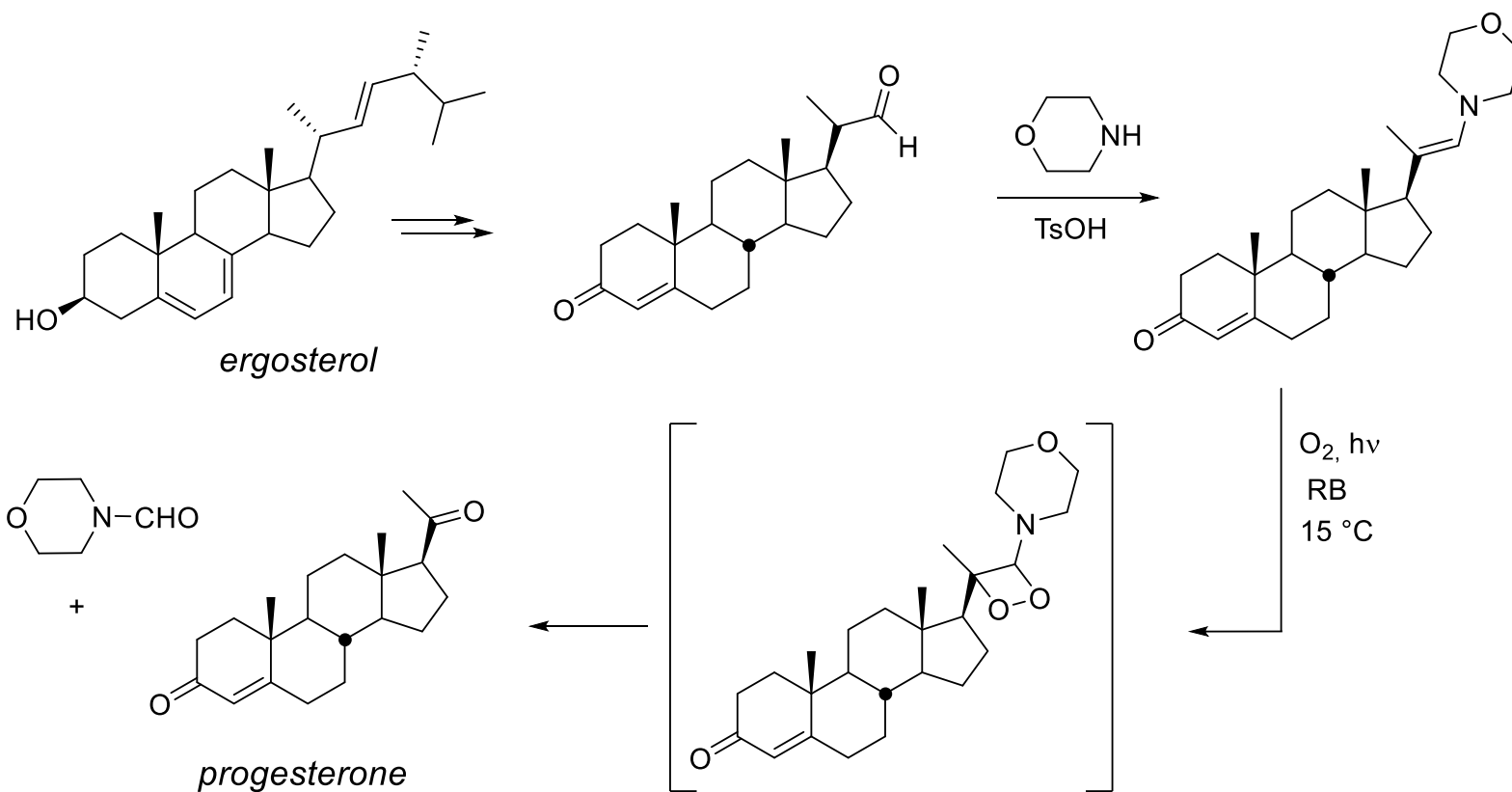
Chem. Commun. **1998**, 2319



Examples in organic synthesis

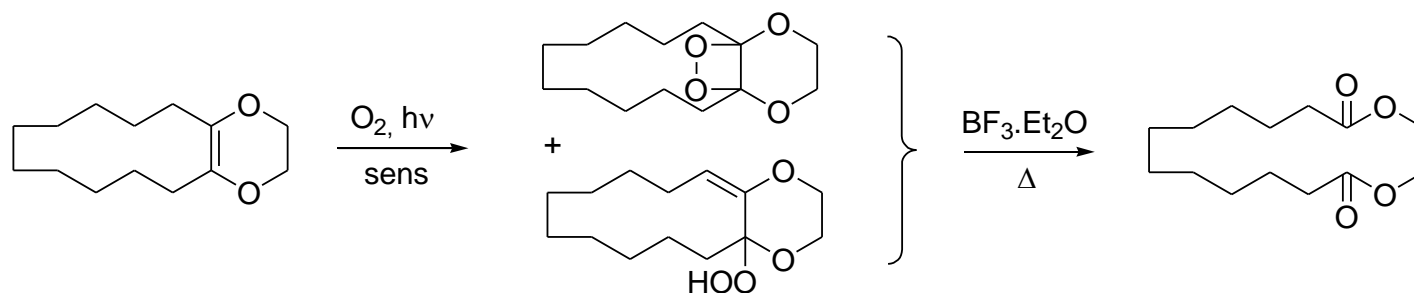
- **Synthesis of progesterone**

Tetrahedron Lett. 1968, 3271

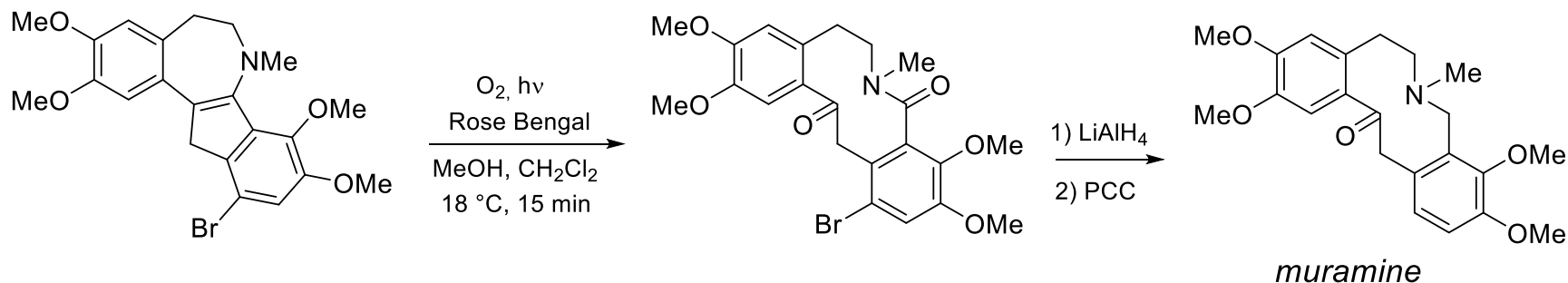


Examples in organic synthesis

- **A macrocyclic bis(lactone)** *intermediate for the synthesis of musk-like fragrances*
Patent (Firmenich), 1977, CH 589642



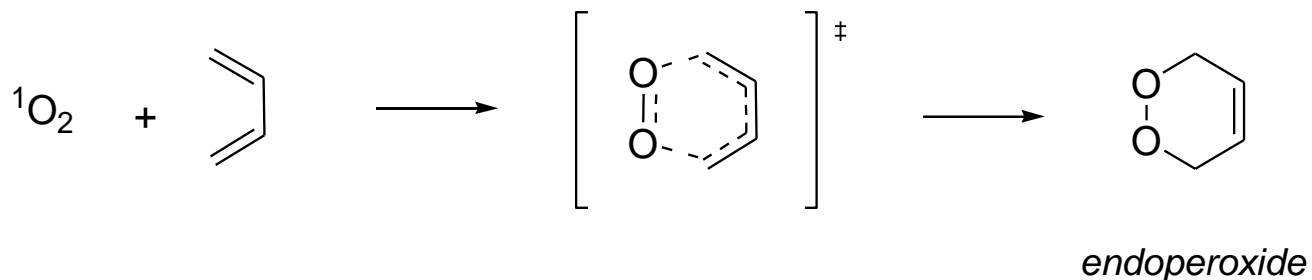
- **Synthesis of muramine** *a protopine alkaloid: a family with a unique 10-membered amino-ketone ring*
J. Org. Chem. **2007**, 72, 7301



3. 4+2 Cycloaddition reactions: formation of endoperoxides

This reaction involves *cis*-1,3-dienes

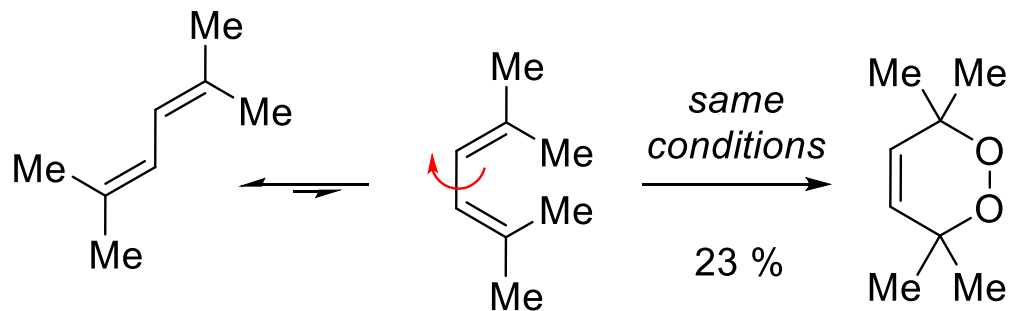
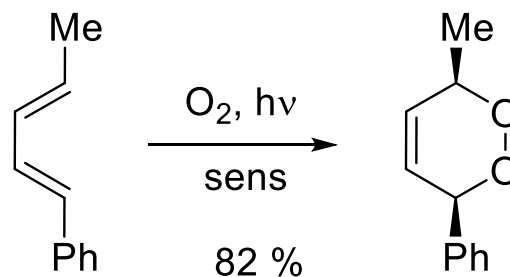
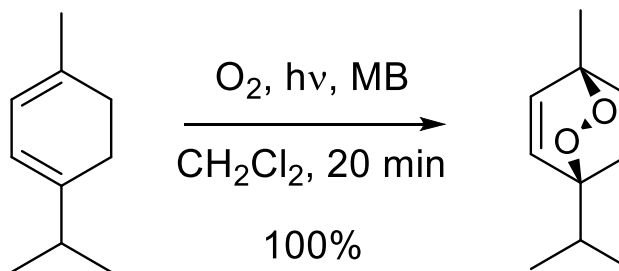
General scheme:



Concerted process (*cf Diels-Alder reaction*)

4+2 Cycloaddition Reactions

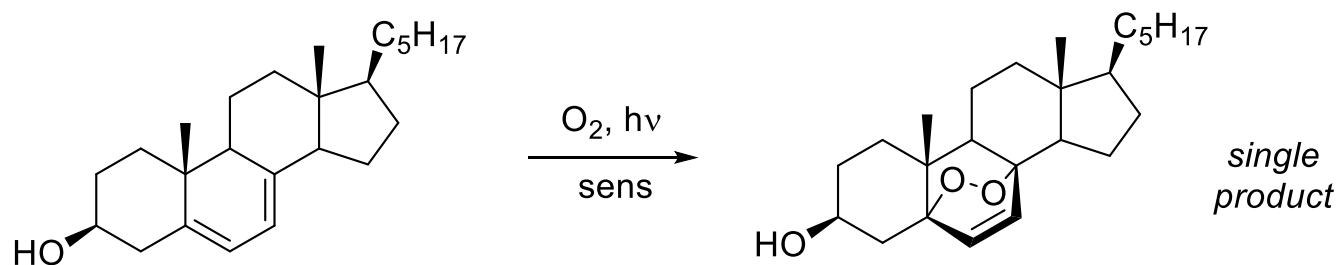
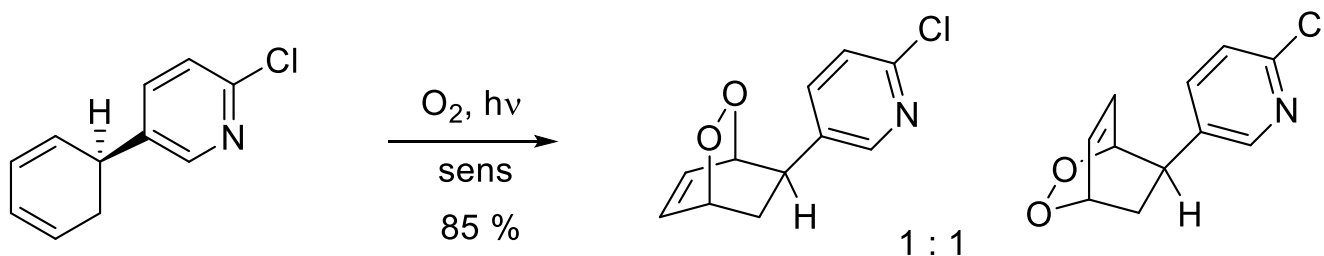
Examples :



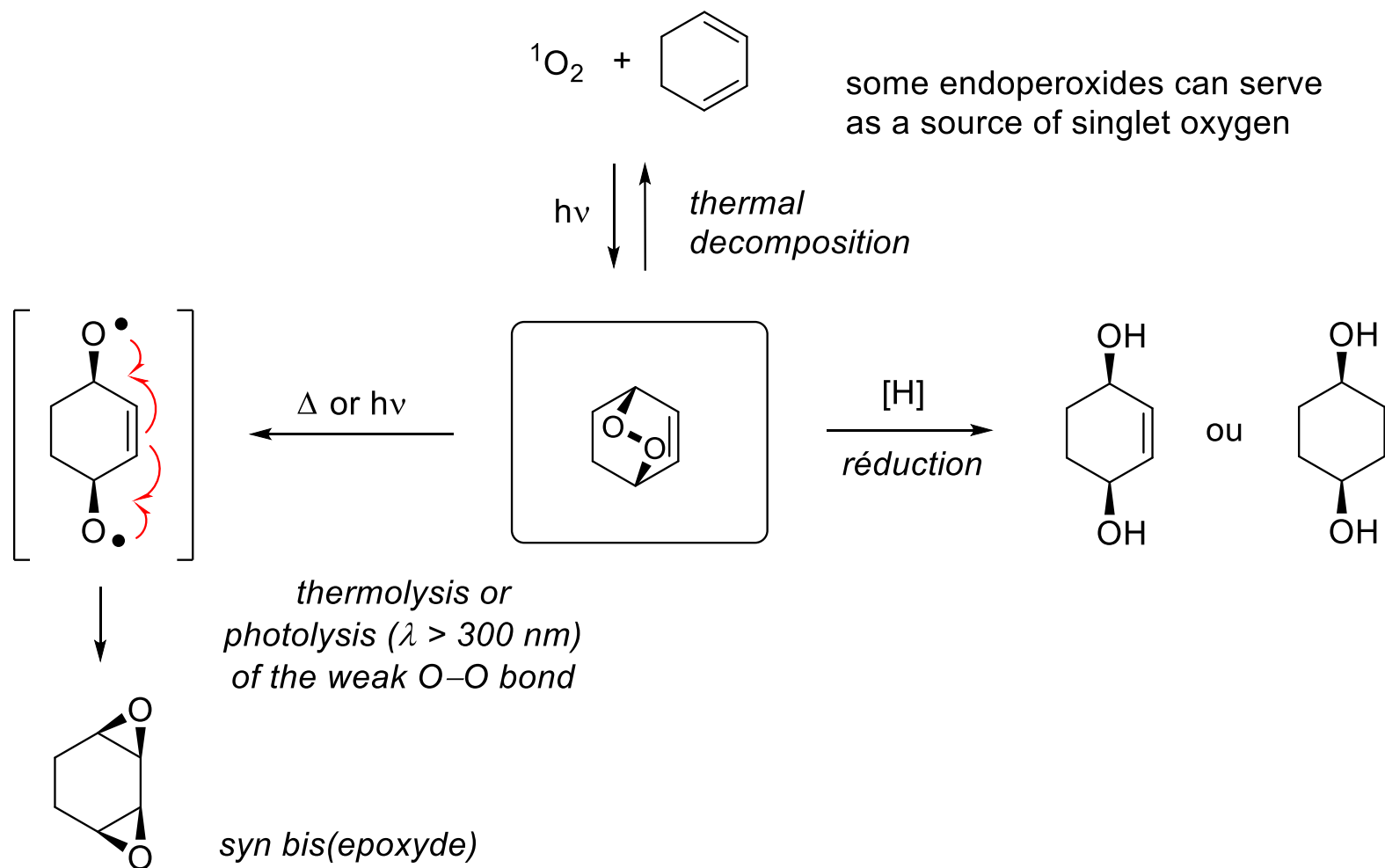
4+2 Cycloaddition Reactions

Stereoselectivity Issues

Diastereoselectivity is variable, and depends largely on whether steric constraints are present in the 1,3-diene

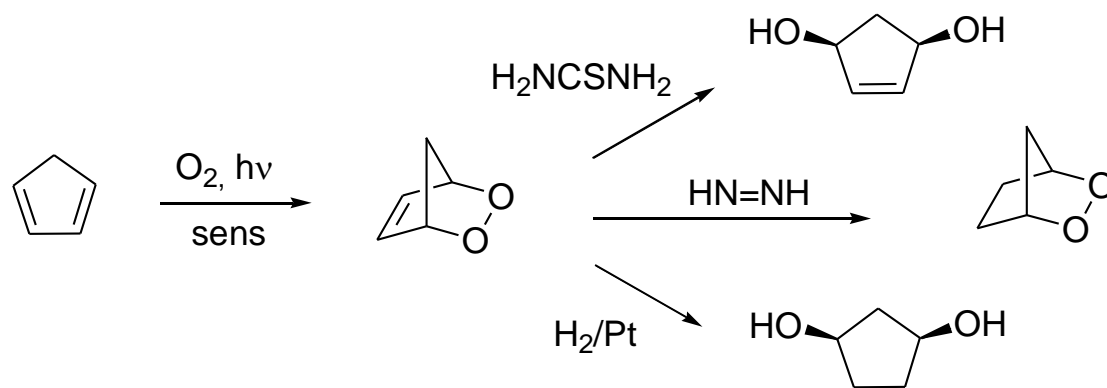


Transformation of endoperoxides

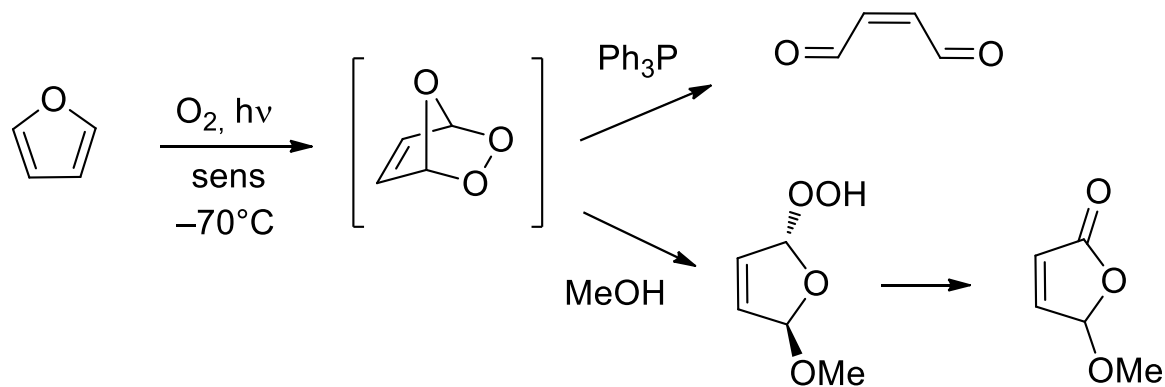


Reactions with other cyclic diene systems

Cyclopentadienes



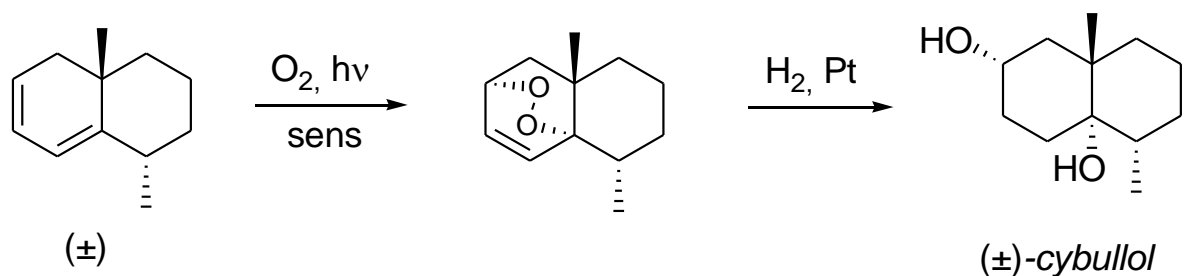
Furans



Examples in organic synthesis

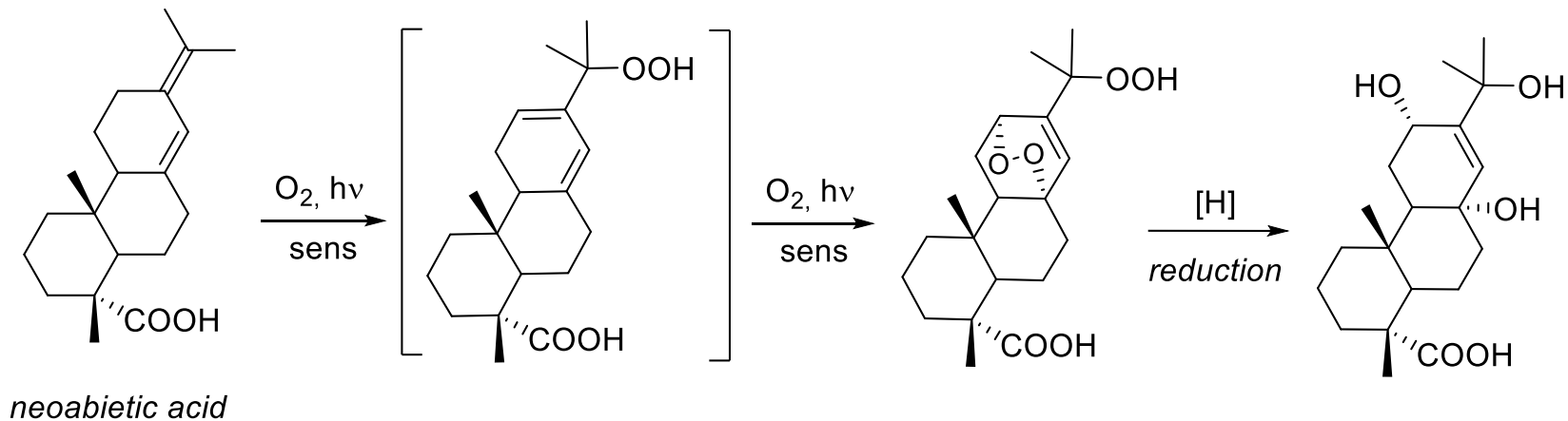
- **Synthesis of cybullol** *fungal metabolite from a bird's nest*

Can. J. Chem. **1976**, *54*, 3276



- **Oxygenation of neoabietic acid** *constituent of pine resin*

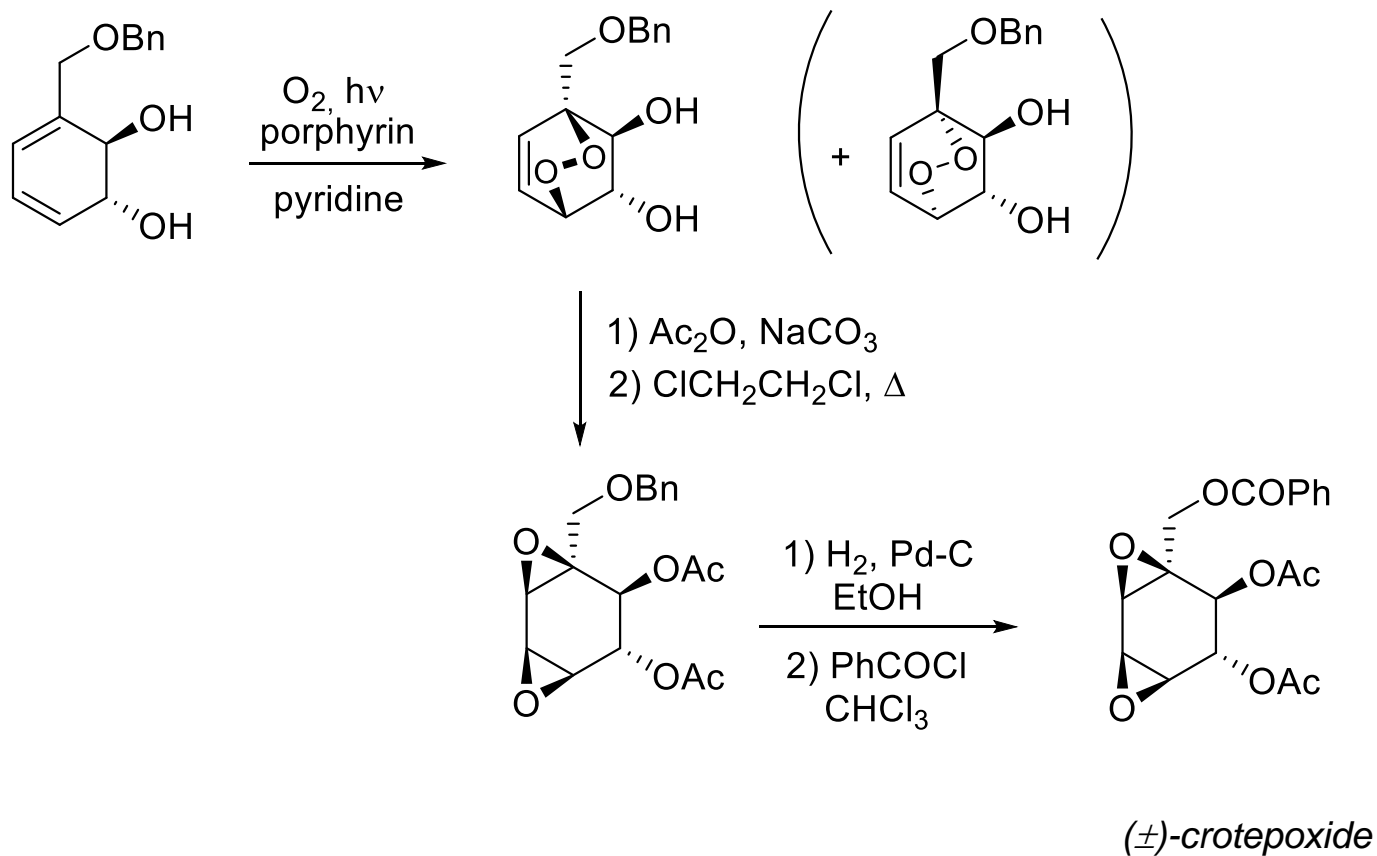
J. Am. Chem. Soc. **1961**, *83*, 2563



Examples in organic synthesis

- **Synthesis of crotepoxide** *cytotoxic constituent of peppercorns*

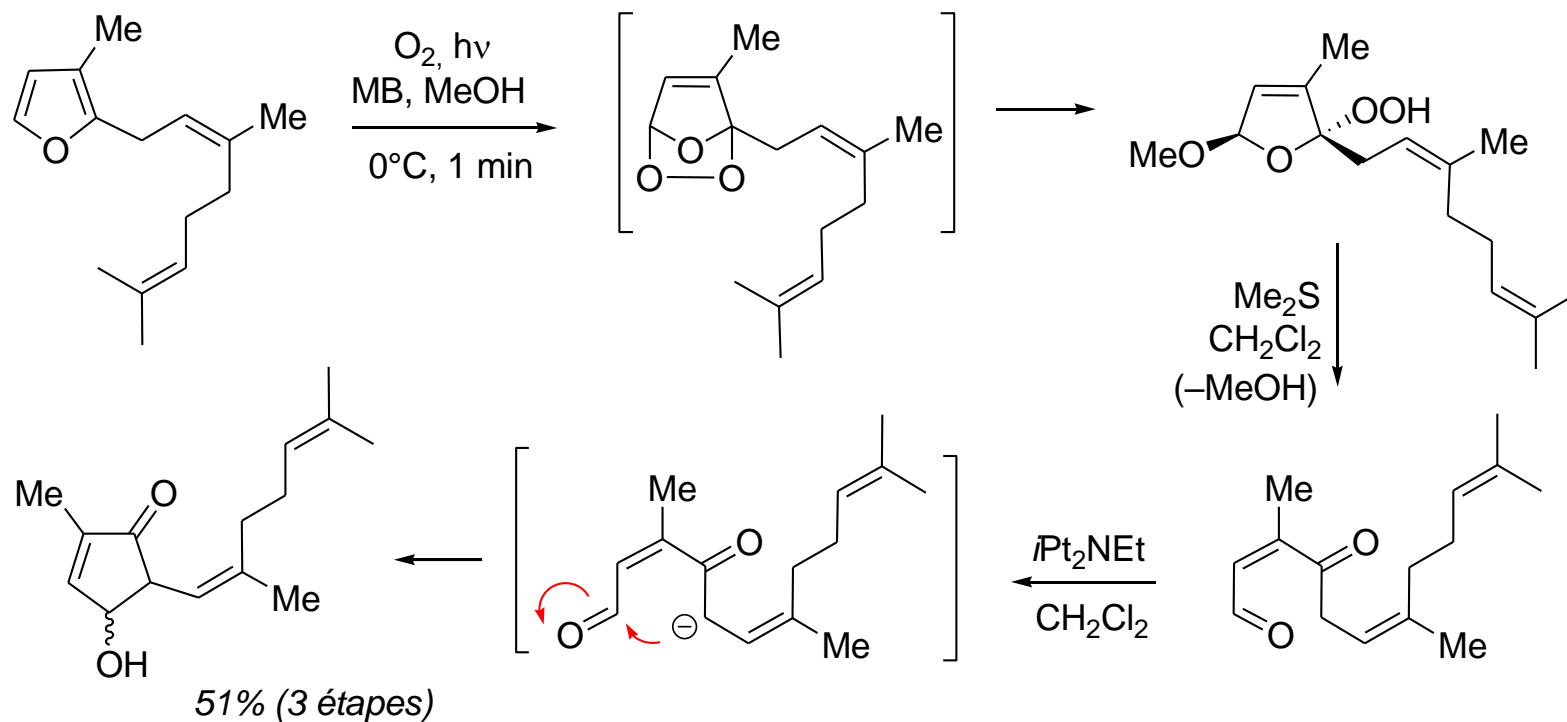
J. Am. Chem. Soc. **1976**, *98*, 634



Examples in organic synthesis

• Biomimetic synthesis of litseaverticillol B

Org. Lett. **2004**, 6, 2039 sesquiterpene family with anti-HIV activity,
isolated racemic from the bush *Litsea verticillata*

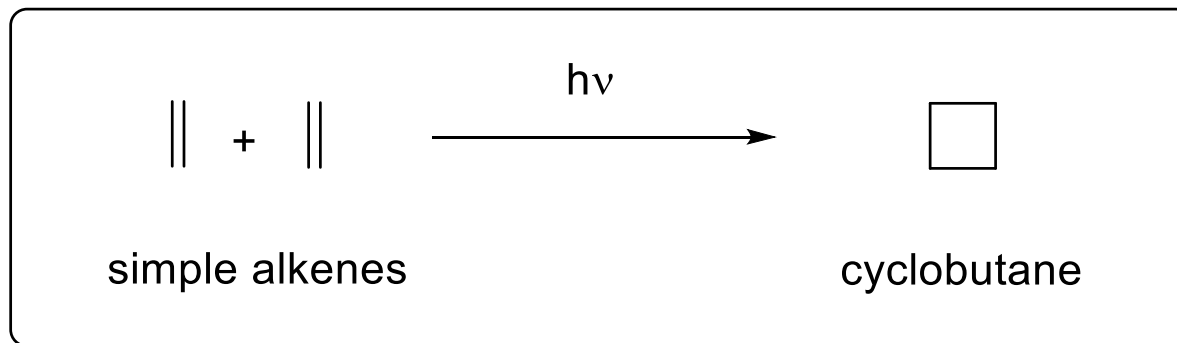


(±)-litseaverticillol B
(and a diastéréoisomer)

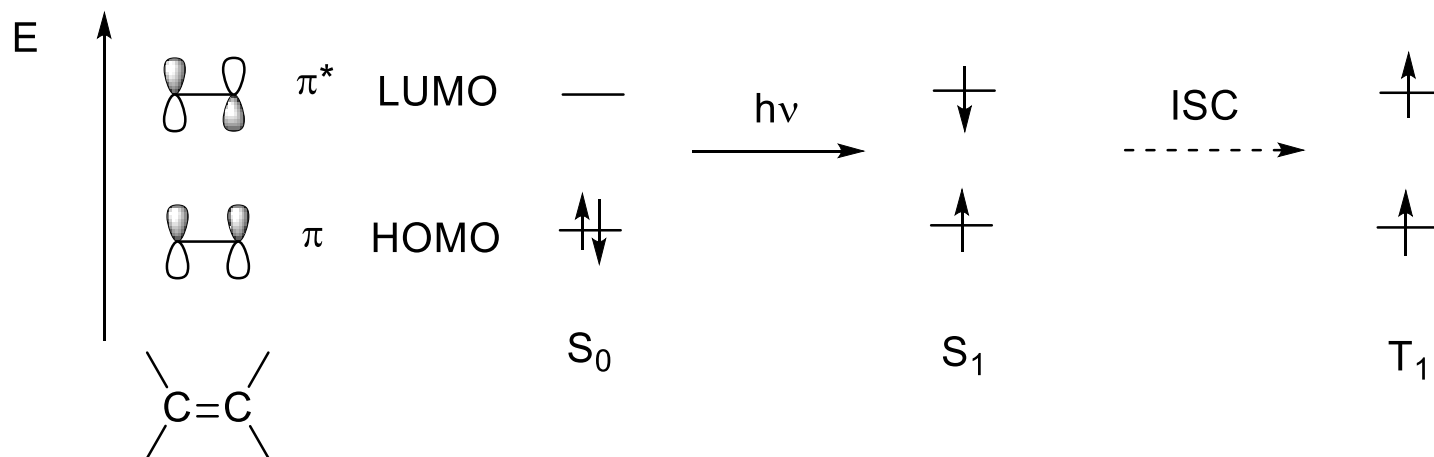
Photochemistry of Alkenes and Dienes

- A. [2+2] Photocycloadditions of Simple Alkenes
- B. Photochemical [2+2] Electrocyclizations of Dienes

A. PHOTOCHEMICAL [2+2] CYCLOADDITIONS OF ALKENES



Photoactivation of alkenes



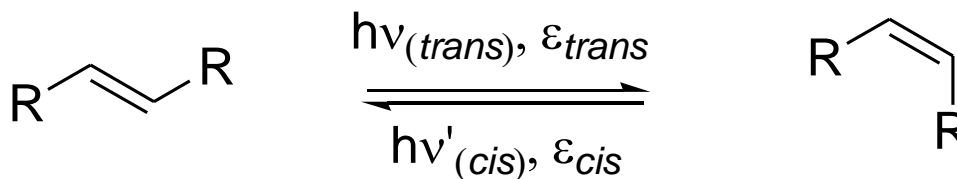
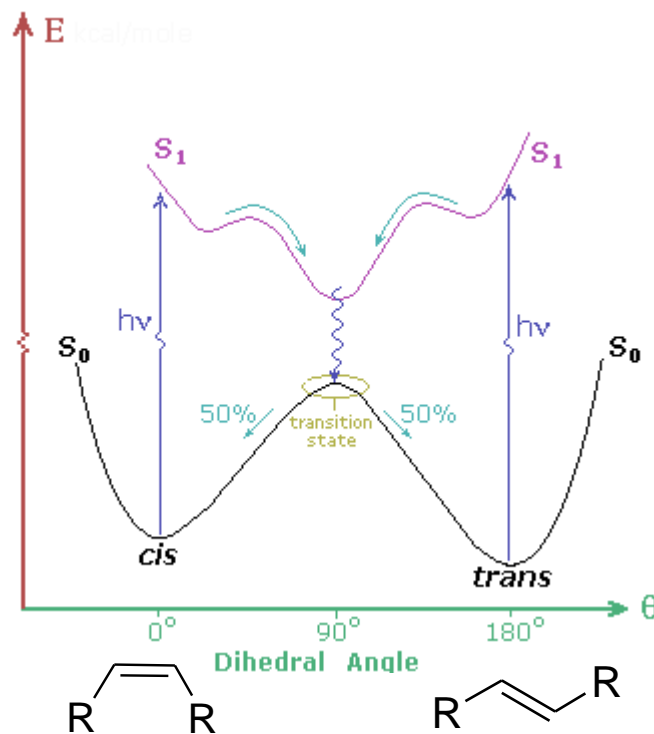
The transition $\pi \rightarrow \pi^*$ is implicated

For simple alkenes: $\lambda_{\max} = 180\text{-}200 \text{ nm}$ ($\epsilon \sim 10^4$)

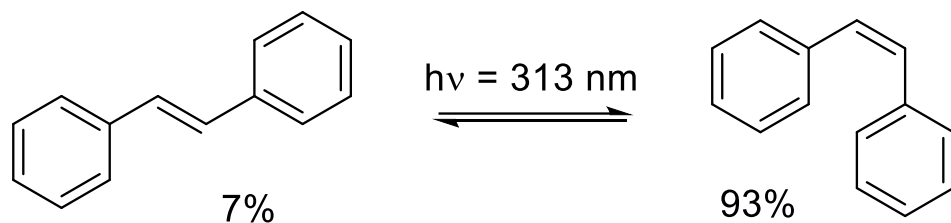
Photoisomerization of alkenes

- Rapid “vertical” absorption of the photon; the geometry of the S_1 excited state is the same as that of S_0 .
- Rapid rotation \rightarrow “non vertical” S_1 state with less energy.
- Internal conversion (IC) $S_1 \rightarrow S_0$ to the transition state of *cis* / *trans* interconversion.

The **photostationary equilibrium** is *not* determined by thermodynamic stability, it is determined by the relative rates of removal of the *cis* and *trans* isomers due to absorption.



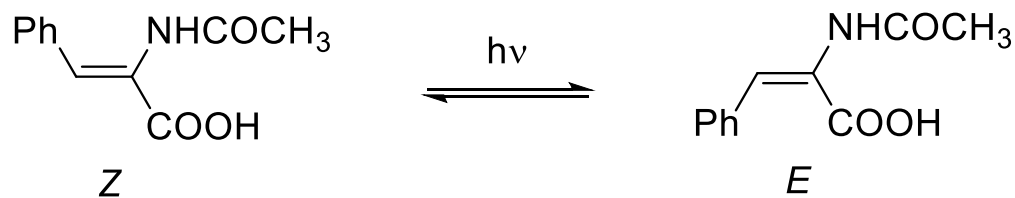
Examples:



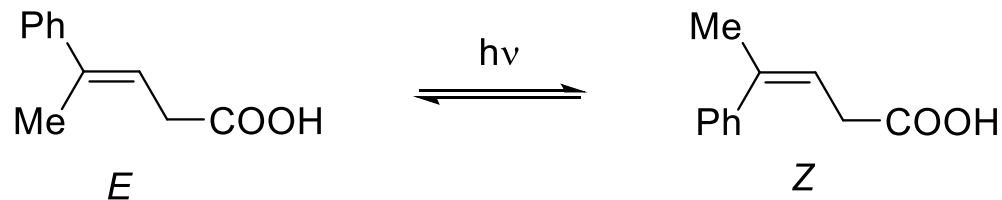
*photoisomerization
of stilbene*

at 313 nm:

$$\begin{aligned}\epsilon_{cis} &= 2\,300 \text{ l.mol}^{-1}.\text{cm}^{-1} \\ \epsilon_{trans} &= 16\,300 \text{ l.mol}^{-1}.\text{cm}^{-1}\end{aligned}$$

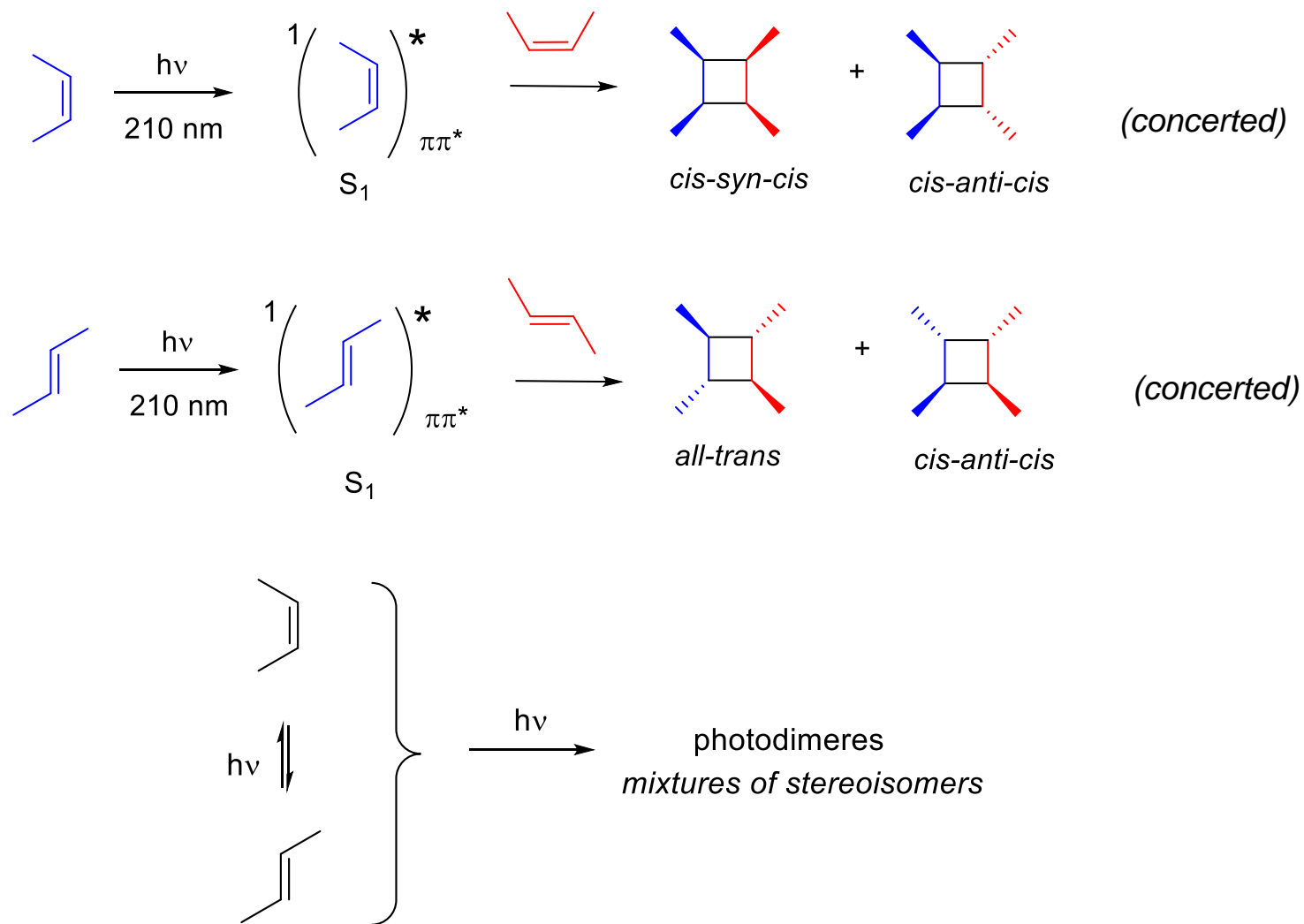


E:*Z* 3:1

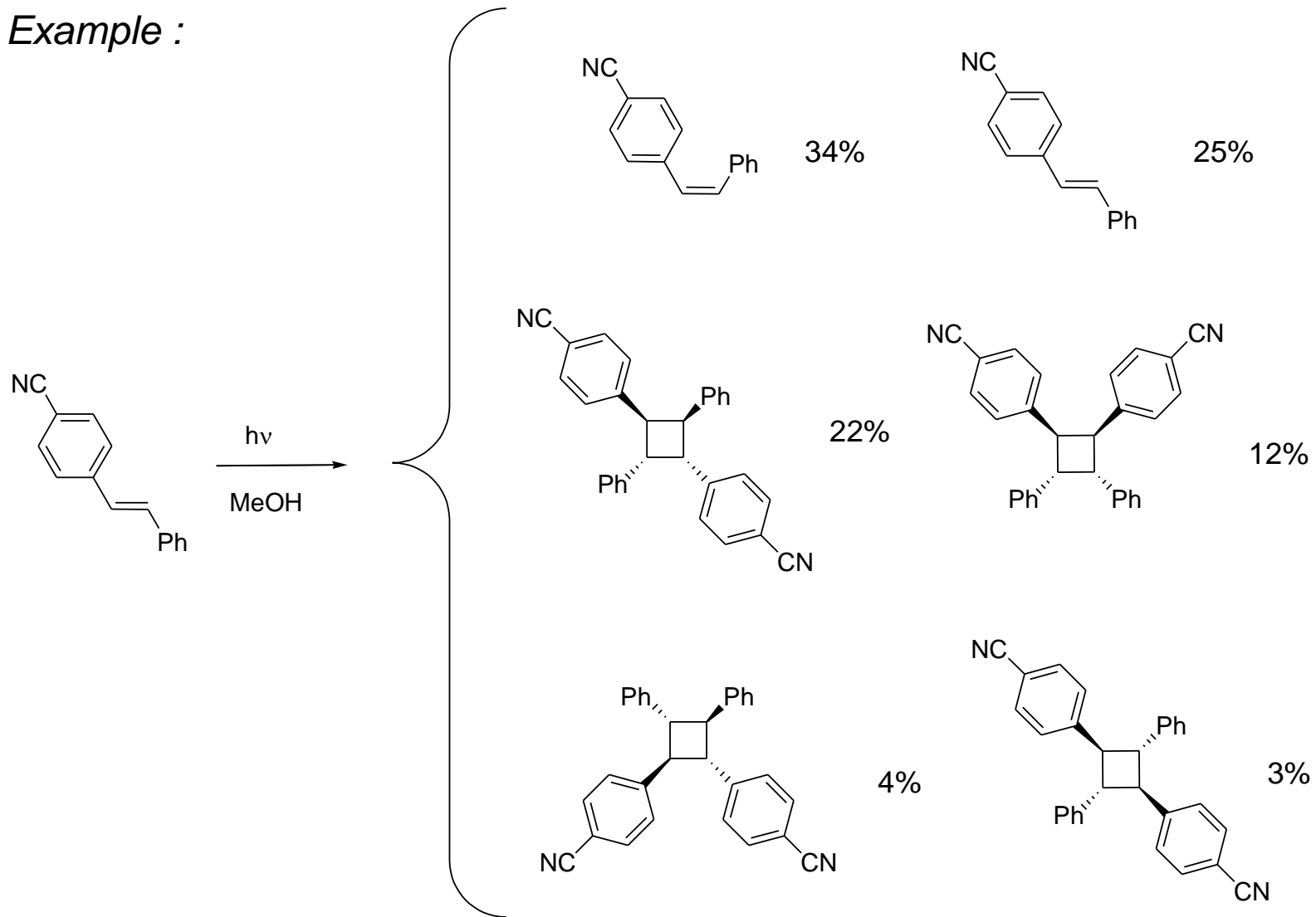


E:*Z* 1:1

Photodimerization of alkenes

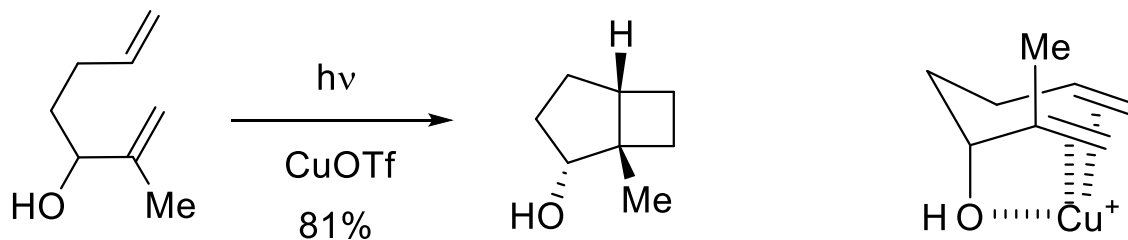
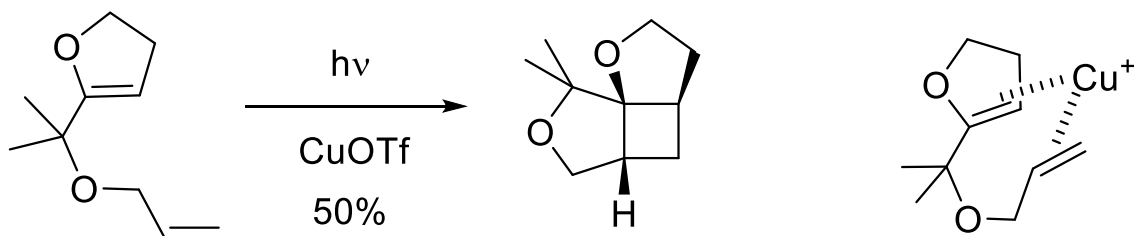
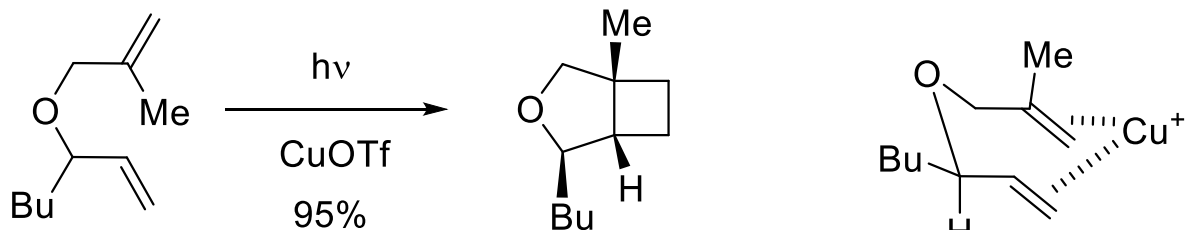


Example :

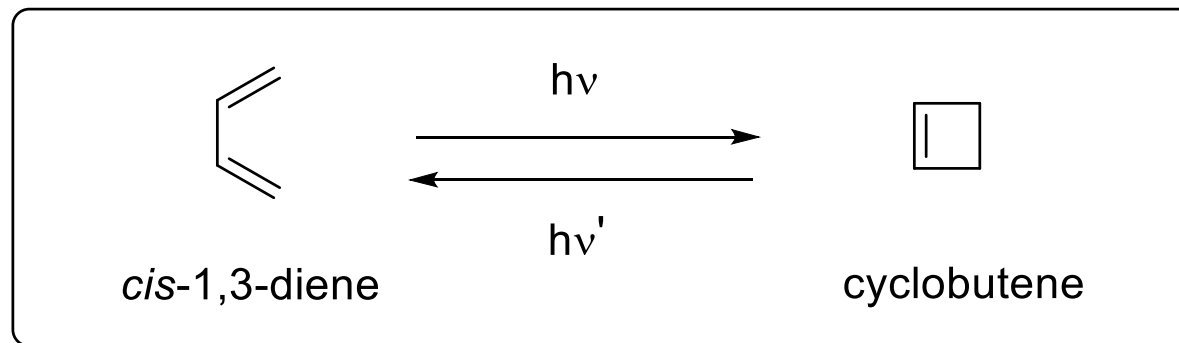


Intramolecular photodimerization of alkenes

Usually carried out in the presence of a copper(I) salt, e.g. CuOTf



B. PHOTOCHEMICAL [2+2] ELECTROCYCLIZATIONS OF DIENES



Frontier orbital theory

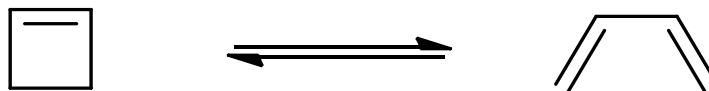
Electrocyclic reactions are concerted.

Their course is predicted by the **Woodward-Hoffmann** rules.

These rules propose that the energy barriers for pericyclic reactions are governed by the **conservation of orbital symmetry**, which allows maximum orbital overlap in the transition state.

Reactions are “allowed” if the energy barrier is low or “forbidden” if the energy barrier is high.

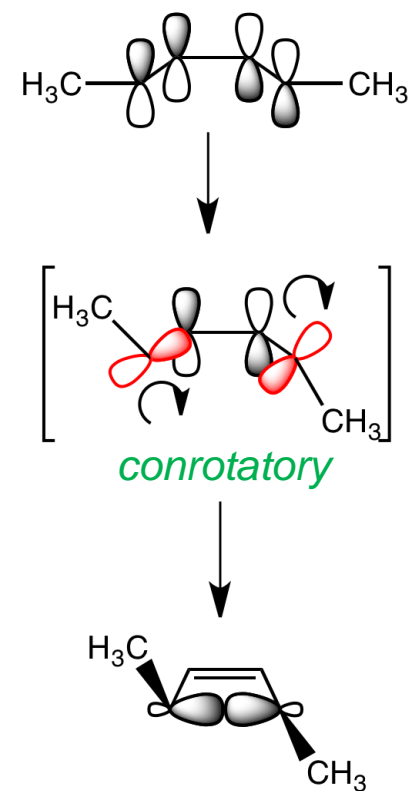
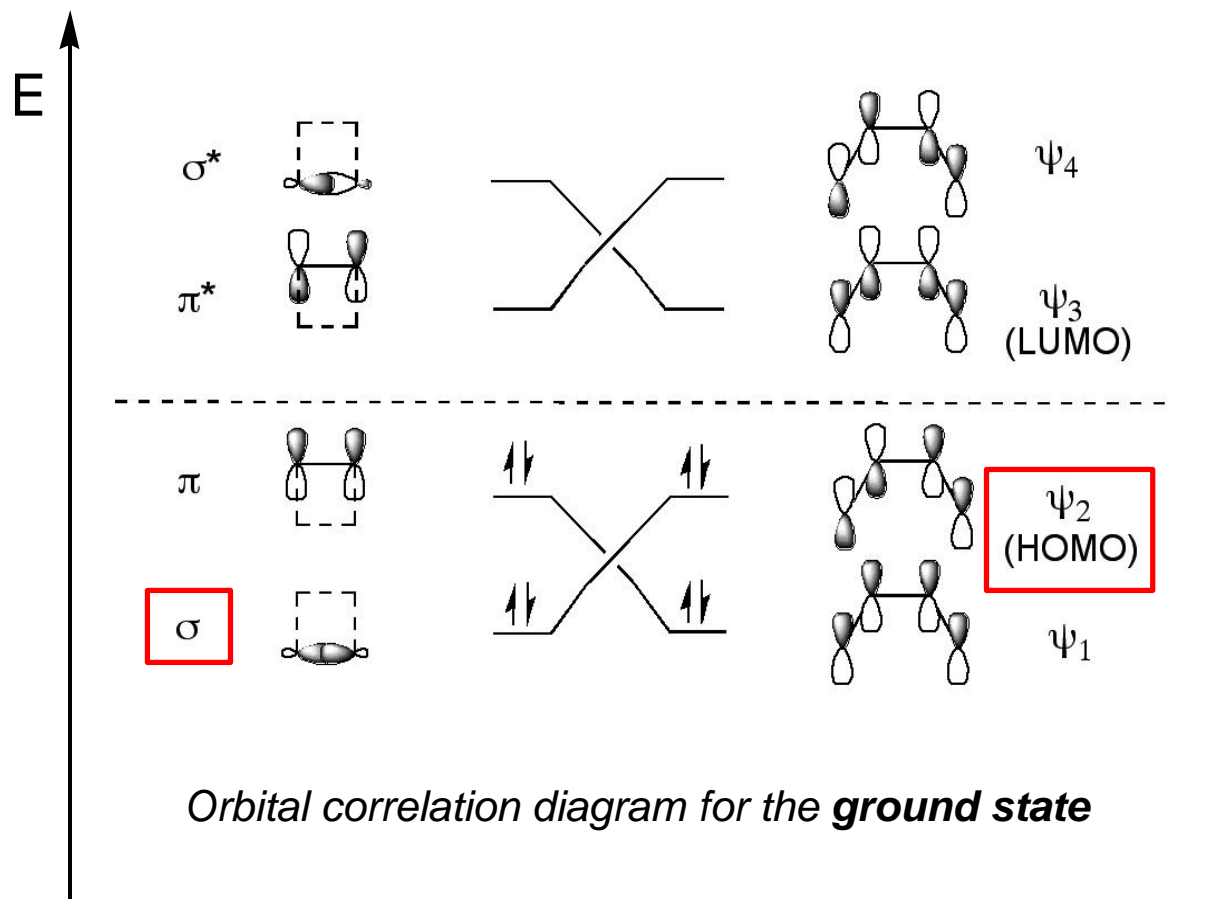
A “forbidden” reaction may occur, but requires more activation energy.



The σ bond of the cyclobutene is formed (or broken) in a manner which conserves of the symmetry of the diene HOMO.

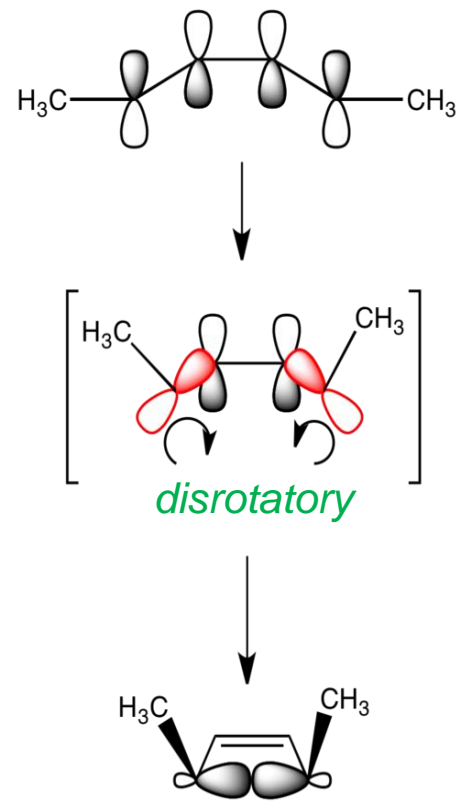
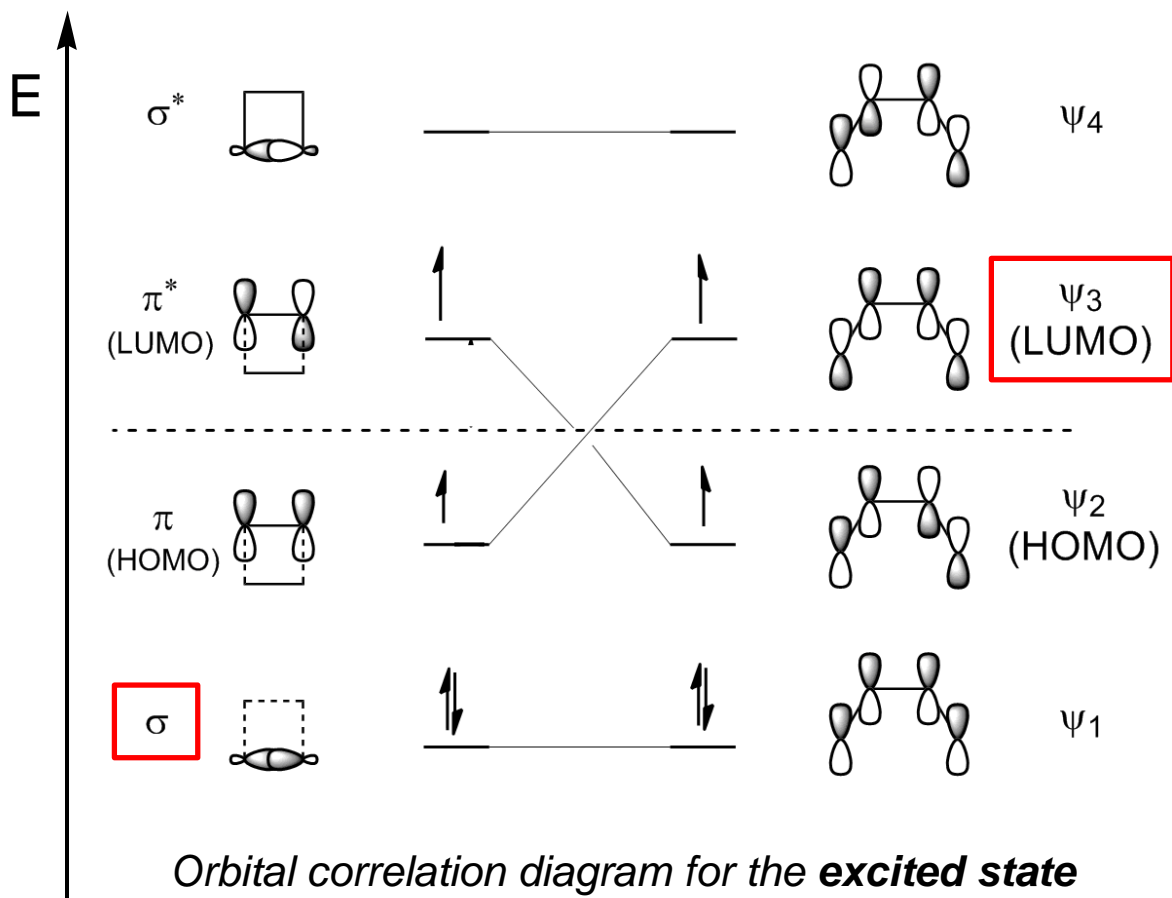
Thermal reactions

Ring opening and ring closure proceed via a **conrotatory** process.

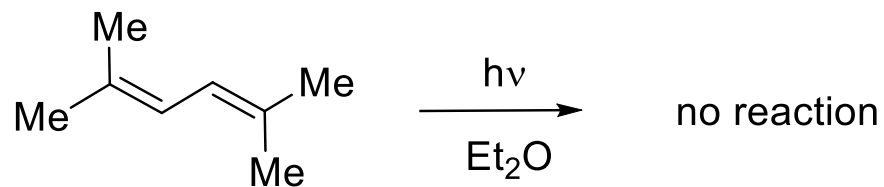
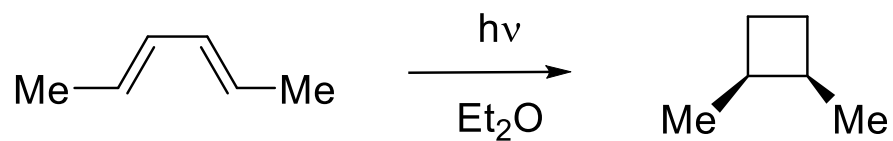
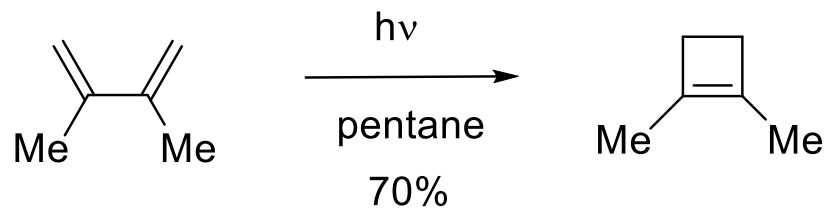


Photochemical reactions

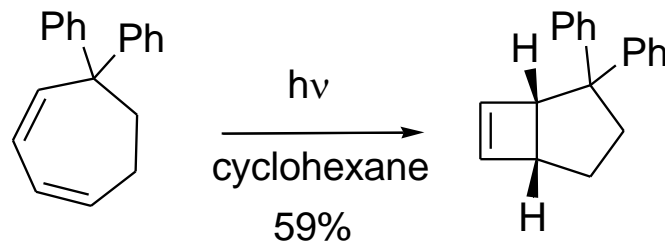
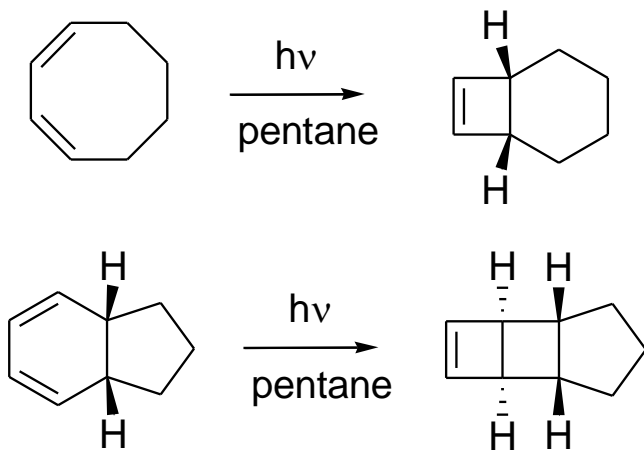
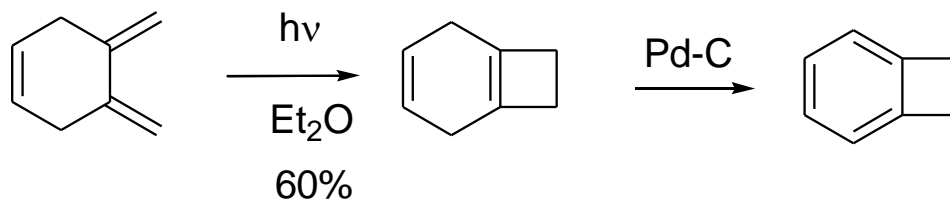
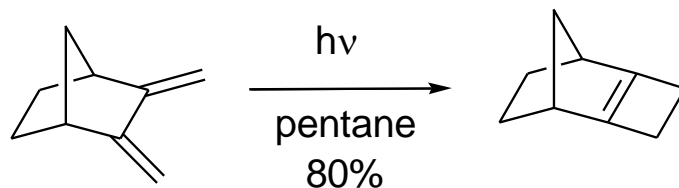
Ring opening and ring closure proceed via a **disrotatory** process.



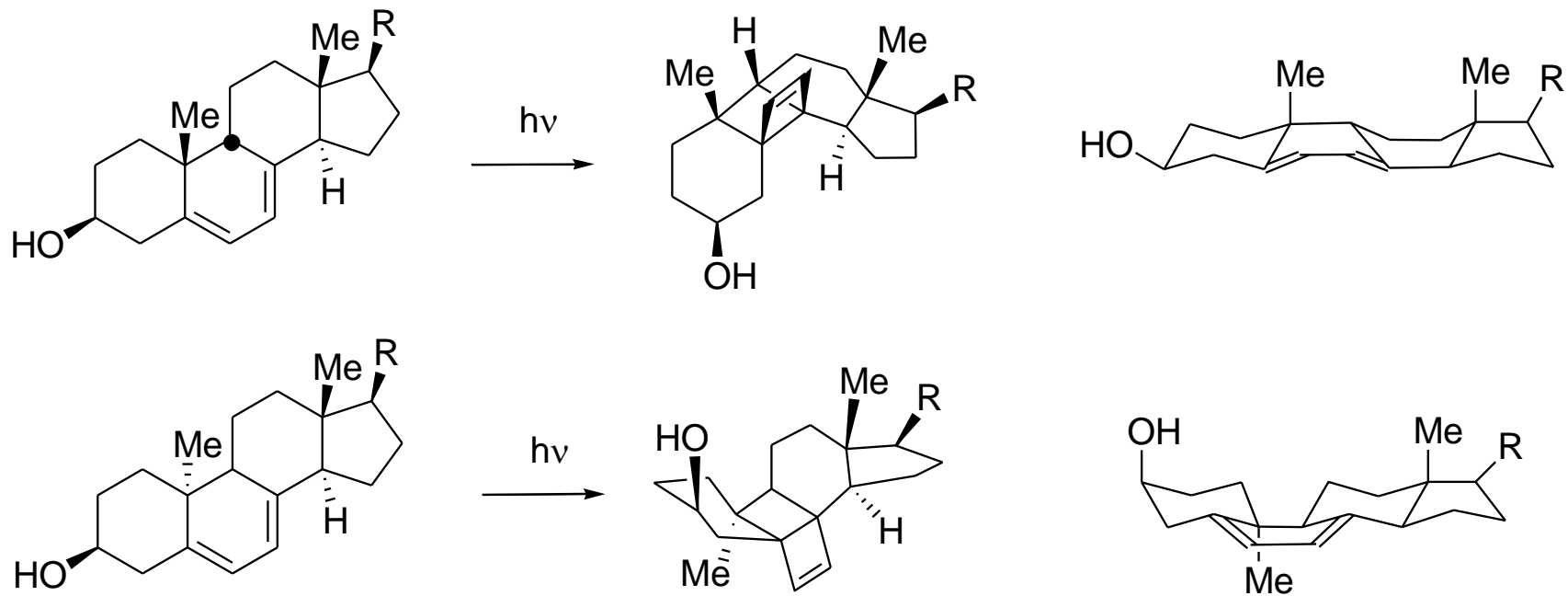
Reactions of acyclic dienes



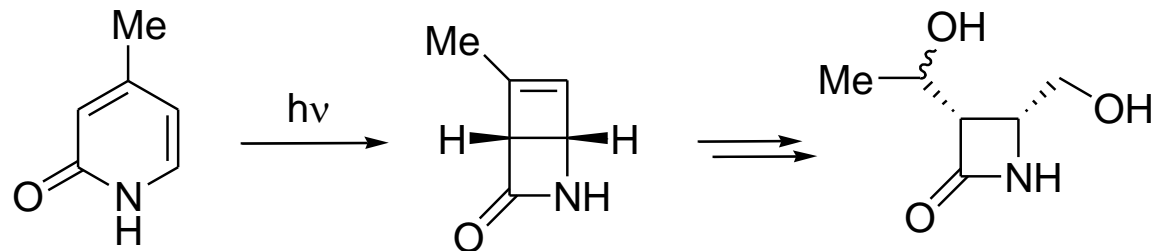
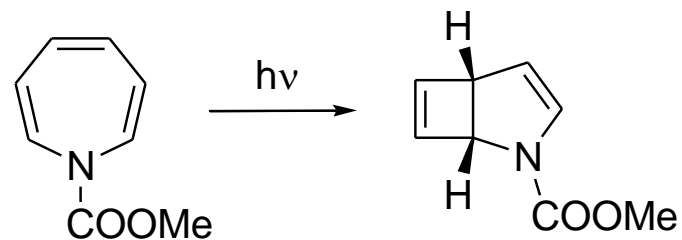
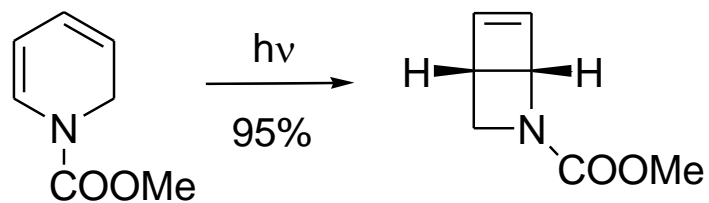
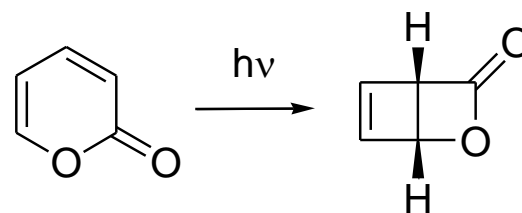
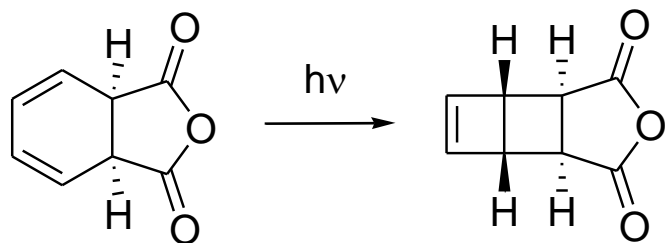
Reactions of carbocyclic dienes



Reactions of carbocyclic dienes



Reactions of heterocyclic dienes



Photochemistry of Enones

A. Introduction

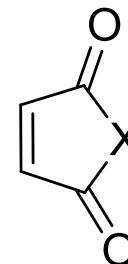
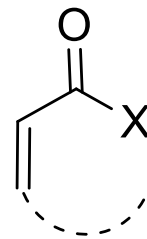
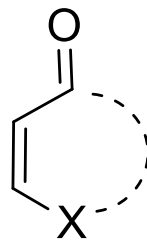
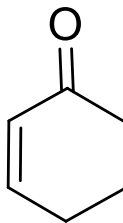
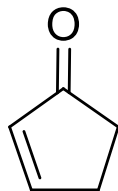
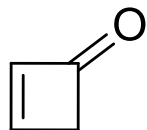
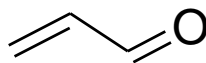
B. [2+2] Photocycloadditions between Enones and Alkenes

1. Intermolecular reactions
2. Intramolecular reactions
3. Reactions using β -dicarbonyls
4. Reactions using other enone systems

C. Examples of Applications in Organic Synthesis

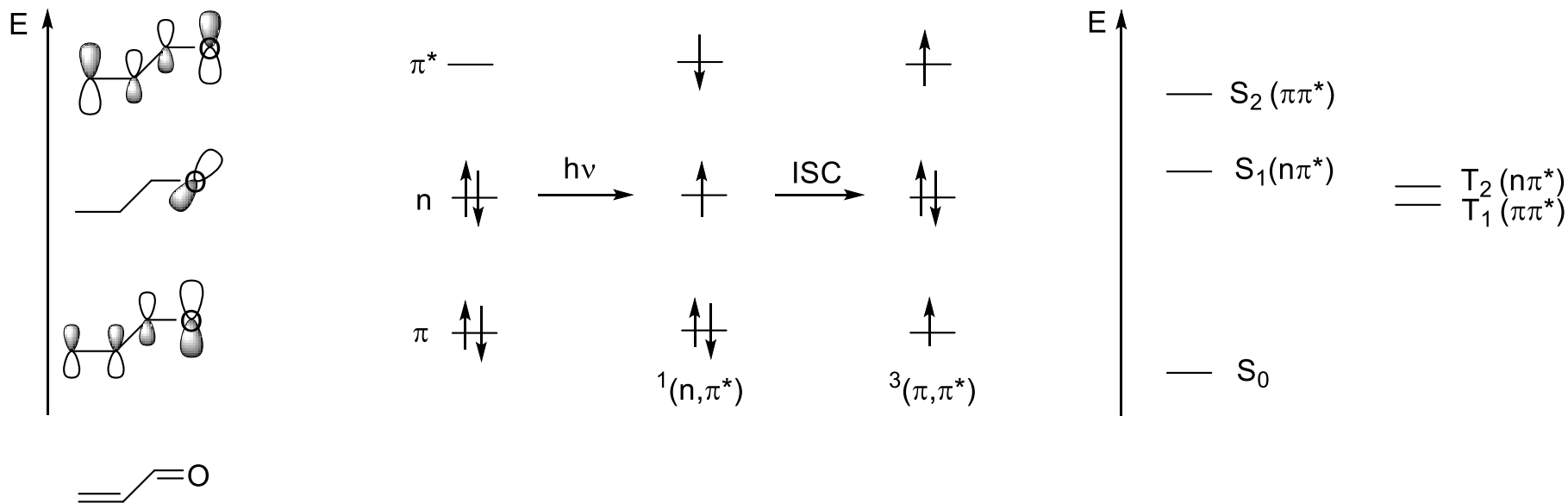
A. INTRODUCTION

Enone:



X = O, NR, ...

Photoactivation of enones

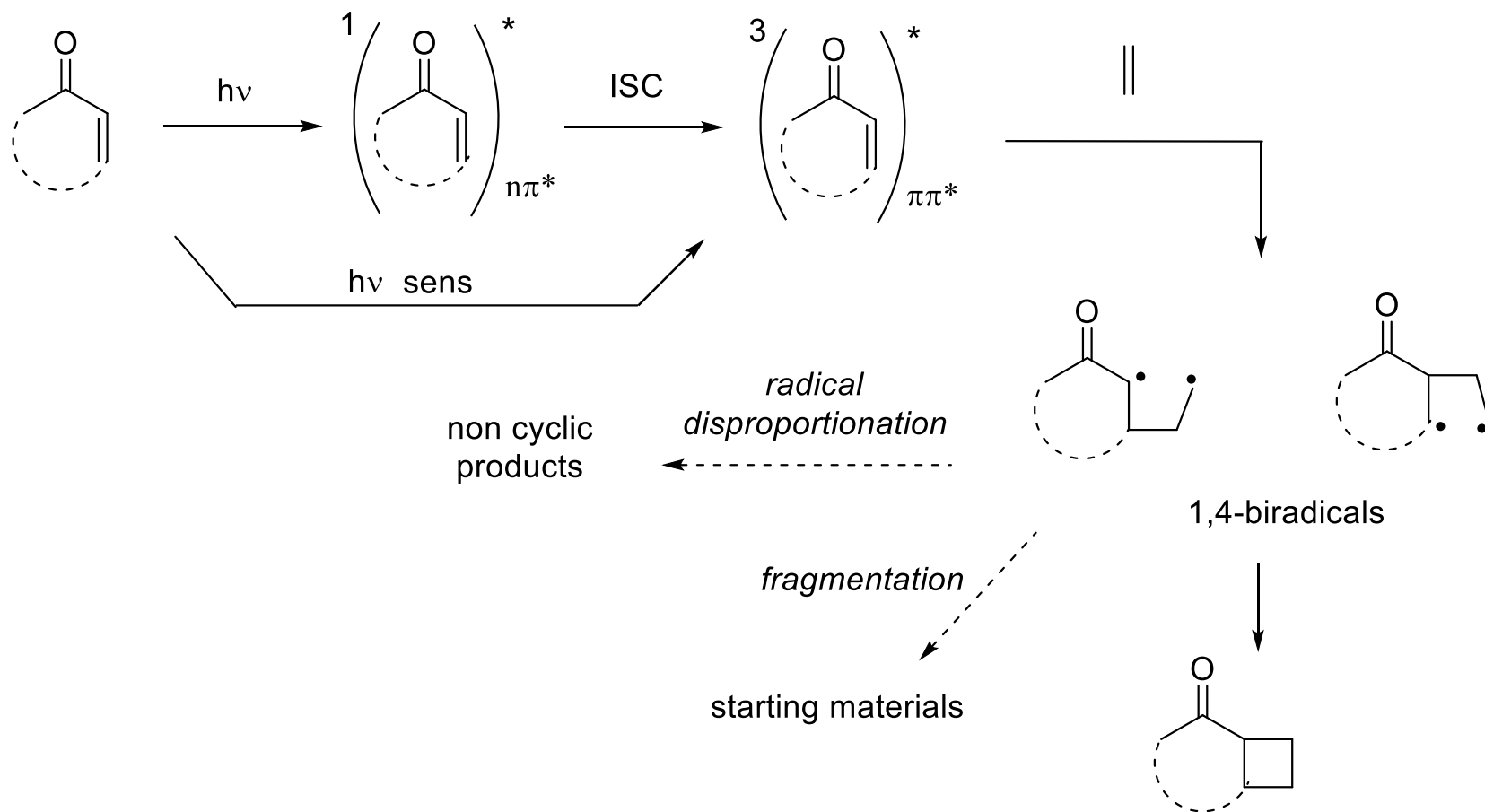


The transition $n \rightarrow \pi^*$ is implicated

For enones : $\lambda_{\text{max}} = 310\text{-}340 \text{ nm}$ ($\epsilon \sim 10^2$)

Inter-system crossing is efficient, leading to the $T_1(\pi \pi^*)$ excited state

Mechanism of the [2+2] photocycloaddition of enones



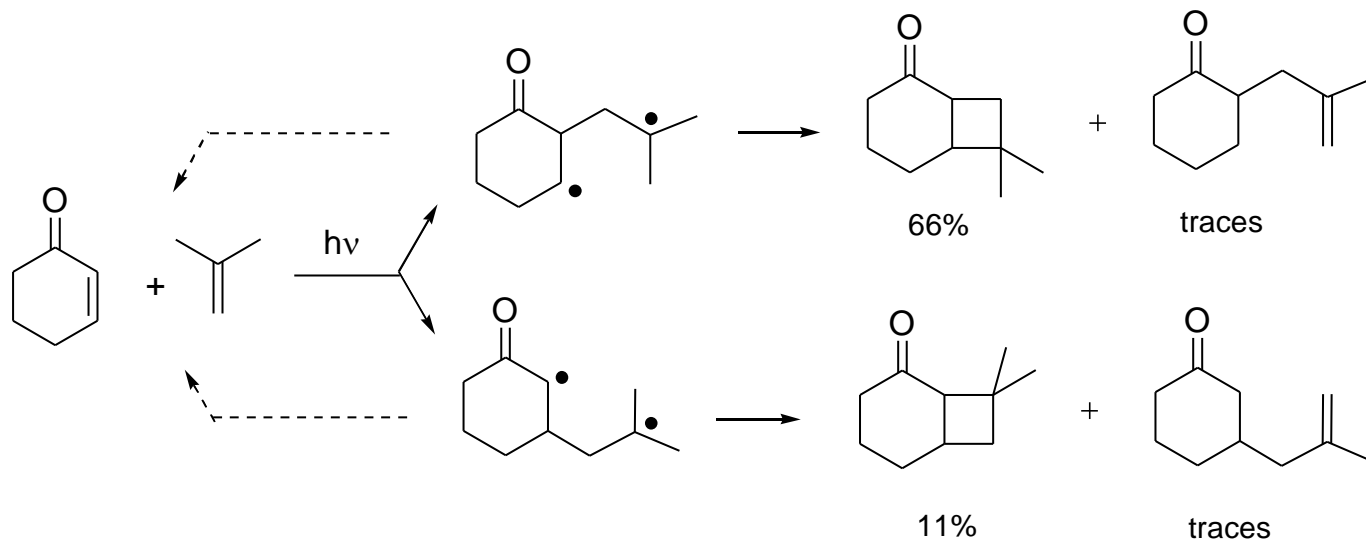
B. [2+2] PHOTOCYCLOADDITIONS BETWEEN ENONES AND ALKENES

In order to control selectivity, only the enone is photoactivated

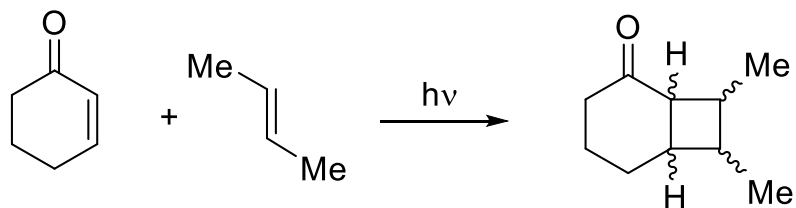
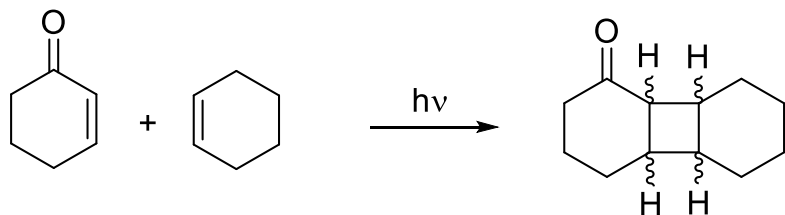
The alkene remains in its electronic ground state throughout the reaction process

1. Intermolecular Reactions

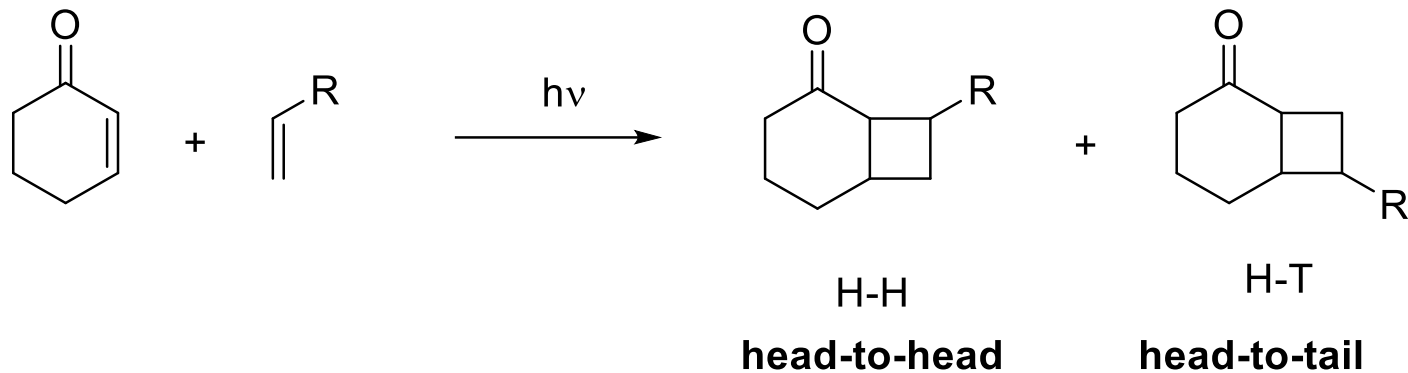
Case study examples :



raises the question of **regioselectivity**



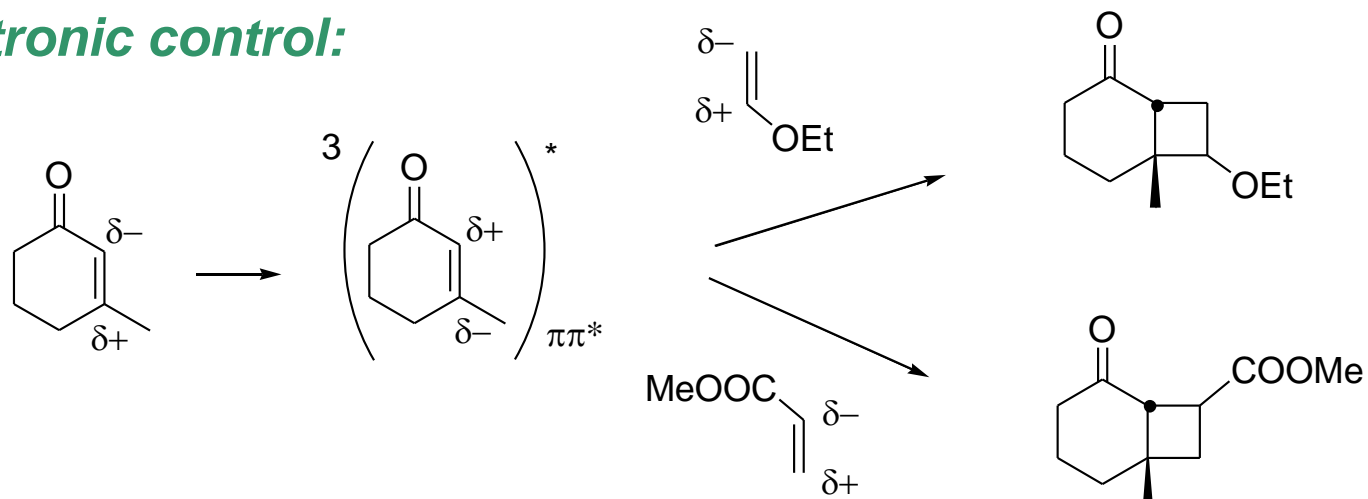
Regioselectivity



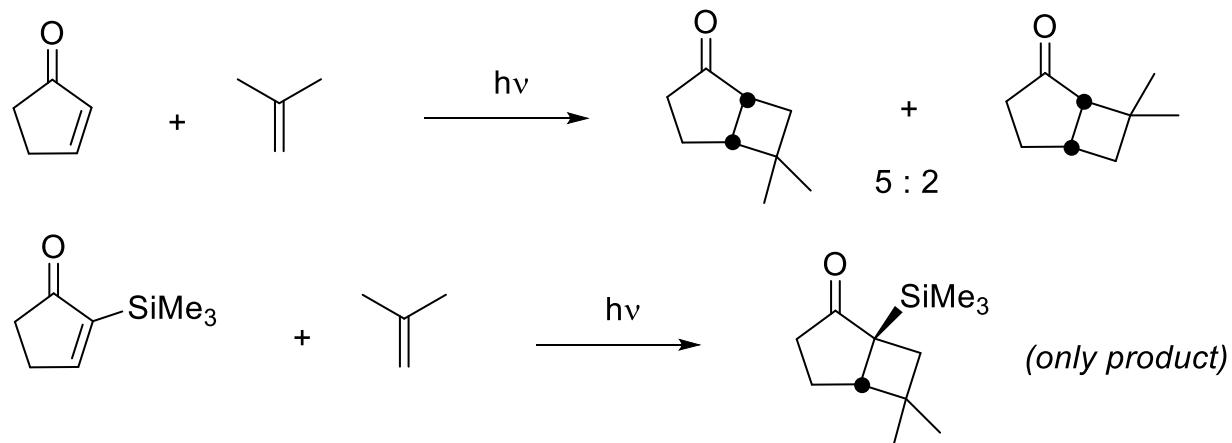
How can regioselectivity be controlled?

Regioselectivity

Electronic control:



Steric control:



Stereoselectivity

Ring junction:

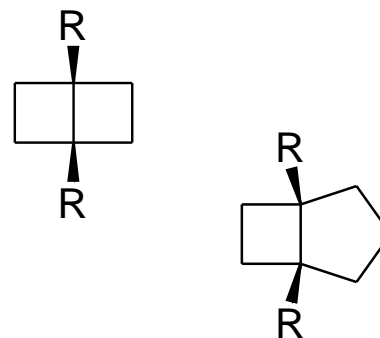
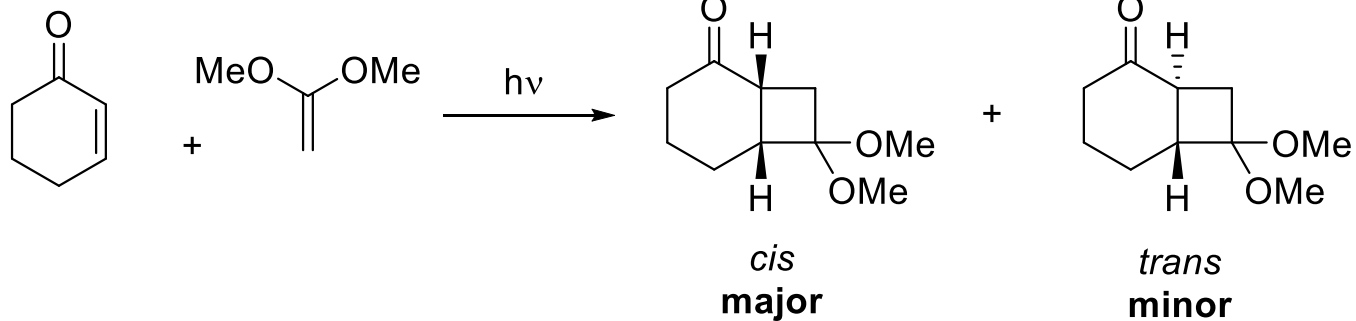
4:4 : *cis* exclusively

4:5 : *cis* exclusively

4:6 : *cis/trans* variable

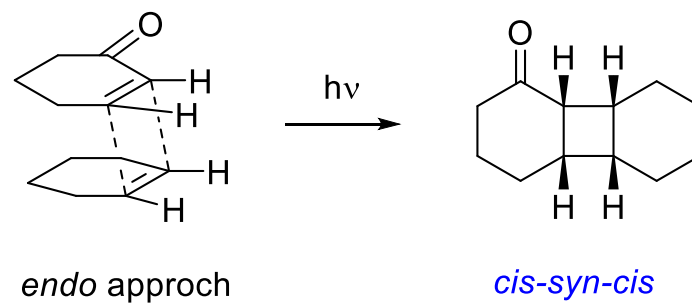
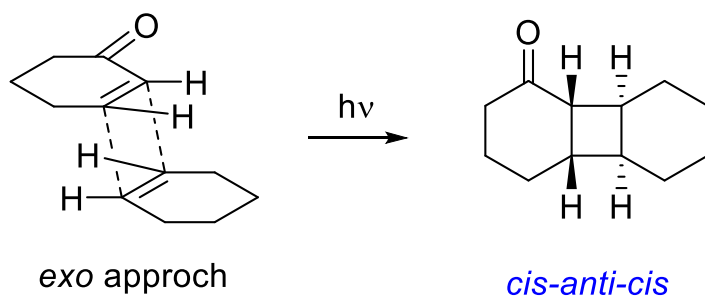
(from 100/0 to 50/50)

Example:



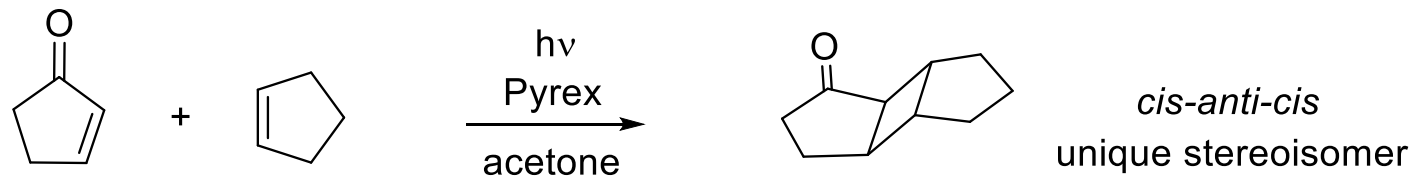
Stereoselectivity

endo / exo approach selectivity:

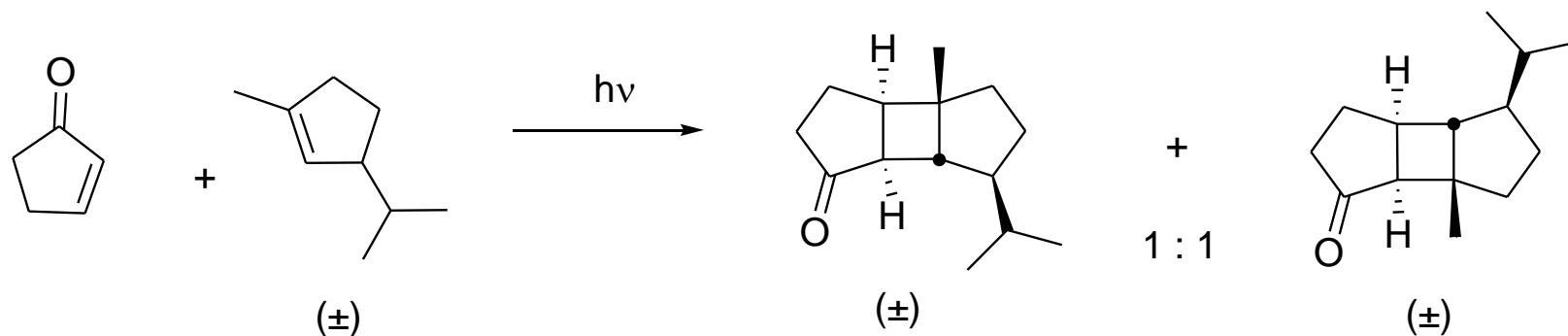


sterically favored

Example:



Example:



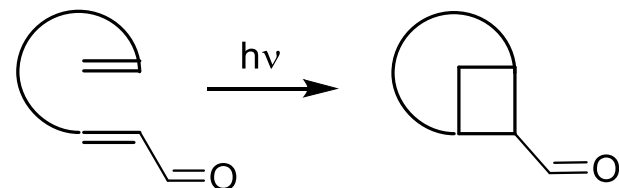
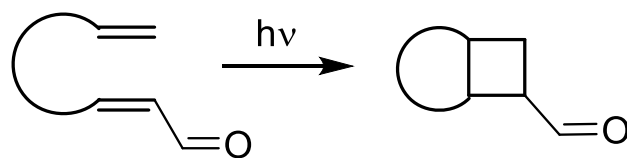
No regioselectivity H-H : H-T

Complete *cis-anti-cis* stereoselectivity
4:5 ring junction and *exo/endo*

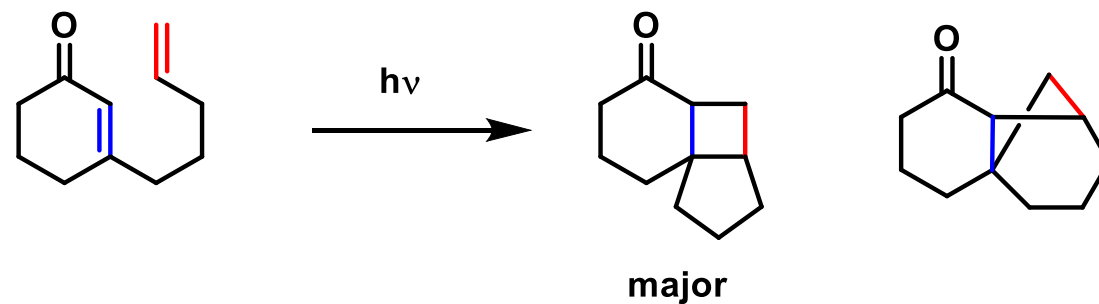
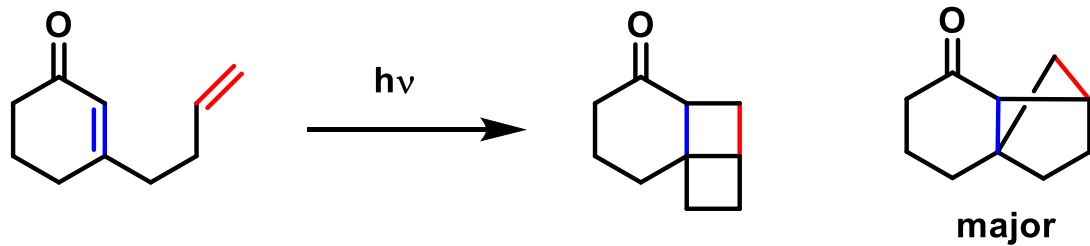
Complete *exo* stereoselectivity
steric bulk of the *iso-propyl* substituent

2. Intramolecular Reactions

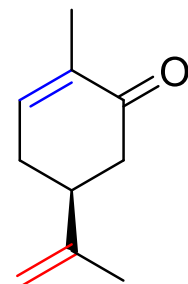
two possibilities :



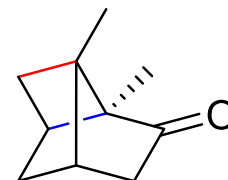
Rule of fives:



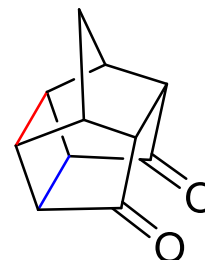
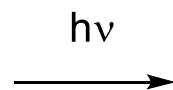
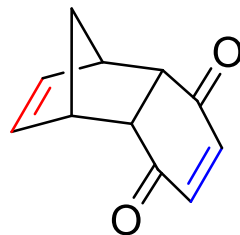
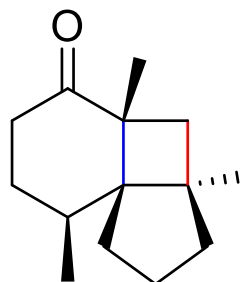
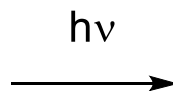
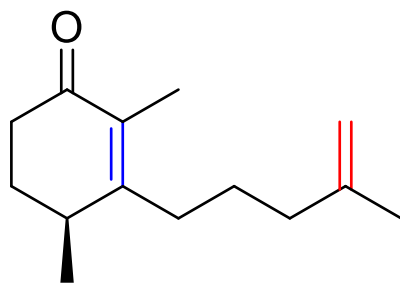
Examples:



carvone



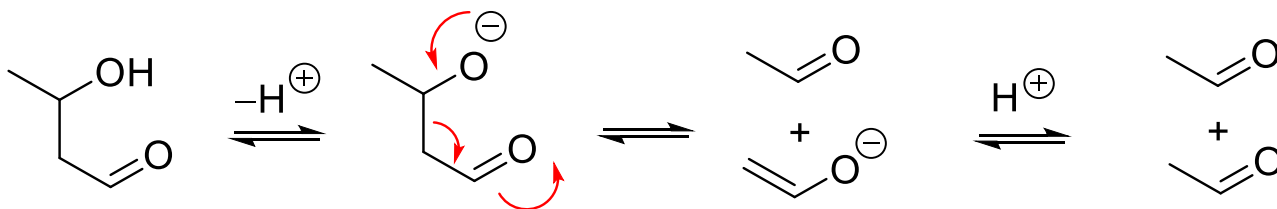
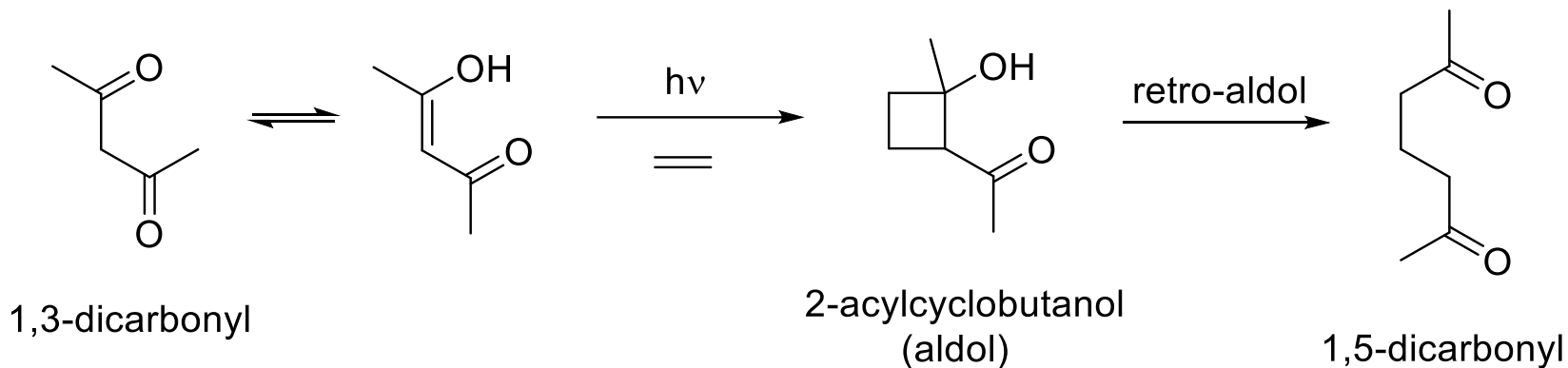
photocarvone
(Ciamician, 1908)



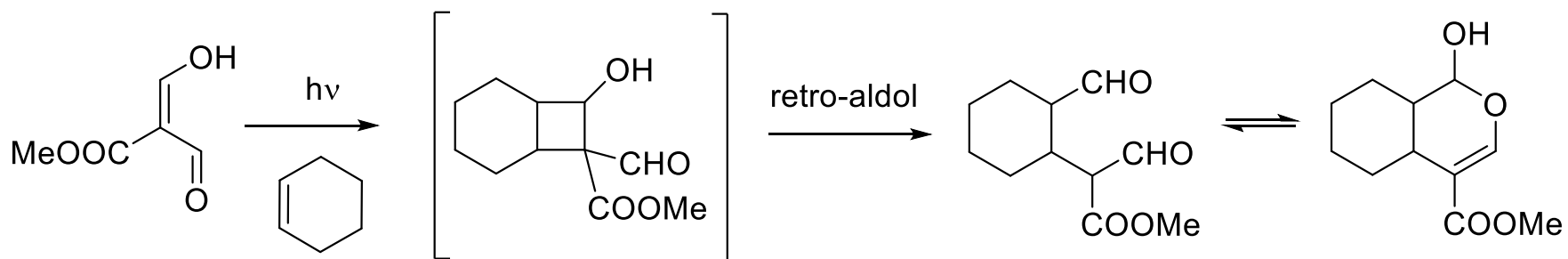
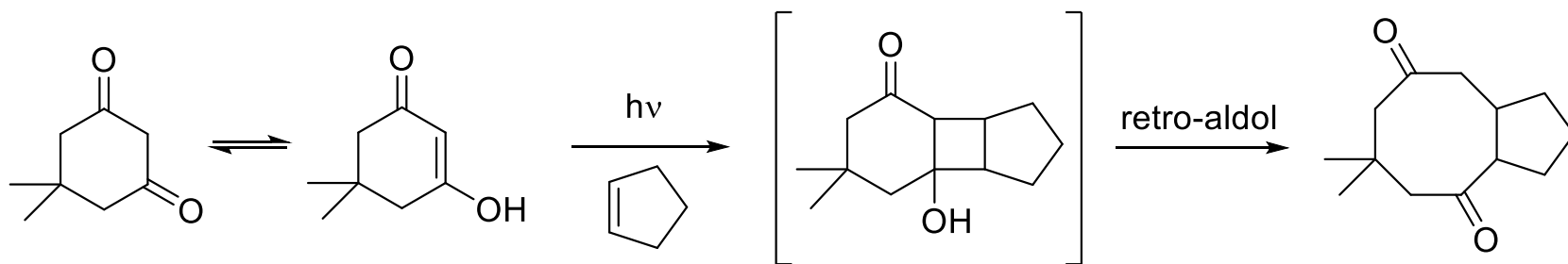
3. Reactions using β -dicarbonyls

De Mayo reaction

The enol form of a β -dicarbonyl is a β -hydroxy-enone

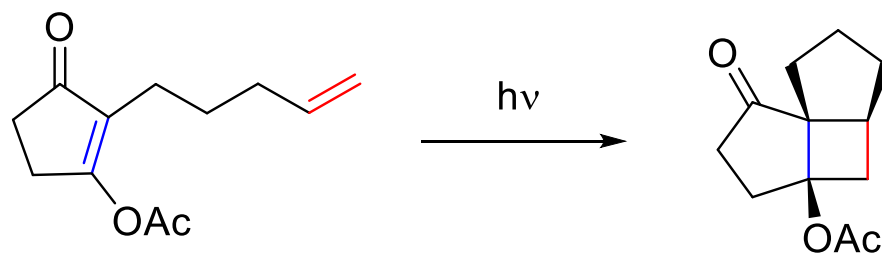
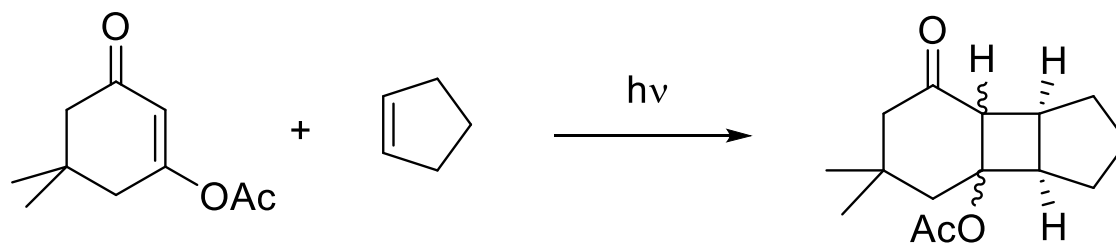


Examples:

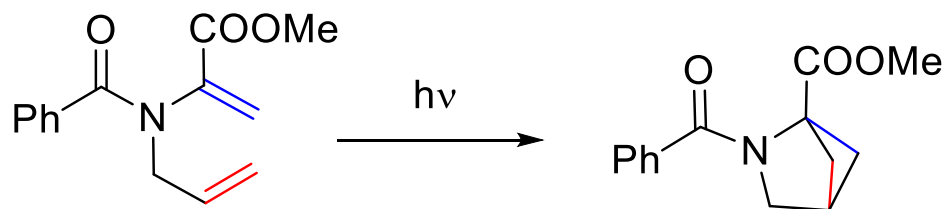
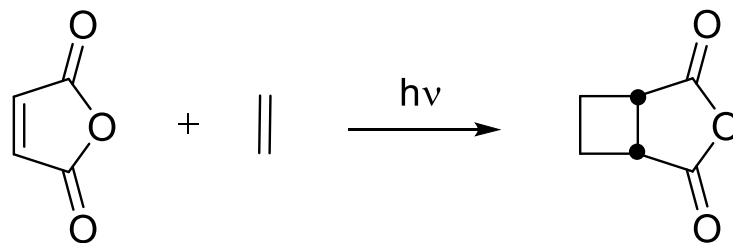
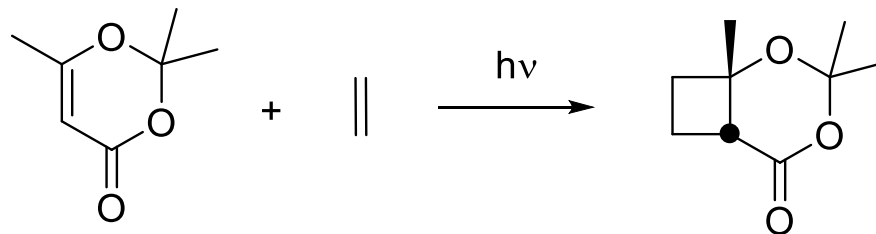
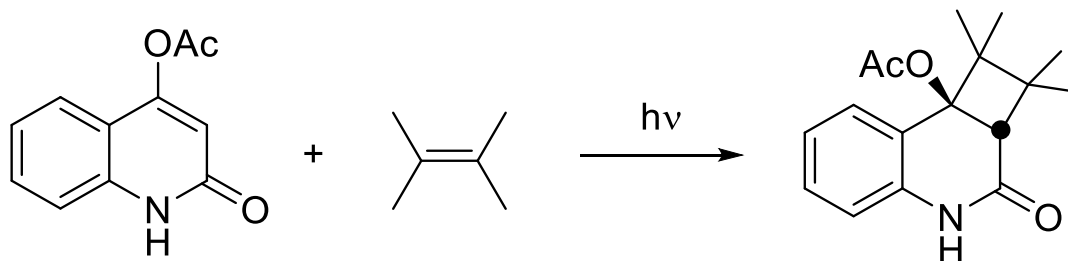


When the aldol is protected, no retro-aldol reaction occurs

Examples:



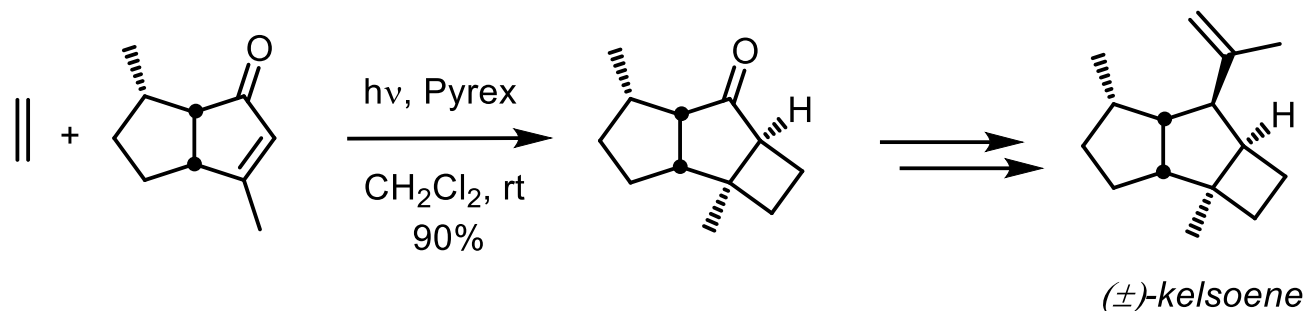
4. Reactions using other enone systems



C. EXAMPLES OF APPLICATIONS IN ORGANIC SYNTHESIS

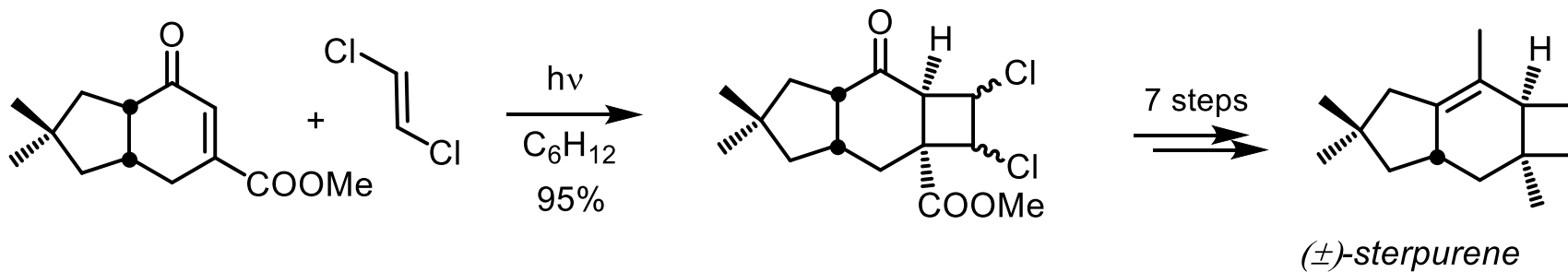
• **Synthesis of (\pm)-kelsoene** *natural product from a marine sponge*

Synthesis **2001**, 2138

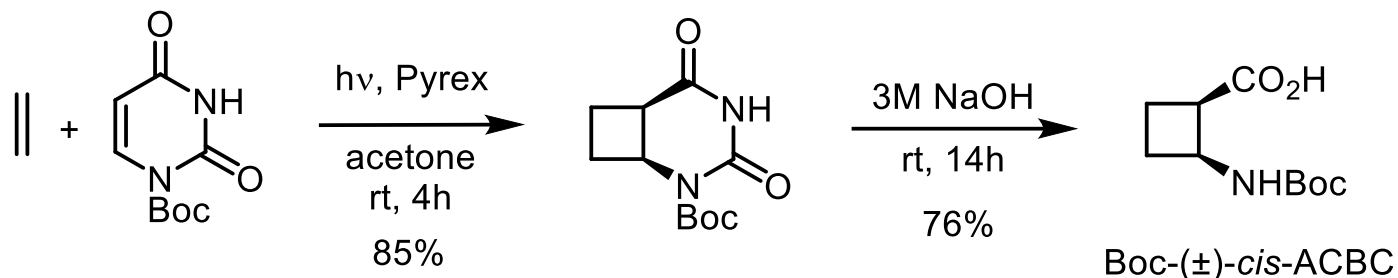


• **Synthesis of (\pm)-sterpurene** *sesquiterpene metabolite from the fungus which causes silver leaf disease*

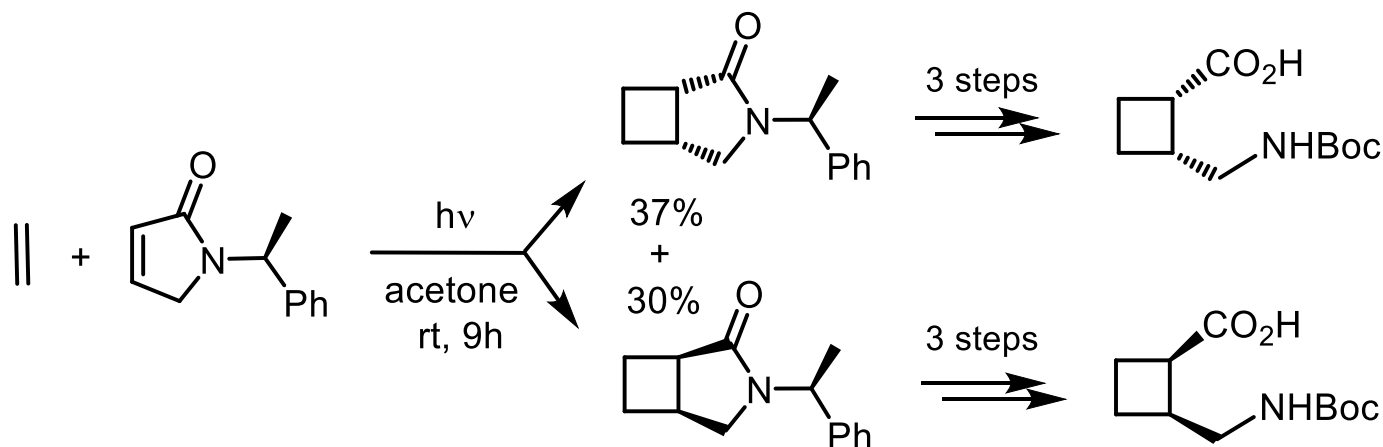
Tetrahedron Lett. **2002**, 43, 703



- Synthesis of a cyclobutane β -aminoacid** *building block for helical peptide foldamers*
Amino Acids **2011**, 41, 587



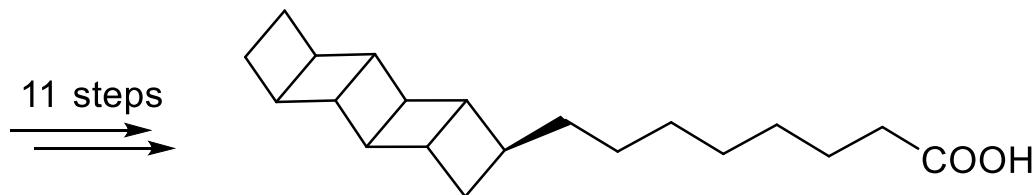
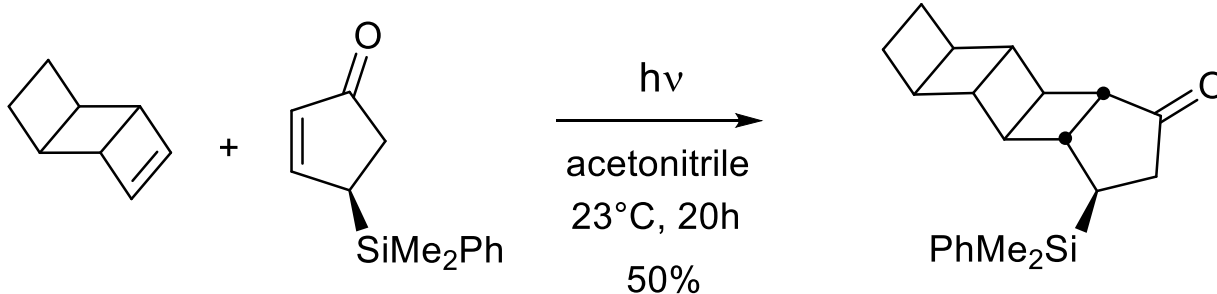
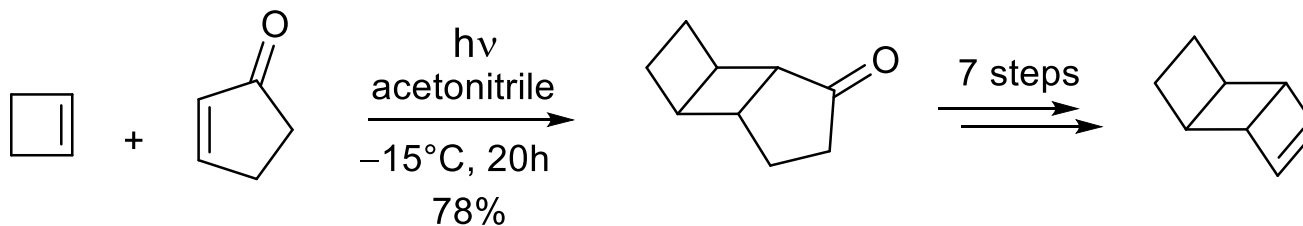
- Synthesis of a cyclobutane γ -aminoacid** *analog of the neurotransmitter GABA*
Tetrahedron **2013**, 69, 3571



• **Synthesis of (+)-pentacycloanammoxic acid**

J. Am. Chem. Soc. **2006**, 128, 3118

*an unusual fatty acid found in the anaerobic
microbe Candidatus Brocadia anammoxidans*

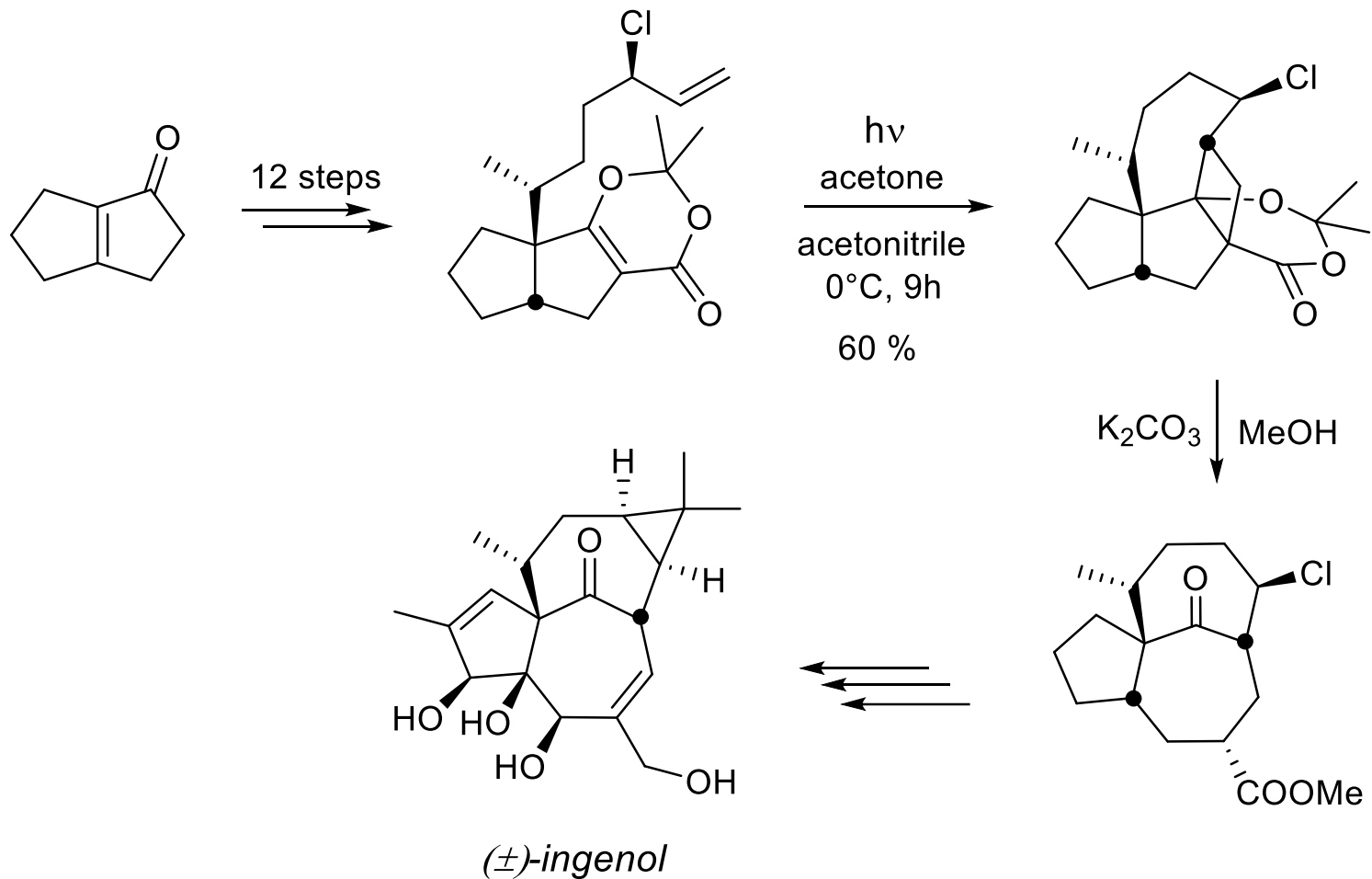


(+)-pentacycloanammoxic acid

• **Synthesis of (\pm)-ingenol**

J. Am. Chem. Soc. **2002**, 124, 9726

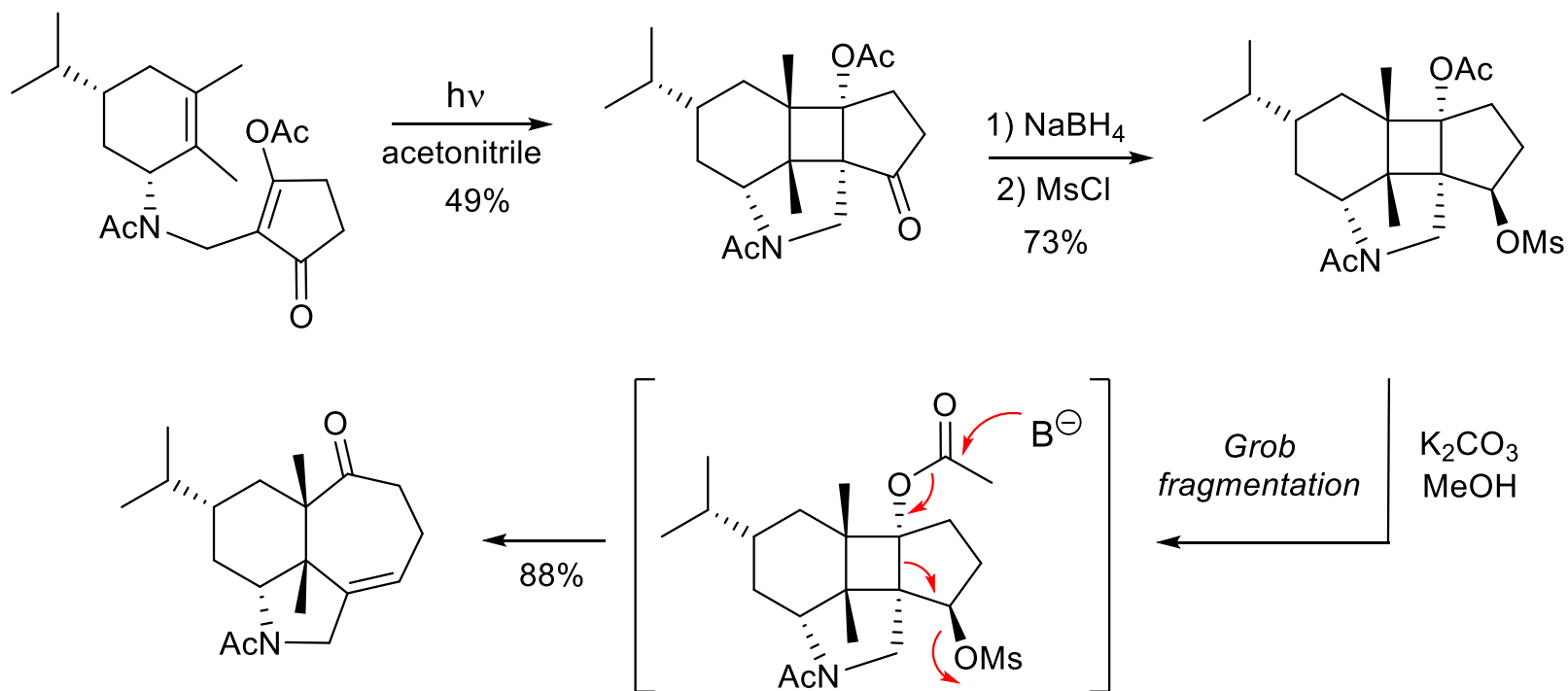
diterpene found in milkweed; its mebutate ester is used as a drug to treat actinic keratosis



• Synthesis of the tricyclic core of *Calyciphylline* alkaloids

Org. Lett. 2011, 13, 1812

Calyciphylline-type *Daphniphyllum* alkaloids share a common [5-6-7] tricyclic core



Calyciphylline alkaloid
[5-6-7] tricyclic core

Photochemistry of Carbonyls

Introduction

1. Norrish-I: α -Cleavage reactions

- 1.1 Hydrogen transfer reactions
- 1.2 Ring expansion reactions

2. Norrish-II: Intramolecular γ -hydrogen abstraction

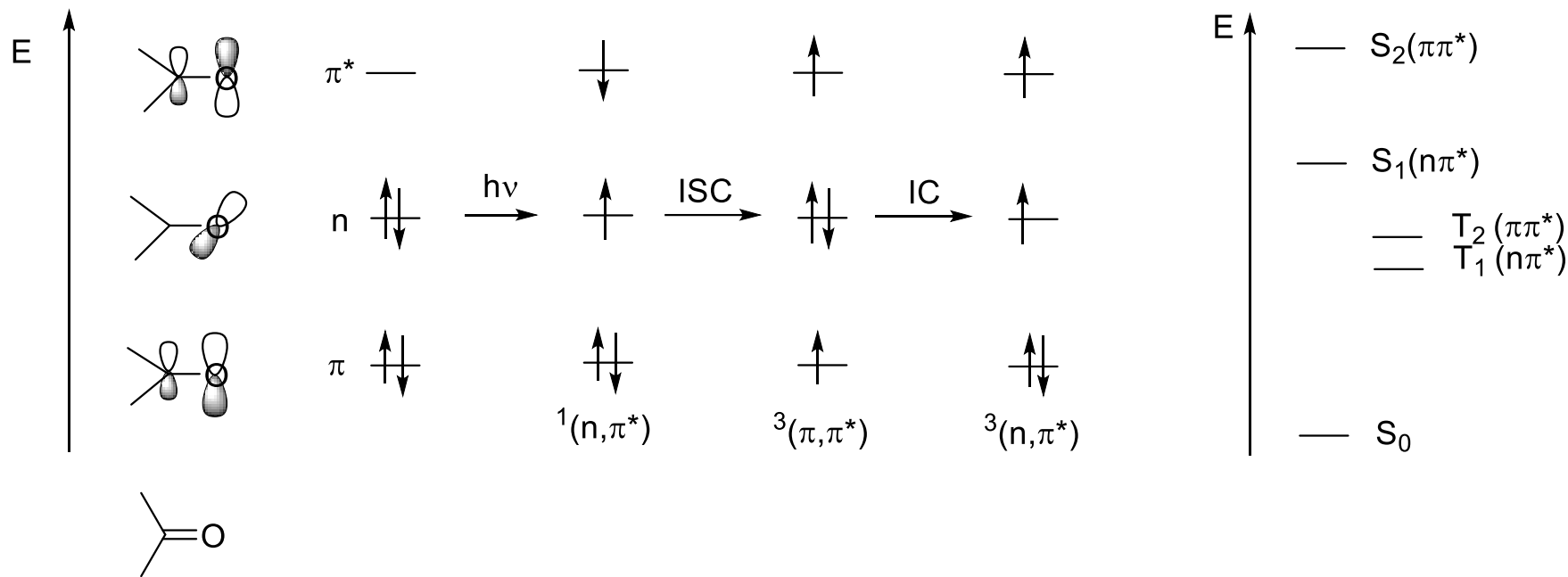
- 2.1 Fragmentation of acyclic ketones
- 2.2 Yang cyclization reactions
- 2.3 Photoenolisation

3. Reactions with alkenes: the Paternò-Büchi reaction

- 3.1 Intermolecular reactions
- 3.2 Intramolecular reactions

Introduction

Photoactivation :



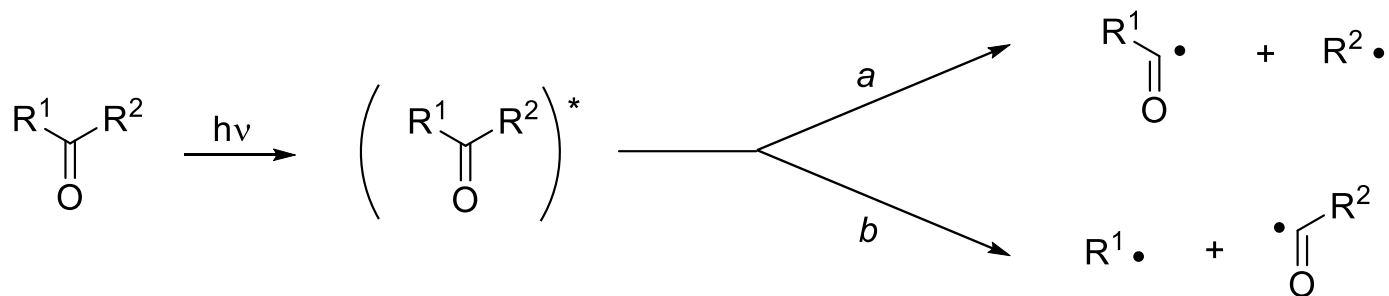
The transition $n \rightarrow \pi^*$ plays a key role in carbonyl photochemistry

saturated aldehydes and ketones: $\lambda_{\max} = 280\text{-}300 \text{ nm}$; $\epsilon \sim 20$

α,β -unsaturated or aromatic aldehydes and ketones: $\lambda_{\max} = 300\text{-}350 \text{ nm}$; $\epsilon \sim 100$

1. Norrish-I: α -Cleavage Reactions

Primary photochemical process:



When $\text{R}^1 \neq \text{R}^2$, two paths a and b , are possible.

The preferred path involves cleavage of the weaker bond, and/or formation of the more stable alkyl radical

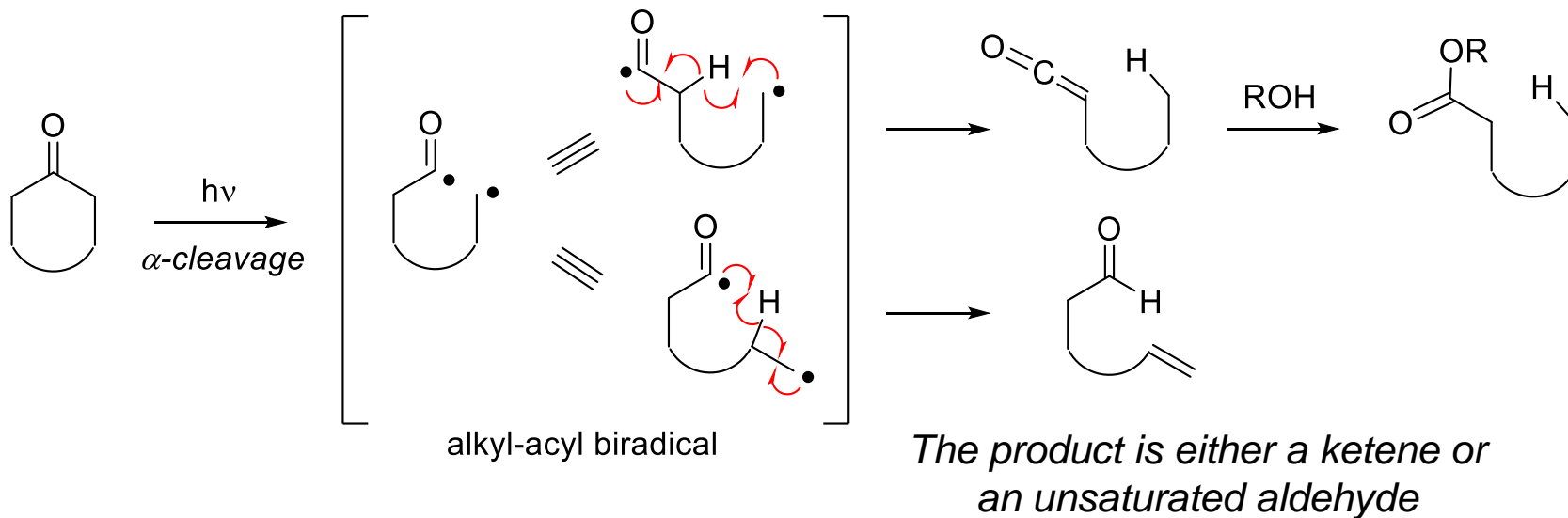
Remarks :

For aldehydes ($\text{R}^1 = \text{H}$), path a is always followed (formation of $\text{H}\cdot$ disfavored)
 α -Cleavage is rare for aromatics: $E(\lambda_{\text{max}}) < E(\text{C-C})$

Most synthetically useful for cyclic aliphatic ketones

Most frequent evolution of radicals: recombination to give starting material

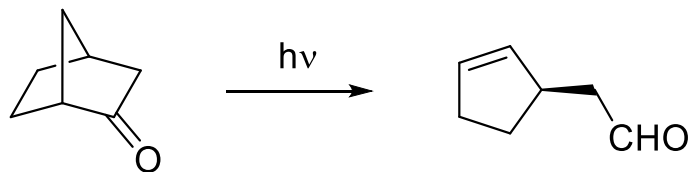
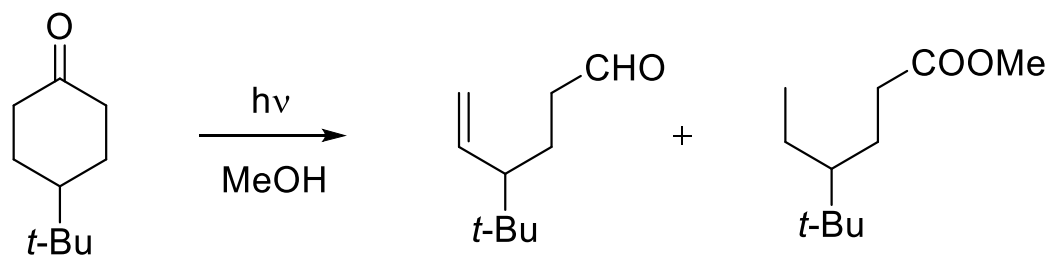
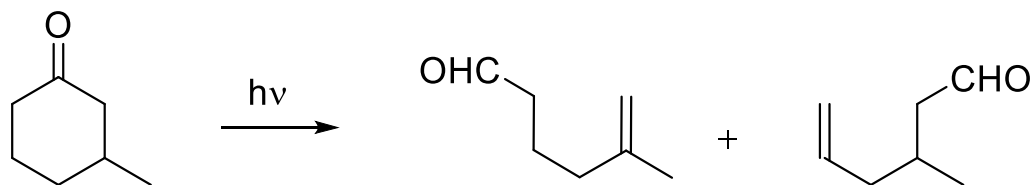
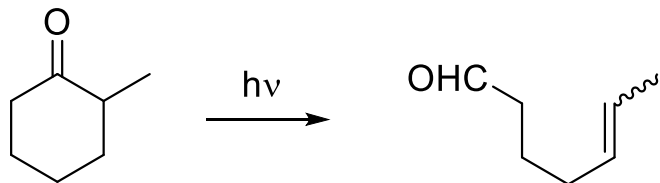
1.1. Hydrogen Transfer Reactions



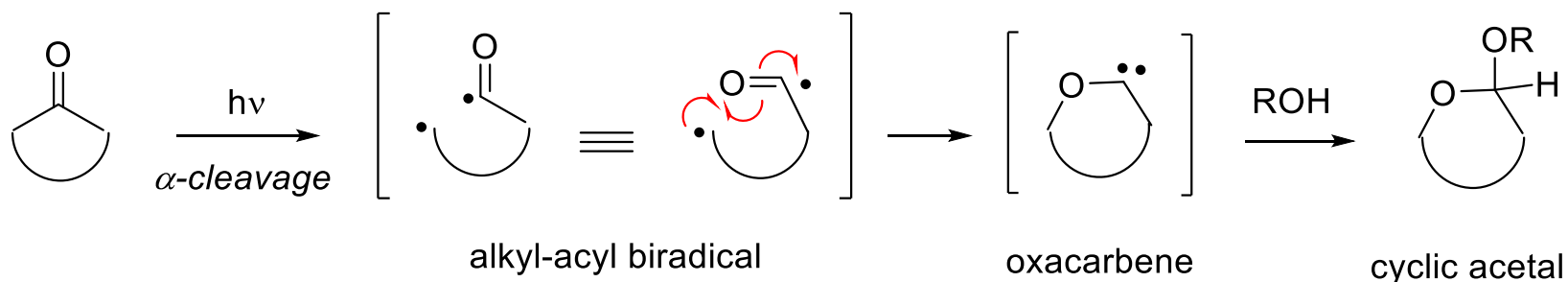
When a ketene is formed, it generally reacts with the (protic) solvent to give a carboxylic acid or ester.

This **secondary process** is often observed for cyclopentanones and cyclohexanones (after the α -cleavage primary process).

Examples :



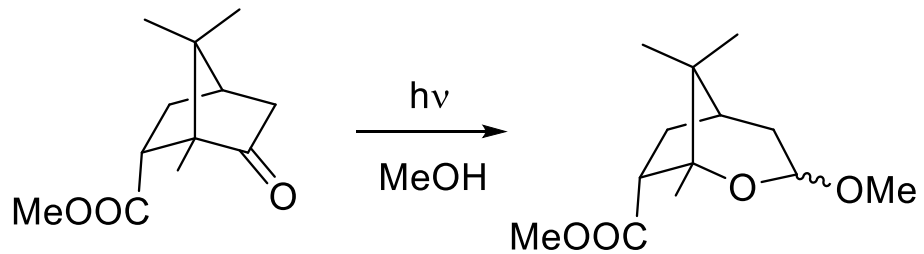
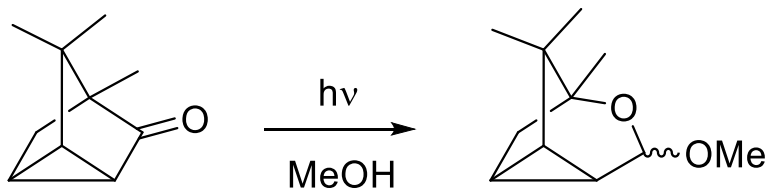
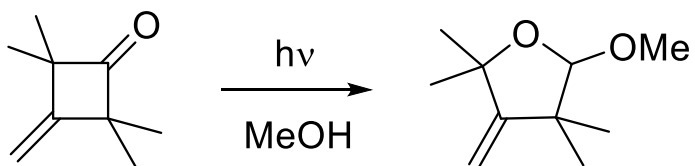
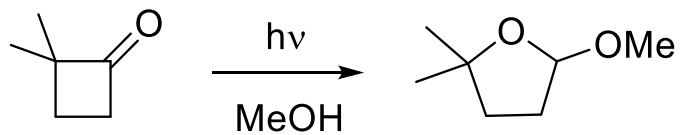
1.2. Ring Expansion Reactions



The oxacarbene generally reacts with the (protic) solvent to give a cyclic acetal.

This **secondary process** is often observed for small-ring and/or strained bicyclic ketones.

Examples :

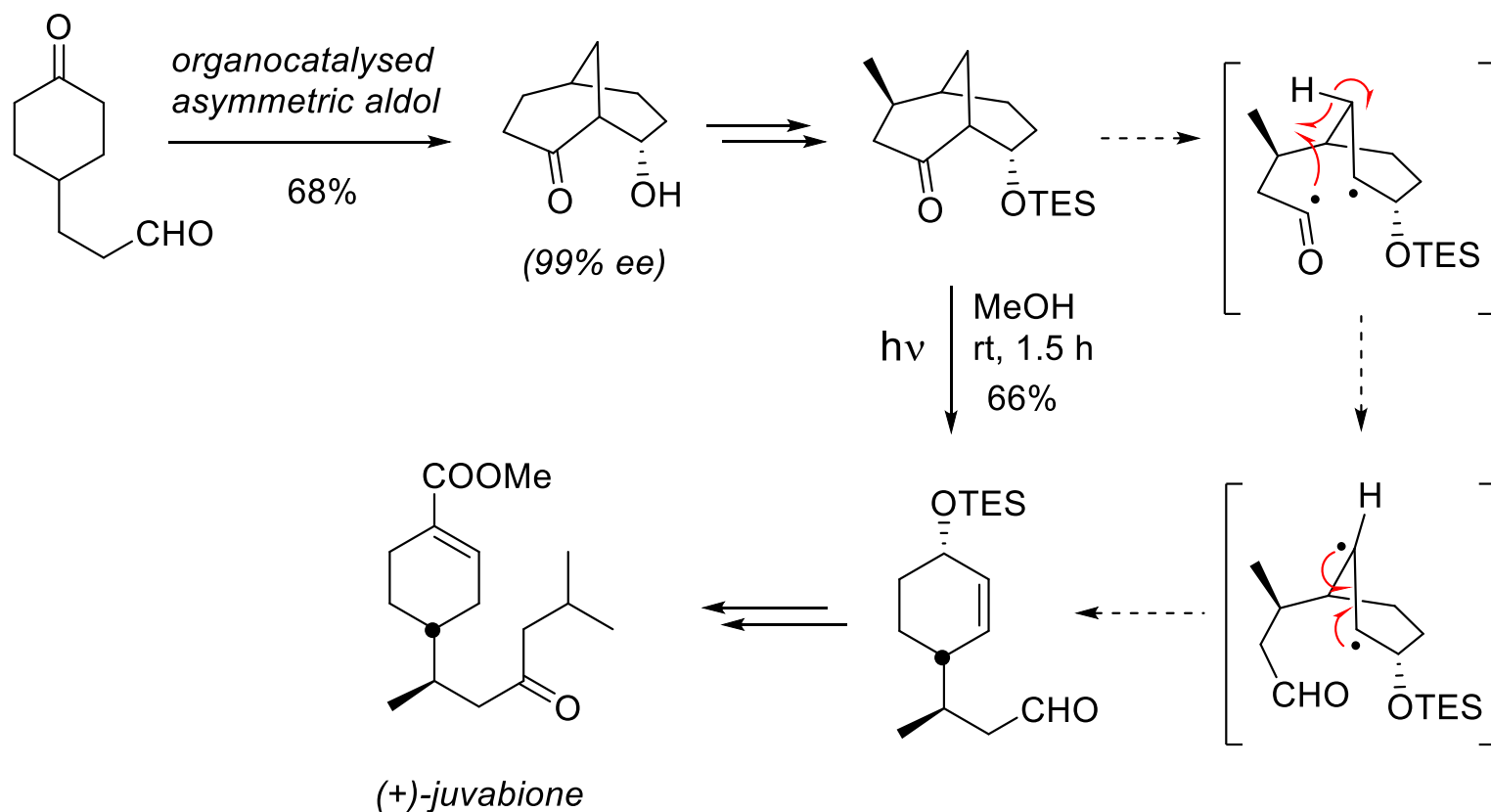


Examples in organic synthesis

• **Synthesis of (+)-juvabione**

Chem. Commun. **2007**, 1175

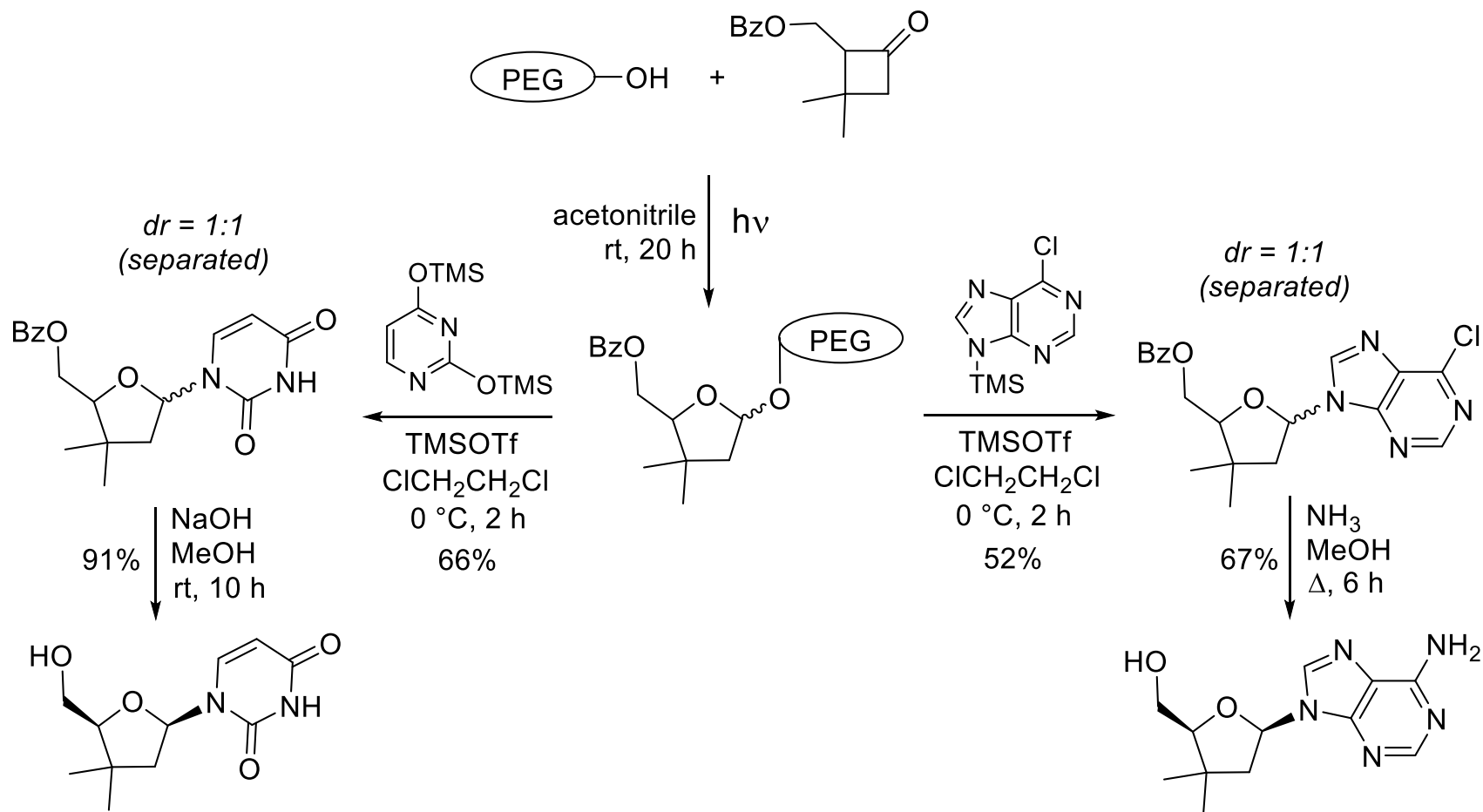
natural sesquiterpene exhibiting insect juvenile hormone activity



Examples in organic synthesis

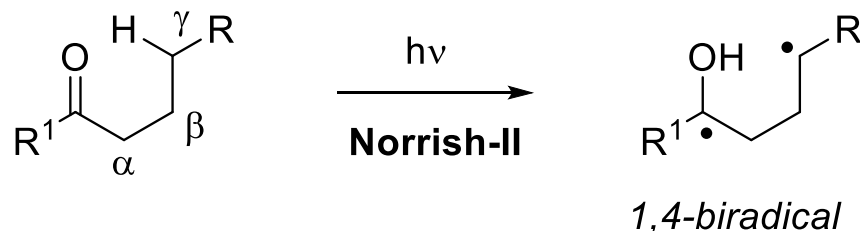
• *Synthesis of analogues of nucleosides*

Org. Lett. 2002, 4, 4415



2. Norrish-II: γ -Hydrogen Abstraction

Primary photochemical process:



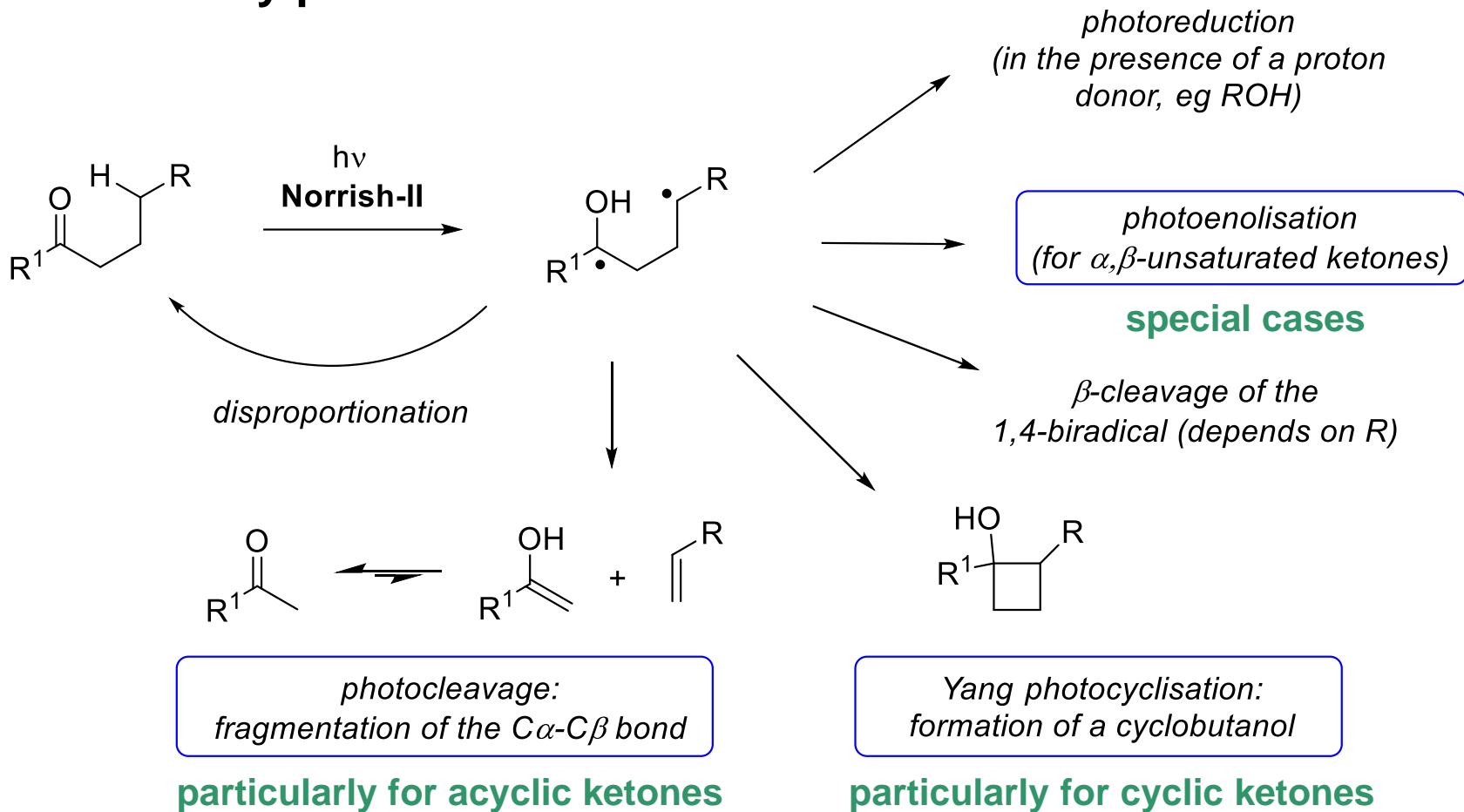
If a hydrogen atom at the γ -position is accessible, the Norrish-II process is favored for many ketone and aldehydes

Remarks:

Often observed for acyclic ketones (possible for cyclic ketones too)

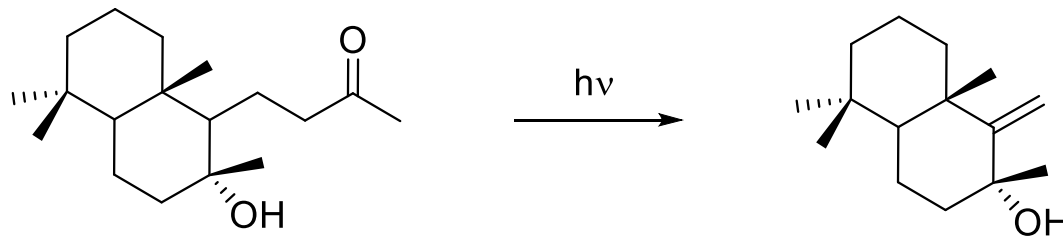
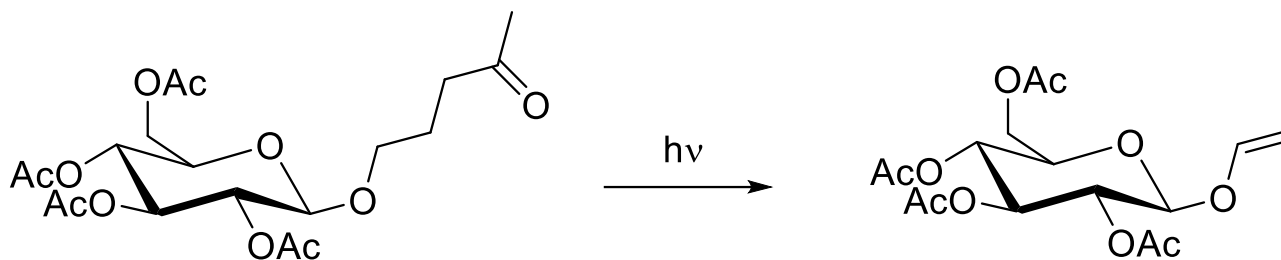
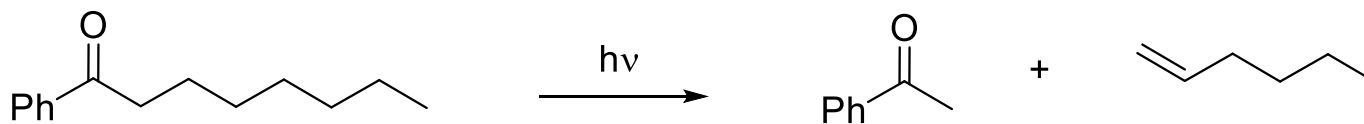
Abstraction of β - or δ - hydrogens is much less common

Secondary processes:



2.1. Fragmentation of Acyclic Ketones

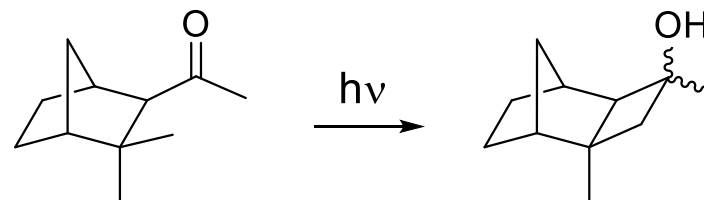
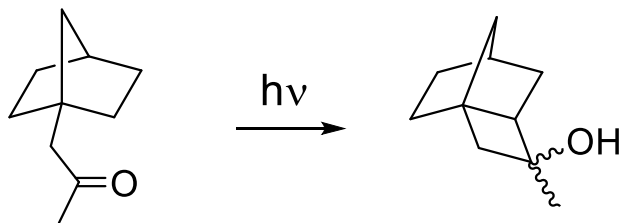
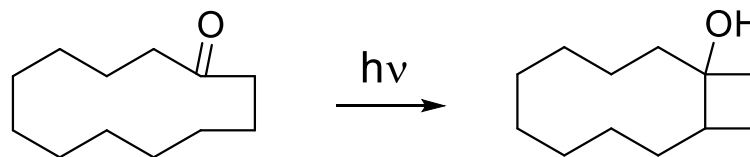
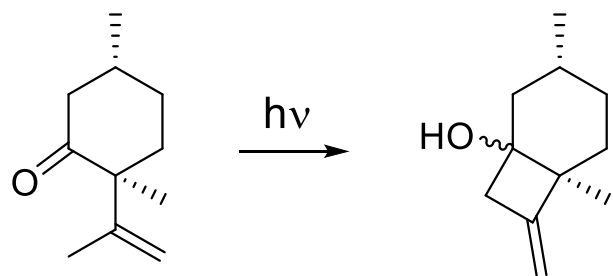
Examples:



2.2. Yang Cyclisation Reactions

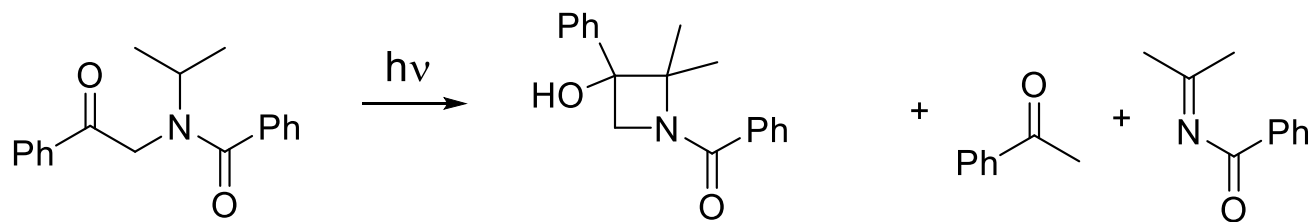
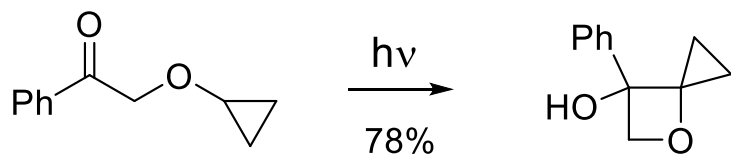
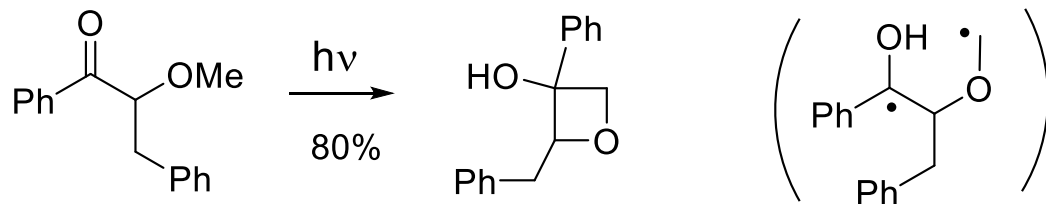
2.2.1 Formation of cyclobutanols

Examples:



2.2.2 Formation of 4-membered ring heterocyclic alcohols

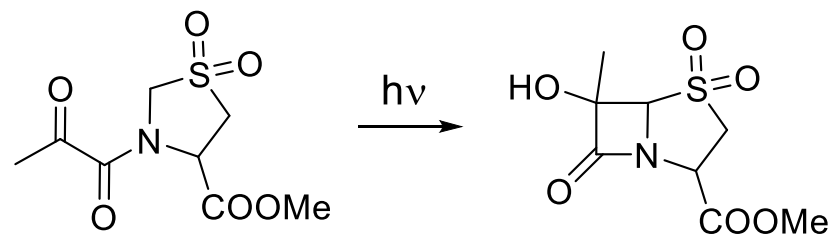
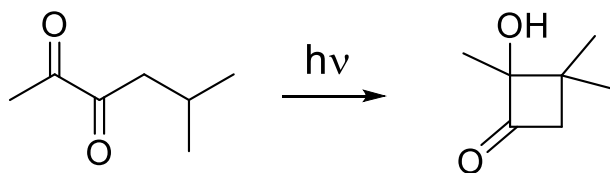
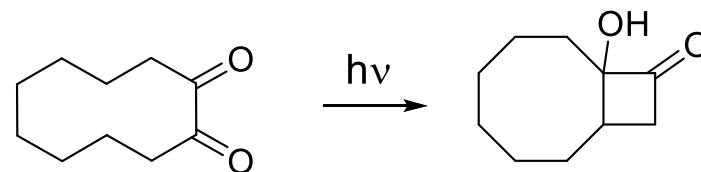
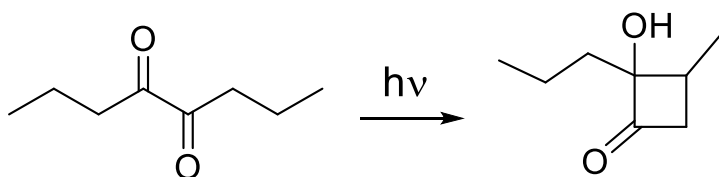
Examples:



2.2.3 Formation of 2-hydroxycyclobutanones

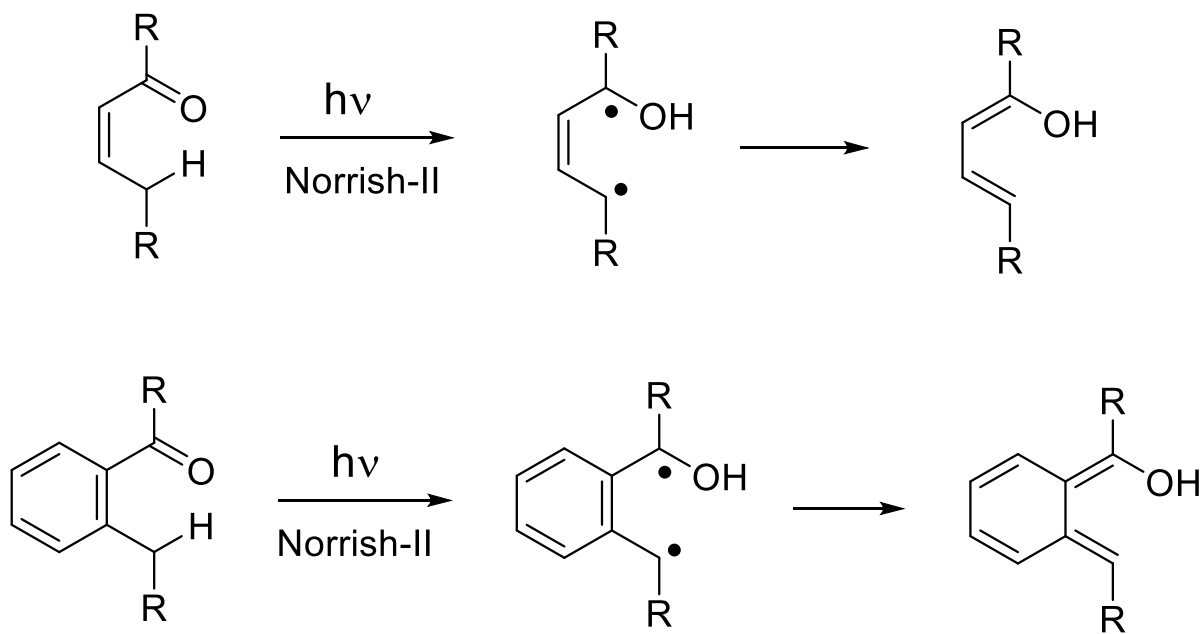
The substrates are α -diketones: $\lambda_{\max} \sim 400 \text{ nm}$

Examples:

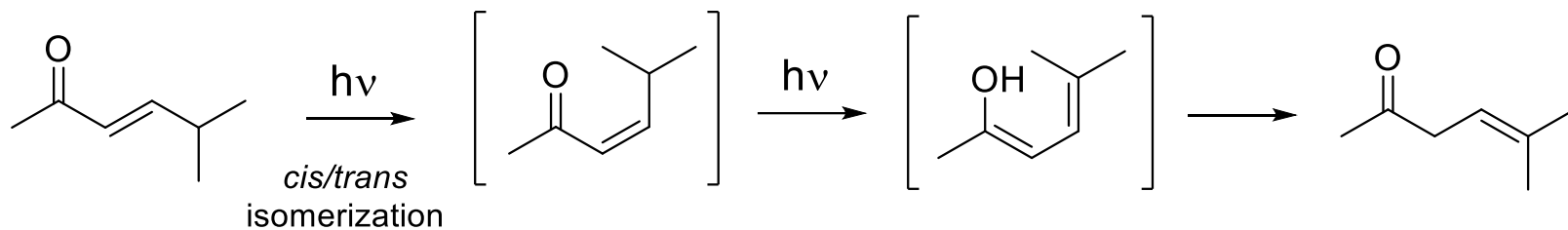


2.3. Photoenolisation

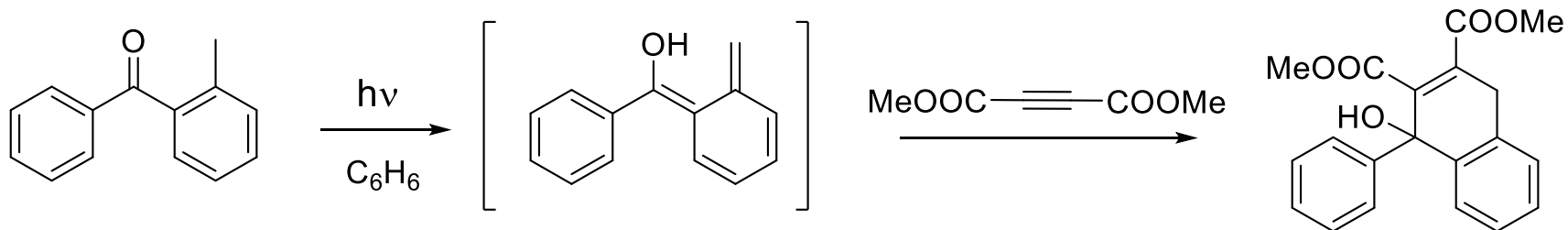
This **secondary process** can only occur with α,β -unsaturated ketones or *ortho*-substituted aromatic ketones (following the Norrish-II primary process)



2.3.1 Photodeconjugation



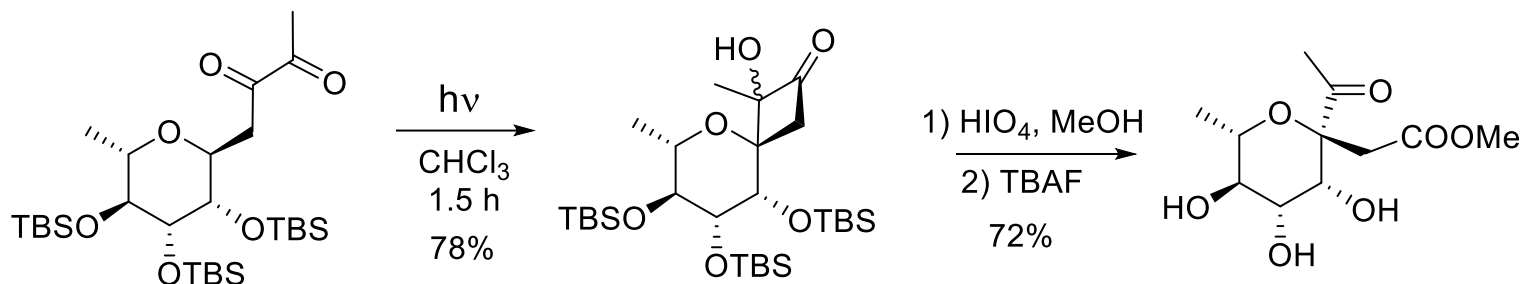
2.3.2 Tandem Norrish-II / 4+2 Cycloaddition



Examples in organic synthesis

- **Novel C-ketoside derivative** *sugar-fused γ -keto ester building block*

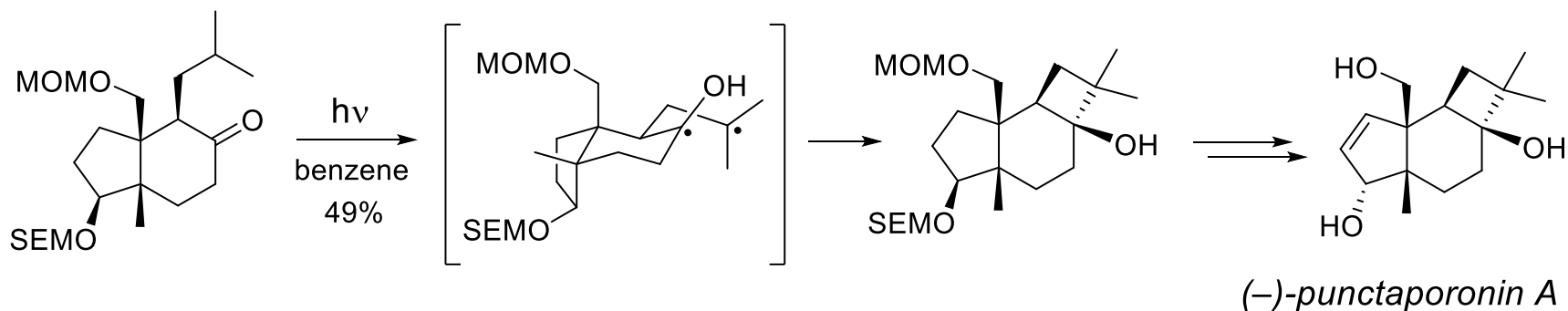
J. Org. Chem. **2008**, 73, 3384



- **Synthesis of (-)-punctaporonin A**

*an antibiotic metabolite from the dung fungus *Poronia punctata**

J. Am Chem. Soc. **1987**, 109, 3017

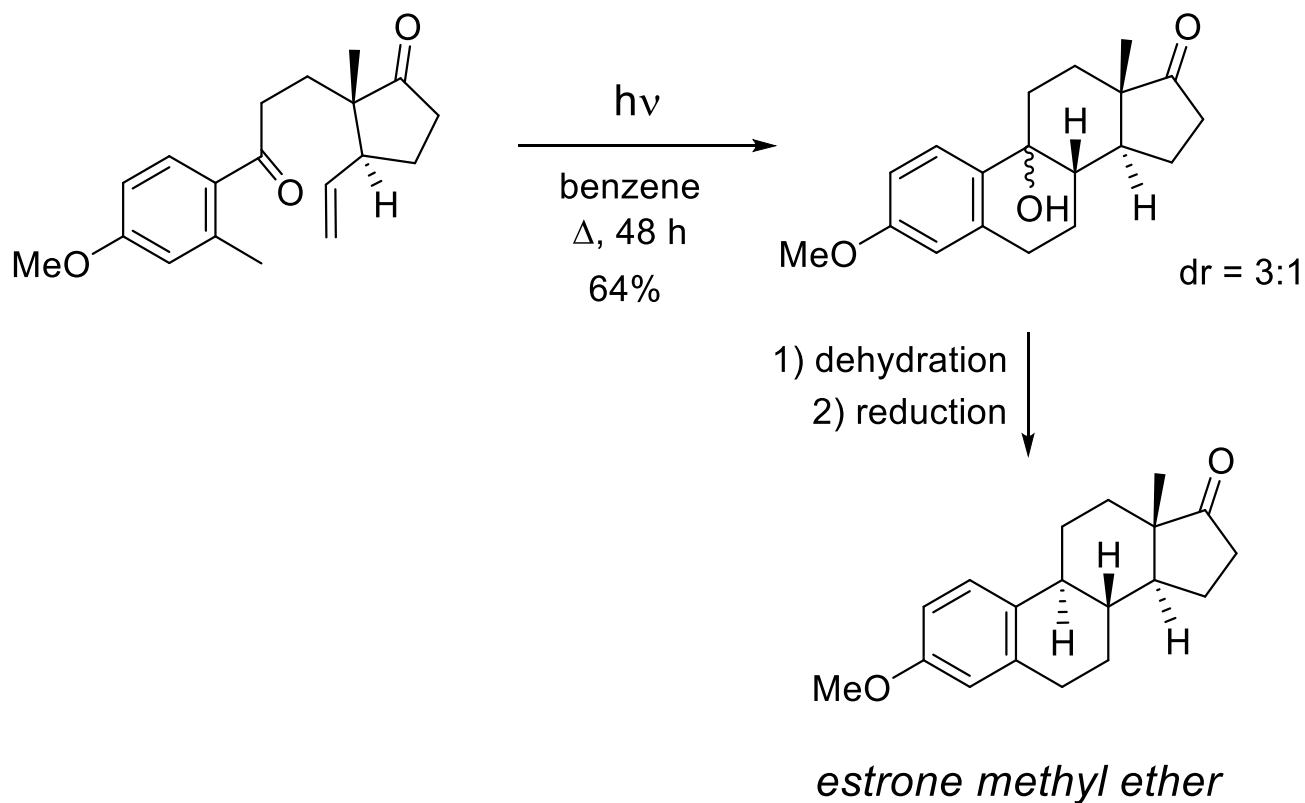


Examples in organic synthesis

- **Synthesis of estrone methyl ether**

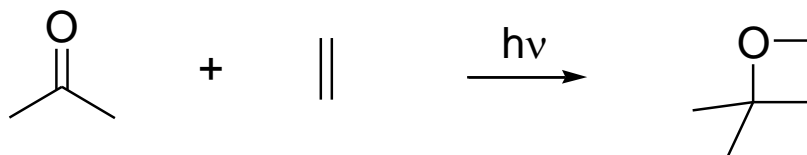
Ann. Chem. **1981**, 2335

*synthetic estrogen, precursor of
mestranol (oral contraceptive)*



3. Reactions With Alkenes: The Paternò-Büchi Reaction

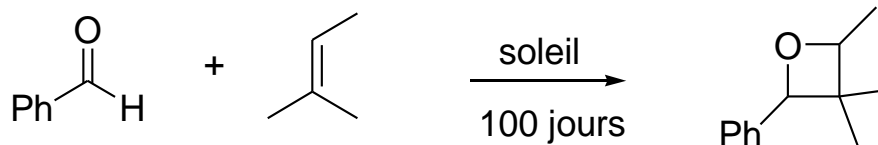
Introduction:



2+2 Photocycloaddition to provide an oxetane

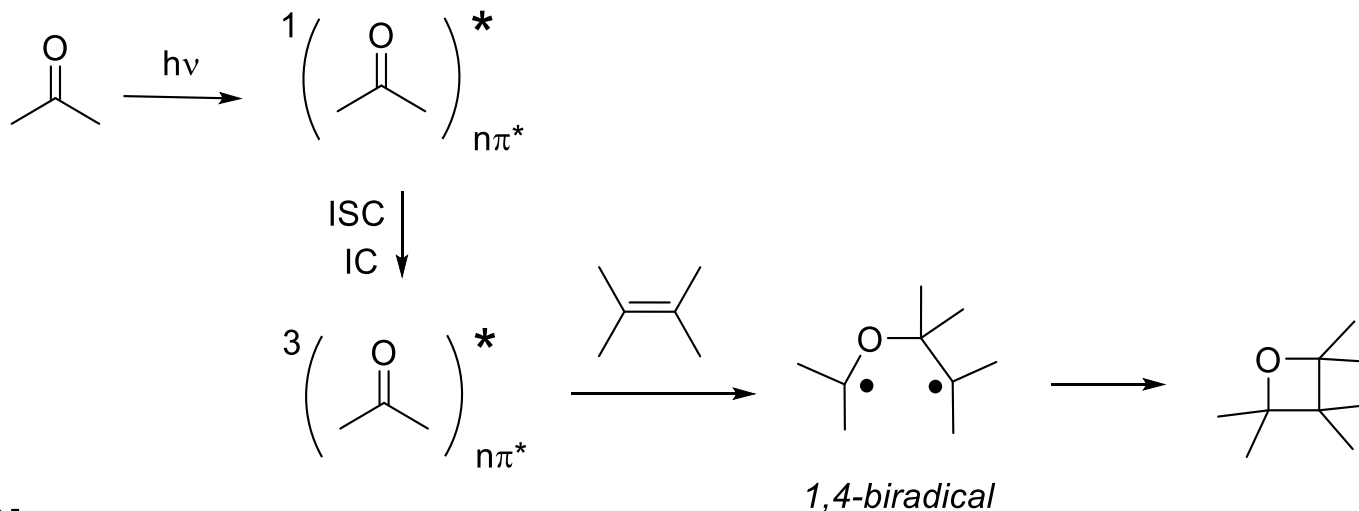
Historically:

- 1st exemple described by Paternò & Chieffi (1909)



- Important developments by Büchi (1950s)

Mechanism:



Remarks:

$E^{3(n \rightarrow \pi^*) \text{ C=O}} < E^{3(\pi \rightarrow \pi^*) \text{ C=C}}$

otherwise, energy transfer can be observed (photosensitization of the alkene)

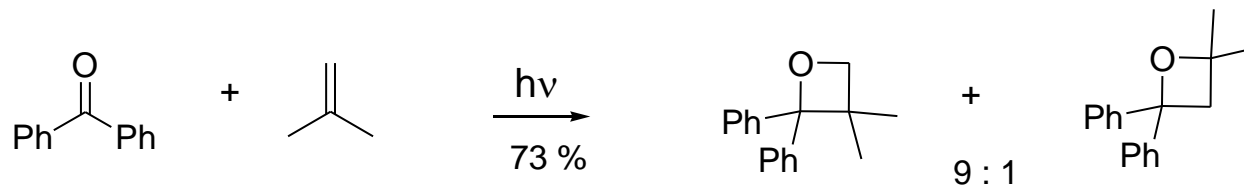
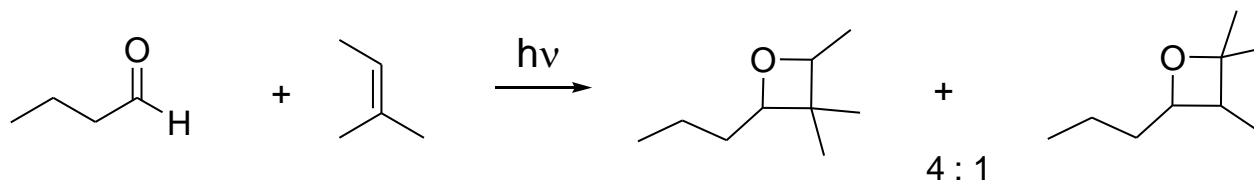
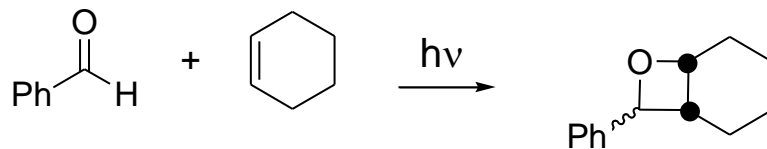
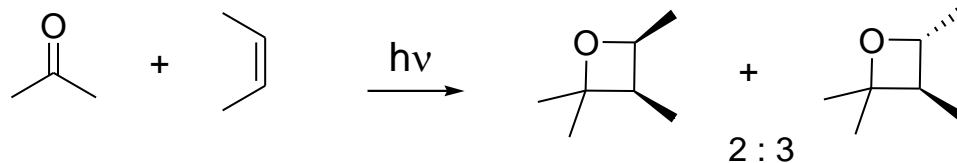
Non-concerted reaction: the first step is the attack of the electrophilic oxygen on the more electron-rich center of the alkene, to generate a 1,4-biradical intermediate → regioselectivity depends also on the 1,4-biradical stability
→ stereoselectivity is variable

The alkene reagent can be used in excess (it is not photoactivated)

3.1. Intermolecular Reactions

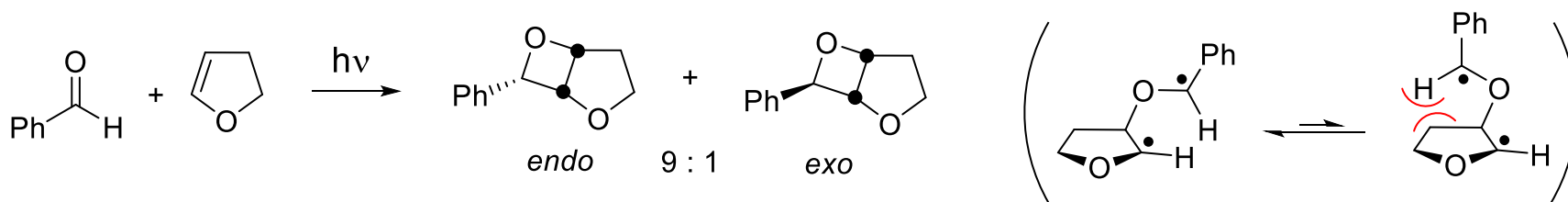
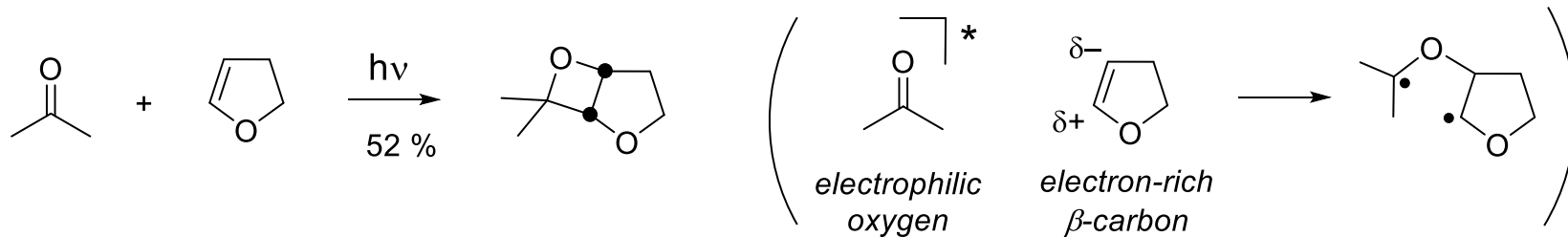
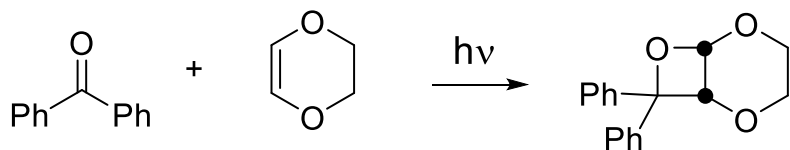
3.1.1 Reactions with simple alkenes

Examples:



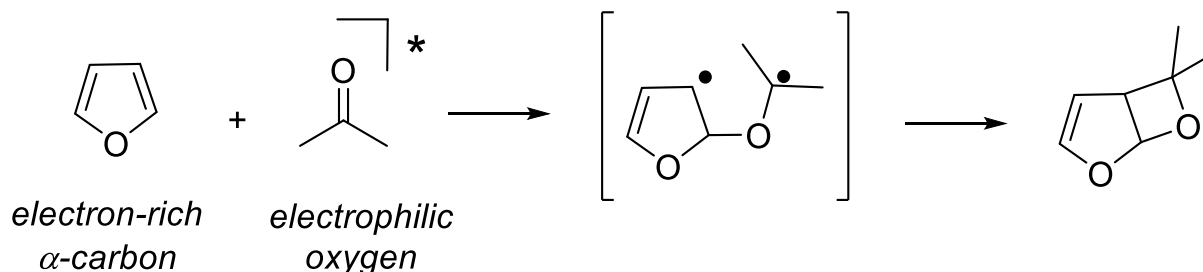
3.1.2 Reactions with electron-rich alkenes

Examples:



3.1.3 Reactions with furans

The oxygen attacks the α -carbon of the furan



The product is a cyclic acetal. The remaining alkene (an enol ether) may react further, but in general this is much slower.

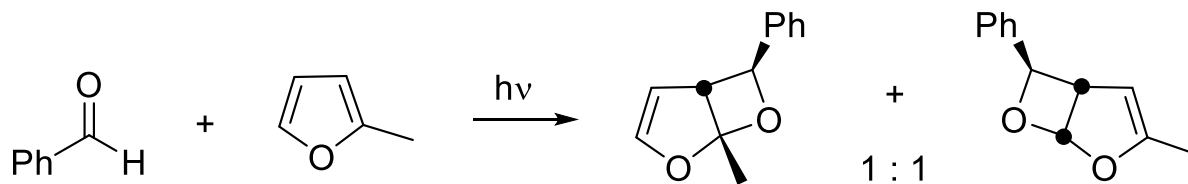
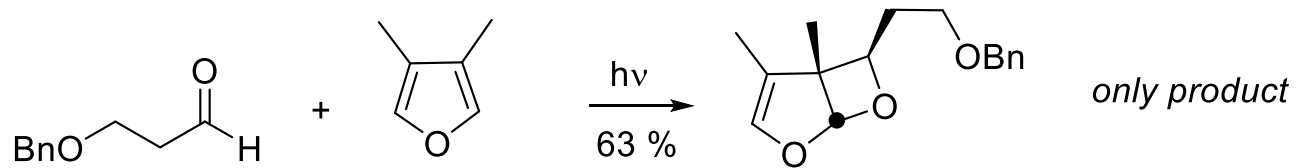
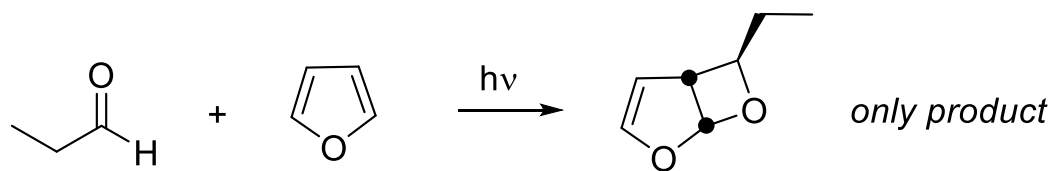
Remarks:

Aldehydes and aromatic ketones are good carbonyl partners

Regioselectivity is the opposite of that observed with enol ether alkenes

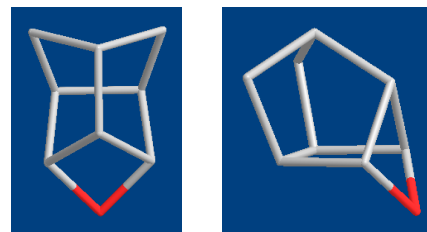
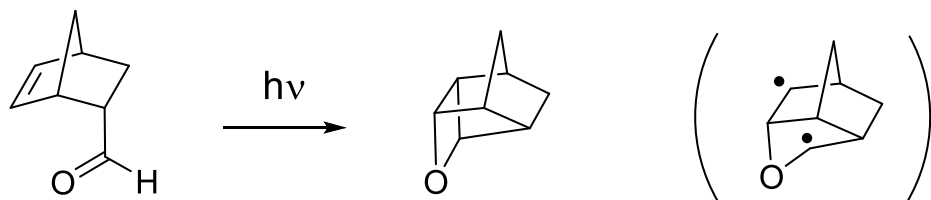
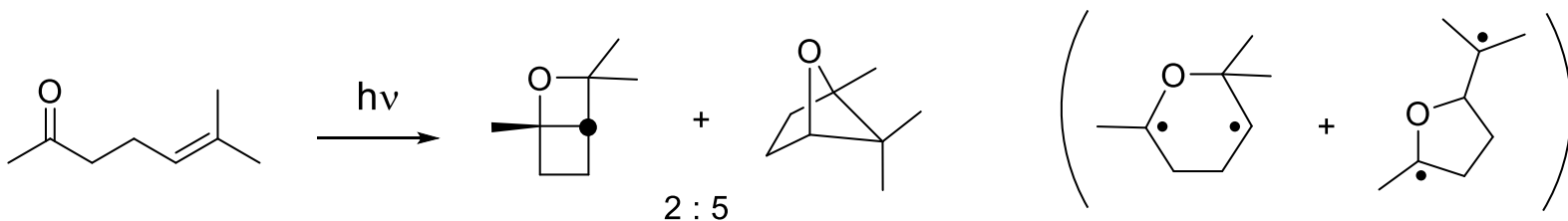
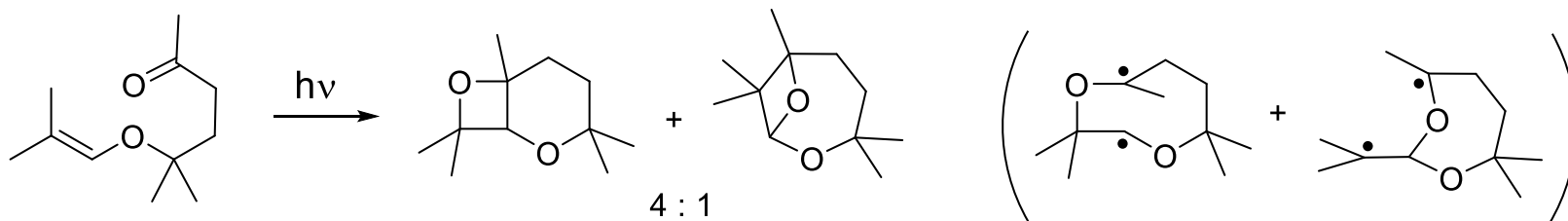
Stereoselectivity is usually good, with a preference for the *exo* product

Examples:



3.2 Intramolecular Reactions

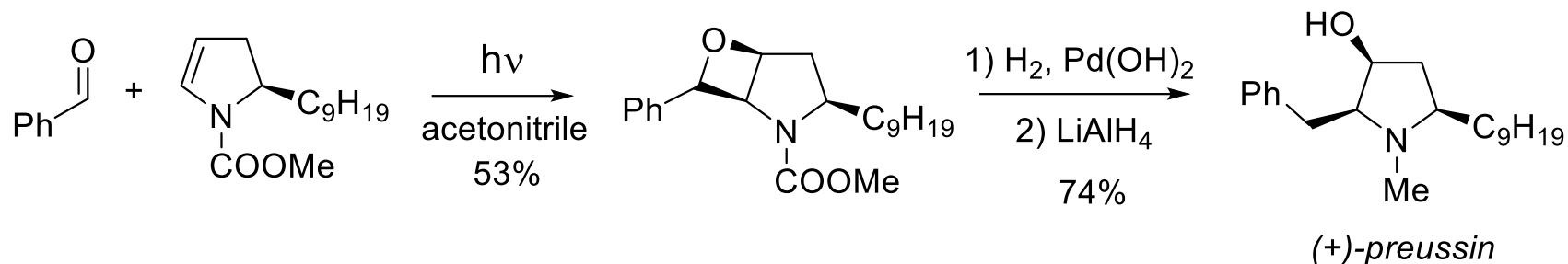
Examples:



Examples in organic synthesis

- **Synthesis of (+)-preussin** *pyrrolidine alkaloid with antifungal and immunosuppressant activities*

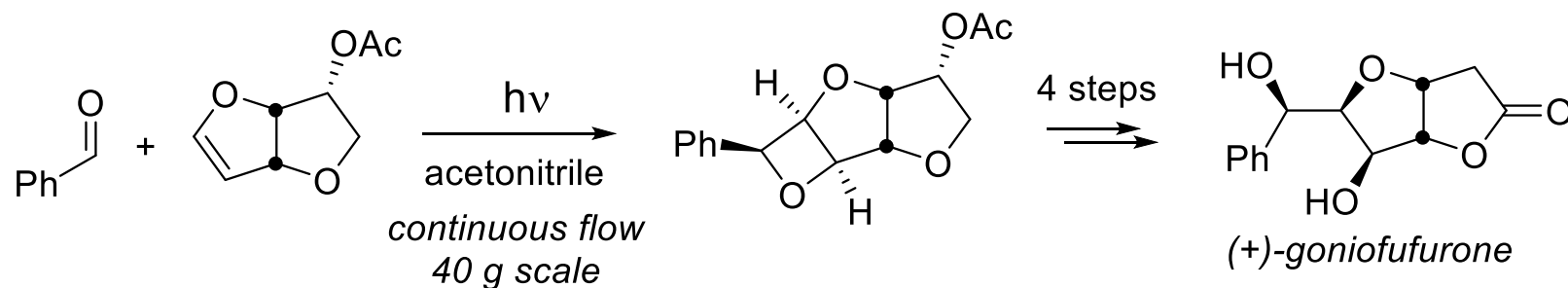
Chem. Eur. J. **2000**, 3838



- **Large scale synthesis of (+)-goniofufurone**

Cytotoxic metabolite from Goniiothalamus trees

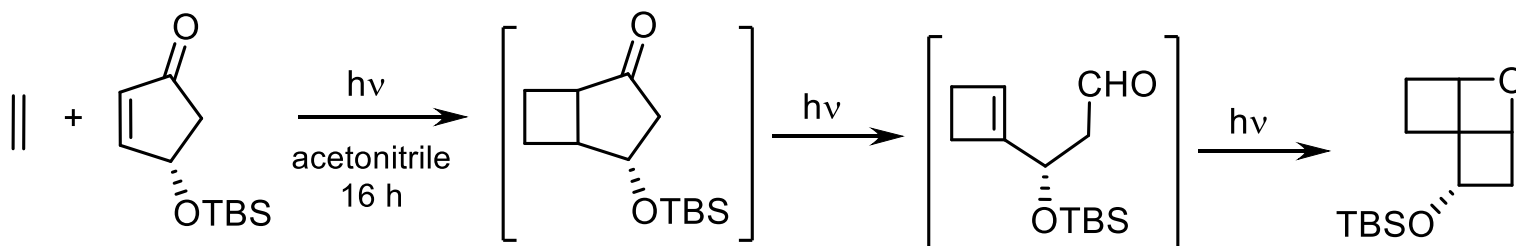
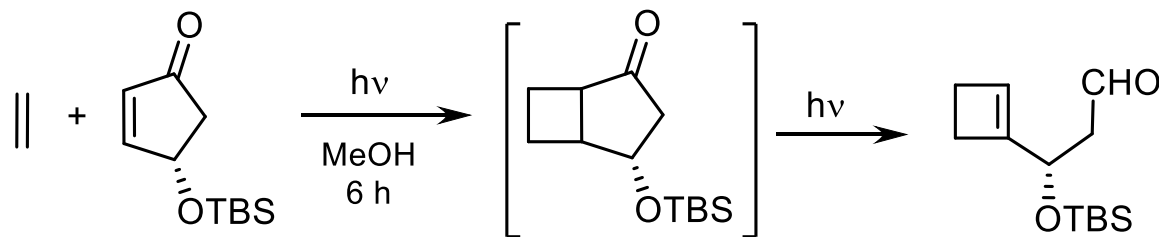
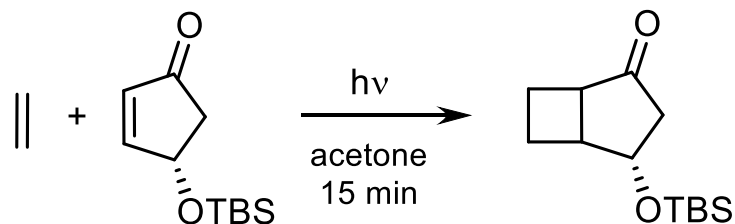
Org. Lett. **2016**, 18, 968



Examples in organic synthesis

- Tandem and cascade photo-reactions for molecular diversity**

Angew. Chem. Int. Ed. **2018**, *57*, 6592



Photochemistry of azo and diazo compounds

A. Azo compounds

1. Formation of azo compounds
2. Photo-isomerization
3. Photo-elimination of N₂

B. Diazoalkanes

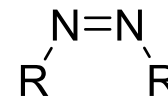
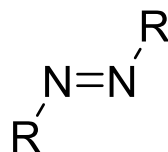
1. Formation of diazoalkanes
2. Photochemical reactivity of diazoalkanes

C. α -Diazoketones

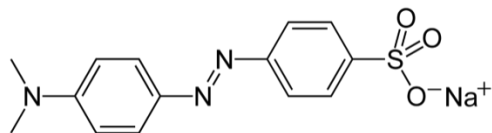
1. Formation of α -diazoketones
2. Photochemical reactions of α -diazoketones

A. AZO COMPOUNDS

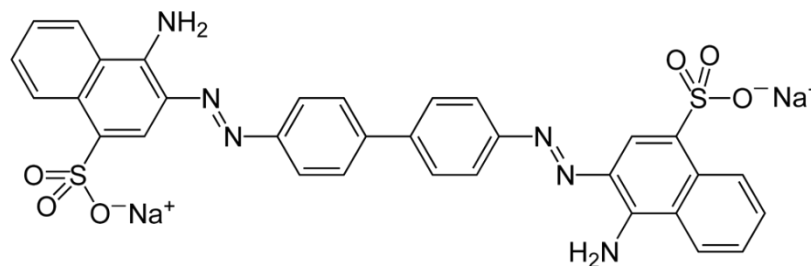
Exist in *Z* or *E* forms



Azo dyes are well known

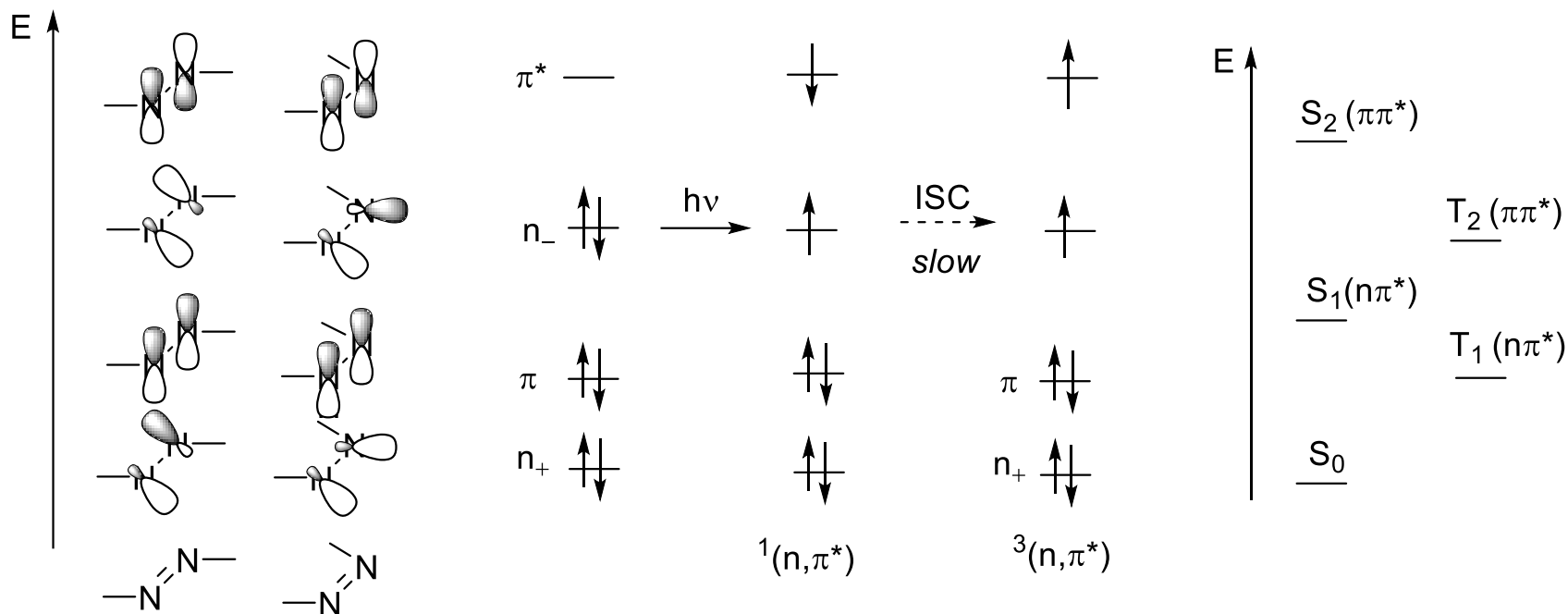


Methyl Orange

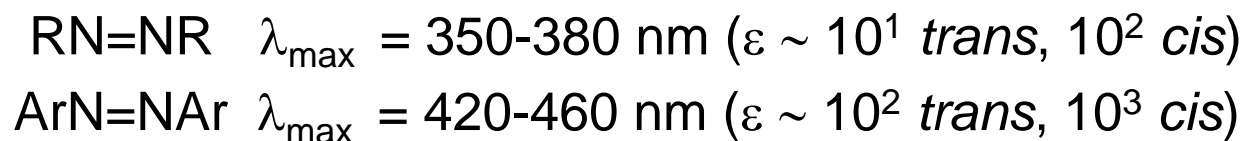


Congo Red

Photoactivation of azo compounds



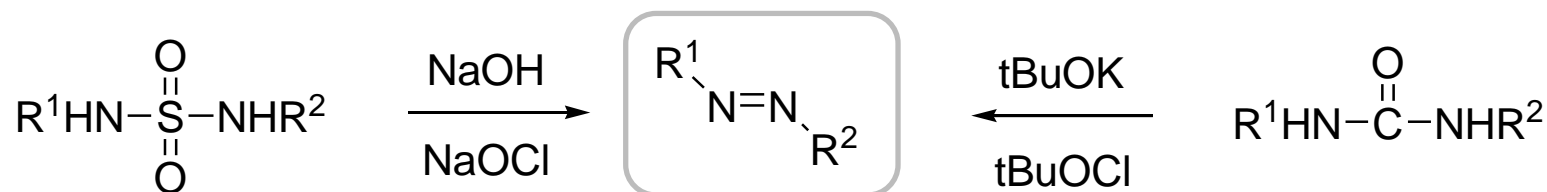
The transition $n \rightarrow \pi^*$ is implicated



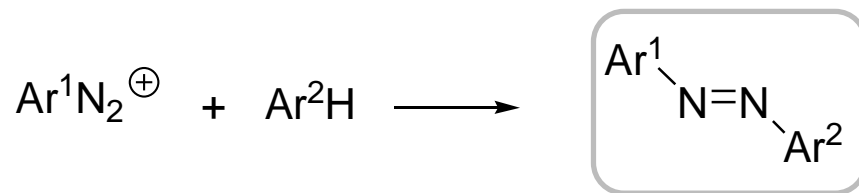
1. Formation of azo compounds

a) Acyclic

— oxidation of sulfamides or ureas (extrusion of SO_2 or CO):



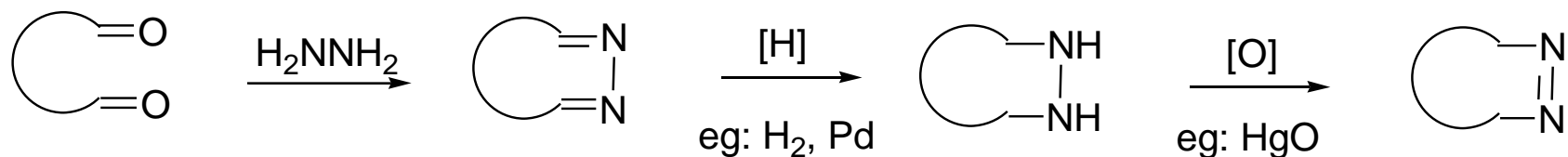
— via diazonium salts:



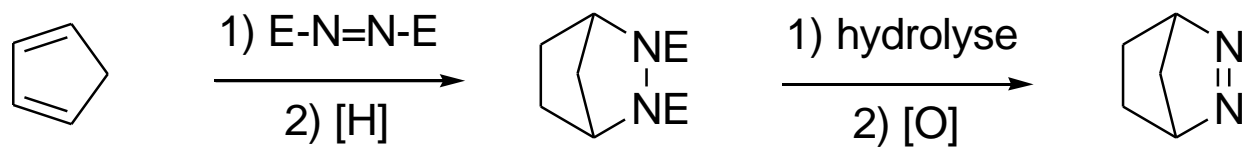
These syntheses generally give the *trans* isomers

b) Cyclic

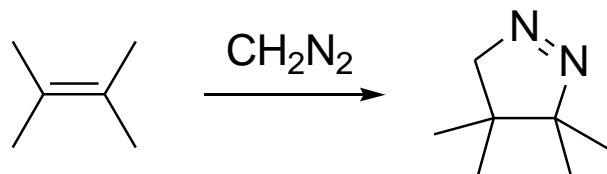
— from dicarbonyl compounds:



— via azodicarboxylates:

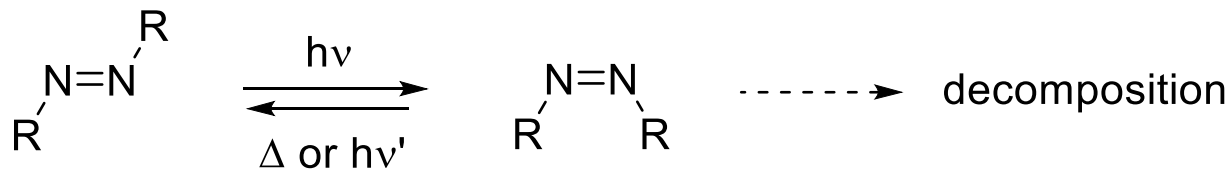


— diazomethane + alkene:



2. Photo-isomerization

This is a common phenomenon for acyclic compounds



For alkyl derivatives, the *cis* isomer may not be stable.

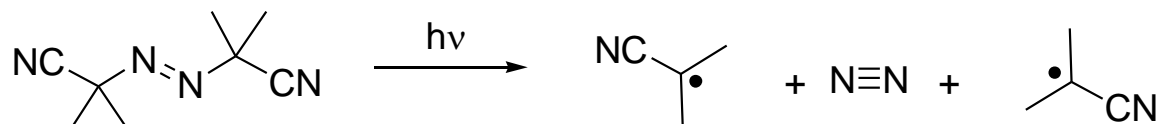
Aryl derivatives are more stable.

Numerous applications of *cis/trans* isomerisation:

Photo-manipulation of molecular architecture, photo-switches, ...

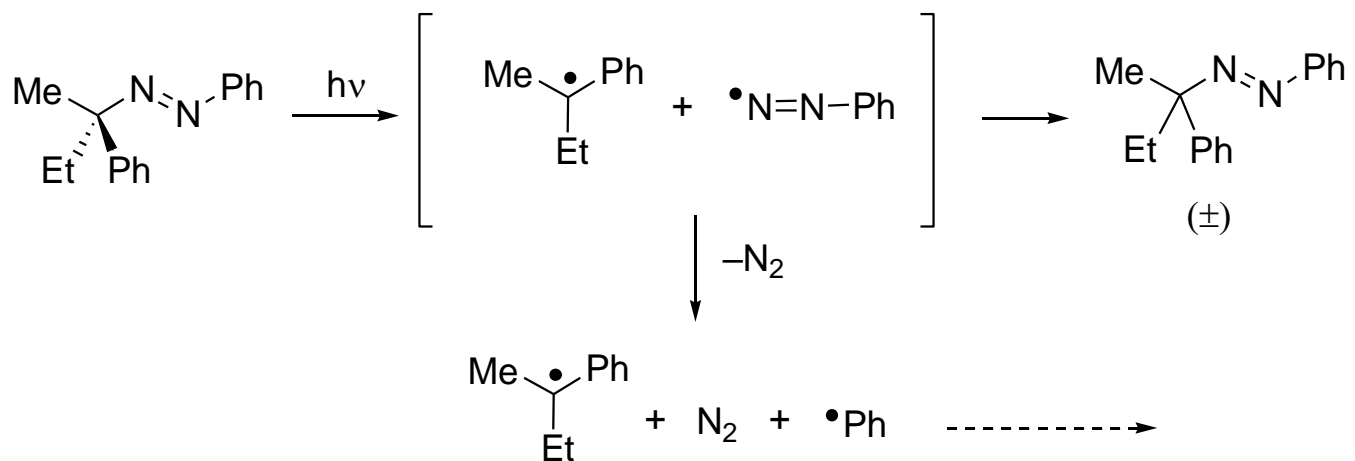
3. Photo-elimination of N₂

Electronic excitation is followed by fragmentation to give two (alkyl) radicals and a molecule of N₂.



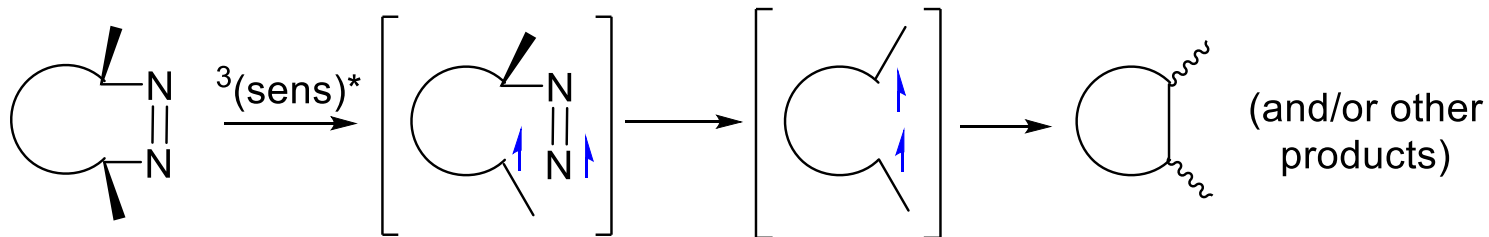
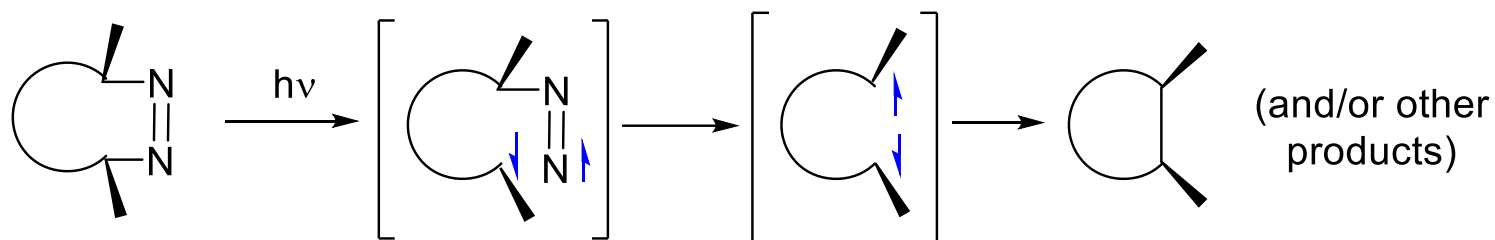
azobisisobutyronitrile (AIBN), an initiator of radical reactions

Non-concerted mechanism:

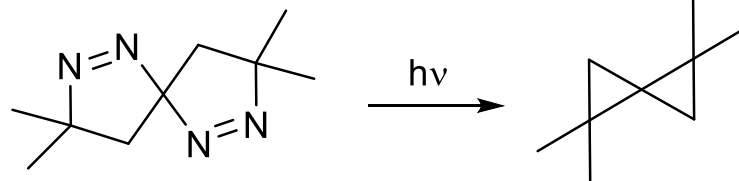
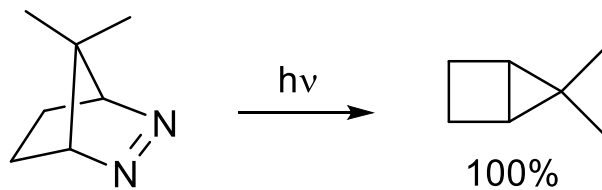
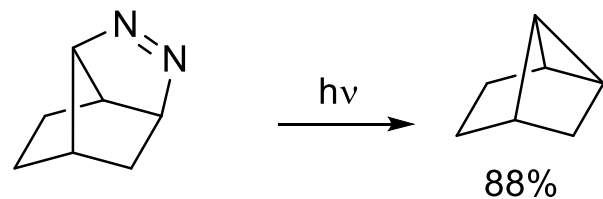
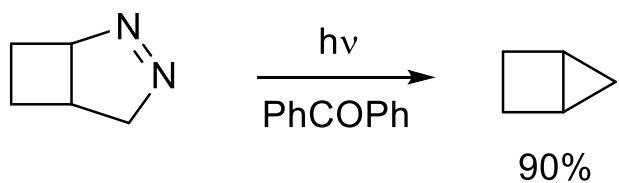
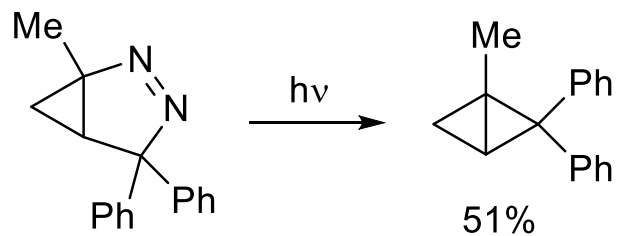
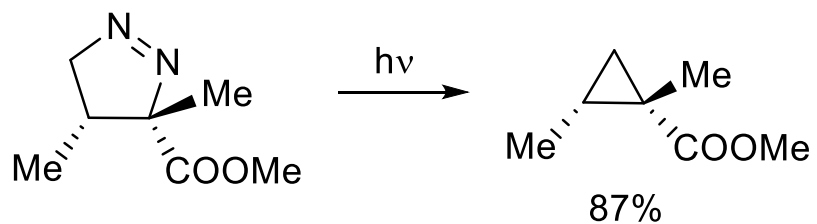


Photoelimination of N_2 is most useful for cyclic azo compounds

Stereochemistry:

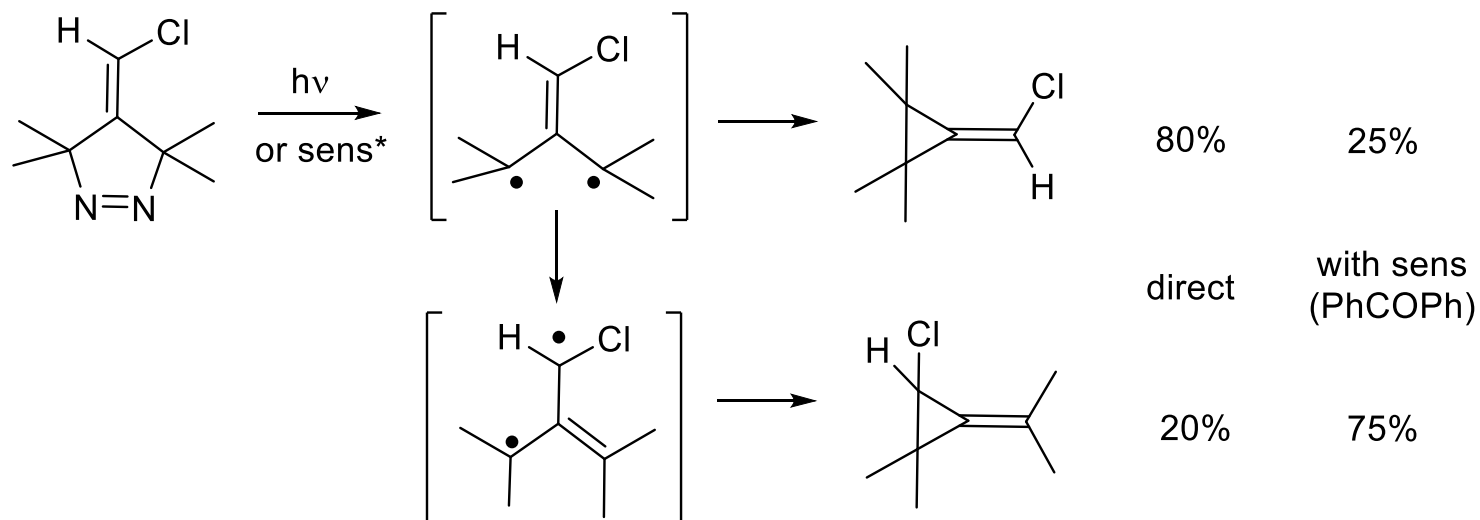
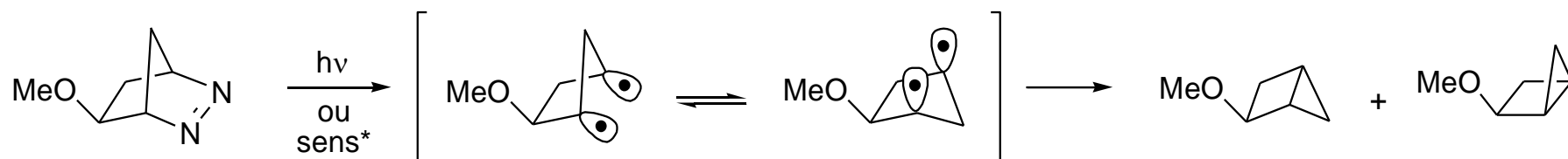


Formation of cyclopropanes



Formation of cyclopropanes

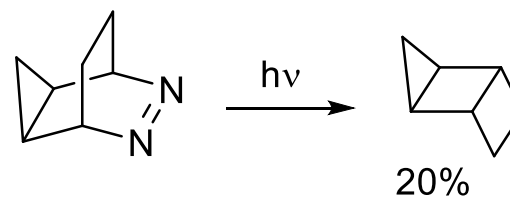
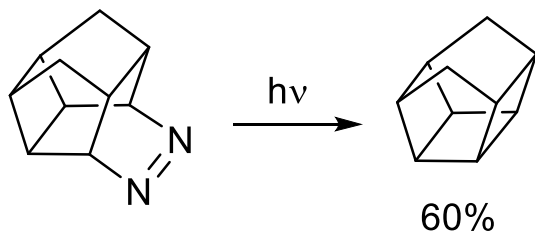
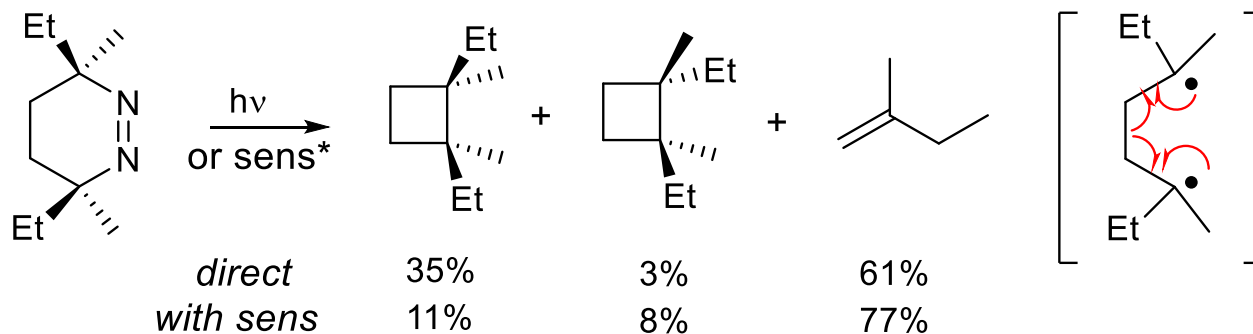
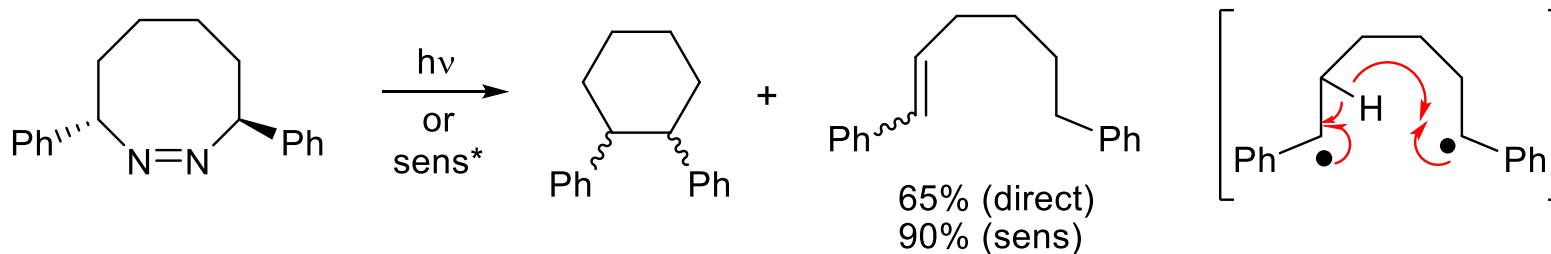
Some consequences of the radical mechanism



Formation of other ring systems

Less useful than for cyclopropanes

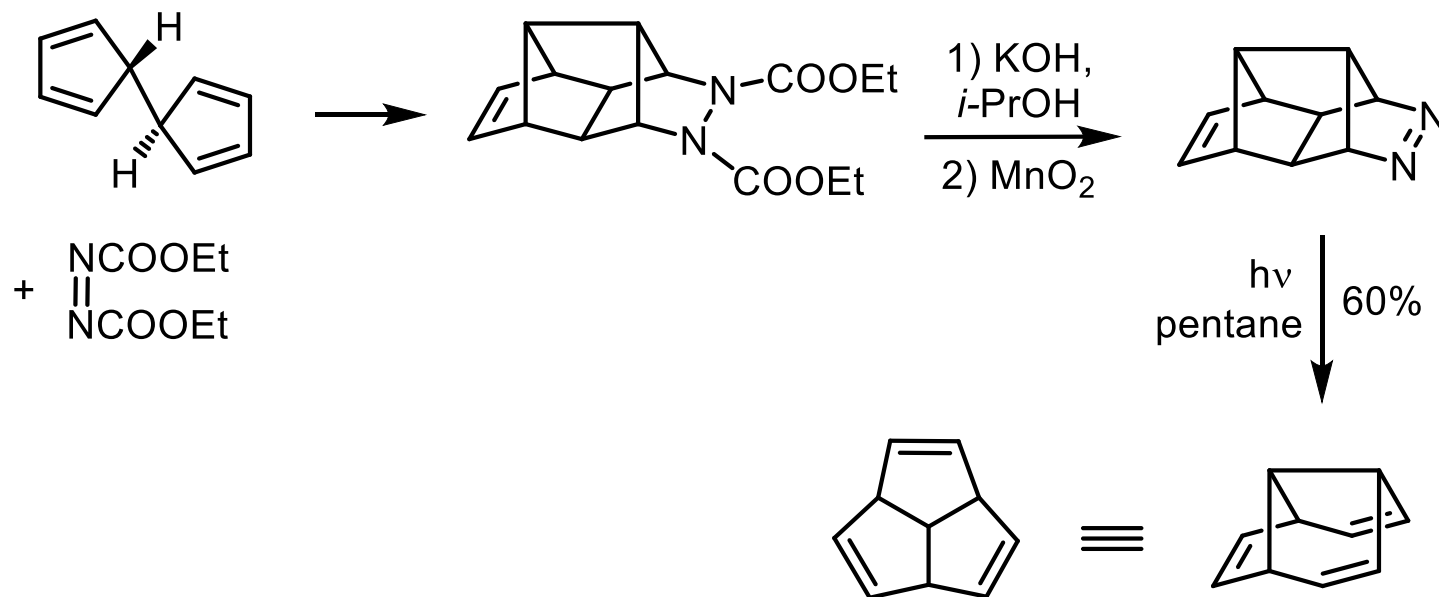
Fragmentations or rearrangements compete



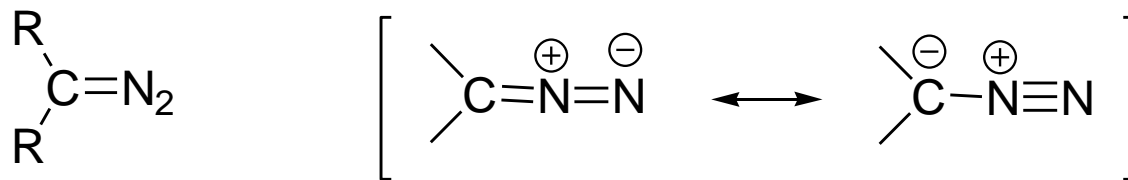
Examples in organic synthesis

- **Synthesis of triquinacene** *an unusual hydrocarbon*

Tetrahedron Lett. **1974**, 2433

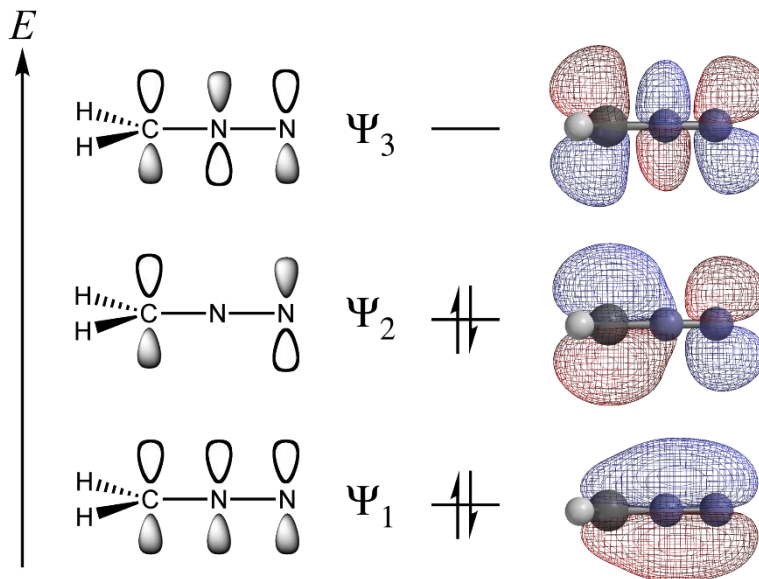


B. DIAZOALKANES



Highly reactive; may present a risk of explosion

Photoactivation of diazoalkanes

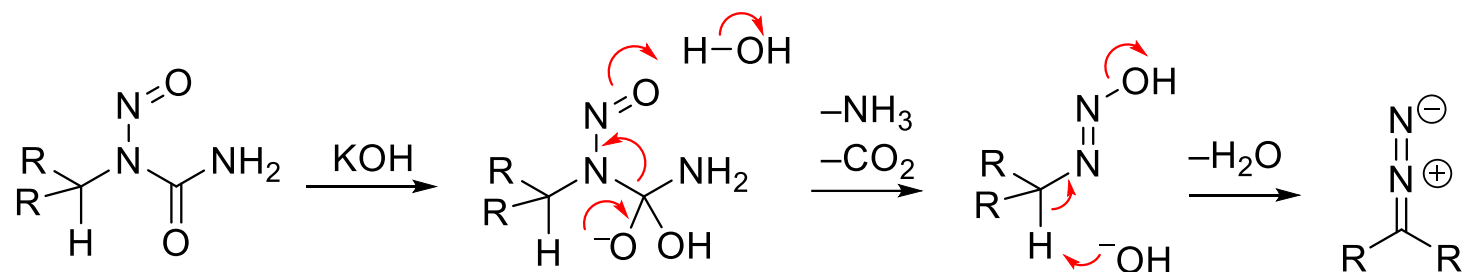


The energy required for the **HOMO** \rightarrow **LUMO** transition is low

$\lambda_{\max} = 400\text{-}500 \text{ nm}$; $\epsilon \sim 10$ (alkyle) – 100 (aryle)

1. Formation of diazoalkanes

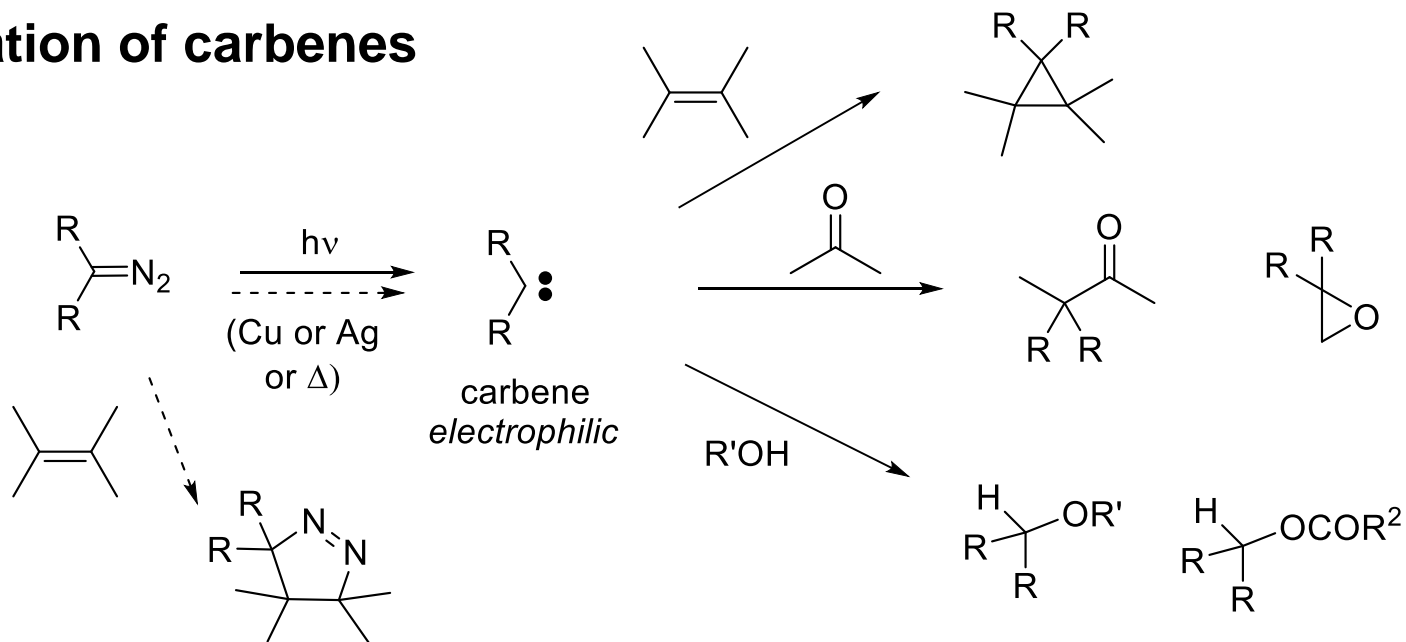
— from *N*-nitrosoureas or *N*-nitrosoamides:



In general, diazoalkanes are prepared in ether solution and used immediately

2. Photochemical reactivity of diazoalkanes

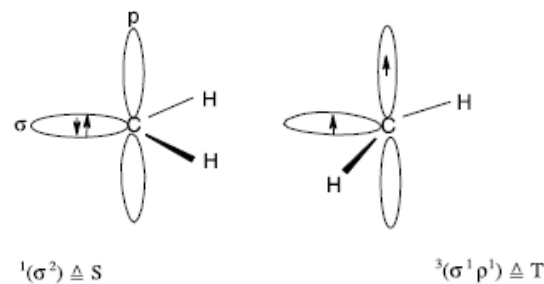
Formation of carbenes



Direct photochemical excitation gives S_1 then loss of N_2 gives the singlet carbene.

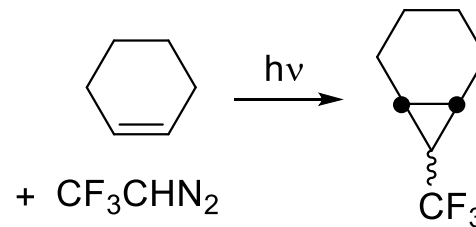
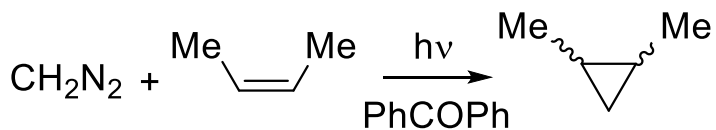
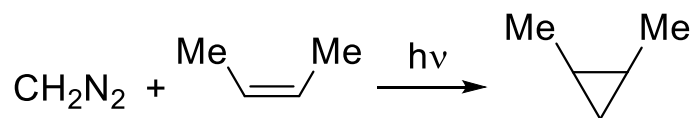
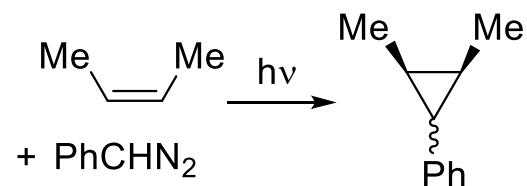
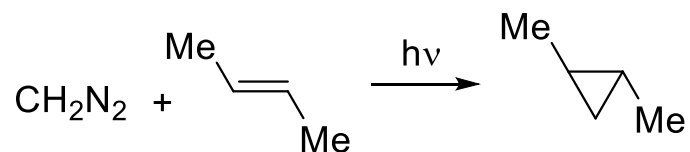
This may react as such, or convert to triplet carbene before reacting.

The triplet carbene may also be generated by photosensitization.



Reactions with alkenes – formation of cyclopropanes

Intermolecular reactions are useful

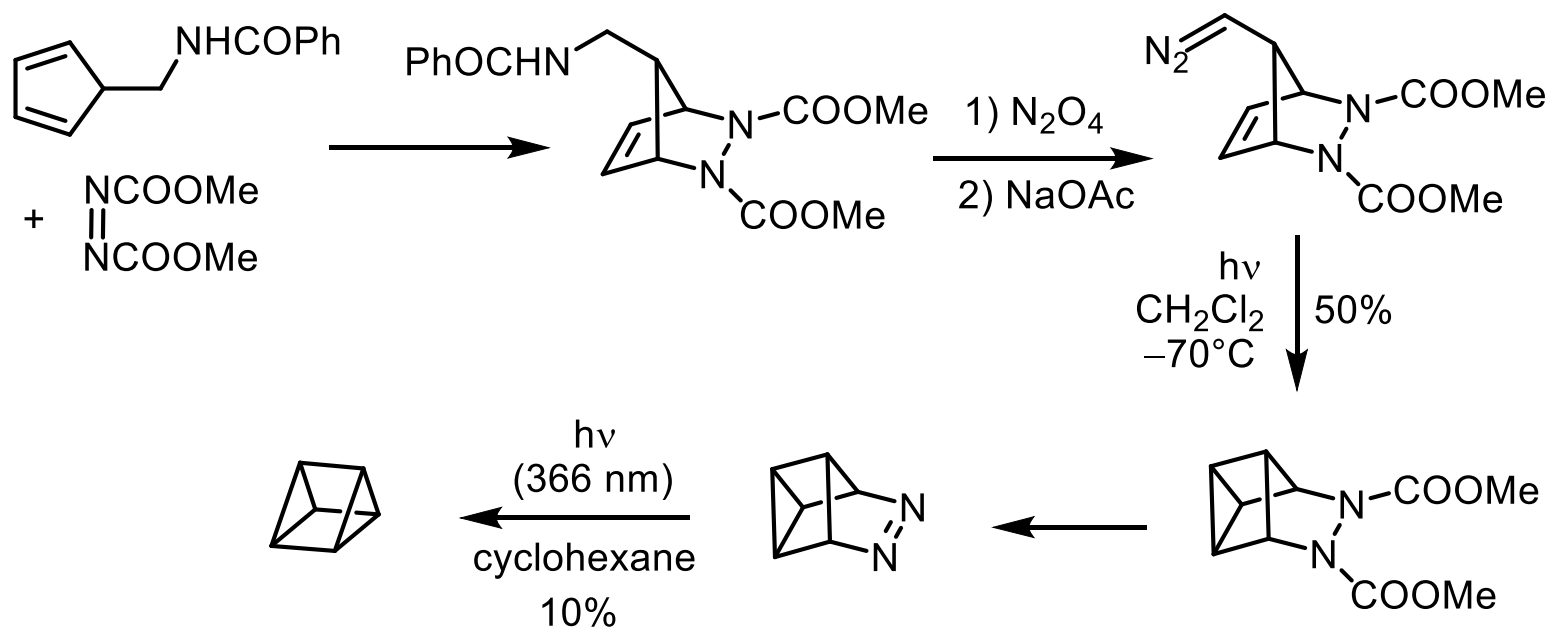


Intramolecular reactions do not always proceed so well;
other reactions may compete, such as C-H insertions, rearrangements, ...

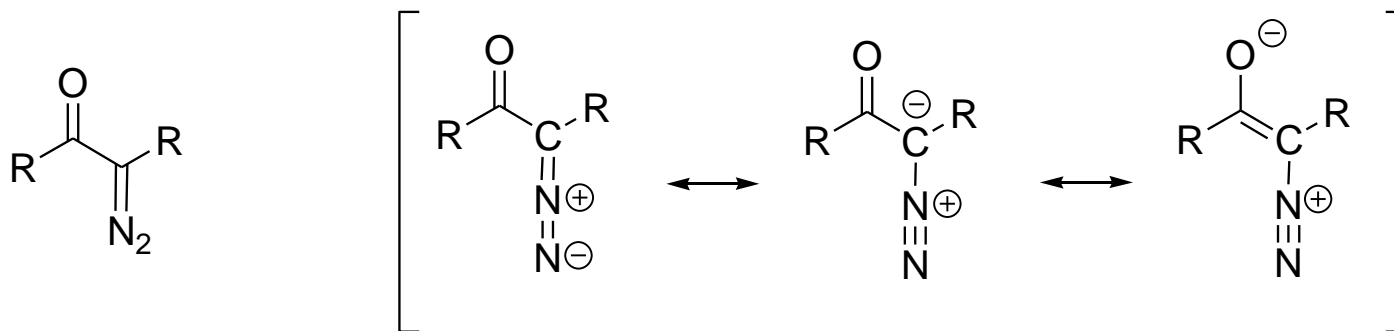
Examples in organic synthesis

- **Synthesis of prismane** a valence isomer of benzene

J. Am. Chem. Soc. **1973**, 95, 7813; *J. Am. Chem. Soc.* **1976**, 98, 4320



3. α -DIAZOKETONES



Much more stable than azoalkanes

The $\pi \rightarrow \sigma^*$ excitation gives S_1 (preferred)

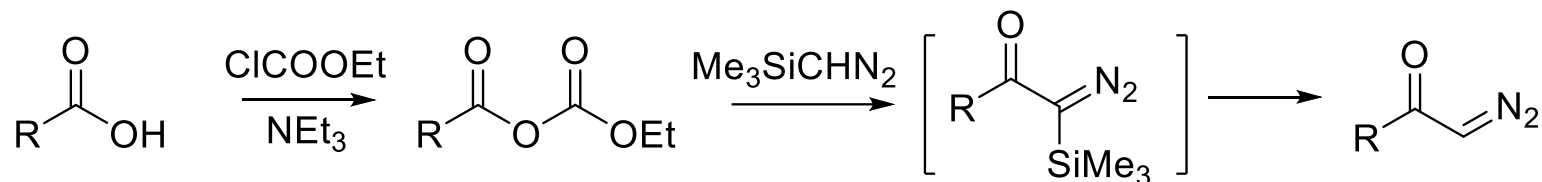
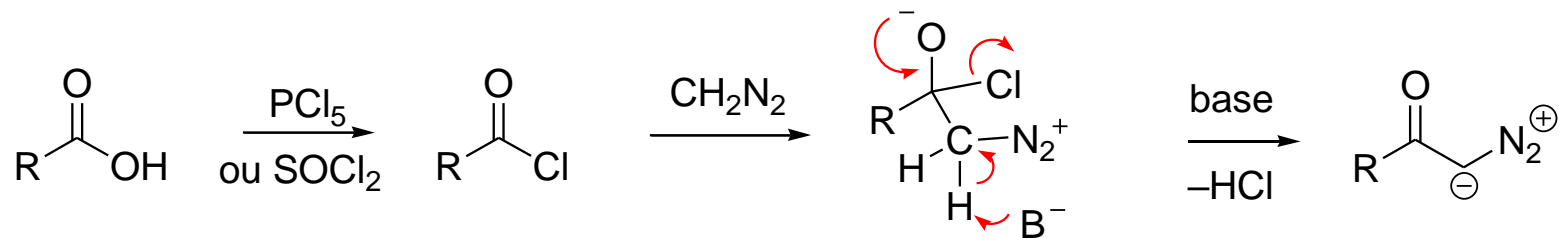
$$\lambda_{\text{max}} = 270\text{-}320 \text{ nm } (\epsilon \sim 10^1)$$

The $\pi \rightarrow \pi^*$ excitation gives S_2 (may lead to competing reactions)

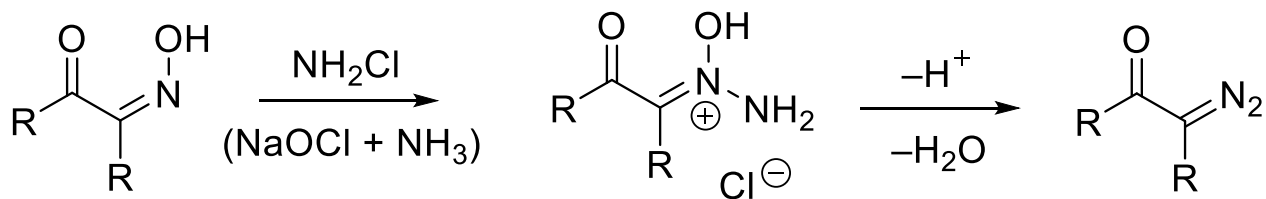
$$\lambda_{\text{max}} = 240\text{-}270 \text{ nm } (\epsilon \sim 10^2\text{-}10^3)$$

1. Formation of α -diazoketones

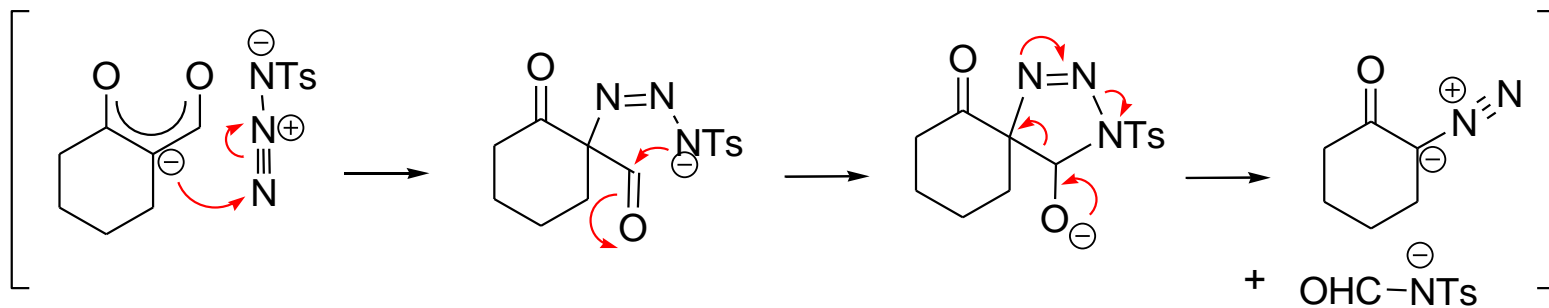
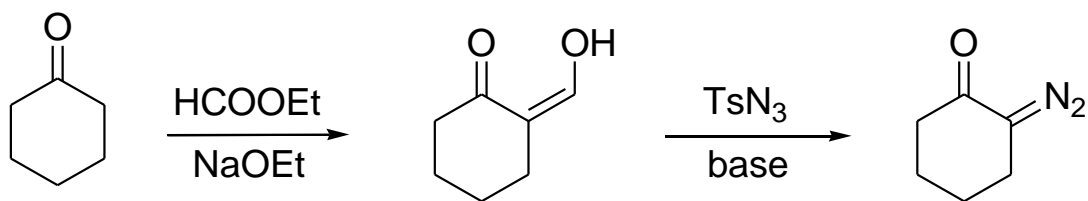
— from a carboxylic acid via an anhydride (**acyclic only**)



— from an α -oximinoketone (Forster reaction)



— diazo-transfer reaction (*cyclic only*)

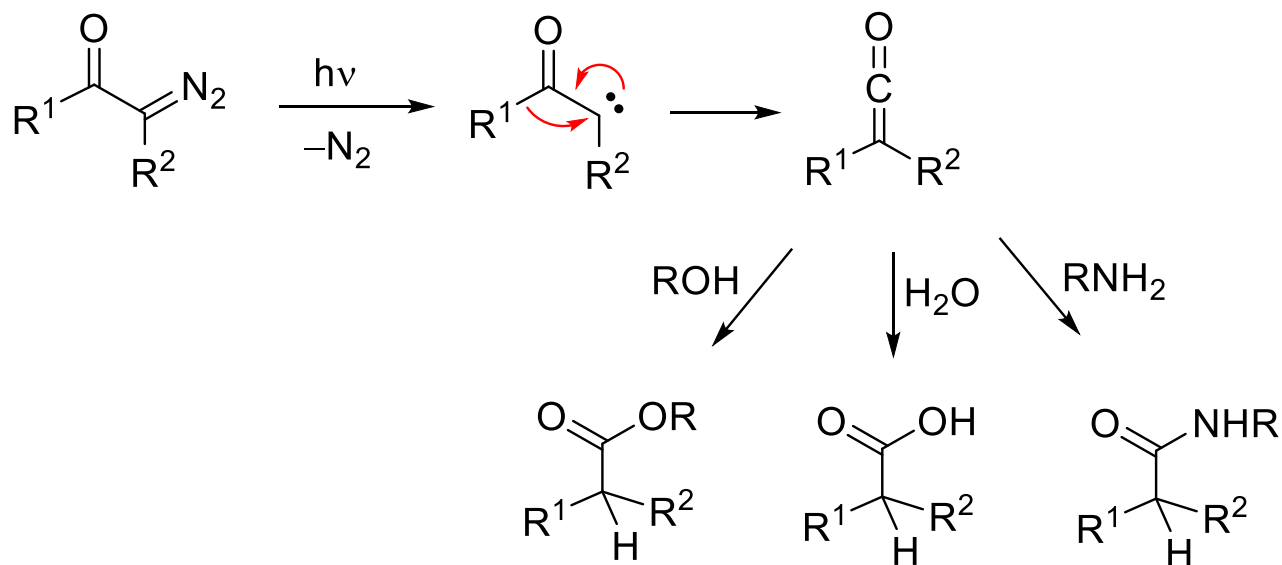


2. Photochemical reactions of α -diazoketones

Following photochemical excitation, loss of N_2 gives the carbene.

This rearranges to become a ketene.

The ketene reacts with any available nucleophile (which may be the solvent)



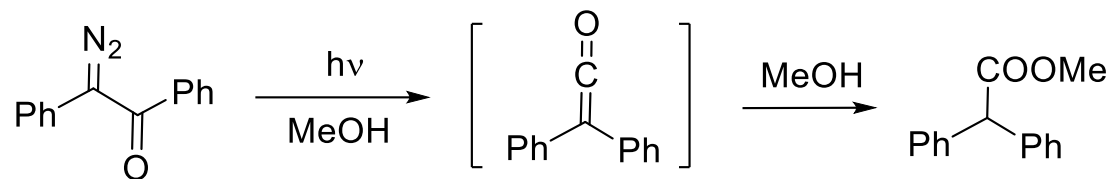
Migratory aptitude (R^1)

Depends largely on structural / conformational constraints.

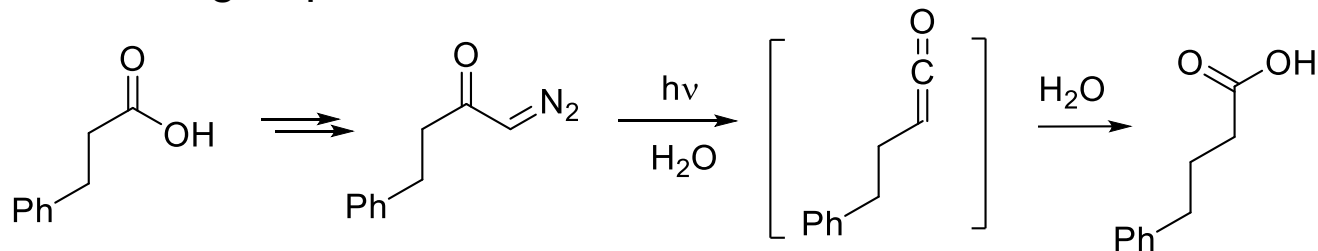
Many groups can migrate; general ranking $H > \text{alkyl} > \text{aryl} > SR > OR > NR_2$

a) Acyclic

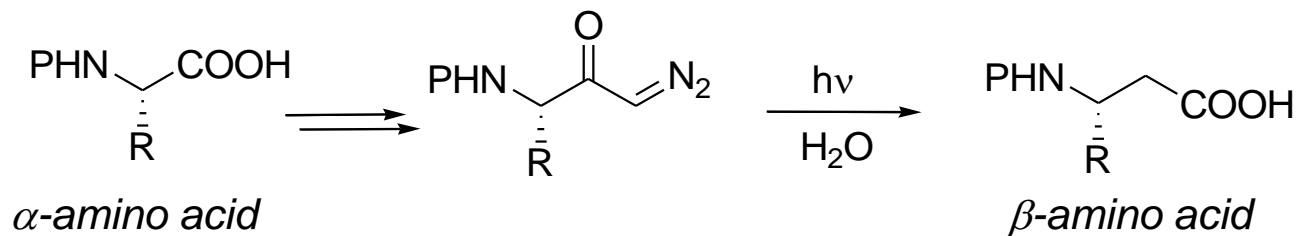
Internal diazo group – *shortening of main chain, side chain creation*



Terminal diazo group – *chain extension*



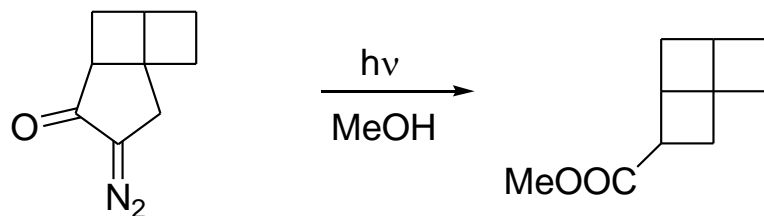
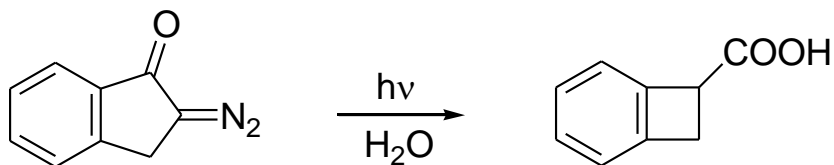
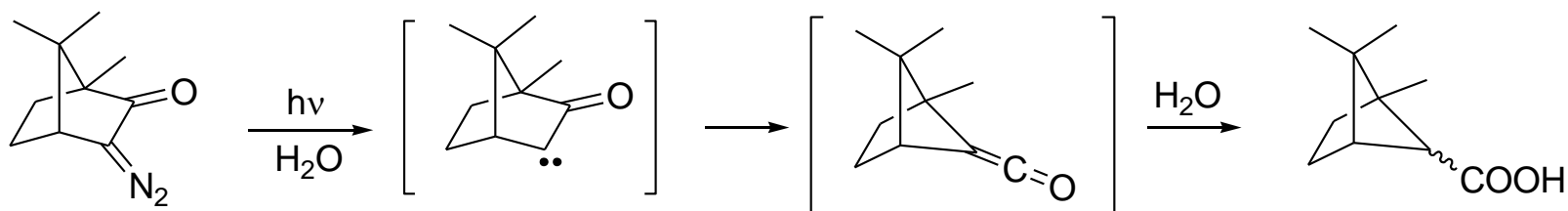
Arndt-Eistert homologation of α -amino acids



b) Cyclic

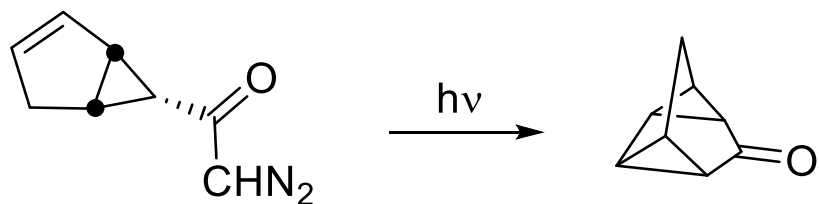
Ring contraction, even with rings that are already small

Wolff rearrangement

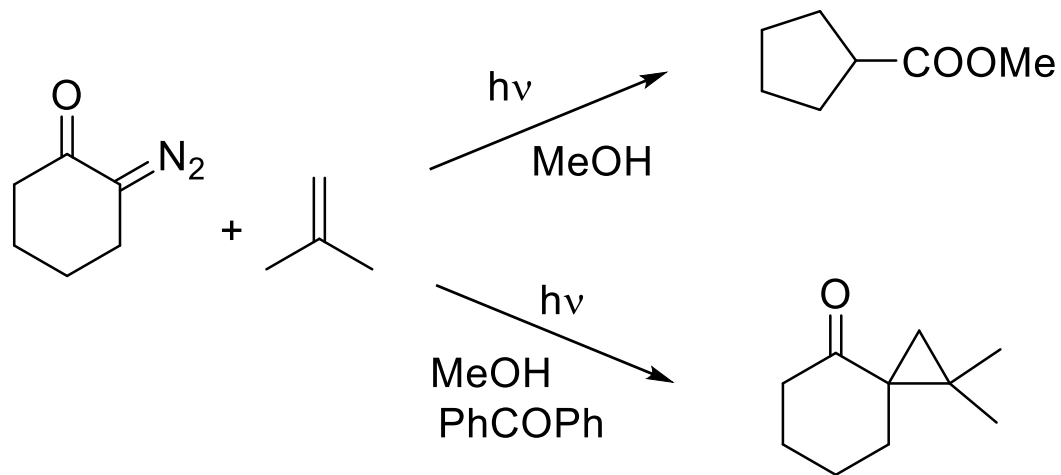


Wolff rearrangement v. carbene addition

— **intramolecular** : *addition generally predominates*

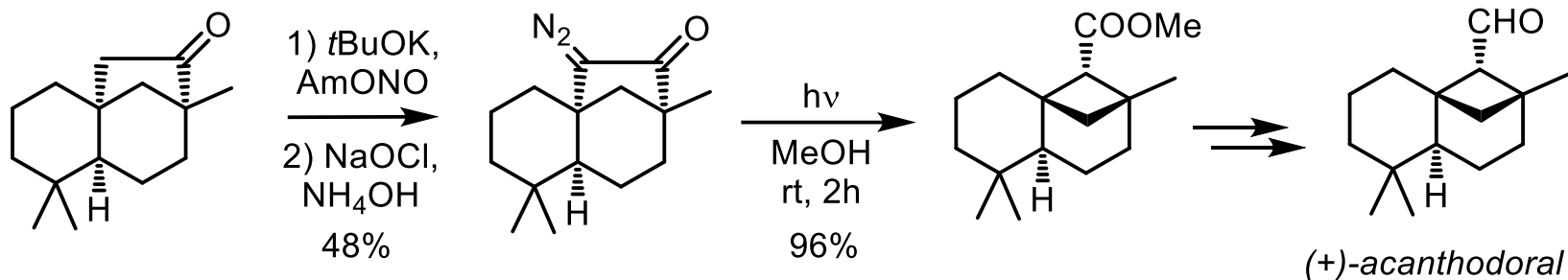


— **intermolecular**: *depends on the multiplicity of the carbene*

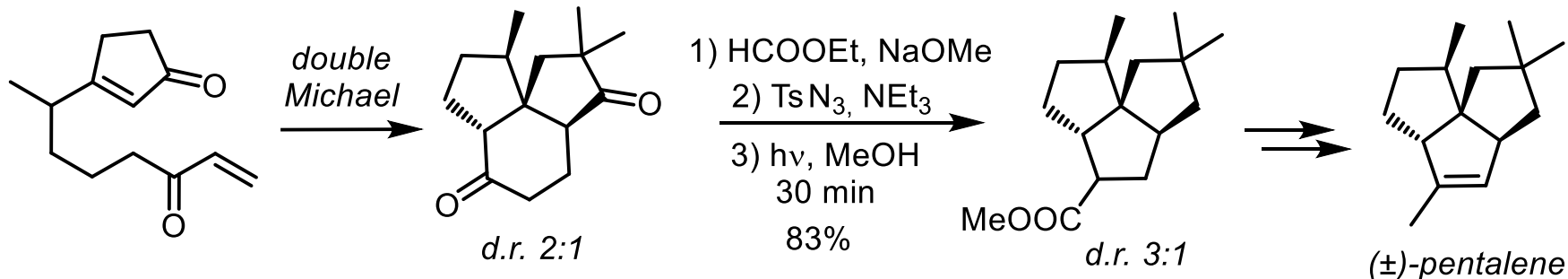


Examples in organic synthesis

- **Synthesis of (+)-acanthodorol** *antibiotic sesquiterpene from the sea slug *Acanthodoris nanaimoensis**
Org. Lett. **2004**, *6*, 537

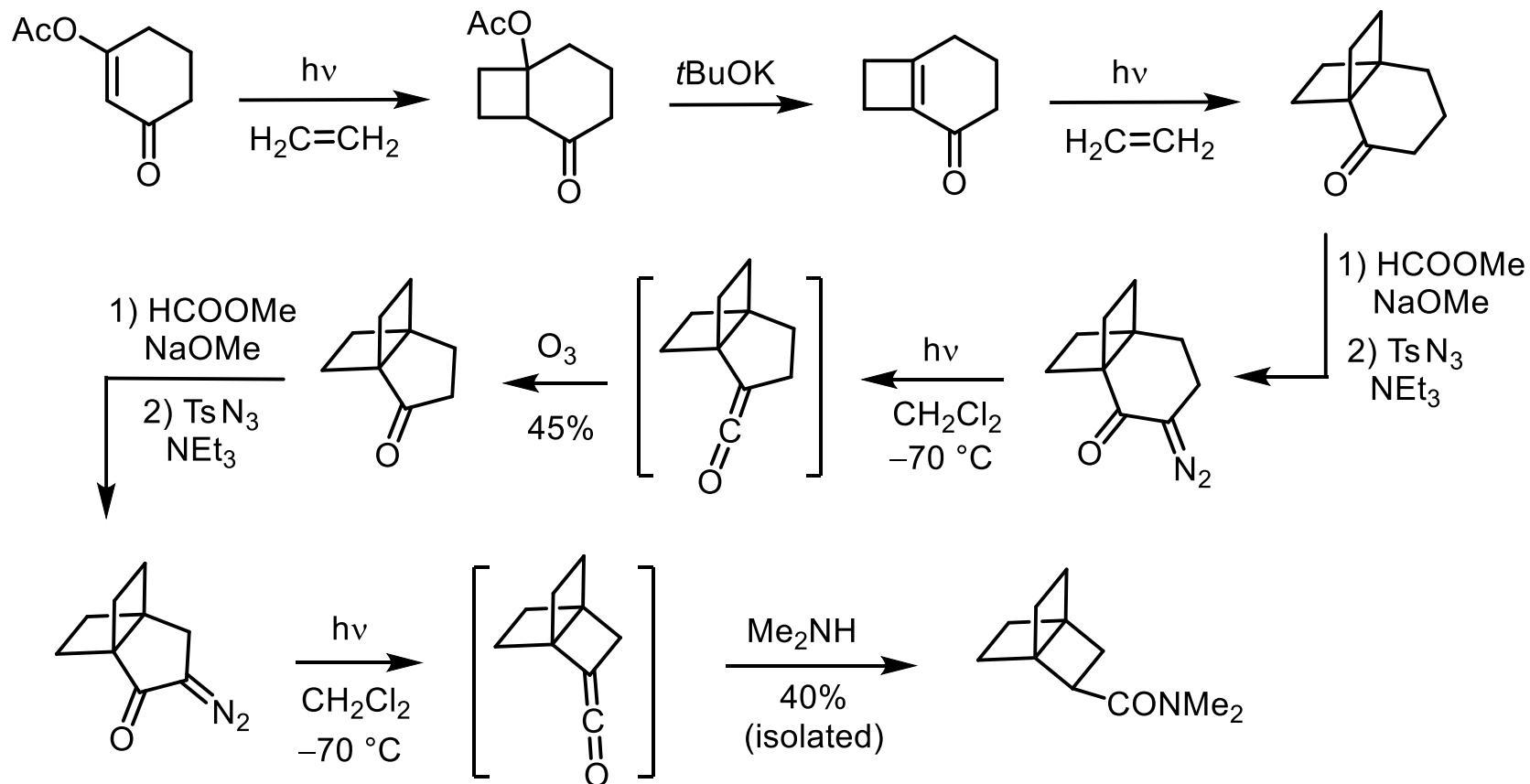


- **Synthesis of (±)-pentalene** *angular triquinane sesquiterpene from *Streptomyces* broth*
JCS Perkin Trans. 1. **1988**, 2963



Examples in organic synthesis

- **The first synthesis of a [2.2.2]propellane** a (very) highly strained molecular skeleton
J. Am. Chem. Soc. **1973**, *95*, 7508

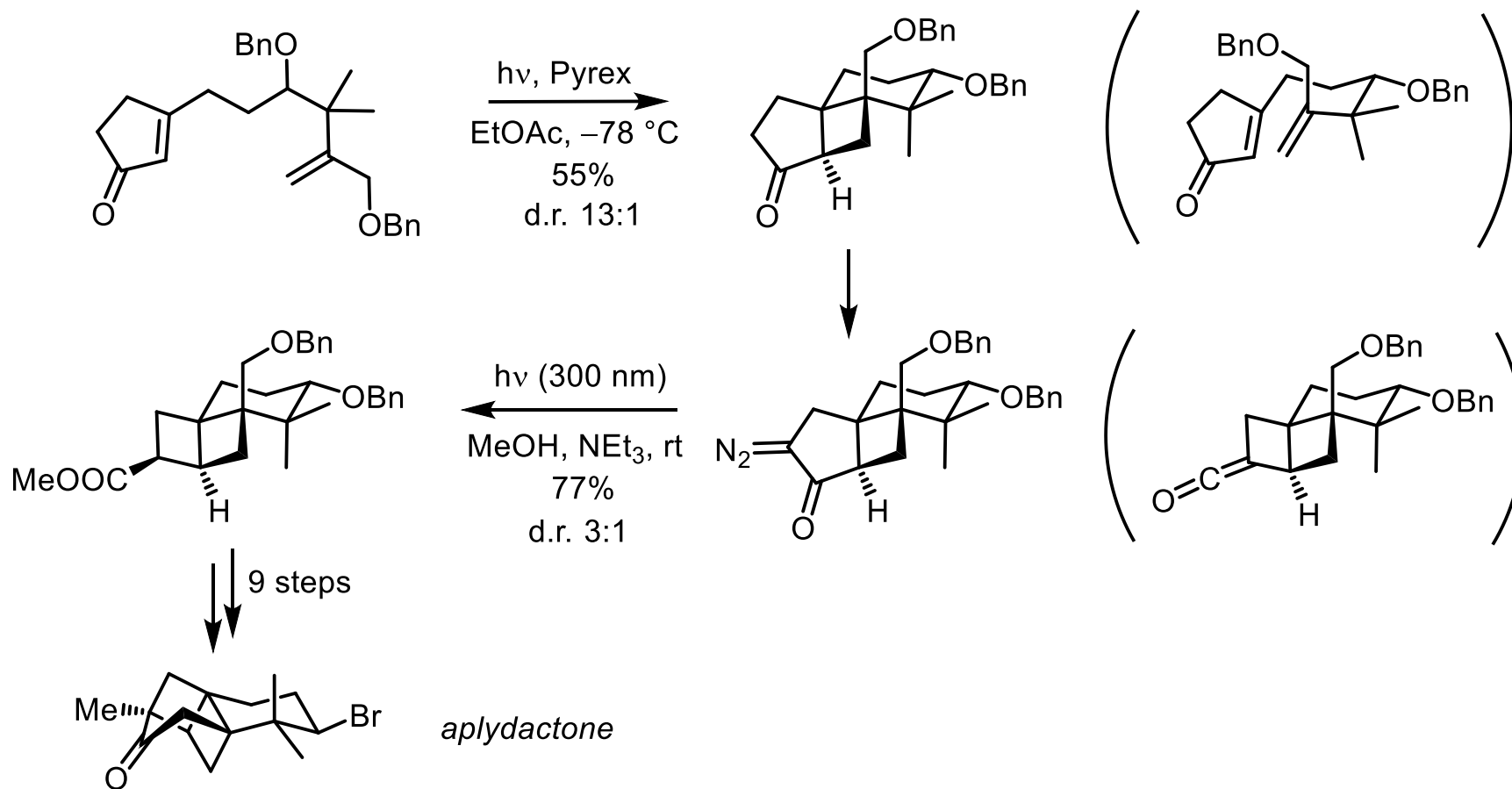


Examples in organic synthesis

- **Synthesis of (\pm)-aplydactone**

Angew. Chem. Int. Ed. **2016**, *55*, 11251

*sesquiterpenoid isolated from the sea hare
Aplysia dactylomela*



Asymmetric Photochemical Synthesis

Photochirogenesis: The light-induced formation of chiral non-racemic molecules from achiral substrates

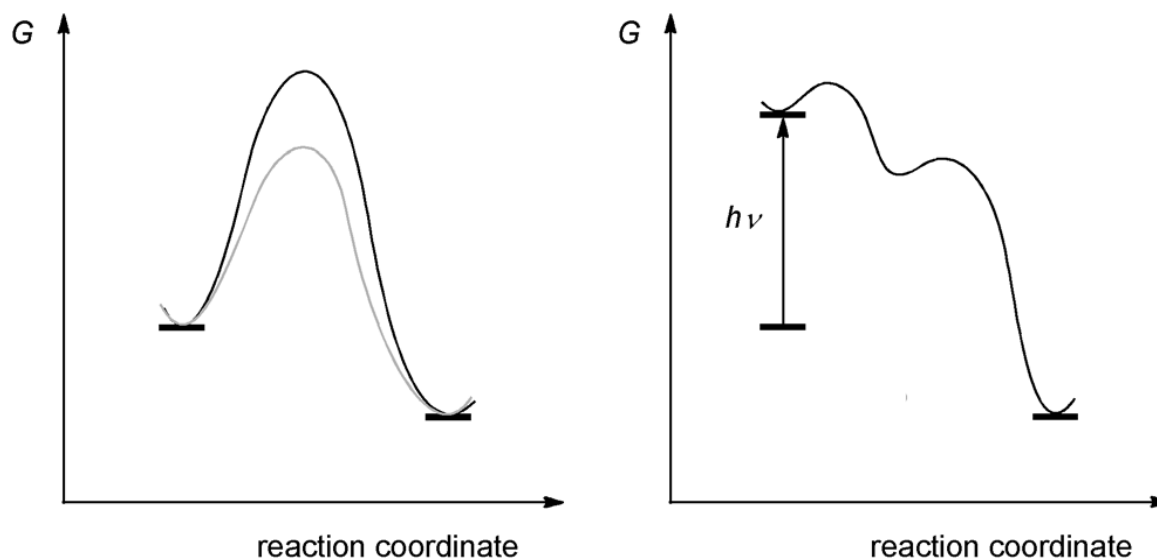
Intrinsically challenging!

The electronically excited state of a molecule is highly reactive and has a short lifetime.

The intra or intermolecular interactions required for delivery of chiral information are less efficient in the excited state than in the ground state.

To achieve an enantioselective photochemical reaction, it must be ensured that the substrate molecule already resides in a chiral environment during the excitation step.

Energy diagrams of a thermal reaction (left) and a photochemical reaction (right).



Circularly polarized light

One hypothesis for homochirality on Earth

Enantioselective photodestruction

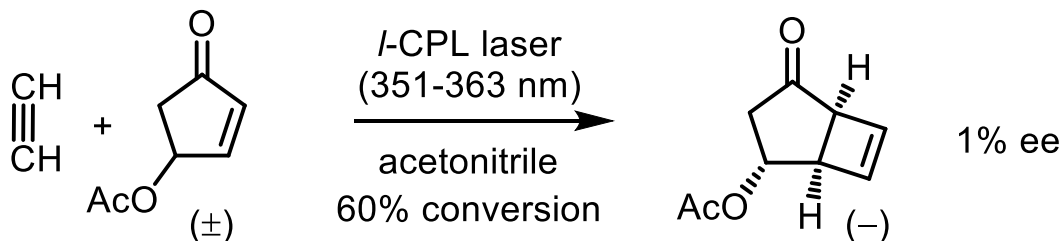
Photoderacemization

Enantioselective photochemical synthesis

Depends on the anisotropy factor $g = (\epsilon_1 - \epsilon_2) / \epsilon$

(relative difference of molar extinction coefficients of enantiomers 1 and 2 for *l*-CPL or *r*-CPL at the given wavelength)

For most organic molecules g is very low ($< 10^{-2}$)



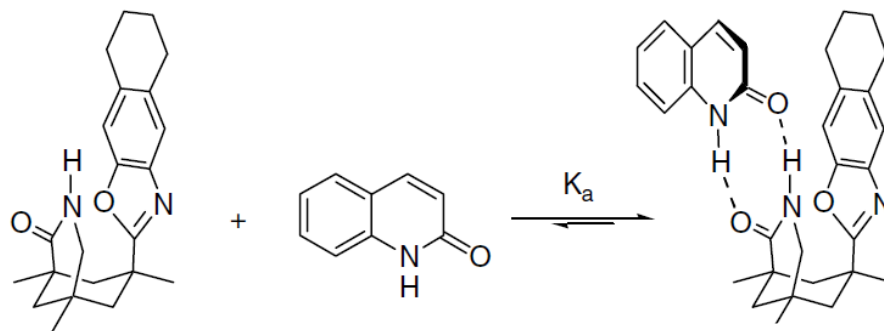
1. Chiral host-guest complexes

Principle : sufficiently strong interactions between host and guest in the ground state are retained (long enough) in the short-lived the excited state

Small hosts

With a small host stereodifferentiation is achieved due to the shielding role of part of the template.

The host may be designed to serve as a sensitizer, to avoid competition from non-templated (racemic) reaction.



$$K_a = 500 \text{ M}^{-1} (30 \text{ }^\circ\text{C, toluene})$$

Chiral pyridine-isophthalate template

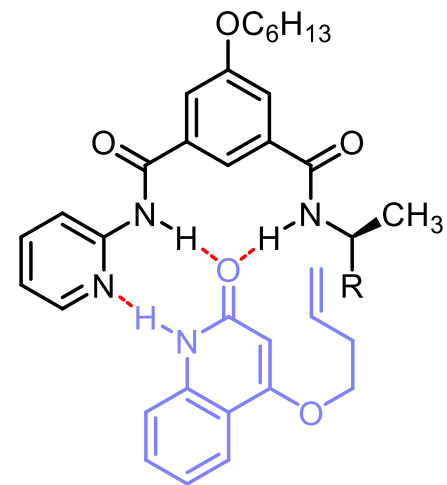
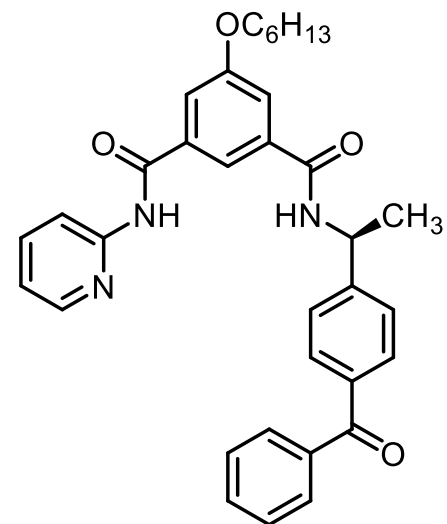
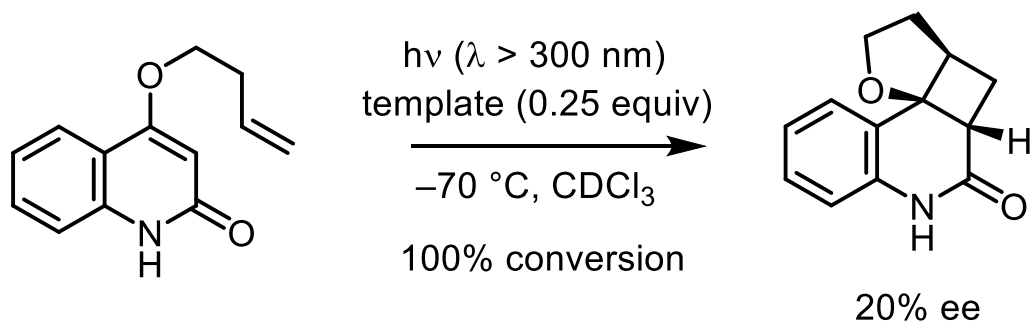
Intramolecular [2+2] photocycloaddition

Krische, *J. Org. Chem.* **2003**, 68, 15

The template bears a benzophenone sensitizer.

Best selectivity at $-70\text{ }^{\circ}\text{C}$.

Sub-stoichiometric amount of template used.

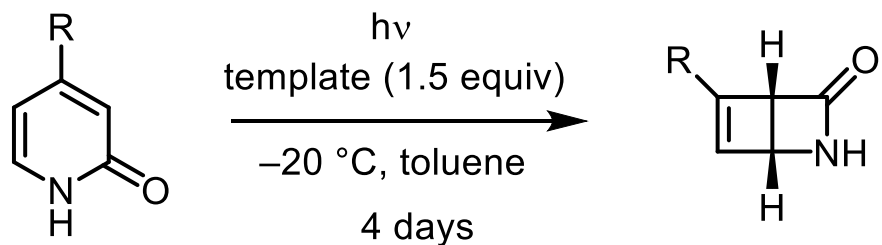
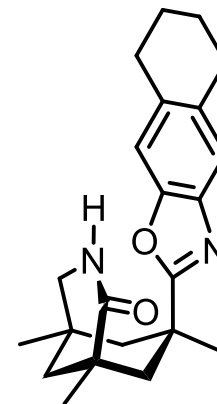


Kemp triacid lactam template

Photochemical [2+2] electrocyclozation

Bach, *Org. Lett.* **2001**, 3, 601

At low temperature, enantioselectivity was higher (tighter binding) but reactions were slower.



R = H, OMe, OBn

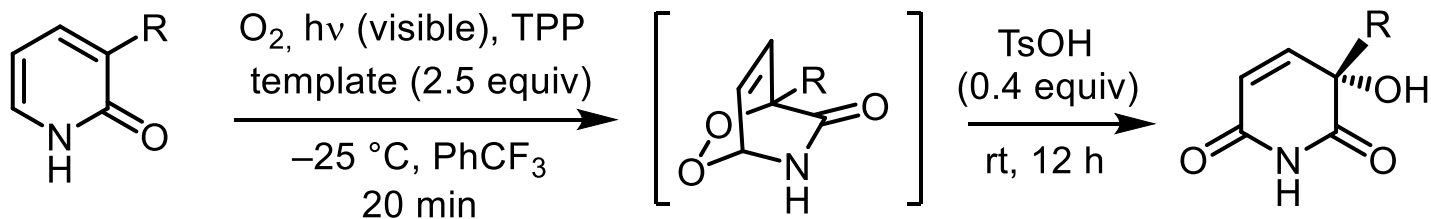
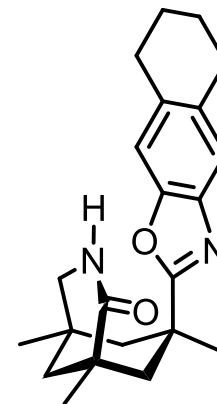
up to 51% yield
20-23% ee

Kemp triacid lactam template

Singlet oxygen [4+2] cycloaddition

Bach, *Chem. Commun.* **2012**, 48, 10195

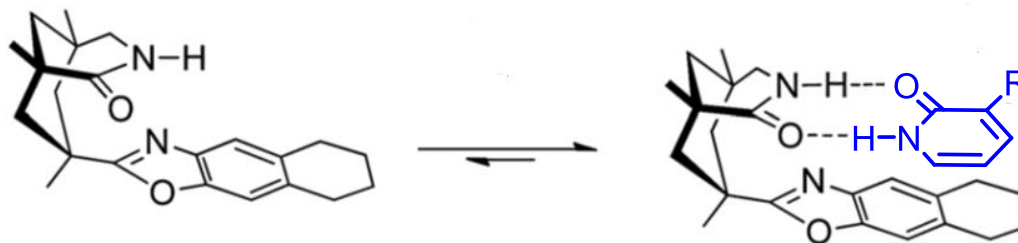
One-pot reaction followed by acid-catalyzed Kornblum-DeLaMare rearrangement.



R = Me, Et, Bn

73-99% yield

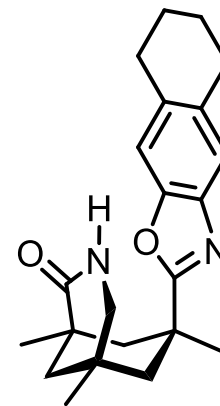
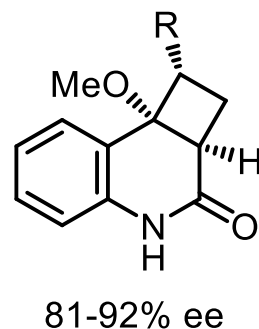
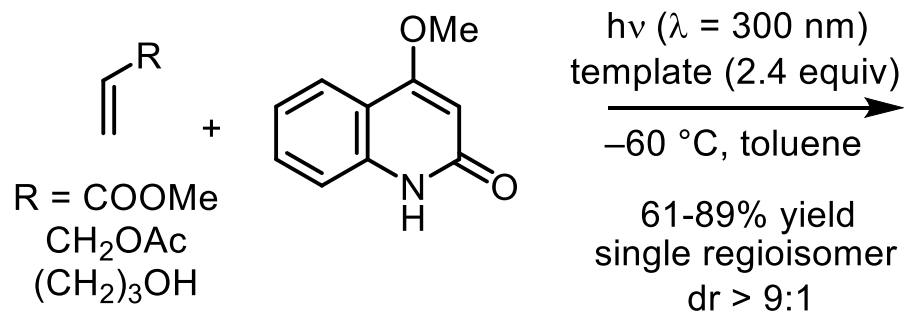
69-90% ee



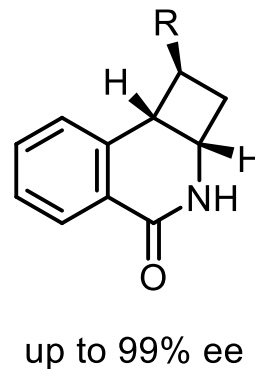
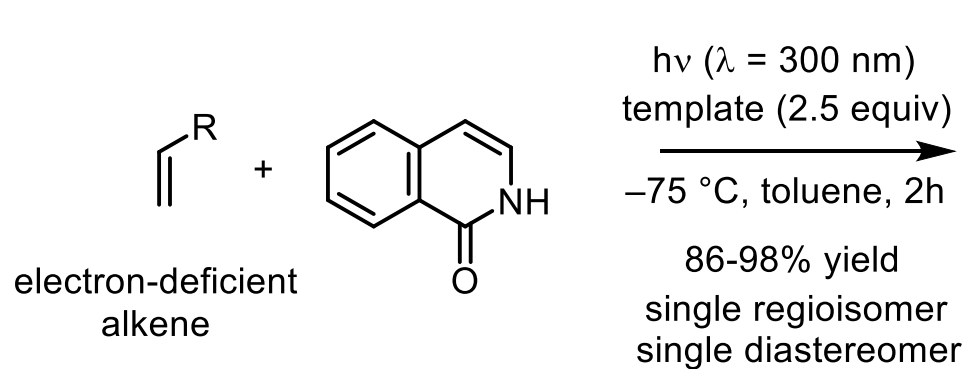
Kemp triacid lactam template

Intermolecular [2+2] photocycloaddition

Bach, *J. Am. Chem. Soc.* **2002**, *124*, 7983



Bach, *J. Am. Chem. Soc.* **2013**, *135*, 14948



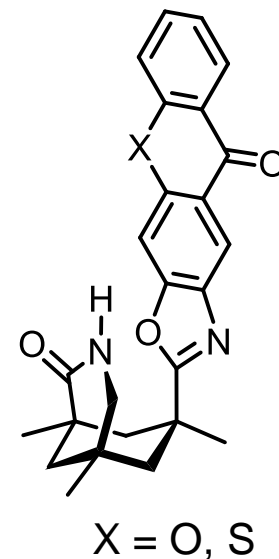
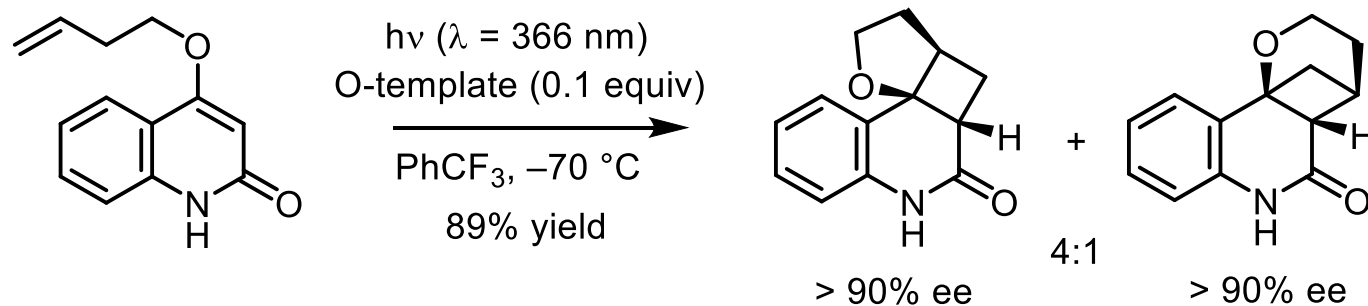
Kemp triacid lactam template

Intramolecular [2+2] photocycloaddition

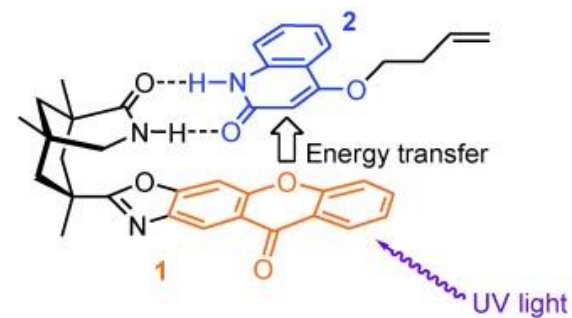
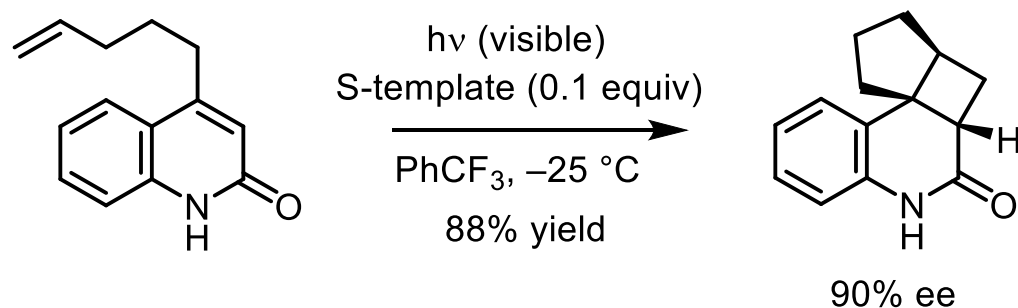
Xanthone or thioxanthone templates acts as sensitizers

Wavelength selected appropriately

Bach, *Angew. Chem. Int. Ed.* **2009**, 48, 6640



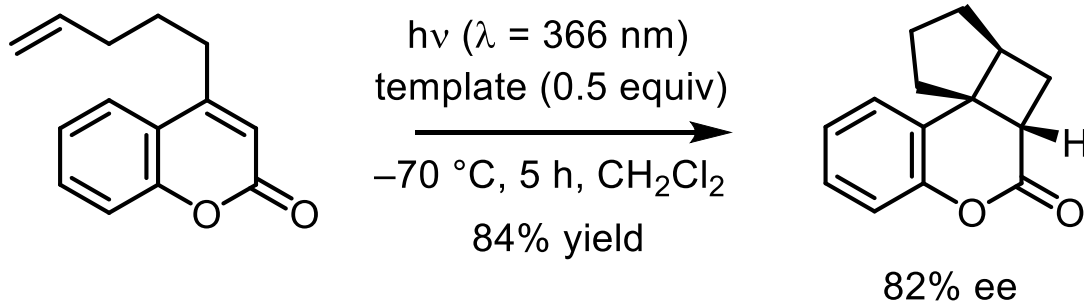
Bach, *Angew. Chem. Int. Ed.* **2014**, 53, 4368



Chiral oxazaborolidine template

Intramolecular [2+2] photocycloaddition

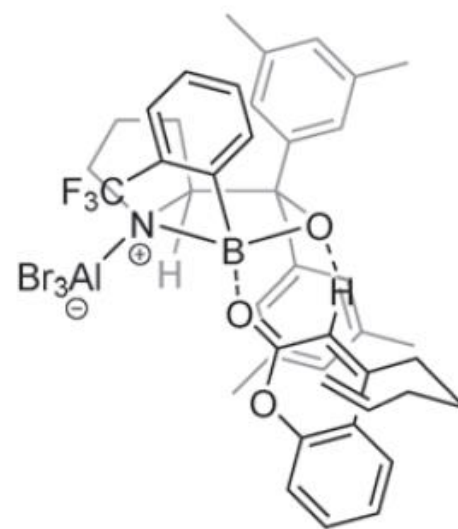
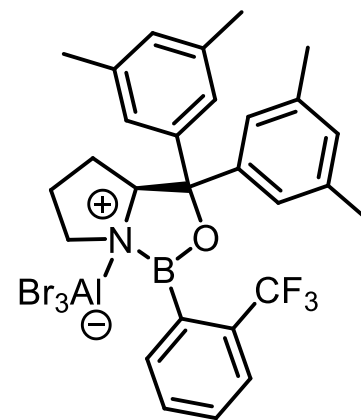
Bach, *Angew. Chem. Int. Ed.* **2010**, *49*, 7782



Without the template, yield = 28% (racemic)

Template has two effects:

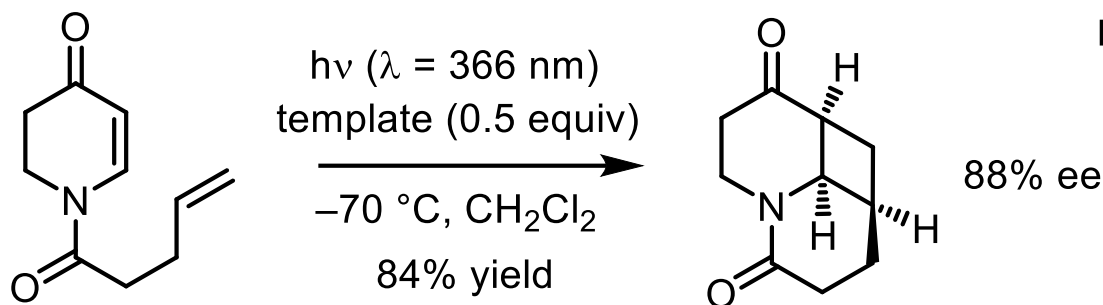
- binding provides asymmetric environment
- Lewis Acid behavior induces a bathochromic shift and increases S1 lifetime (and thus T1 population after ISC)



Chiral oxazaborolidine template

Intramolecular [2+2] photocycloaddition

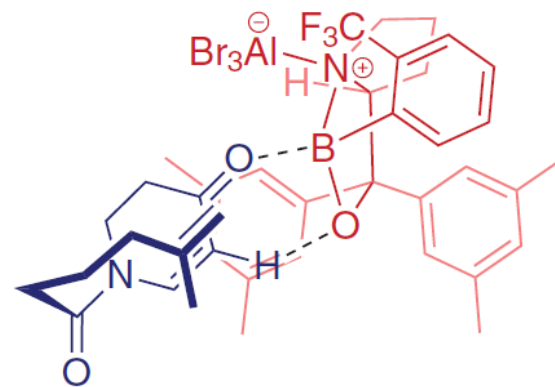
Bach, *Science* **2013**, 342, 840



Without the template, the reaction proceeds at $\lambda = 366$ nm ($\epsilon = 70$) via $n \rightarrow \pi^*$ then ISC.

Template has two effects:

- binding provides asymmetric environment
- Lewis Acid behavior induces a *large* bathochromic shift ($\Delta\lambda$ 50 nm); absorption at $\lambda = 366$ is $\pi \rightarrow \pi^*$ ($\epsilon = 10^4$). Light is therefore almost exclusively absorbed by the complex



Large hosts

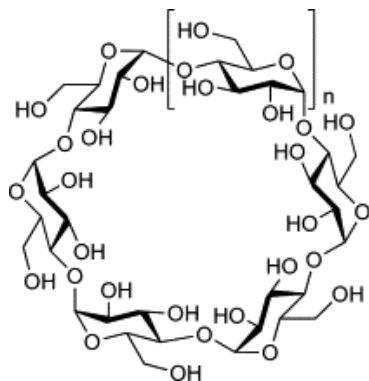
The host is a relatively large molecular structure and behaves as a molecular flask: the guest is at least partly enclosed by the host and partly isolated from the external environment.

Template types include: cucurbitils; self-assembled cages, bowls, cavitands

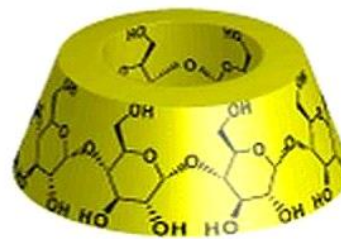
Cyclodextrins

Readily available (produced enzymatically from starch), variable size, inherent chirality, photochemically inert.

Toroid (truncated cone) shape. Smaller face (primary hydroxy groups), larger face (2° hydroxyl groups), hydrophobic cavity.



n = 1, α -cyclodextrin
n = 2, β -cyclodextrin
n = 3, γ -cyclodextrin

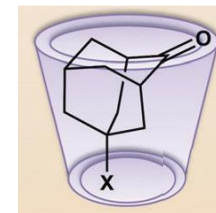
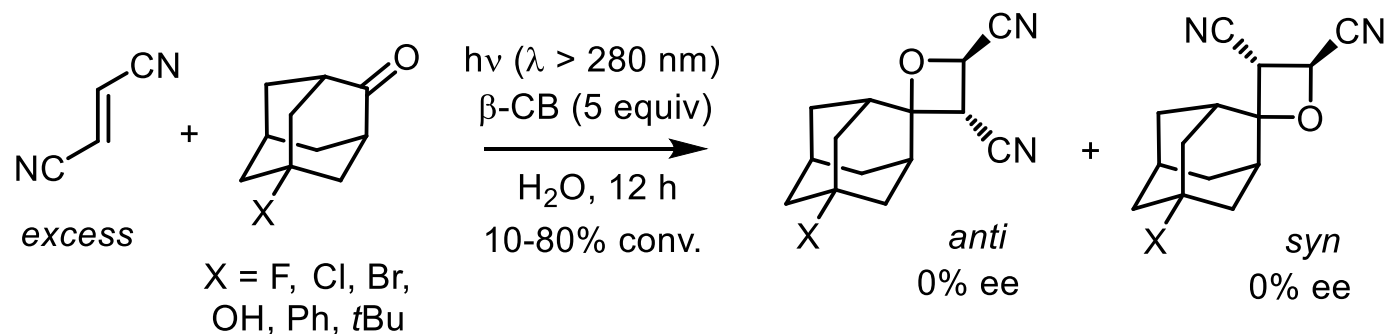


β -CD

Cyclodextrins

Paternò-Büchi reaction

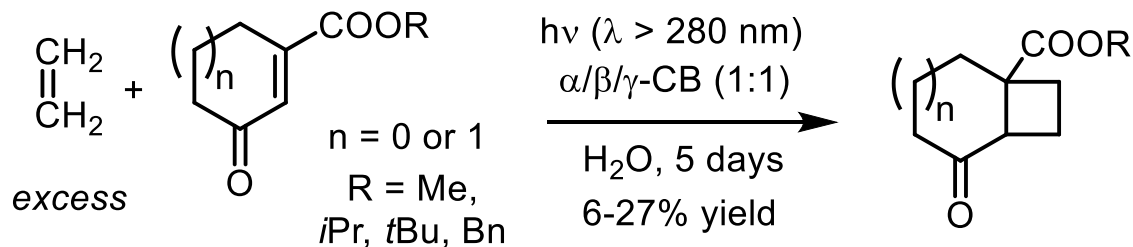
Turro, *J. Am. Chem. Soc.* **1990**, *112*, 1202



Without $\beta\text{-CB}$ *anti/syn* = 60/40
With $\beta\text{-CD}$ *anti/syn* = 20/80

Intermolecular [2+2] photocycloaddition

Kakiuchi, *Chirality* **2006**, *18*, 217



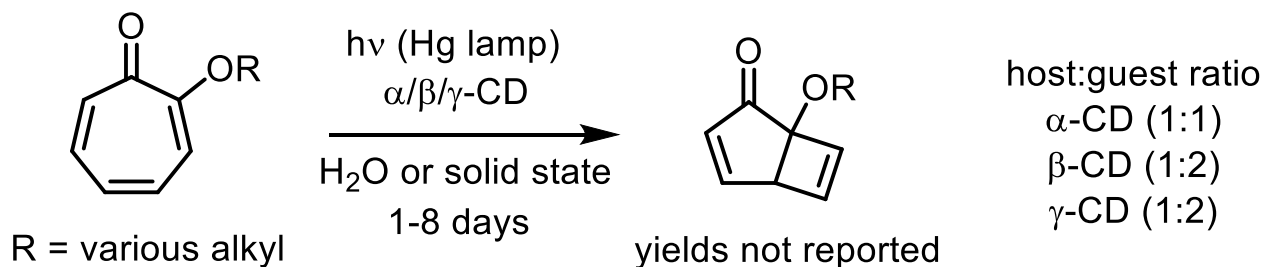
Best result 8% ee
 $\alpha\text{-CB}$; $n = 0$; $R = \text{Me}$

Cyclodextrins

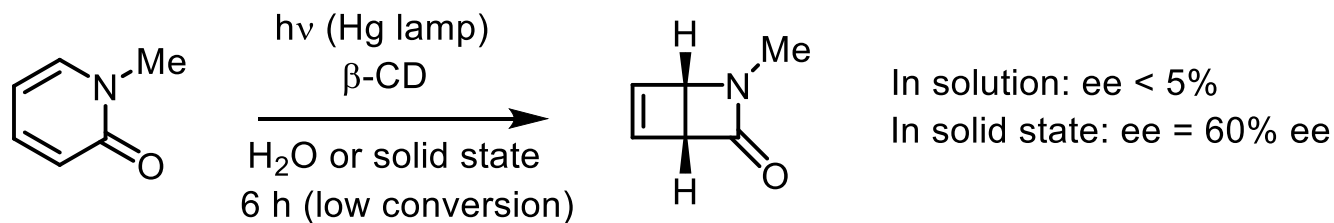
Photochemical [2+2] electrocyclizations

Ramamurthy, *Tetrahedron* **2000**, 56, 7003

Irradiation in aqueous solution with CDs gave photoproducts with negligible ee. Irradiation of solid state CD complexes gave photoproducts with ee up to 33%. Cavity size effect for R = Me: α -CD \rightarrow 5% ee; β -CD \rightarrow 28% ee; γ -CD \rightarrow 0% ee.



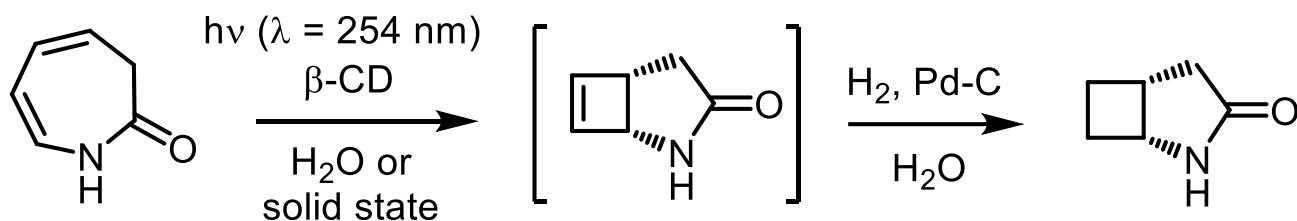
Ramamurthy, *Tetrahedron Lett.* **2002**, 43, 9335



Cyclodextrins

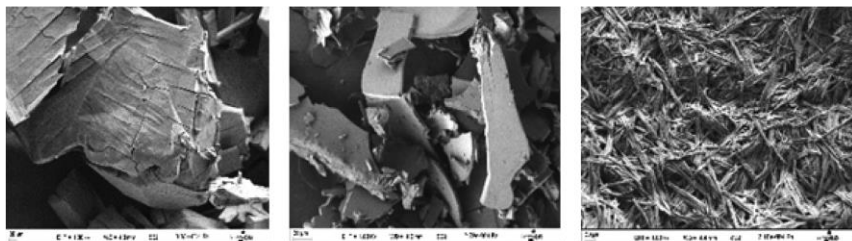
Photochemical [2+2] electrocyclizations

Aitken, *J. Org. Chem.* **2017**, *82*, 9832



Solution state: isolated yield 65%, ee = 0%

Solid state 1:1 complex (suspension, film): isolated yield 78%, ee 45%



A

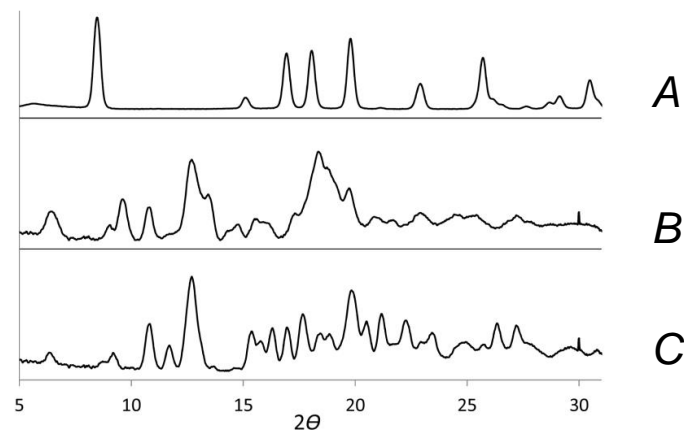
B

C

A: solid azepinone

B: solid $\beta\text{-CD}$

C: azepinone: $\beta\text{-CD}$ 1:1 complex

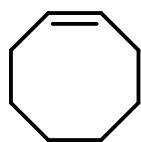
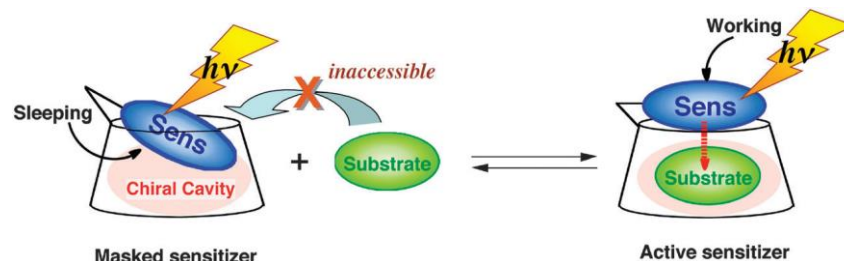


Cyclodextrins bearing sensitizers

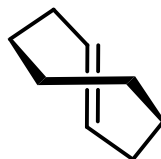
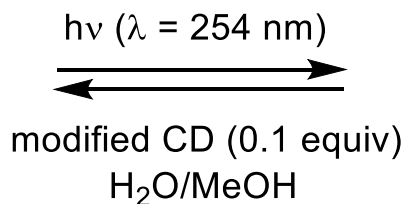
Alkene *cis/trans* photoisomerization

Inoue, *J. Org. Chem.* **2000**, 65, 8041

trans-cyclooctene is a chiral molecule

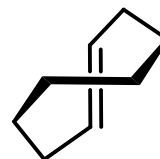


cis



(*R*)-*trans*

+



(*S*)-*trans*



R = H
R = *o*-OMe
R = *m*-OMe
R = *p*-OMe
R = *m*-CO₂Me

6-*O*-benzoate esters of $\alpha/\beta/\gamma$ -CDs as hosts

In the photostationary state, the *trans/cis* ratio was 0.4–0.8
(*c.f.* 0.25 for conventional benzoate sensitizations in hydrocarbon solvents)

Cavity size effect: α -CD \rightarrow 3% ee; β -CD \rightarrow 46% ee; γ -CD \rightarrow 5% ee.

2. Solid state reactions

Principle : substrates form single crystals (of appropriate size and quality) which have a **chiral space group**. The chiral packing is equivalent to an asymmetric reaction cavity.

There are 230 space groups, of which 65 are chiral.

There is no general understanding of the relationship between molecular structure and crystal structure.

The vast majority of achiral compounds do *not* crystallize in chiral space groups; it is virtually impossible to predict when a substance will do so.

A common approach to *guarantee* a chiral space group is to associate (non covalently) the substrate with an optically pure chiral auxiliary. Such compounds are required to crystallize in chiral space groups.

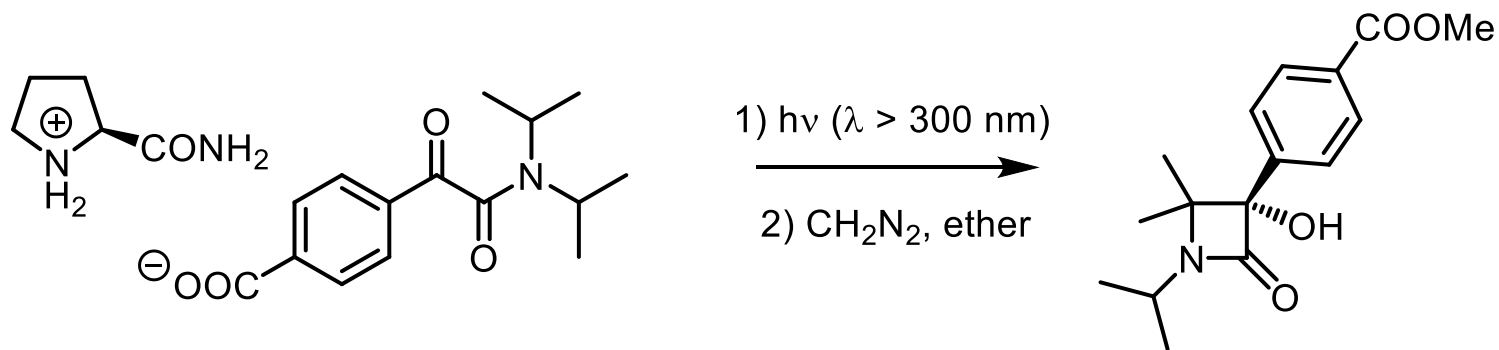
In the footsteps of Louis Pasteur (1853)

Resolution of racemic tartaric acid via formation of diastereoisomeric salts with cinchonine followed by fractional crystallization

Cocrystallized as a chiral salt

Norrish-II / Yang cyclization

Scheffer, *Synthesis* **2001**, 1253



Crystallized from methanol
(space group not determined)

crystals suspended in hexane (500 mg scale): 99% ee, 91% yield

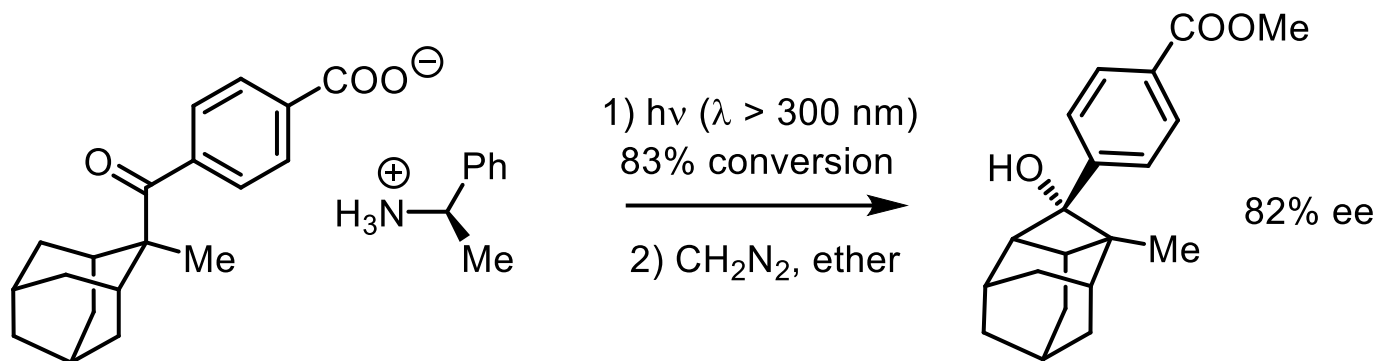
pure solid state: 99% ee

solution in acetonitrile: 7% ee

Cocrystallized as a chiral salt

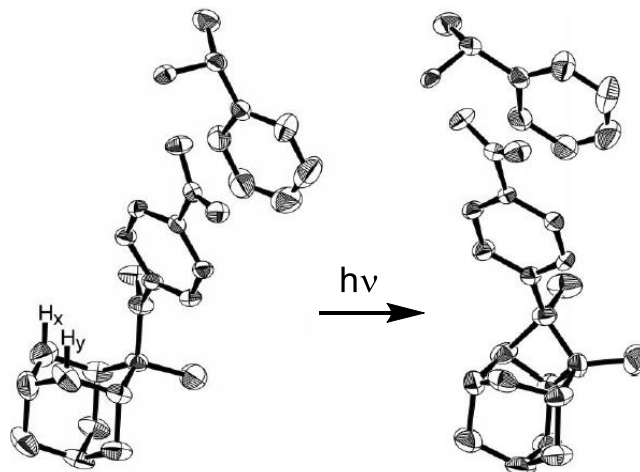
Norrish-II / Yang cyclization

Scheffer, *Can. J. Chem.* **2001**, 79, 349



The single (homochiral) conformation in the crystal allows selective abstraction of one of the two enantiotopic hydrogens

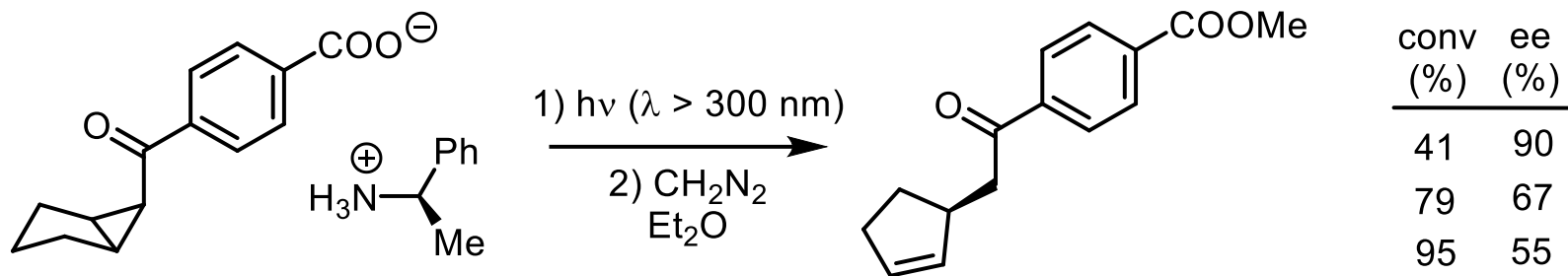
X-ray structure of the same crystal before and after irradiation (space group $P2_12_12_1$)



Cocrystallized as a chiral salt

Norrish-II / α,β -fragmentation

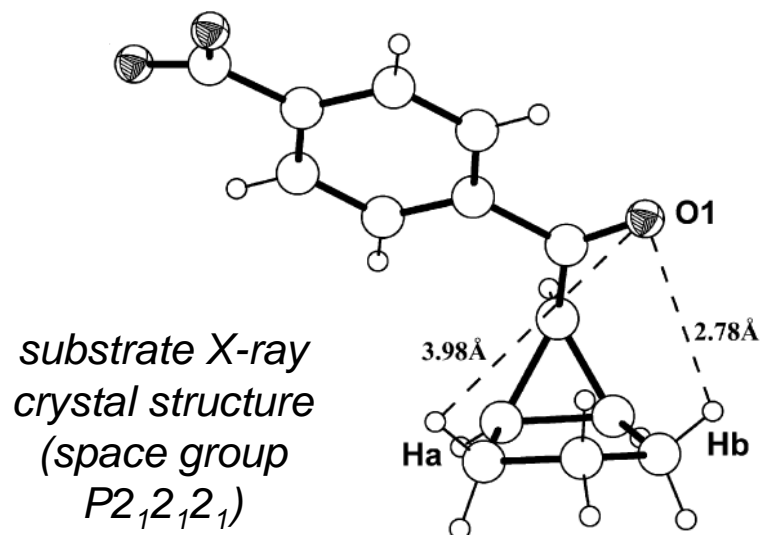
Xia, *Tetrahedron* **2009**, 65, 9952



Crystals (5 mg) crushed between Pyrex microscope slides, irradiated on both sides (450 W Hg lamp)

As conversion increased, ee decreased; possibly due to breakdown in crystal lattice order as product replaces substrate.

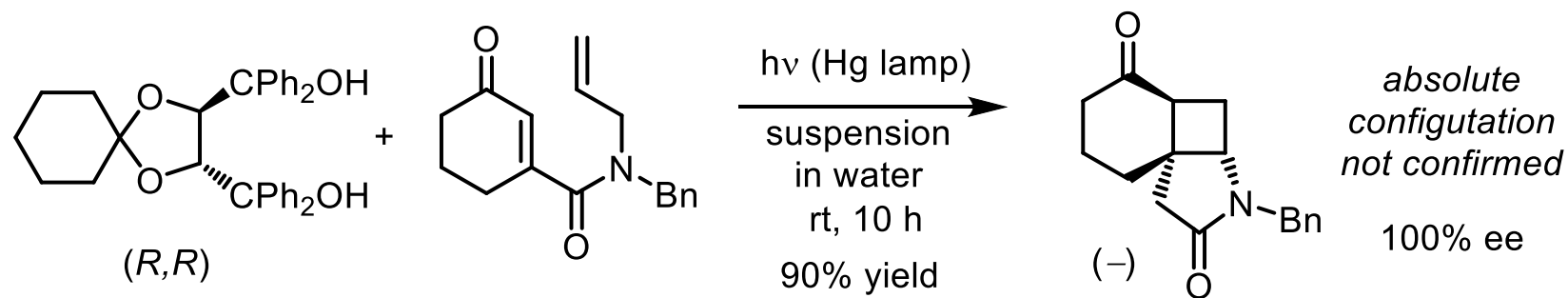
Irradiation in CH_3CN solution:
ee = 0%



Cocrystallized with a chiral non-racemic molecule

Intramolecular [2+2] photocycloaddition

Toda, *Chem. Commun.* **1995**, 621

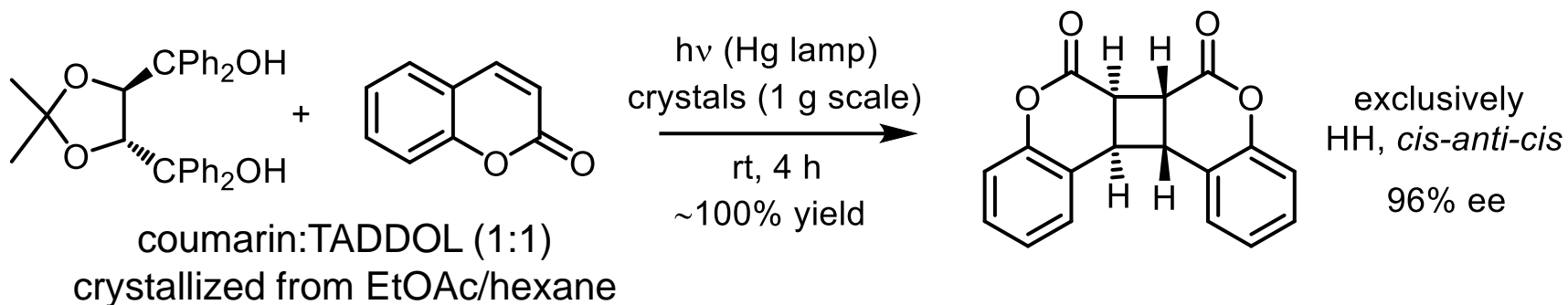


2:1 inclusion complex crystallized from ether
crystals irradiated as a suspension in water (2 g scale)

Cocrystallized with a chiral non-racemic molecule

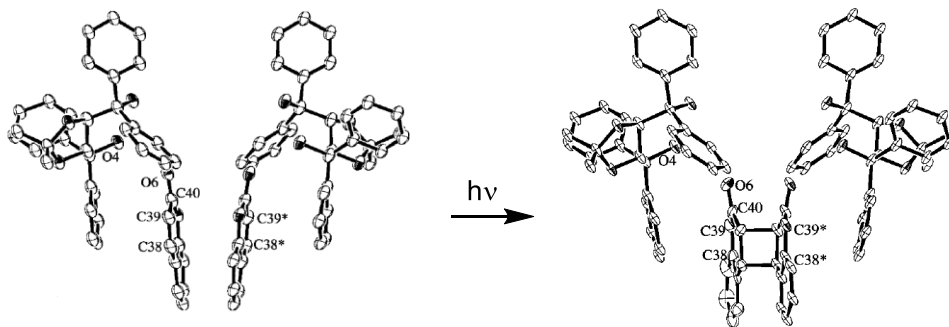
Intermolecular [2+2] photocycloaddition (dimerization)

Toda, *Angew. Chem. Int. Ed.* **1999**, 38, 3523



Coumarin dimerization in solution is inefficient and gives regio/stereo mixtures
Coumarin crystals do not photodimerize (unfavorable packing)

*X-ray structure of the same
crystal before and after
irradiation (space group C2)*

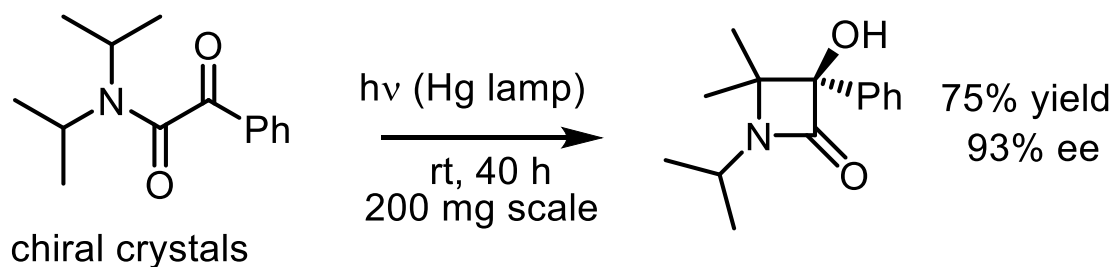


Chiral crystals from achiral molecules

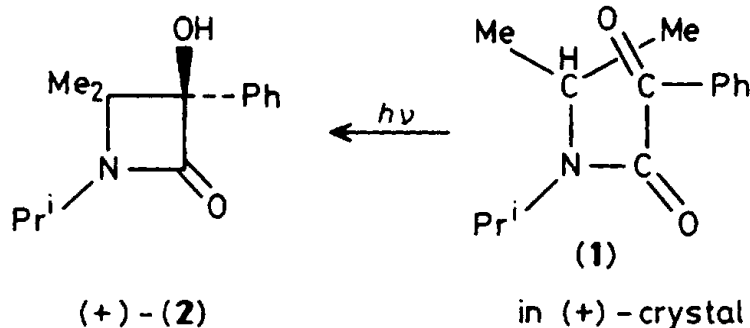
Norrish-II / Yang cyclization

Toda, *Chem. Commun.* **1987**, 1413

“Absolute asymmetric synthesis”



Chiral crystals (5-10 mg) of the achiral glyoxylamide grown from benzene
Space group $P2_12_12_1$

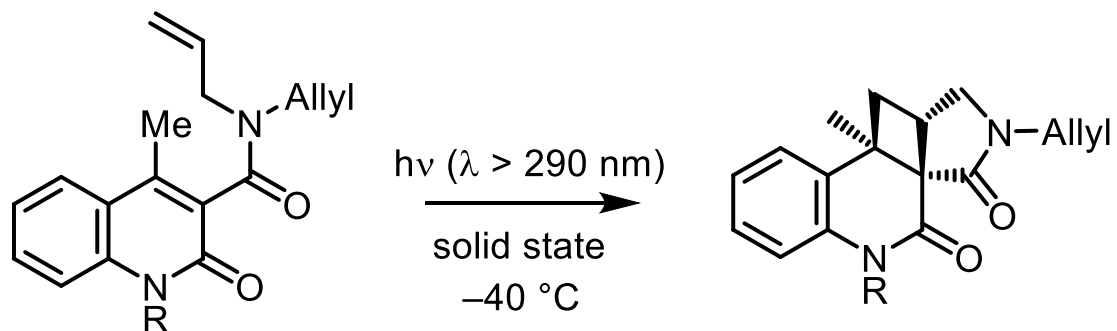


Chiral crystals from achiral molecules

Intramolecular [2+2] photocycloaddition

Sakamoto, *Org. Lett.* **2011**, 13, 6168

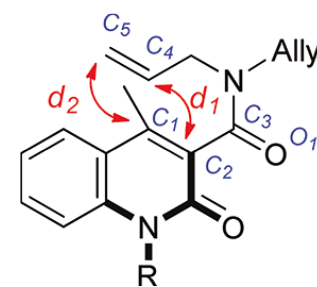
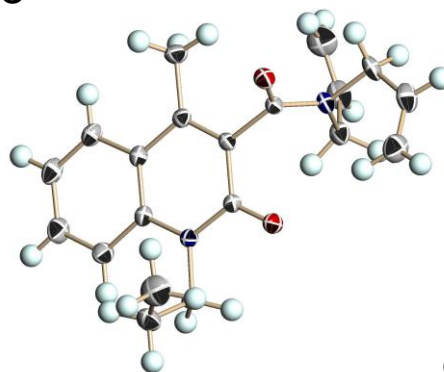
“Absolute asymmetric synthesis”



R	space group	conv (%)	yield (%)	ee (%)
Me	<i>Pbca</i>	43	86	0
Et	<i>P2₁/c</i>	38	76	0
Pr	<i>P2₁</i>	67	92	95

Crystallized from CHCl_3 /hexane

In the crystal, all substrates adopt a near-perpendicular conformation between the quinolone ring and the amide plane



$$d_1 = 3.4\text{-}3.9 \text{ \AA}, d_2 = 4.1\text{-}5.8 \text{ \AA}$$