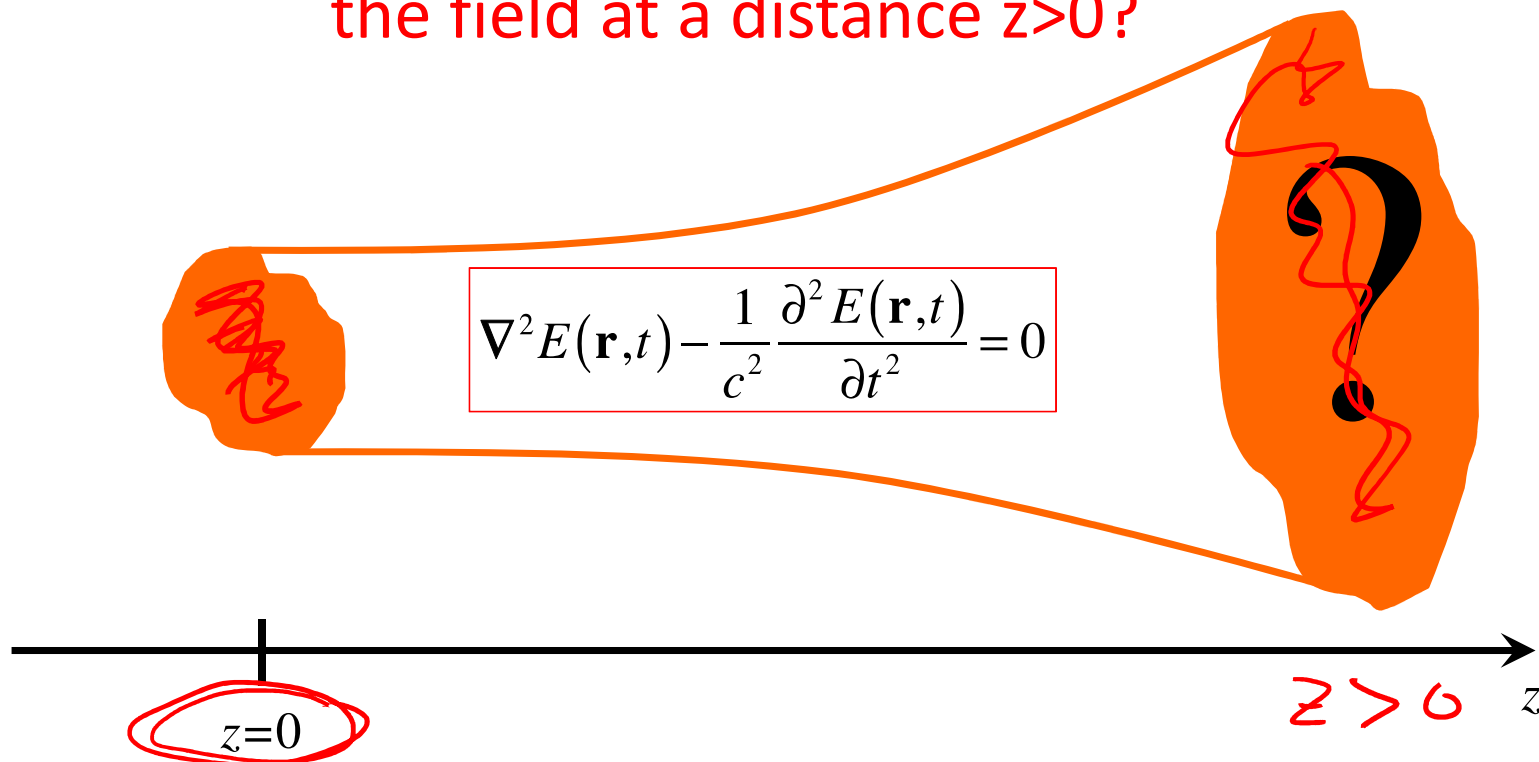


Bonjour!

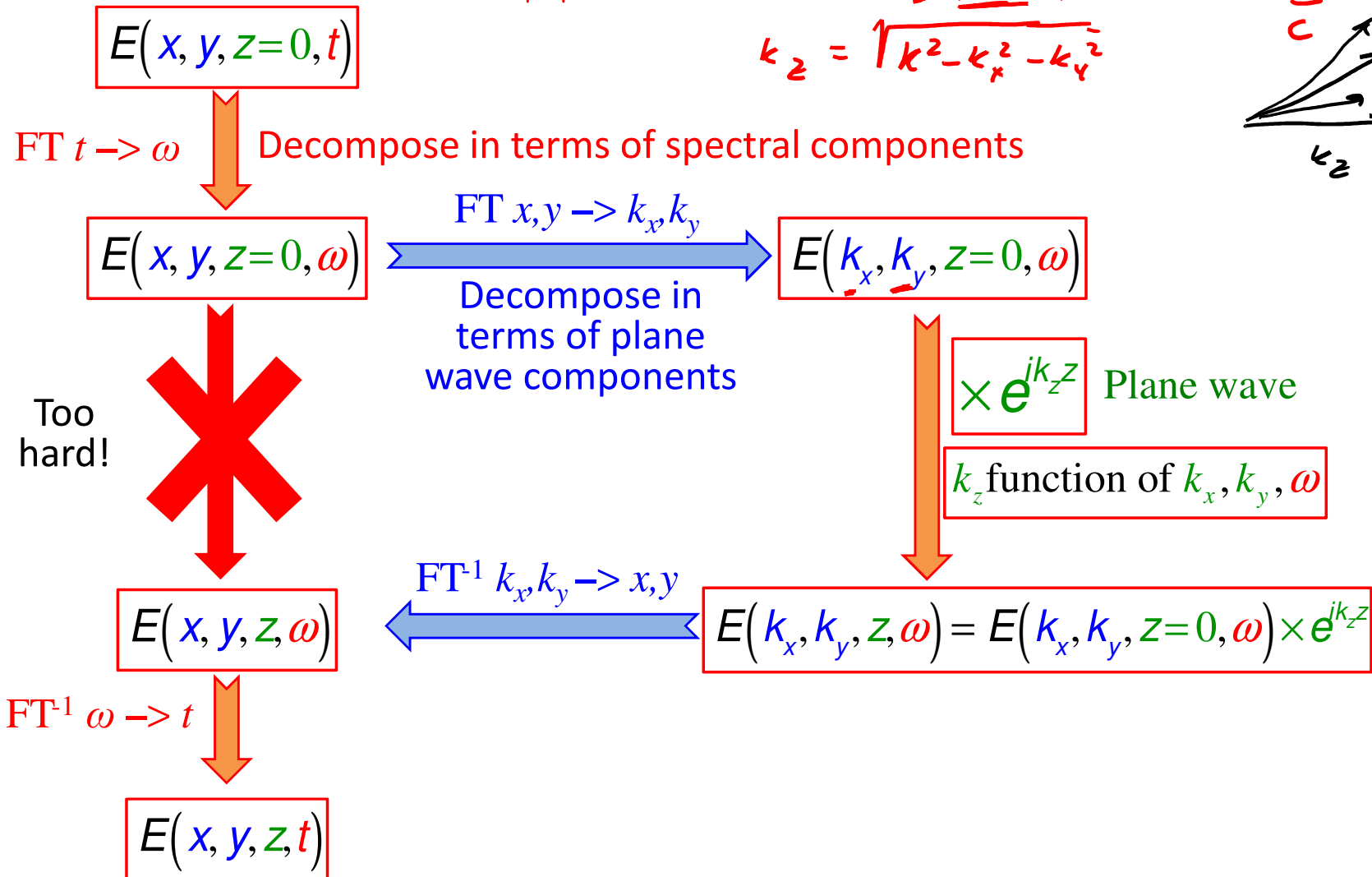
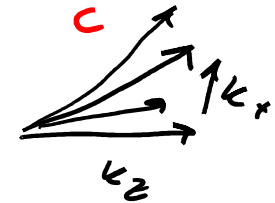
Recall from last week: our goal

Knowing the distribution of the electric field on a plane at $z=0$ (e.g. on an aperture), can we find an expression for the field at a distance $z>0$?



Summary: express an arbitrary wave as a sum of plane waves
(same $|k|$, different k_x, k_y, k_z (k_x, k_y)) $|k| = \frac{\omega}{c}$

$$k_z = \sqrt{k^2 - k_x^2 - k_y^2}$$



Last week: express field as sum of plane waves $|k| = \frac{2\pi}{\lambda}$

$$E(x, y, z, \omega) = \frac{1}{(2\pi)^2} \iint dk_x dk_y E(k_x, k_y, z=0, \omega) e^{i(k_x x + k_y y + k_z z)}$$

ξ se propage!

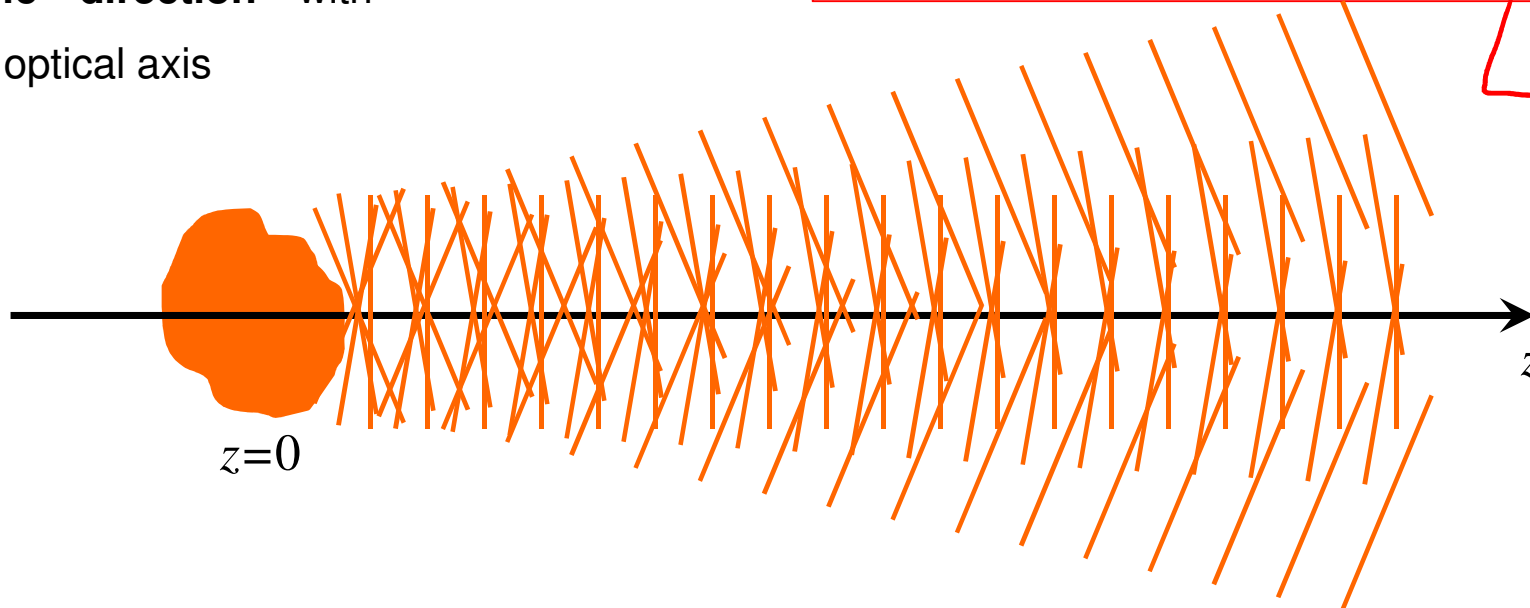
- Each wave in the sum corresponds to a **specific spatial frequency pair** k_x, k_y and propagates in a **specific direction** with respect to the optical axis

with:

$$k_z = \begin{cases} \sqrt{\frac{\omega^2}{c^2} - k_x^2 - k_y^2} & \text{if } \frac{\omega^2}{c^2} > k_x^2 + k_y^2 \\ i \sqrt{k_x^2 + k_y^2 - \frac{\omega^2}{c^2}} & \text{otherwise} \end{cases}$$

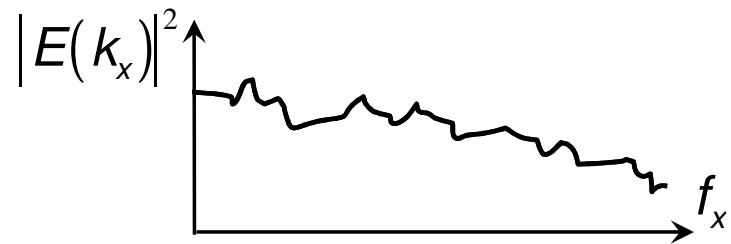
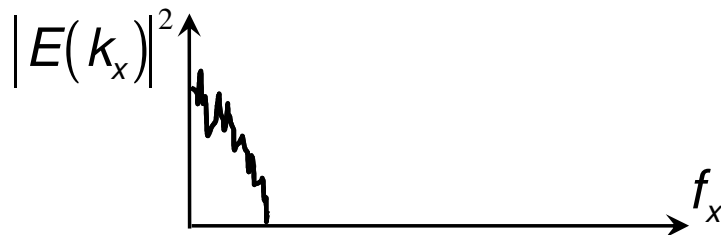
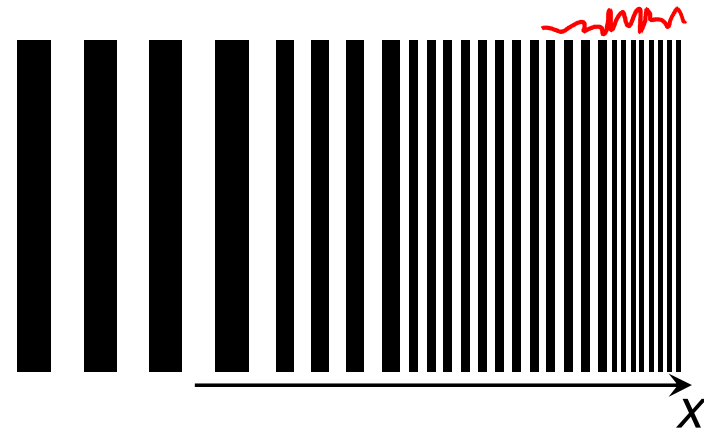
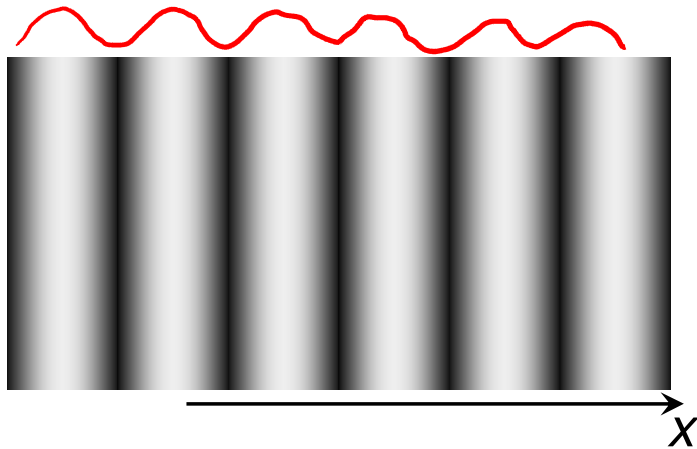
$\rightarrow$ évanescent
 $\Rightarrow$ Ne se propage PAS

$\rightarrow$ limite de diffraction

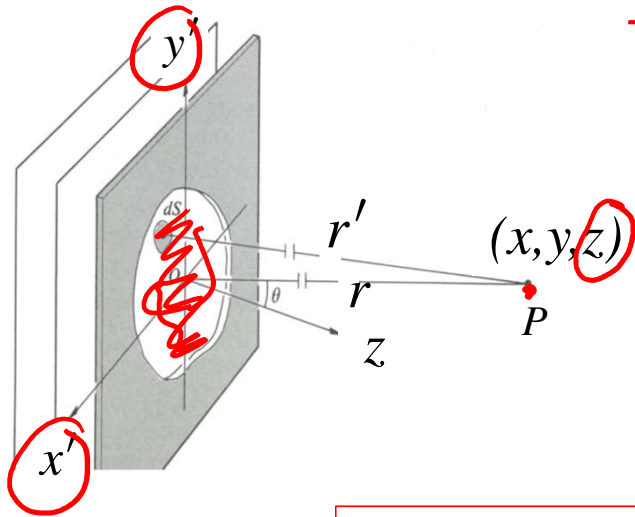


Concept of spatial frequencies

Which image has the most spatial frequency components?



Recall: Fraunhofer approximation



$$z \rightarrow \infty$$

Fraunhofer condition:

Point of observation at a distance $\gg$ size of source

$$z \gg x', y'$$

$$E(x, y, z, \omega) = -\frac{i}{\lambda} E\left(k_x = \frac{kx}{z}, k_y = \frac{ky}{z}, z=0, \omega\right) \frac{e^{ikz}}{z}$$

Fourier transform as a function of t, x and y of the electric field

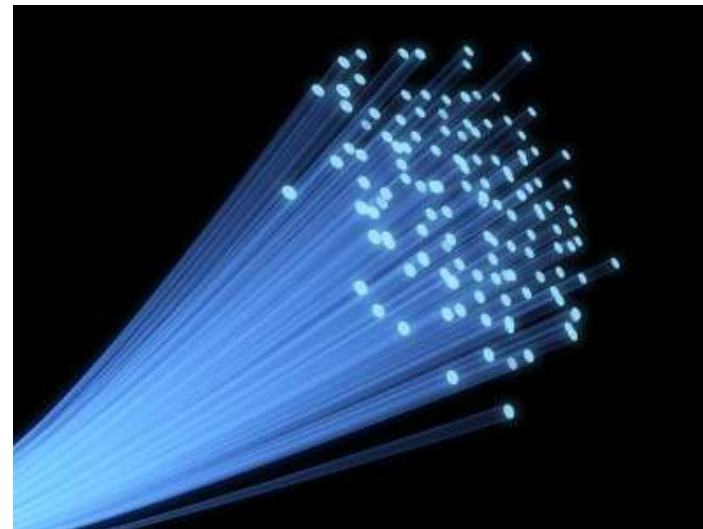
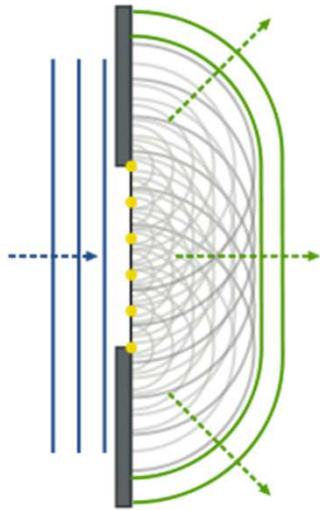
Far field diffraction = 2D spatial Fourier transform of incident field!!!

On fait des maths avec la lumière. 😊

(More) diffraction and waveguides

Goals today:

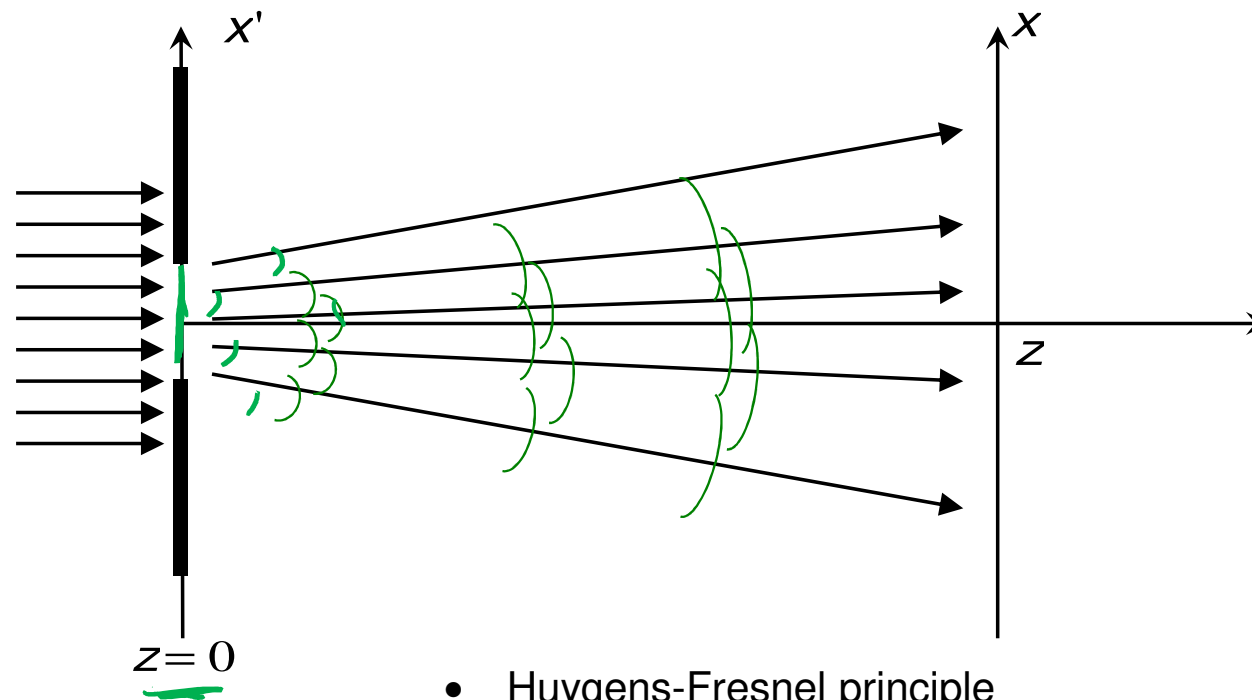
- Express an arbitrary wave as a sum of spherical waves => "changement de base"
- Huygens-Fresnel principle
- Fresnel approximation—diffraction before the far-field
- Waveguides



https://en.wikipedia.org/wiki/Huygens%E2%80%93Fresnel_principle

https://qualitysurgicalrepairs.com/video_cameras__consoles__fiberoptic_cables

Now: express field as a sum of spherical waves



- Huygens-Fresnel principle
- Fresnel approximation—diffraction *before* the far-field

Arbitrary field as a sum of spherical waves: Rayleigh-Sommerfeld expression

$$k_z = \sqrt{k^2 - k_x^2 - k_y^2}$$

$$\vec{k} = (k_x, k_y)$$

Recall: Field as a sum of plane waves:

$$\vec{x} = (x, y)$$

$$E(x, y, z, \omega) = \frac{1}{(2\pi)^2} \iint dk_x dk_y \underbrace{E(k_x, k_y, z=0, \omega)}_{h(\vec{k})} \underbrace{e^{ik_z z} e^{i(k_x x + k_y y)}}_{g(\vec{k})}$$

$$\text{TF}^{-1} \{ h(\vec{k}) g(\vec{k}) \}$$

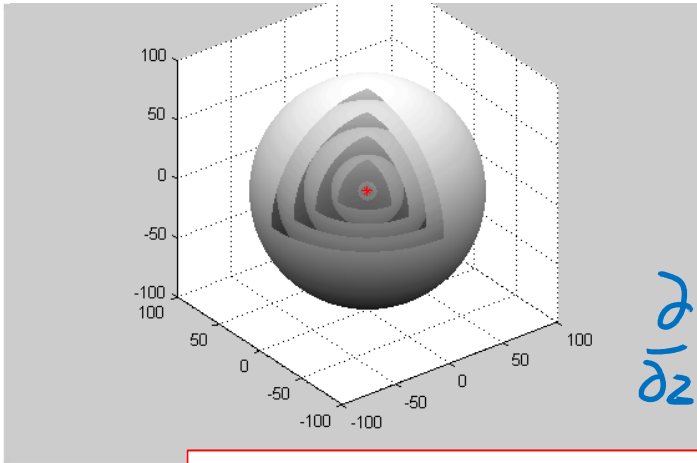
$$\text{TF}^{-1} \{ h(\vec{k}) \cdot g(\vec{k}) \} = \text{TF}^{-1} \{ h(\vec{k}) \} \otimes \text{TF}^{-1} \{ g(\vec{k}) \}$$

“The Fourier transform of a product is equal to the convolution of the separate Fourier transforms”.

$$\begin{aligned} &= h(\vec{x}) \otimes g(\vec{x}) \\ &= \iint_{-\infty}^{\infty} h(\vec{x}') g(\vec{x} - \vec{x}') d\vec{x}' \end{aligned}$$

$$E(x, y, z, \omega) = E(x, y, z=0, \omega) \otimes \text{TF}^{-1} \{ e^{ik_z z} \}$$

Note mission: to over reci



Weyl plane wave decomposition of a spherical wave

$$\frac{\exp(ikr)}{r} = \frac{1}{2\pi} \iint dk_x dk_y \frac{\exp[i(k_x x + k_y y + k_z |z|)]}{k_z} \quad (3.18)$$

$$E(x, y, z, \omega) = E(x, y, z=0, \omega) * FT^{-1}\{e^{ik_z z}\}$$

In the search to find $FT^{-1}\{e^{ik_z z}\}$ calculate

$$\frac{\partial}{\partial z} \frac{\exp(ikr)}{r}$$

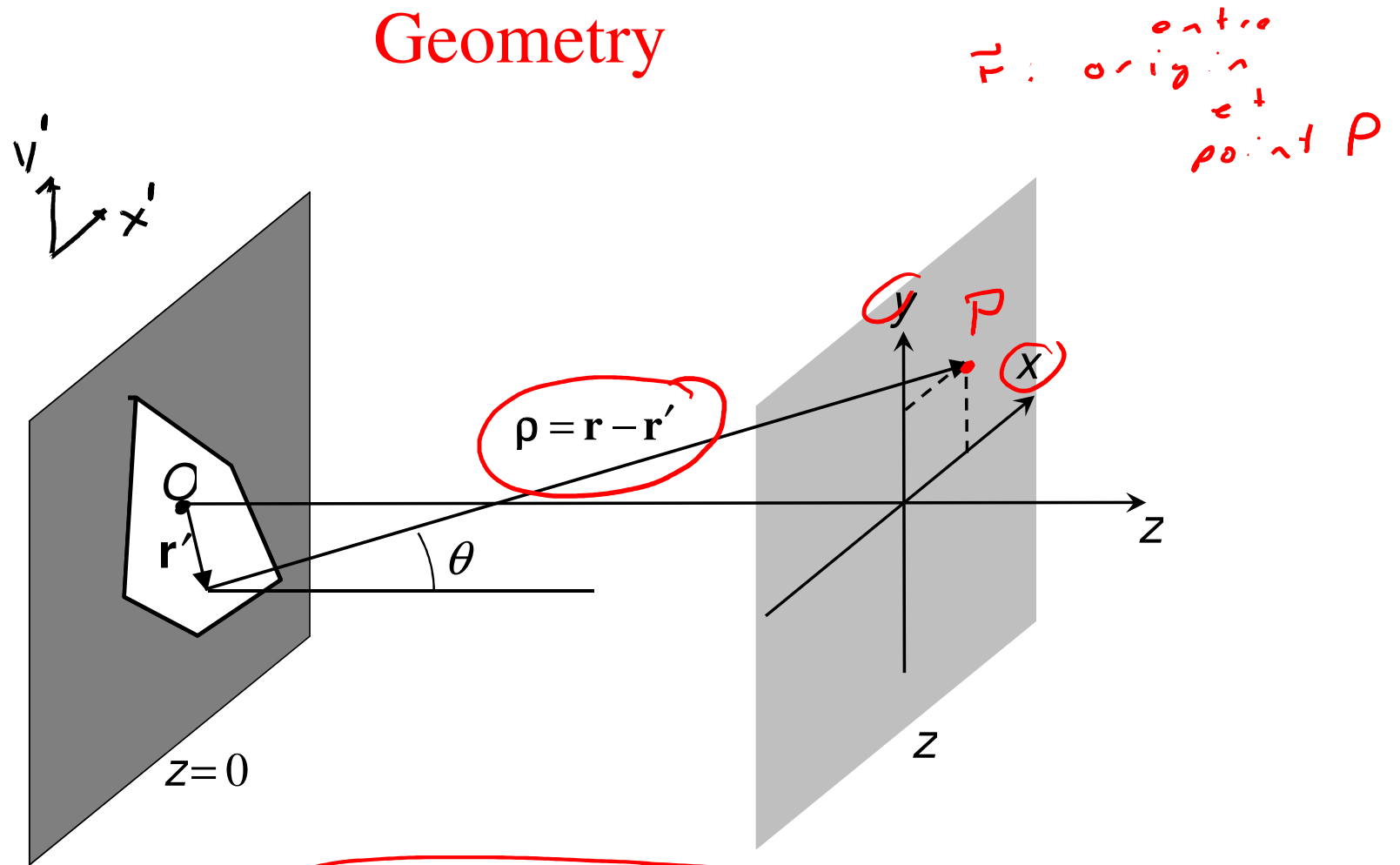
$$k_x^2 + k_y^2 + k_z^2 = \frac{\omega^2}{c^2}$$

$$= -\frac{1}{2\pi} \iint dk_x dk_y e^{ik_x x + ik_y y} e^{ik_z z}$$

$$= -2\pi FT^{-1}\{e^{ik_z z}\} \text{ You know}$$

$$E(x, y, z, \omega) = E(x, y, z=0, \omega) (*) \left\{ -\frac{1}{2\pi} \frac{\partial}{\partial z} \frac{e^{ikr}}{r} \right\}$$

Geometry



$$\rho(\mathbf{r}, \mathbf{r}') = \|\mathbf{r} - \mathbf{r}'\| = \sqrt{(x - x')^2 + (y - y')^2 + z^2}$$

Towards the Rayleigh-Sommerfeld relation

$$E(x, y, z, \omega) = E(x, y, z=0, \omega) * \left(-\frac{1}{2\pi} \frac{\partial}{\partial z} \frac{\exp(ikr)}{r} \right)$$

$$h(\vec{x}) * g(\vec{x}) = \int_{-\infty}^{\infty} d\vec{x}' h(\vec{x}') g(\vec{x} - \vec{x}') \quad \rho(\mathbf{r}, \mathbf{r}') = \|\mathbf{r} - \mathbf{r}'\| = \sqrt{(x-x')^2 + (y-y')^2 + z^2}$$

$$E(x, y, z, \omega) = \iint_{-\infty}^{\infty} dx' dy' E(x', y', z=0, \omega) \left[-\frac{1}{2\pi} \frac{\partial}{\partial z} \frac{e^{ik\rho(\vec{r}, \vec{r}')}}{\rho(\vec{r}, \vec{r}')} \right]$$

Relation Rayleigh - Sommerfeld

Towards the Huygens-Fresnel principle

$$E(x, y, z, \omega) = -\frac{1}{2\pi} \iint dx' dy' E(x', y', z=0, \omega) \frac{\partial}{\partial z} \frac{\exp[ik\rho(\mathbf{r}, \mathbf{r}')] }{\rho(\mathbf{r}, \mathbf{r}')} \quad (3.20)$$

Rayleigh-Sommerfeld relation

Find: $\frac{\partial}{\partial z} \frac{e^{ik\rho}}{\rho}$

$$\rho(\mathbf{r}, \mathbf{r}') = \|\mathbf{r} - \mathbf{r}'\| = \sqrt{(x-x')^2 + (y-y')^2 + z^2}$$

$$\frac{\partial \rho}{\partial z} = \frac{1}{\rho} \frac{\partial \rho}{\partial z} = \frac{z}{\rho}$$

$$\frac{\partial}{\partial z} \frac{e^{ik\rho}}{\rho} = -\frac{1}{\rho^2} e^{ik\rho} \frac{\partial \rho}{\partial z} + ik \frac{e^{ik\rho}}{\rho} \frac{\partial \rho}{\partial z} = \frac{z}{\rho} \frac{e^{ik\rho}}{\rho} \left[-\frac{1}{\rho} + ik \right]$$

$$E(x, y, z, \omega) = -\frac{1}{2\pi} \iint dx' dy' E(x', y', z=0, \omega) \frac{\exp[ik\rho(\mathbf{r}, \mathbf{r}')] }{\rho(\mathbf{r}, \mathbf{r}')^2} z \left(-\frac{1}{\rho(\mathbf{r}, \mathbf{r}')} + ik \right)$$

$$\rho(\mathbf{r}, \mathbf{r}') = \|\mathbf{r} - \mathbf{r}'\| = \sqrt{(x-x')^2 + (y-y')^2 + z^2}$$

$$\rho \gg z$$

Huygens-Fresnel Principle

$$k = 2\pi/\lambda$$

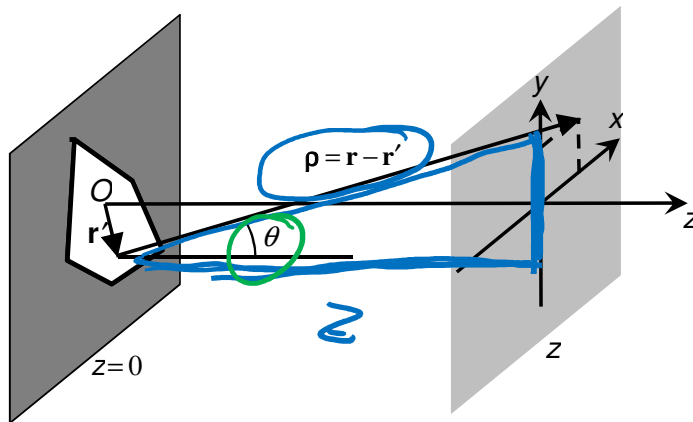
$$z \gg \lambda \Rightarrow \text{PAS}$$

d'ondes
évanescientes

$$E(x, y, z, \omega) = -\frac{1}{2\pi} \iint dx' dy' E(x', y', z=0, \omega) \frac{\exp[ik\rho(\mathbf{r}, \mathbf{r}')] }{\rho(\mathbf{r}, \mathbf{r}')^2} z \left(-\frac{1}{\rho(\mathbf{r}, \mathbf{r}')} + ik \right)$$

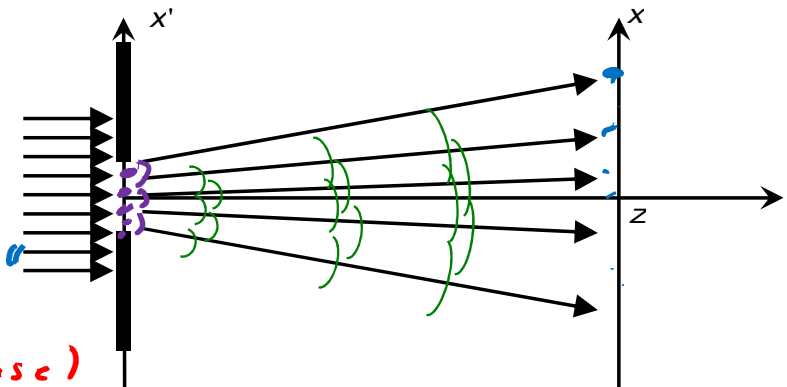
$$-\frac{1}{2\pi} \cdot \frac{i2\pi}{\lambda} = -\frac{i}{\lambda}$$

$$z - \frac{1}{2} + i \frac{2\pi}{\lambda}$$



$$z = \cos \theta$$

"facteur
d'obliquité"



amplitude (et phase)
de chaque ondelette dans la somme

$$E(x, y, z, \omega) = -\frac{i}{\lambda} \iint dx' dy' E(x', y', z=0, \omega) \frac{\exp[ik\rho(\mathbf{r}, \mathbf{r}')] }{\rho(\mathbf{r}, \mathbf{r}')} \cos \theta \quad (3.24)$$

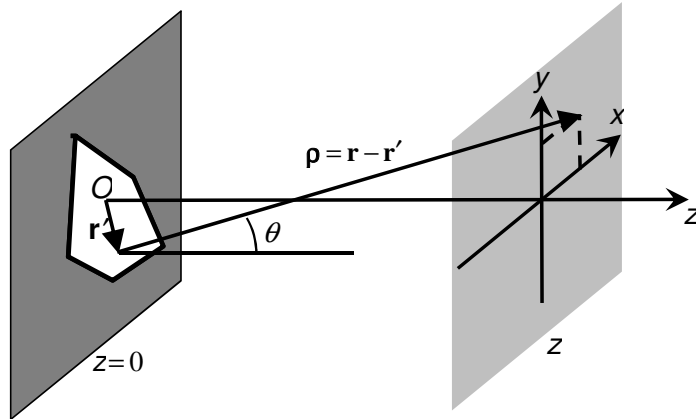
ondelette

Huygens-Fresnel principle

ondes sphériques
centrées en $\mathbf{r}'$

interférence

Fresnel approximation



- Valid “before the far field” (to be defined more precisely).



$$\rho(\mathbf{r}, \mathbf{r}') = \|\mathbf{r} - \mathbf{r}'\| = \sqrt{(x - x')^2 + (y - y')^2 + z^2}$$

$$\frac{1}{\rho} \approx \frac{1}{z}$$

$$E(x, y, z, \omega) = -\frac{i}{\lambda} \iint dx' dy' E(x', y', z=0, \omega) \frac{\exp[ik\rho(\mathbf{r}, \mathbf{r}')] \cos \theta}{\rho(\mathbf{r}, \mathbf{r}')} \quad (3.24)$$

on ne considère que des ondes 'près' de l'axe optique (paraxiale)

Doit faire plus d'attention pour le terme $e^{ik\rho}$

$$\rho = z \left[1 + \frac{x^2 + y^2}{z^2} + \frac{x'^2 + y'^2}{z^2} - \frac{2xx' + 2yy'}{z^2} \right]^{1/2}$$

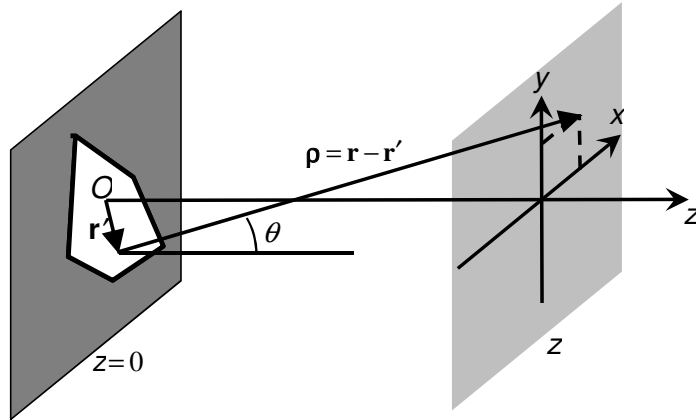
→ Négligé dans l'approx. Fraunhofer

$$\rho = z \left(1 + \frac{1}{2} \frac{(x-x')^2}{z^2} + \frac{1}{2} \frac{(y-y')^2}{z^2} \right)$$

Fresnel: on garde tous les termes. mais on fait un DL

$z^2 \gg (x-x')^2, (y-y')^2$

Fresnel approximation



$$\rho(\mathbf{r}, \mathbf{r}') = \|\mathbf{r} - \mathbf{r}'\| = \sqrt{(x - x')^2 + (y - y')^2 + z^2}$$

$$\rho(\mathbf{r}, \mathbf{r}') \approx z \left[1 + \frac{1}{2} \left(\frac{x - x'}{z} \right)^2 + \frac{1}{2} \left(\frac{y - y'}{z} \right)^2 \right]$$

$$E(x, y, z, \omega) = -\frac{i}{\lambda} \iint dx' dy' E(x', y', z=0, \omega) \frac{\exp[ik\rho(\mathbf{r}, \mathbf{r}')] \cos \theta}{\rho(\mathbf{r}, \mathbf{r}')} \quad (3.24)$$

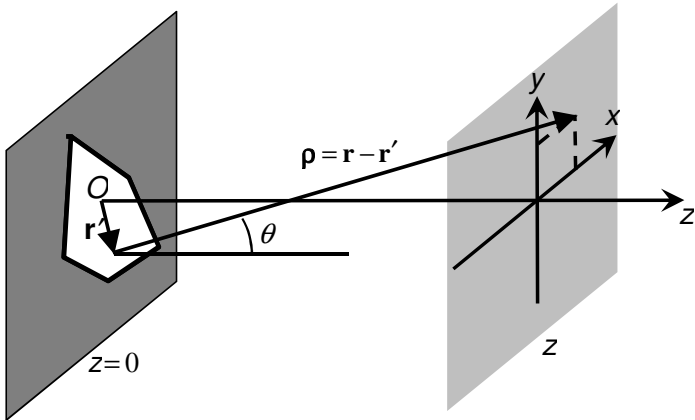
$$\Rightarrow E(x, y, z, \omega) \approx -\frac{i}{\lambda} \frac{e^{ikz}}{z} \iint dx' dy' E(x', y', z=0, \omega) e^{\frac{ik(x-x')^2}{2z}} e^{\frac{ik(y-y')^2}{2z}}$$

Diffraction de Fresnel

$$z \gg |x - x'|, |y - y'|$$

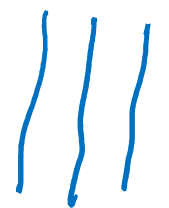
Fresnel approximation: summary

$$E(x, y, z, \omega) = -\frac{ie^{ikz}}{\lambda z} \iint dx' dy' E(x', y', z=0, \omega) \exp\left\{i \frac{k}{2z} \left[(x-x')^2 + (y-y')^2\right]\right\}$$



Fresnel: fronts d'ondes de forme parabolique!

Fraunhofer



Connection to Fraunhofer approximation

Starting with

Diffraction Fresnel

$$E(x, y, z, \omega) = -\frac{ie^{ikz}}{\lambda z} \iint dx' dy' E(x', y', z=0, \omega) \exp\left\{i \frac{k}{2z} \left[(x-x')^2 + (y-y')^2 \right] \right\} \quad (3.48)$$

$$\frac{k}{2z} \left[(x-x')^2 + (y-y')^2 \right] = \frac{k}{2z} (x^2 + y^2 + x'^2 + y'^2 - 2xx' - 2yy')$$

Ne contribue qu'un terme de phase

0 pour Fraunhofer

$z \gg x', y'$

$$\Rightarrow E(x, y, z, \omega) = -\frac{i}{\lambda z} e^{ikz} \iint dx' dy' E(x', y', 0, \omega) e^{-i \frac{kx}{z} x' - i \frac{ky}{z} y'}$$

$$E(x, y, z, \omega) = -\frac{i}{\lambda} E\left(k_x = \frac{kx}{z}, k_y = \frac{ky}{z}, z=0, \omega\right) \frac{e^{ikz}}{z}$$

Fraunhofer
(ça marche!)

Link between Fresnel diffraction and the plane wave expansion

Fresnel Diffraction:

$$E(x, y, z, \omega) = -\frac{ie^{ikz}}{\lambda z} \iint dx' dy' \underline{E(x', y', z=0, \omega)} \exp\left\{i \frac{k}{2z} [(x-x')^2 + (y-y')^2]\right\}$$

$$E(x, y, z, \omega) = \underline{E(x', y', z=0, \omega)} \otimes h_{\text{Fresnel}}(x, y, z, \omega)$$

Fresnel Diffraction = convolution of the field at $z=0$ with the transfer function

$$h_{\text{Fresnel}}(x, y, z, \omega) = -\frac{ie^{ikz}}{\lambda z} \exp\left[i \frac{k}{2z} (x^2 + y^2)\right]$$

The FT of this transfer function is:

$$h_{\text{Fresnel}}(k_x, k_y, z, \omega) = e^{ikz} \exp\left[-i \frac{z}{2k} (k_x^2 + k_y^2)\right]$$

$$t \rightarrow x, y$$

$$\frac{1}{\sigma^2} \rightarrow -\frac{ik}{z}$$

$$F(t) = \exp\left(-\frac{t^2}{2\sigma^2}\right) \longleftrightarrow F(\omega) = \sqrt{2\pi}\sigma \exp\left(-\frac{\omega^2 \sigma^2}{2}\right)$$

$$E(k_x, k_y, z, \omega) = \underline{E(k_x, k_y, z=0, \omega)} \cdot h_{\text{Fresnel}}(k_x, k_y, z, \omega)$$

Link between Fresnel diffraction and the plane wave expansion

Fresnel: $E(k_x, k_y, z, \omega) = E(k_x, k_y, z=0, \omega) \cdot h_{\text{Fresnel}}(k_x, k_y, z, \omega)$

$$h_{\text{Fresnel}}(k_x, k_y, z, \omega) = e^{ikz} \exp\left[-i \frac{z}{2k} (k_x^2 + k_y^2)\right]$$

Plane wave expansion:

$$E(k_x, k_y, z, \omega) = E(k_x, k_y, z=0, \omega) e^{ik_z z}$$

On applique les approx. de Fresnel

Plane wave expansion = product of field at $z=0$ and transfer function

$$h_{\text{plane_waves}}(k_x, k_y, z, \omega) = \begin{cases} \exp\left[ikz \sqrt{1 - \frac{k_x^2}{k^2} - \frac{k_y^2}{k^2}}\right] & \text{if } k_x^2 + k_y^2 < k^2 \\ 0 & \text{otherwise for } z \gg \lambda \text{ (evanescent waves)} \end{cases}$$

$z \gg \lambda$

$k \gg k_x, k_y$
approx. paraxiale

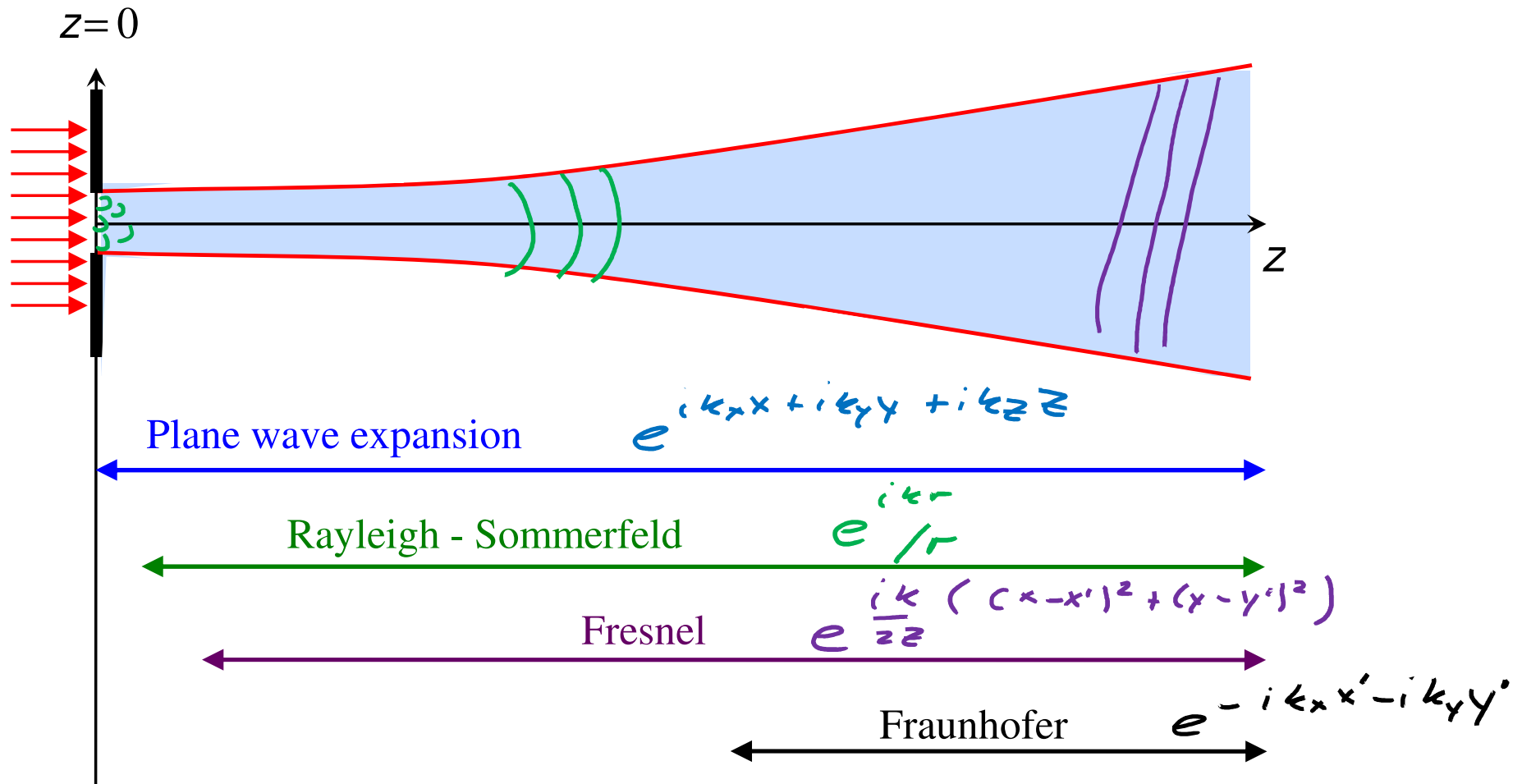
$k \gg k_x, k_y$

$$\sqrt{1 - \frac{k_x^2}{k^2} - \frac{k_y^2}{k^2}} \approx 1 - \frac{1}{2} \frac{(k_x^2 + k_y^2)}{k^2}$$

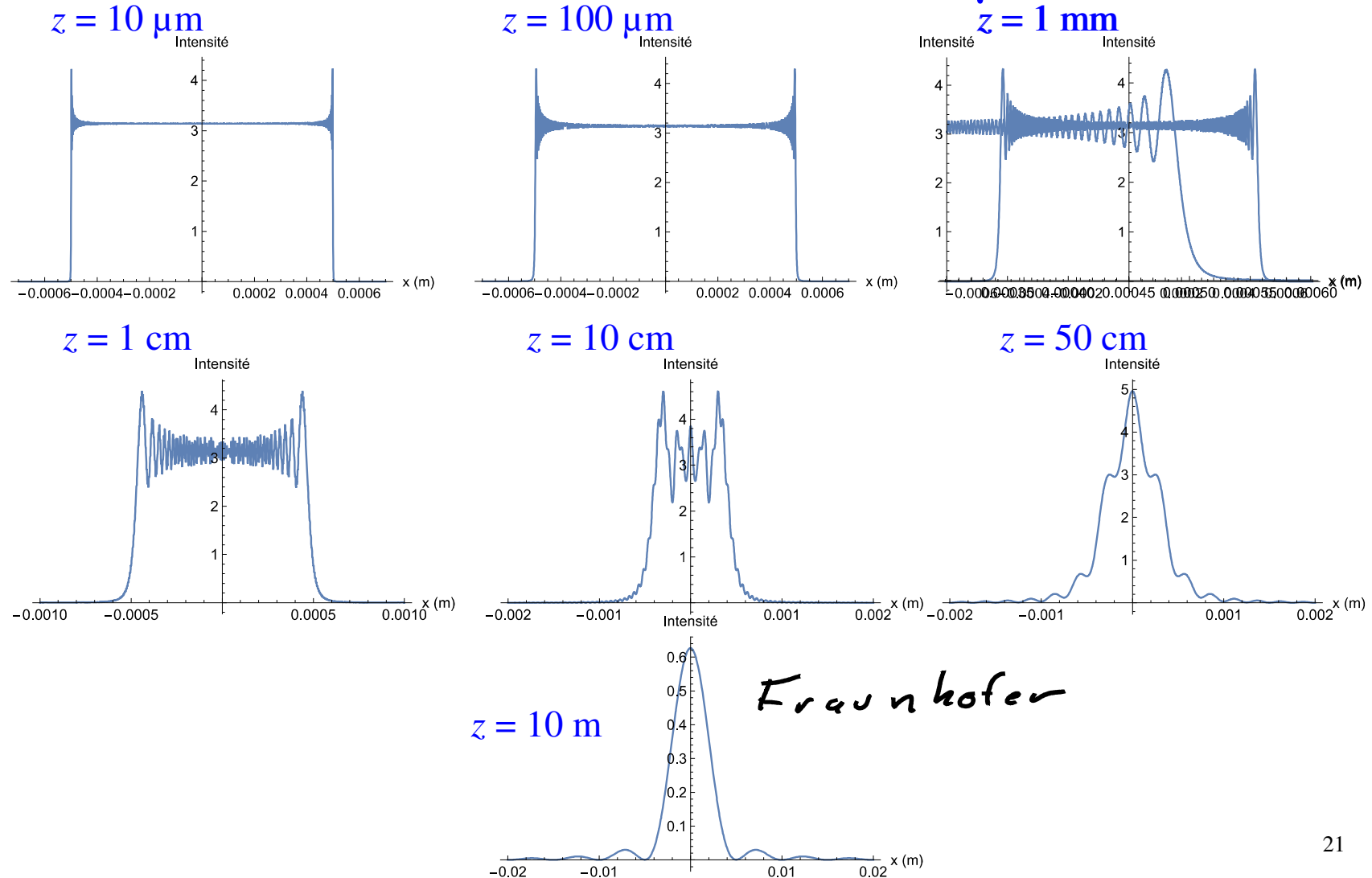
$$h_{\text{plane_waves}}(k_x, k_y, z, \omega) \approx e^{ikz} \exp\left[-i \frac{z}{2} \left(\frac{k_x^2}{k} + \frac{k_y^2}{k}\right)\right] = h_{\text{Fresnel}}(k_x, k_y, z, \omega)$$

Thus this confirms that the **Fresnel approximation** is valid for $k_x, k_y \ll k$, i.e., for **small diffraction angles** => **PARAXIAL APPROXIMATION**

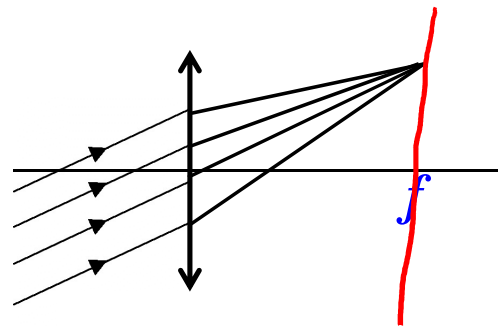
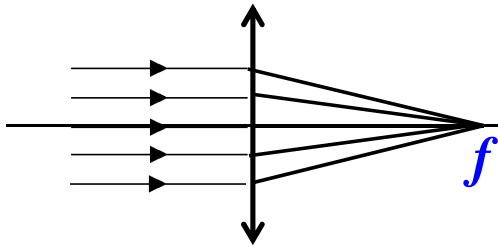
Conclusion: validity of the different formulations for diffraction



Example: Fresnel diffraction for a slit of width $w = 1 \text{ mm}$; $\lambda = 0.5 \text{ } \mu\text{m}$



What does a lens do?



plan γ arrière
focal ou plan Fourier

"Amène l'infini
au plan Fourier"

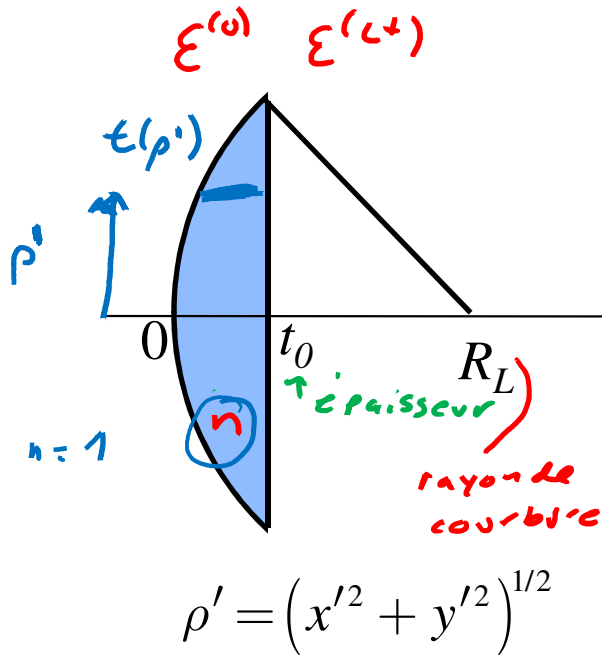
Diffraction: qu'y-t-il à ∞ ?

$\Rightarrow$ Diffraction de Fraunhofer

ou: TE du champ à $z=0$.

Quel est le lien entre champs
à $z=0$ et plan focal arrière??

What does a lens do? Transfer function ^{Trouver pour lentille}



$$\mathcal{E}^{(L+)} = \mathcal{E}^{(0)} e^{ik(t_0-t)} e^{inkt}$$

$$t(\rho') = \sqrt{R_L^2 - \rho'^2} - (R_L - t_0) \Rightarrow \text{Régime paraxiale}$$

$\rho' \ll R_L$

$$t(\rho') \approx t_0 - \frac{\rho'^2}{2R_L} \quad \text{DL}$$

$$\Rightarrow \mathcal{E}^{(L+)} = \mathcal{E}^{(0)} e^{+ik \frac{\rho'^2}{2R_L}} e^{inkt_0 - i \frac{k \rho'^2}{2R_L}}$$

$$= \mathcal{E}^{(0)} e^{+ik \frac{\rho'^2}{2}} \left[\frac{1-n}{R_L} \right]$$

$$\boxed{\mathcal{E}^{(L+)} = \mathcal{E}^{(0)} e^{-\frac{ik \rho'^2}{2f}} [e^{inkt_0}]}$$

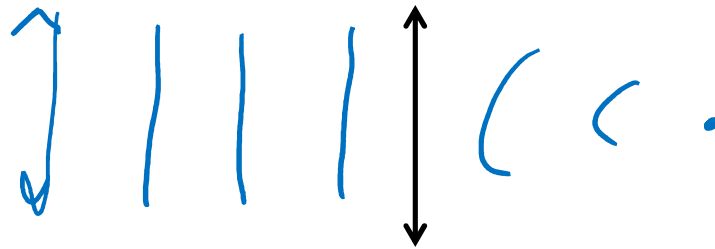
Thin lens approximation:
neglect e^{inkt_0} term.

Recall: $f = \frac{n_1}{n_2 - n_1} R_L$

$n_1 = 1$
 $n_2 = n$

fonc. de transfert
lentille

What does a lens do?



- Ideal thin lens acts as a plane wave to paraxial spherical wave converter

$$t(x', y') = \exp\left(-i \frac{k}{2f} (x'^2 + y'^2)\right)$$

Field in the focal plane of a lens

Recall: Fresnel diffraction:

$$E(x, y, z, \omega) = -\frac{ie^{ikz}}{\lambda z} \iint dx' dy' E(x', y', z=0, \omega) \exp \left\{ i \frac{k}{2z} \left[(x-x')^2 + (y-y')^2 \right] \right\}$$

$$E(x, y, z, \omega) = E(x, y, z=0, \omega) * h_{\text{Fresnel}}(x, y, z, \omega)$$

$$h_{\text{Fresnel}}(x, y, z, \omega) = -\frac{ie^{ikz}}{\lambda z} \exp \left[i \frac{k}{2z} (x^2 + y^2) \right]$$

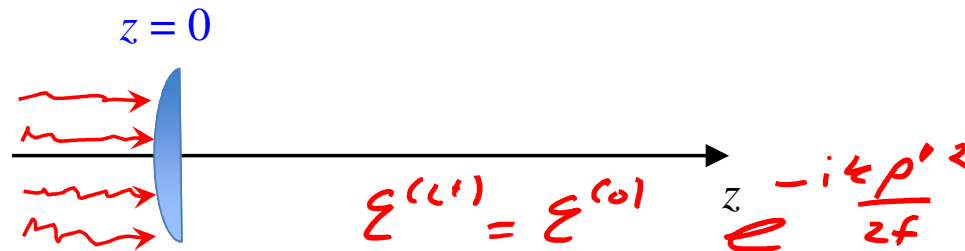
$$E(k_x, k_y, z, \omega) = E(k_x, k_y, z=0, \omega) \bullet h_{\text{Fresnel}}(k_x, k_y, z, \omega)$$

$$h_{\text{Fresnel}}(k_x, k_y, z, \omega) = e^{ikz} \exp \left[-i \frac{z}{2k} (k_x^2 + k_y^2) \right]$$

Consider first: input field at lens

Incident monochromatic field:

$$\mathcal{E}(x, y, 0) e^{-i(\omega t - kz)} + c.c.$$

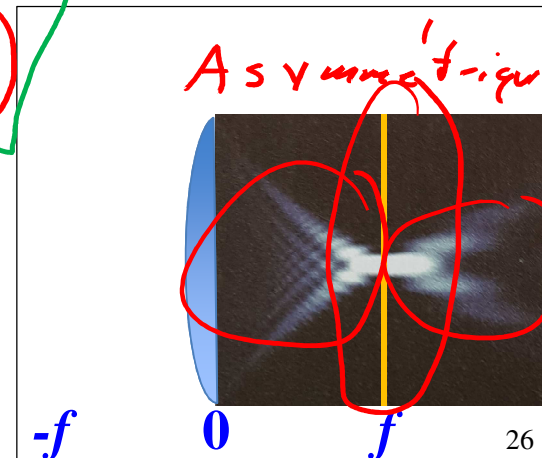
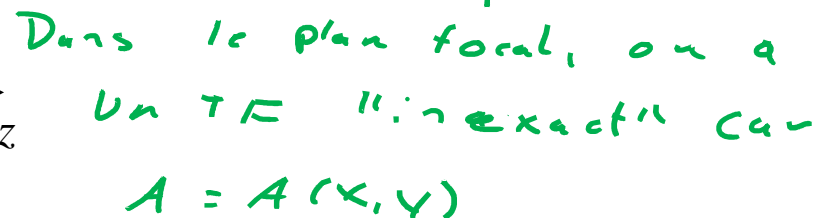


$$\mathcal{E}(x, y, z) = -\frac{i}{\lambda} \frac{e^{ikz}}{z} \int dx' dy' t(x', y') \mathcal{E}(x', y', 0) \exp \left[\frac{ik}{2z} \left((x-x')^2 + (y-y')^2 \right) \right]$$

$$t(x', y') = \exp\left(-i \frac{k}{2f} (x'^2 + y'^2)\right)$$

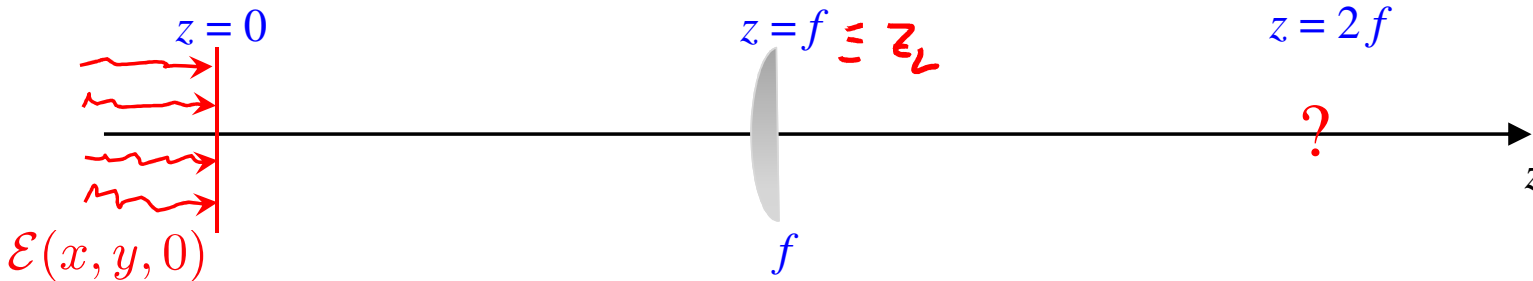
$$\xi(x, y, z) = \frac{-i}{\lambda} \frac{e^{ikz}}{z} \iint dx' dy' e^{-i \frac{k}{2f} (x'^2 + y'^2)} \xi(x', y', 0) e^{+i \frac{k}{2z} (x'^2 + y'^2)} e^{+i \frac{k}{2z} (x^2 + y^2)}$$

$$\mathcal{E}(x, y, f) = A(x, y) \sum (k_x = \frac{kx}{f}, k_y = \frac{ky}{f}, 0)$$



Field in the focal plane of a lens

Consider now: input field in object focal plane



Recall: $E(k_x, k_y, z, \omega) = E(k_x, k_y, z=0, \omega) \cdot h_{\text{Fresnel}}(k_x, k_y, z, \omega)$ with $h_{\text{Fresnel}}(k_x, k_y, z, \omega) = e^{ikz} \exp\left[-i \frac{z}{2k} (k_x^2 + k_y^2)\right]$

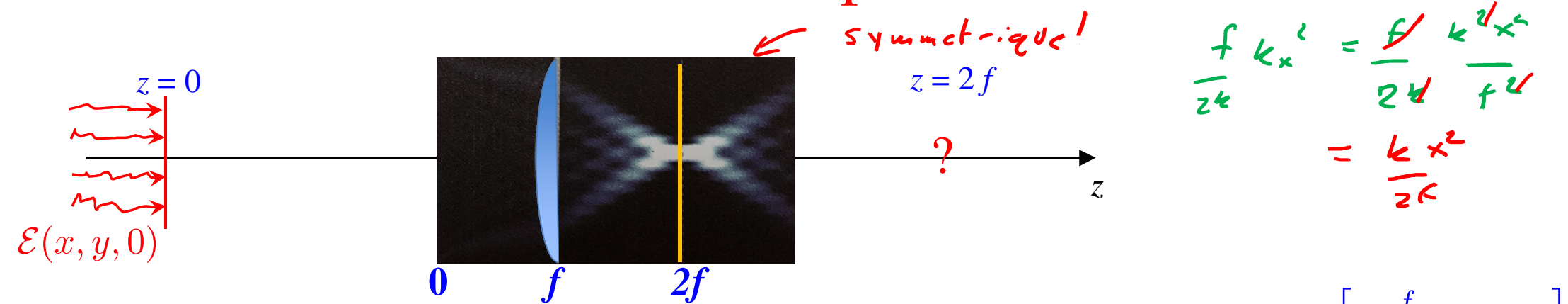
Find field just before lens:

$$\mathcal{E}(k_x, k_y, z = f^-) = \mathcal{E}(k_x, k_y, z = 0) h_{\text{Fresnel}}(k_x, k_y, f) \text{ with } h_{\text{Fresnel}}(k_x, k_y, f) = e^{ikf} \exp\left[-i \frac{f}{2k} (k_x^2 + k_y^2)\right]$$

From last slide, just after lens: $\mathcal{E}(x, y, z_L + f) = -\frac{i}{\lambda} \frac{e^{ikf}}{f} \exp\left[\frac{ik}{2f} (x^2 + y^2)\right] \mathcal{E}\left(k_x = \frac{kx}{f}, k_y = \frac{ky}{f}, z_L\right)$

maintenant
 $z_L = f$

Field in the focal plane of a lens



$$\frac{f}{2k} k_x^2 = \frac{f}{2k} k^2 \frac{x^2}{f^2} = \frac{k x^2}{2k}$$

$$\mathcal{E}(k_x, k_y, z = f^-) = \mathcal{E}(k_x, k_y, z = 0) h_{\text{Fresnel}}(k_x, k_y, f) \text{ with } h_{\text{Fresnel}}(k_x, k_y, f) = e^{ikf} \exp \left[-i \frac{f}{2k} (k_x^2 + k_y^2) \right]$$

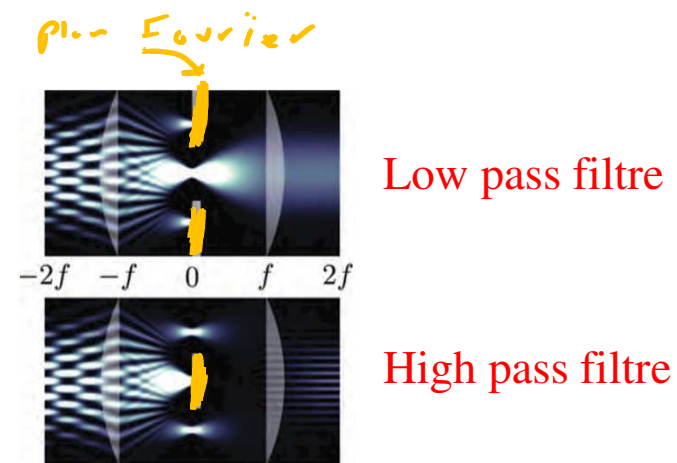
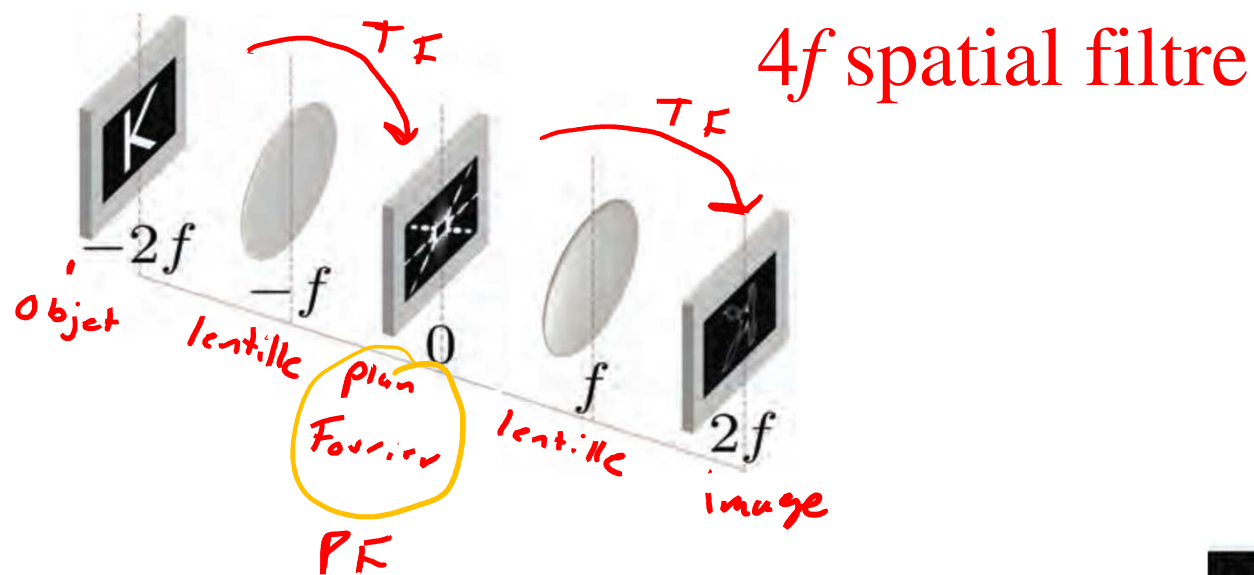
From last slide, just after lens: $\mathcal{E}(x, y, z_L + f) = -\frac{i}{\lambda} \frac{e^{ikf}}{f} \exp \left[\frac{ik}{2f} (x^2 + y^2) \right] \mathcal{E}(k_x = \frac{kx}{f}, k_y = \frac{ky}{f}, z_L) \quad z = f$

$$\mathcal{E}(x, y, 2f) = -\frac{i}{\lambda} \frac{e^{ikf}}{f} \exp \left[\frac{ik}{2f} (x^2 + y^2) \right] \mathcal{E}(k_x, k_y, z=0) e^{ikf} \exp \left[-i \frac{f}{2k} (k_x^2 + k_y^2) \right]$$

$$\mathcal{E}(x, y, 2f) = B \mathcal{E}(k_x = \frac{kx}{f}, k_y = \frac{ky}{f}, 0)$$

$$B \neq B(x, y)$$

TF
exact!

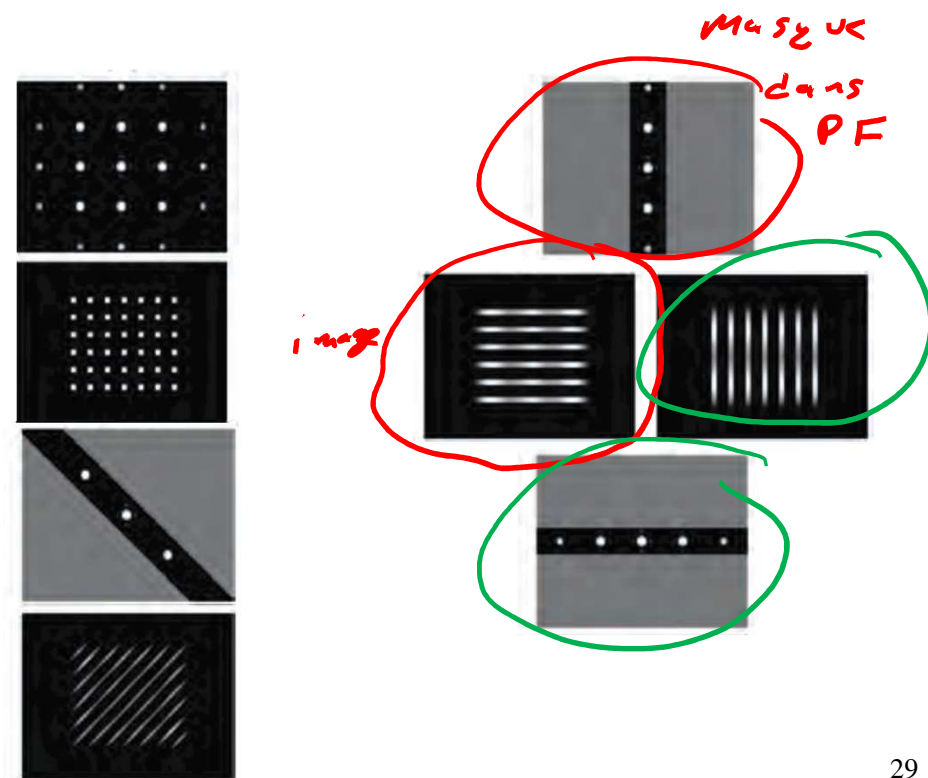
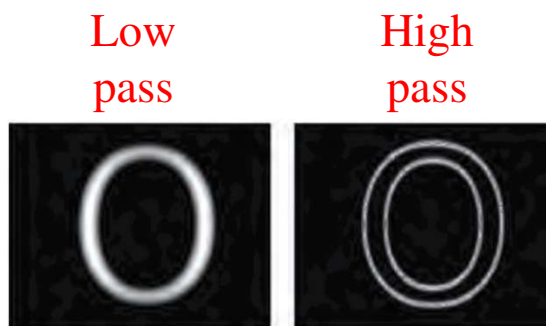


Fourier plane

Output plane (image)

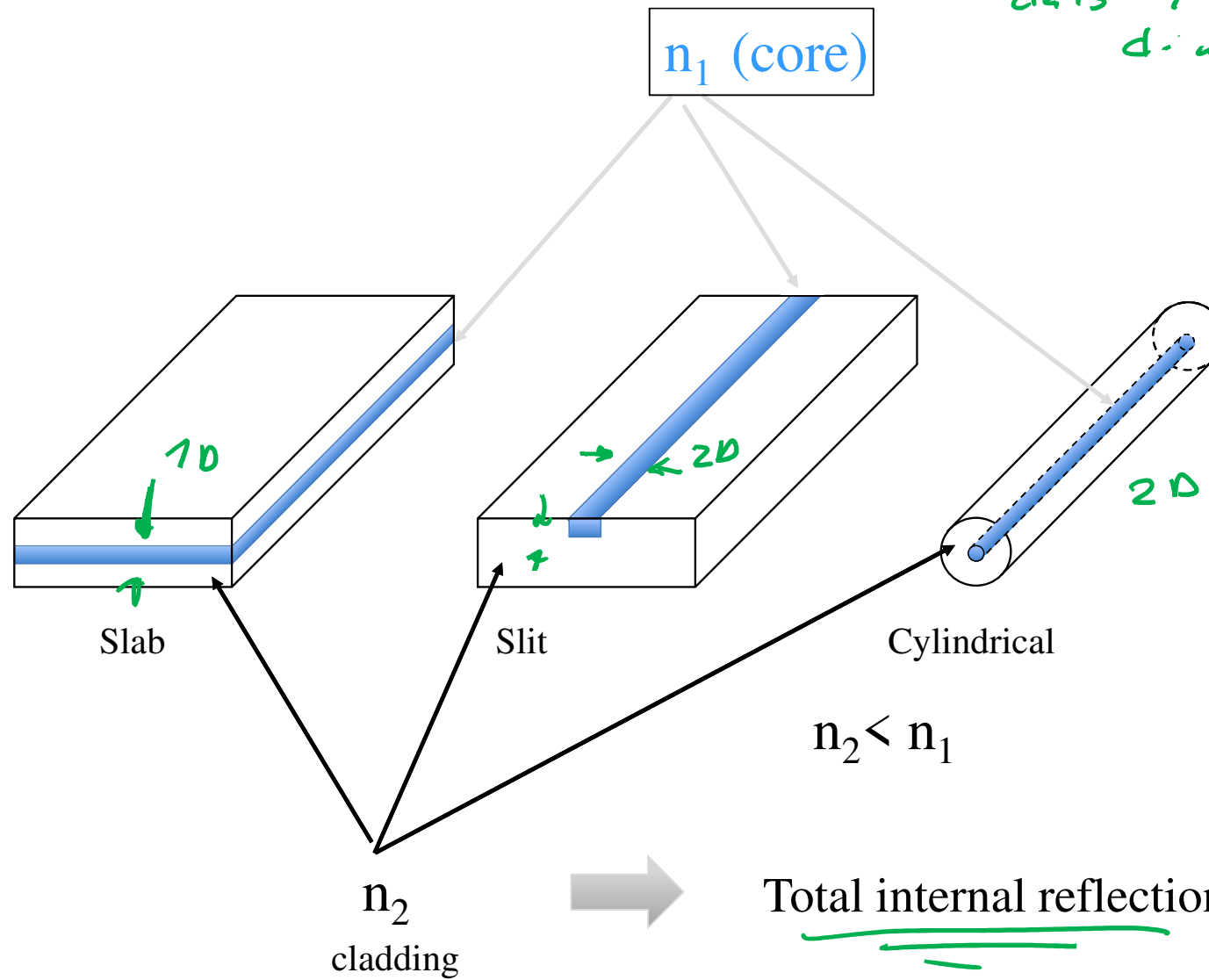
Fourier plane

Output plane (image)

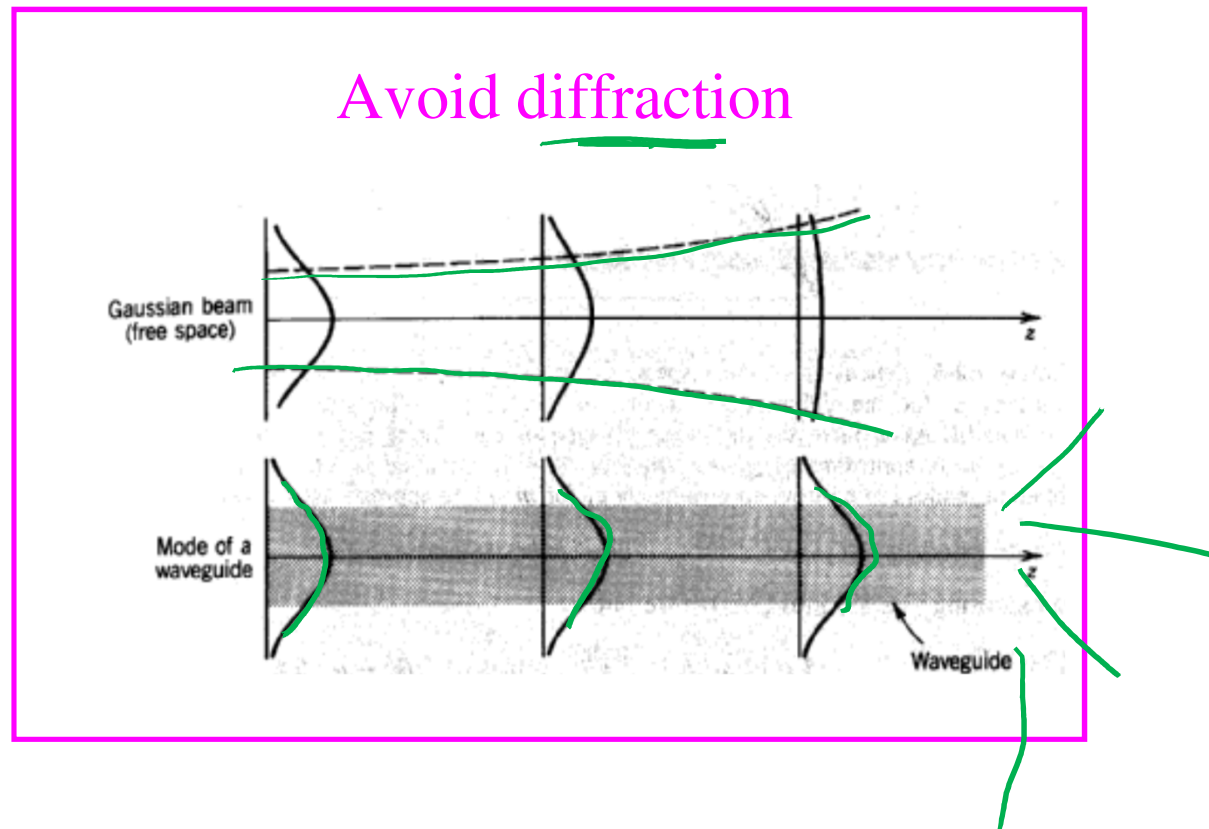


Diélectriques

Waveguides → restreint faisceau
dans 1 ou 2
dimensions



Why study waveguides?



Why study waveguides?

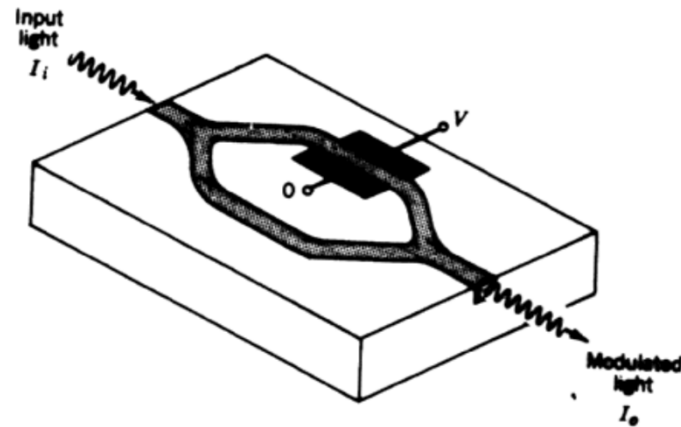
Electrical
response time

$$\tau = RC$$

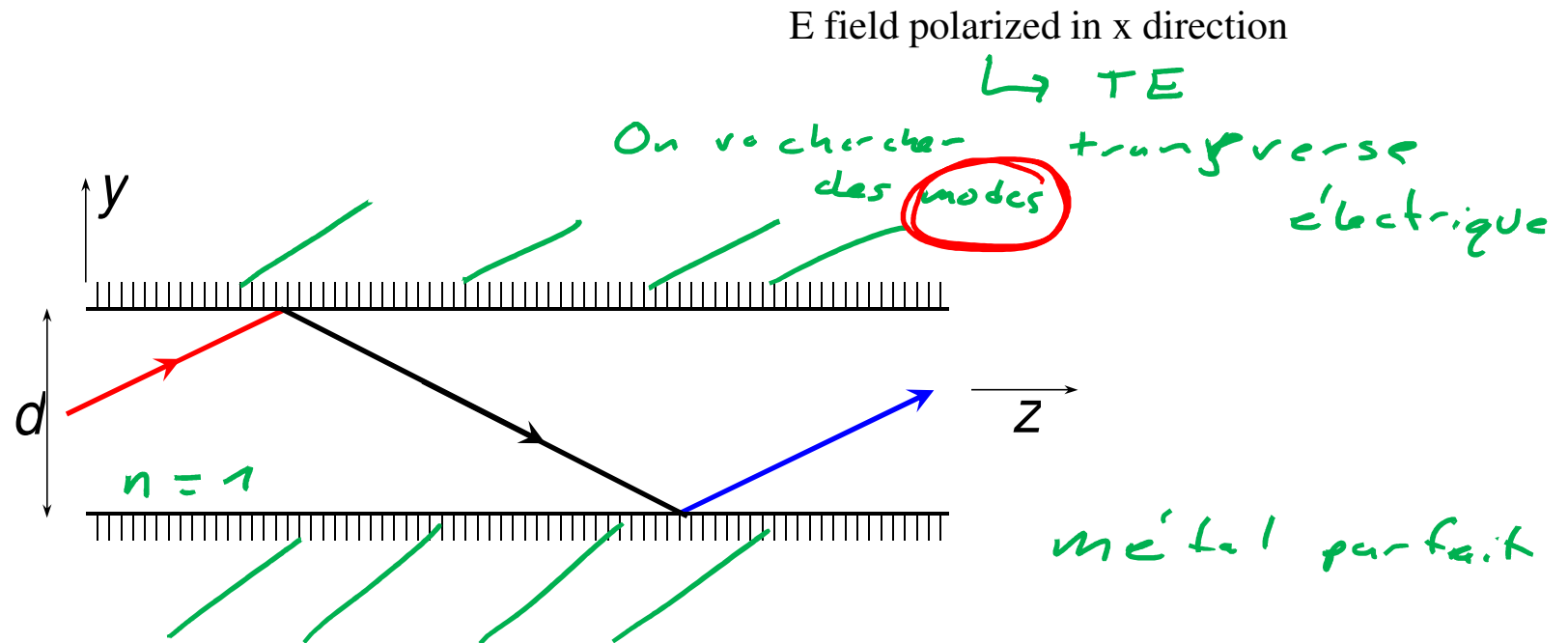
$$C = \frac{\epsilon A}{d}$$

Towards integrated optoelectronics...

Small
=
Fast

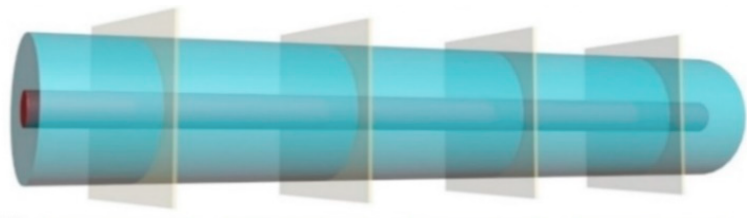
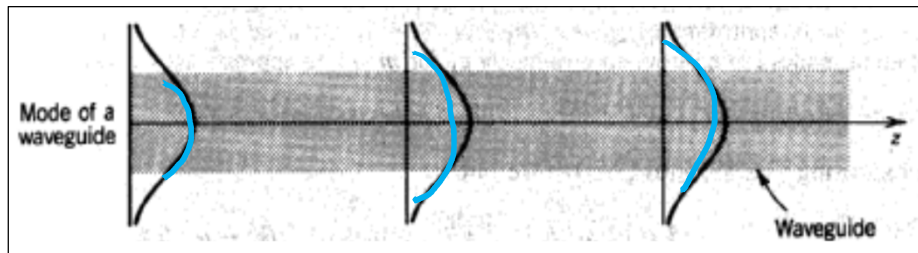


Metallic planar waveguide

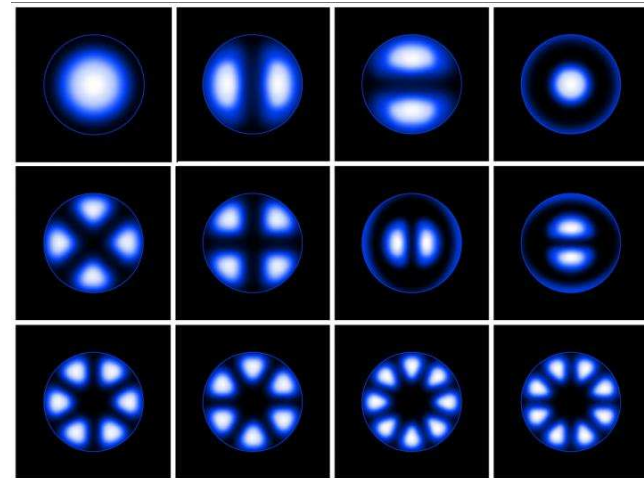


Optical modes

- mode optique:
- énergie spécifique
 - distribution transverse spécifique du champ
 - angle d'incidence spécifique

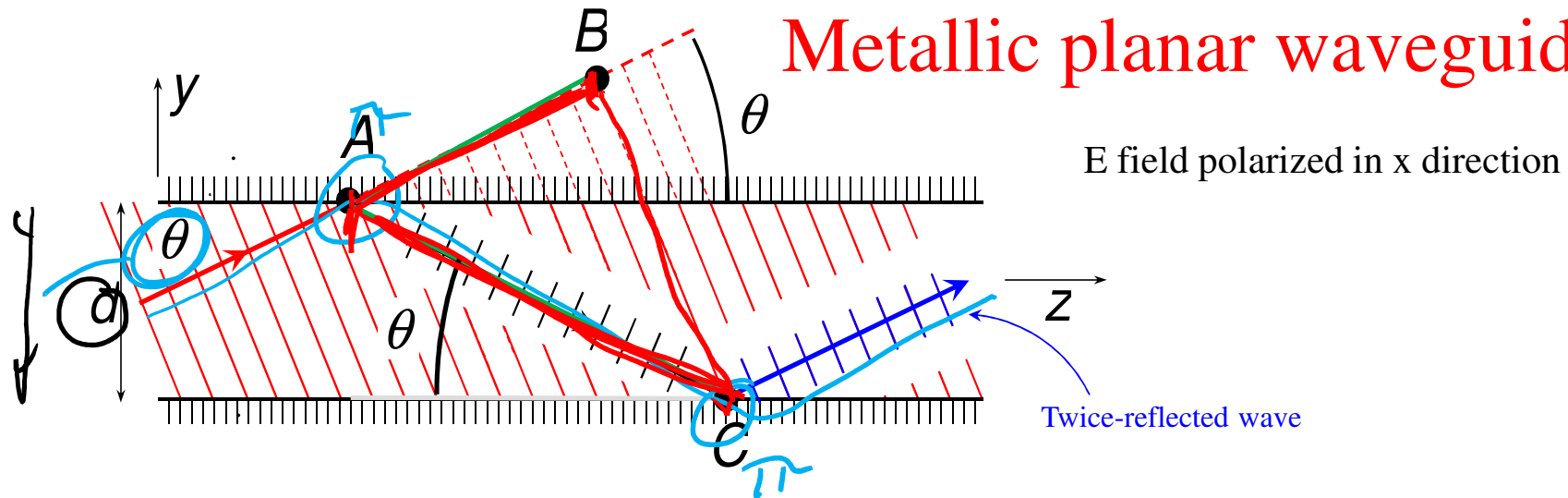


mode
ordre "0"



https://www.photonics.com/Articles/Large-Mode-Area_Optical_Fibers_Maintain/a62269

Metallic planar waveguide



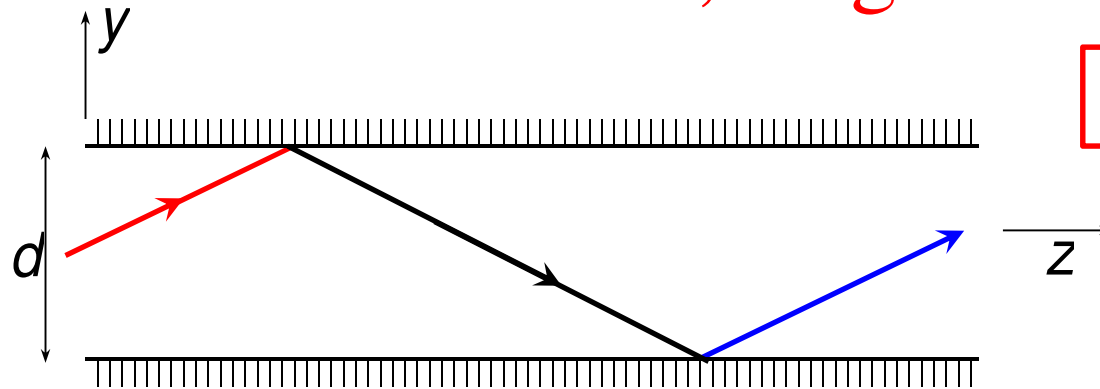
Mode: Twice-reflected wave must be identical to the incident wave

$$\Delta\phi = 2\epsilon\pi = 2\pi + k(\overline{AC} - \overline{AB})$$

$$\sin \theta_m = m \frac{\lambda}{2d}$$

$m = 1, 2, \dots$

Fundamental mode, single mode waveguides

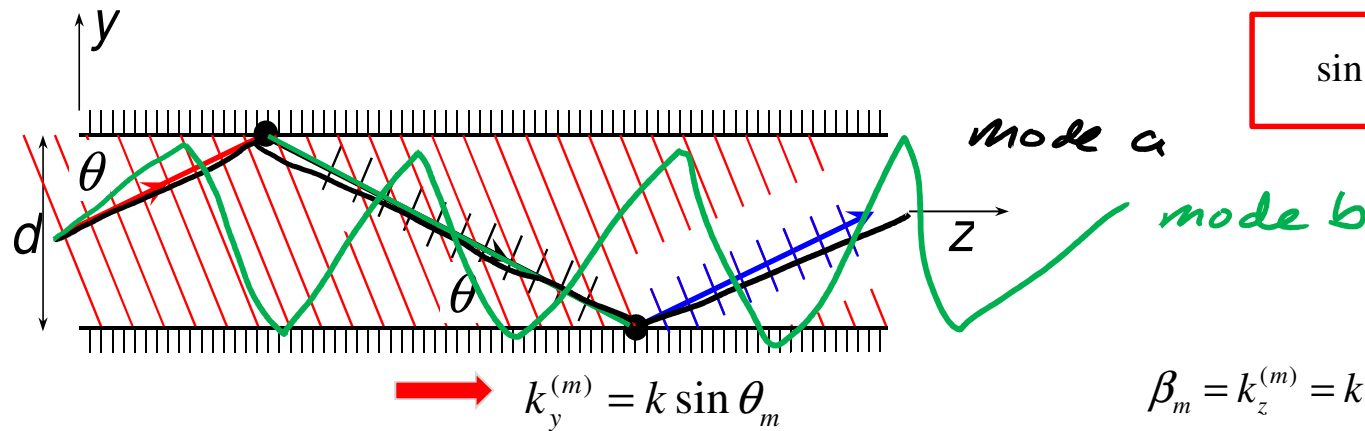


$$\sin \theta_m = m \frac{\lambda}{2d} \quad m = 1, 2, 3, \dots$$

Single mode if $2d > \lambda > d$ $\longrightarrow$ Micron-sized waveguides

Propagation constants, group and phase velocities

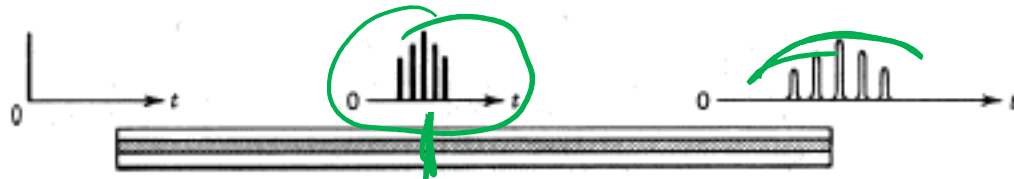
$$\sin \theta_m = m \frac{\lambda}{2d} \quad m = 1, 2, 3, \dots$$



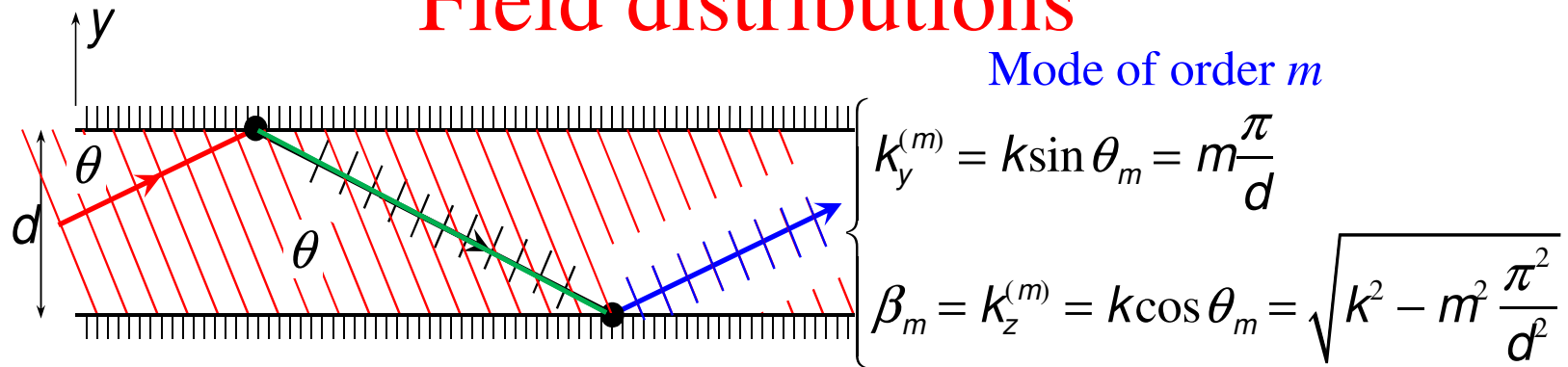
$$v_{\phi}^{(m)} = \frac{\omega}{\beta_m}$$

$$v_g^{(m)} = \frac{d\omega}{d\beta_m}$$

v_g depends on m :
intermodal
dispersion



Field distributions



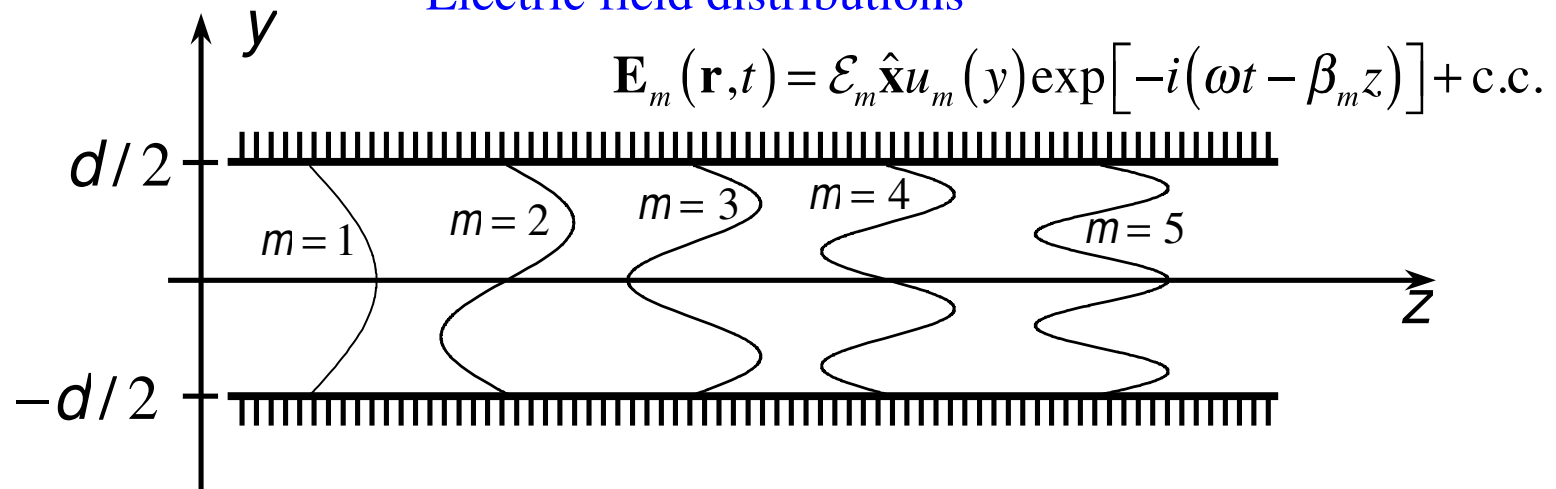
Mode of order m : interference between plane wave with wave vectors $(0, k_y^{(m)}, \beta_m)$ and $(0, -k_y^{(m)}, \beta_m)$, in such a way that the fields cancel at the mirrors.

$$\mathbf{E}_m(\mathbf{r}, t) = \mathcal{E}_m \hat{\mathbf{x}} u_m(y) \exp[-i(\omega t - \beta_m z)] + \text{c.c.}$$

$$u_m(y) = \begin{cases} \sqrt{\frac{2}{d}} \cos \frac{m\pi y}{d} & \text{for } m = 1, 3, 5 \dots \\ \sqrt{\frac{2}{d}} \sin \frac{m\pi y}{d} & \text{for } m = 2, 4, 6 \dots \end{cases}$$

Field distributions

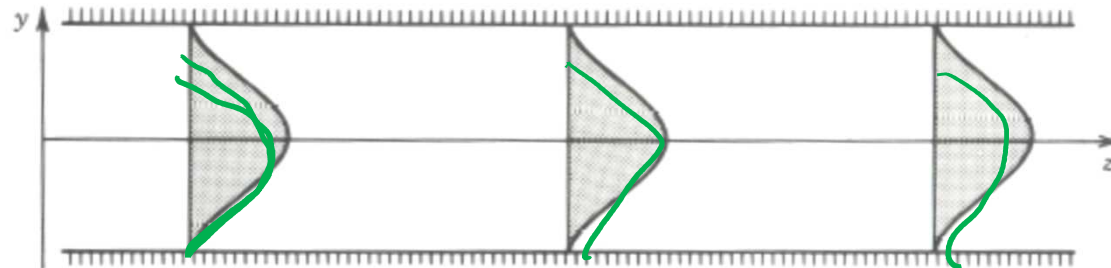
Electric field distributions



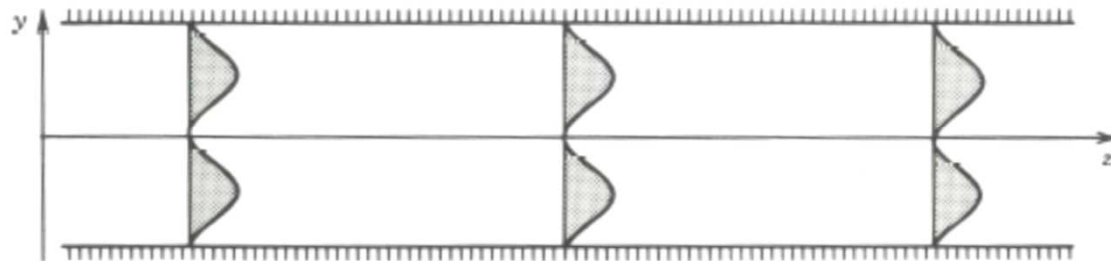
Metallic planar waveguide

Multimode fields

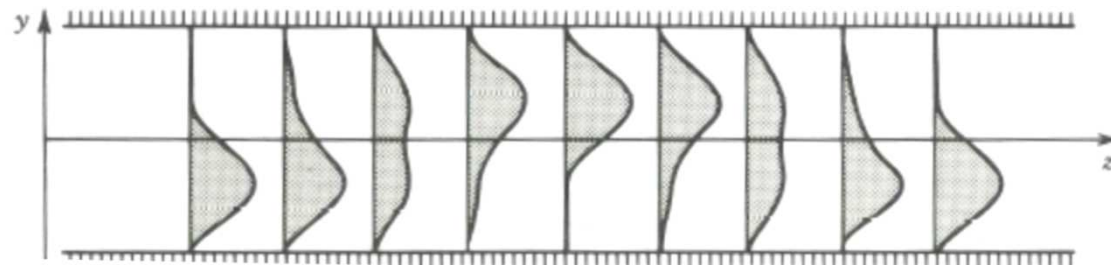
Mode 1



Mode 2

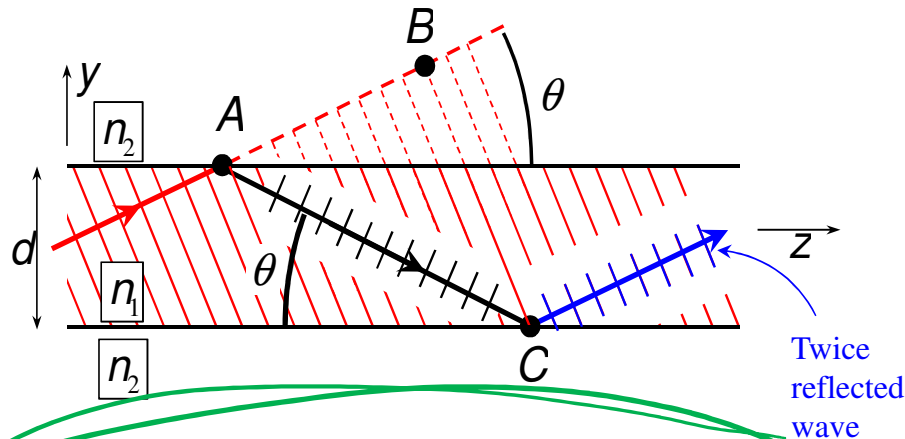


Mode 1+2



Saleh and Teich, *Fundamentals of Photonics*, p. 247

Planar dielectric waveguide



Total internal reflection:

$$\theta < \theta_c = \arccos \frac{n_2}{n_1}$$

with

$$\tan \frac{\varphi_r^{\text{TE}}}{2} = -\sqrt{\frac{\sin^2 \theta_c}{\sin^2 \theta} - 1}$$

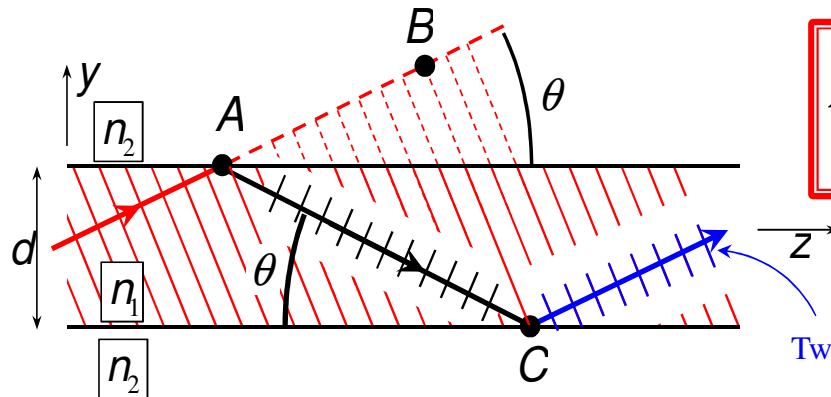
- Medium with index n_1 between two media with lower indices
- 2D problem: invariant along the x direction
- Propagation in the yz plane
- Electric field in the x direction

“Self-consistency condition”

$$\frac{2\pi n_1}{\lambda} 2d \sin \theta + 2\varphi_r = 2m\pi$$

$$\tan \left(\pi n_1 \frac{d}{\lambda} \sin \theta - m \frac{\pi}{2} \right) = \sqrt{\frac{\sin^2 \theta_c}{\sin^2 \theta} - 1}$$

Planar dielectric waveguide



$$\tan\left(\pi n_1 \frac{d}{\lambda} \sin \theta - m \frac{\pi}{2}\right) = \sqrt{\frac{\sin^2 \theta_c}{\sin^2 \theta} - 1}$$

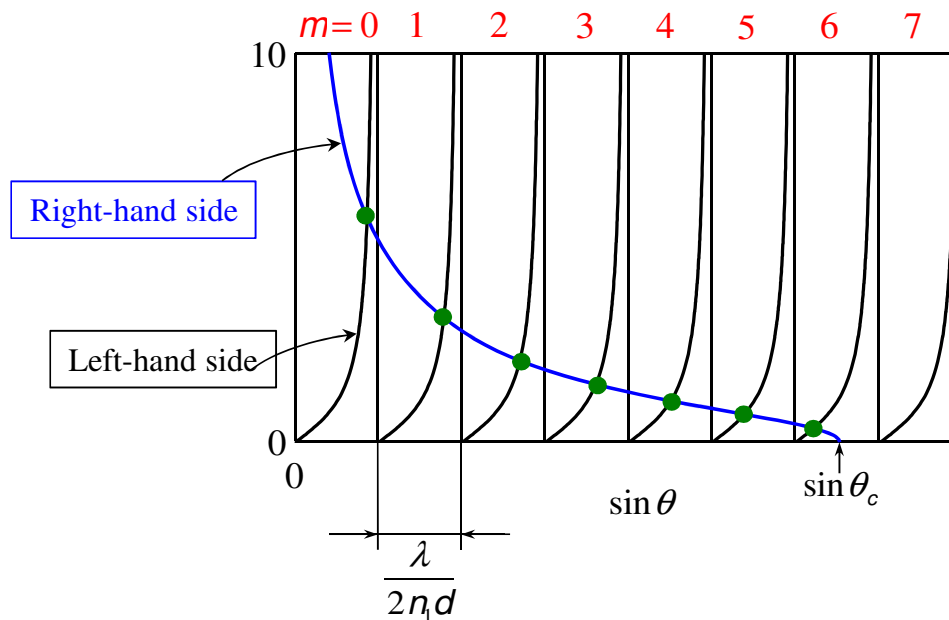
$$\beta_m = k_z^{(m)} = \frac{2\pi n_1}{\lambda} \cos \theta_m$$

$$\frac{2\pi n_2}{\lambda} \leq \beta_m \leq \frac{2\pi n_1}{\lambda}$$

There is always at least one mode.

Monomode if: $\frac{\lambda}{2n_1 d} > \sin \theta_c$

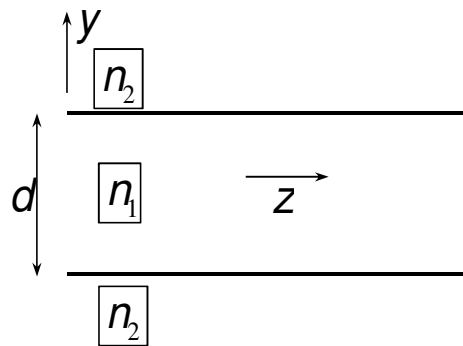
$$\frac{2d}{\lambda} \sqrt{n_1^2 - n_2^2} < 1$$



Planar dielectric waveguide

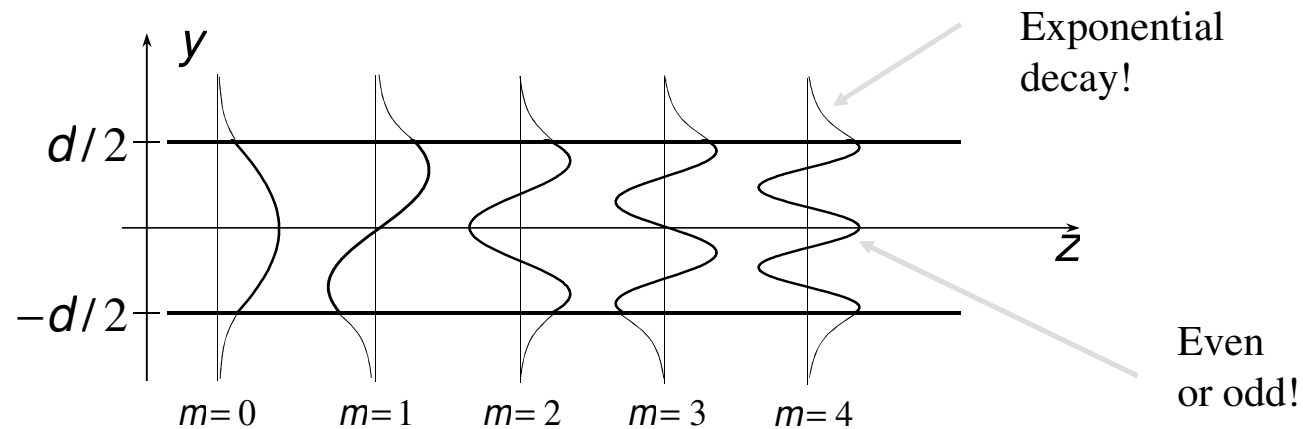
Propagation equation

$$\nabla^2 \mathbf{E}_m(\mathbf{r}, t) - \frac{n^2(\mathbf{r})}{c^2} \frac{\partial^2}{\partial t^2} \mathbf{E}_m(\mathbf{r}, t) = 0$$

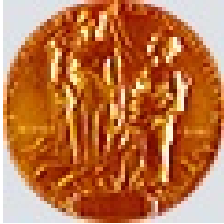


with $\mathbf{E}_m(\mathbf{r}, t) = A [\mathcal{E}_m \hat{\mathbf{x}} u_m(y) \exp[-i(\omega t - \beta_m z)] + \text{c.c.}]$

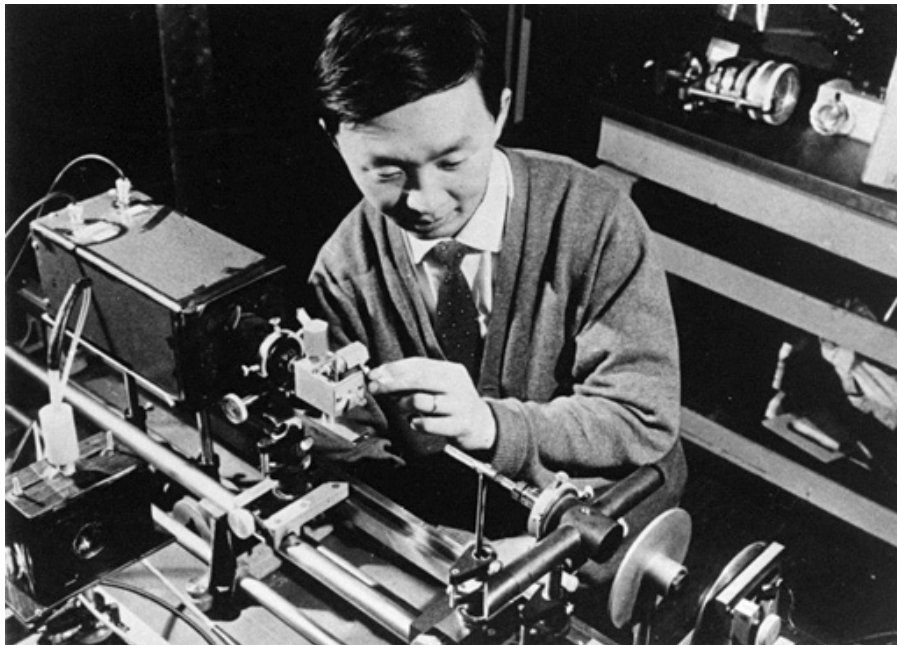
$$\frac{d^2 u_m(y)}{dy^2} + \left[n^2(y) \frac{\omega^2}{c^2} - \beta_m^2 \right] u_m(y) = 0$$



Nobel in Physics 2009 : Charles K. Kao

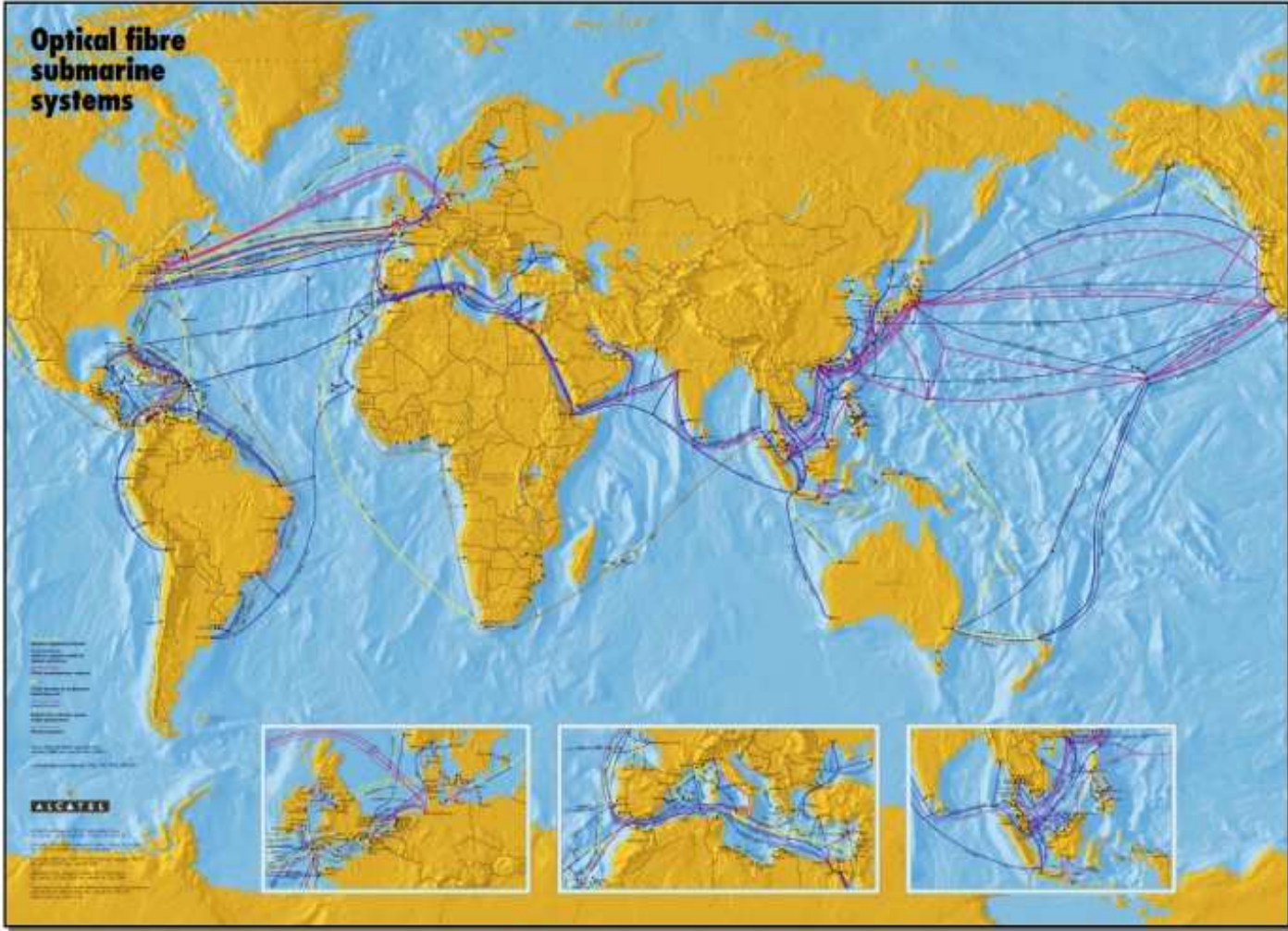


"for groundbreaking achievements concerning the transmission of light in fibers for optical communication"



http://nobelprize.org/nobel_prizes/physics/laureates/2009/index.html

World fiber optics network



Reading

- Huygens-Fresnel principle, Rayleigh-Sommerfeld:
 - J. W. Goodman, *Introduction to Fourier Optics (4th edition)*, p. 58-65
 - A.E. Siegman, *Lasers*, p.632-633
- Fresnel approximation:
 - Siegman, p.633-635; Goodman p. 78-84
- Waveguides:
 - B.E.A. Saleh and M.C. Teich, *Fundamentals of Photonics*, p. 239-255

Conclusion

- Huygens-Fresnel = diffraction in terms of spherical waves
- Rayleigh-Sommerfeld, Fresnel (= paraxial), and Fraunhofer approximations
- Metallic waveguides: based on reflection at metal surfaces. Problem of losses for non-ideal metals.
- Dielectric waveguides:
 - very low losses in the IR;
 - may be used to miniaturize opto-electronic components, thus increasing their bandwidth and decreasing their consumption;
 - along with the laser, are at the origin of the internet.