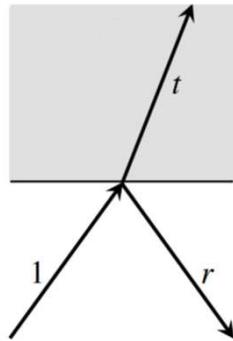


Bonjour!

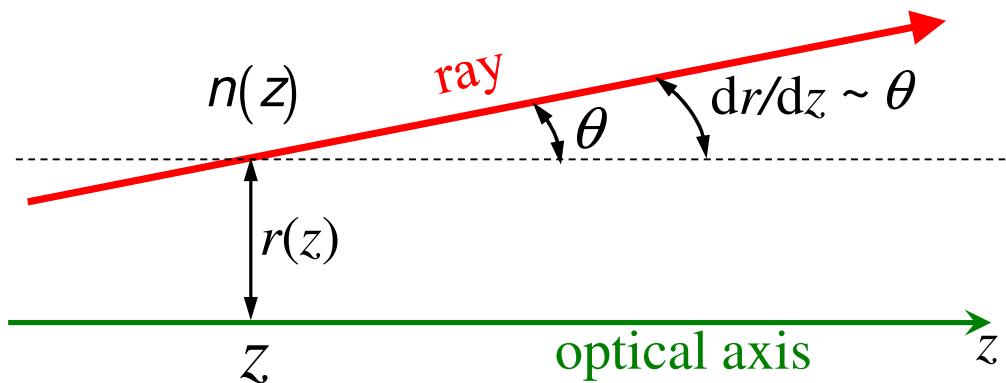
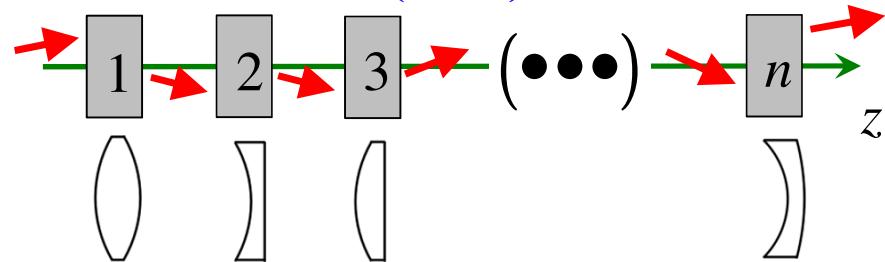
## Points to remember Lecture 2:



- Matrix optics

- Fresnel's equations and applications: Fabry-Perot interferometer, Bragg mirror

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \mathbf{M}_n \mathbf{M}_{n-1} \dots \mathbf{M}_2 \mathbf{M}_1$$

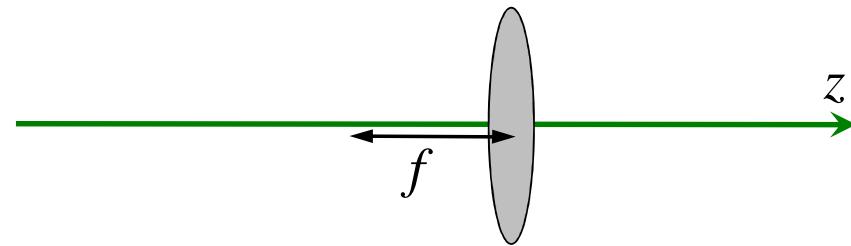


Ray vector:  $\mathbf{R}(z) = \begin{pmatrix} r(z) \\ r'(z) \end{pmatrix}$

Reduced slope

$$r'(z) = n(z) \frac{dr}{dz}$$

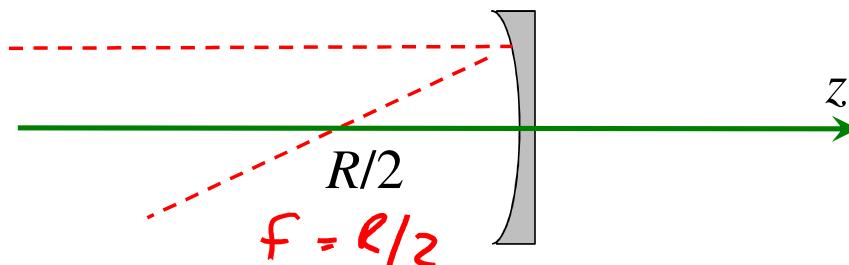
## Matrix optics: thin lens of focal length $f$ in air



$$M_{lens} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix}$$

$f > 0$  for a convergent lens

## Matrix optics: spherical mirror of focal length $f$



$R > 0$  for a concave mirror

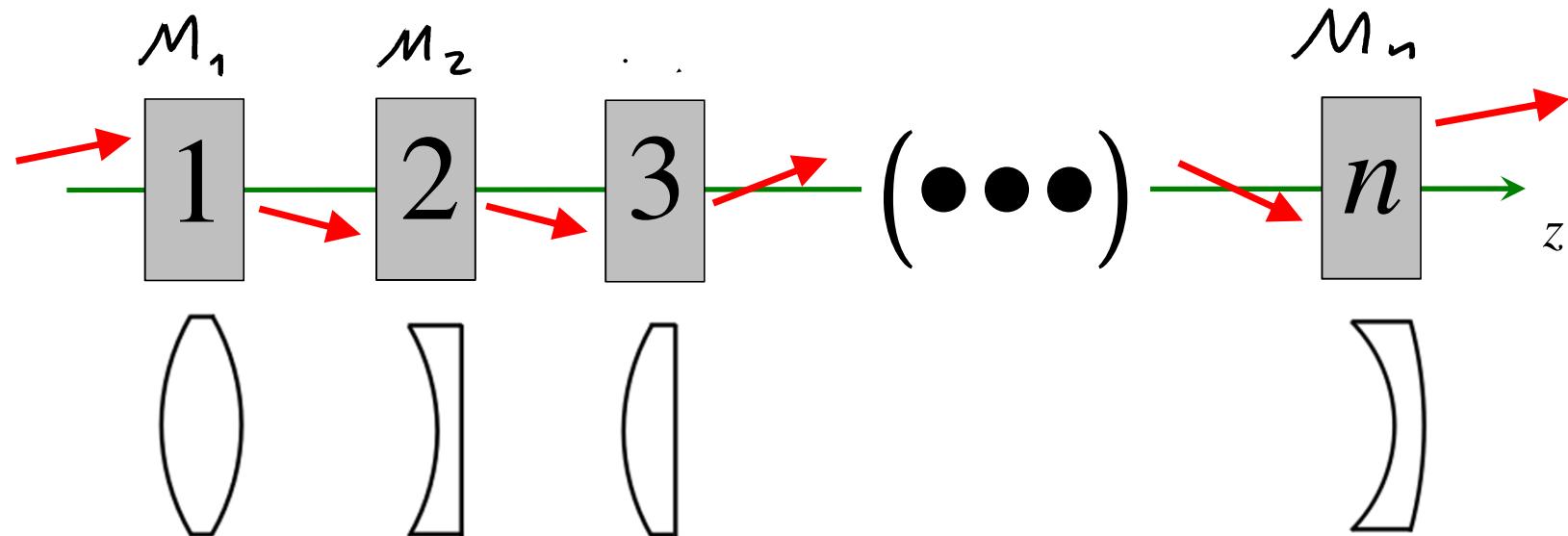
$$M_{\text{spherical\_mirror}} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -\frac{2}{R} & 1 \end{pmatrix}$$

---

*S: pos absorption*  $d_C + \begin{bmatrix} A & B \\ C & D \end{bmatrix} = 1$

$$AD - BC = 1$$

# Matrix optics and an optical system



$$M_{t_0+t_1} = M_n M_{n-1} \dots M_1$$

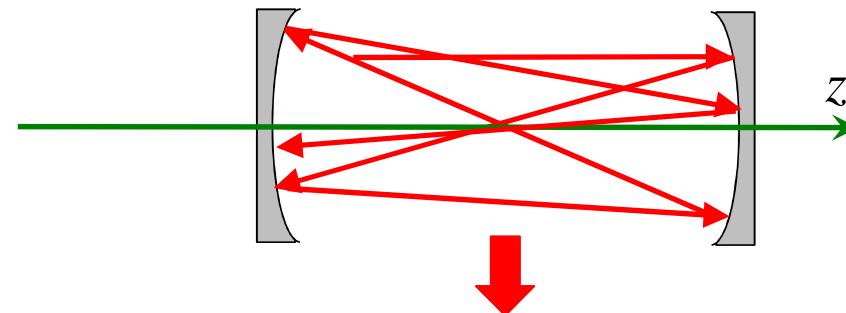
Vert 1 :  $M_n \dots M_1$

Vert 2 :  $M_1 \dots M_n$

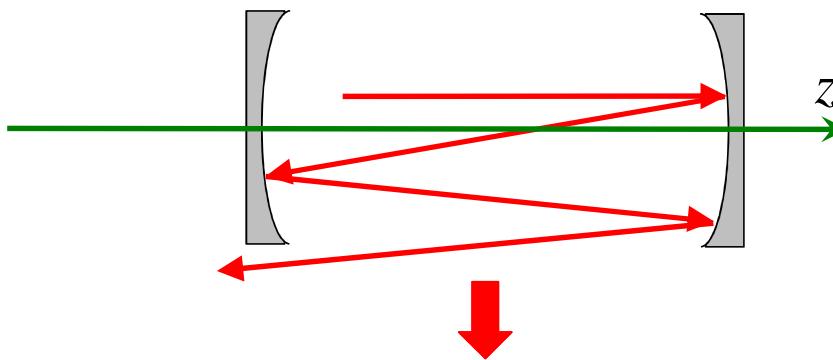
Attention :  $s' o - d u c !$

# Matrix optics and cavity stability

*géométrique*

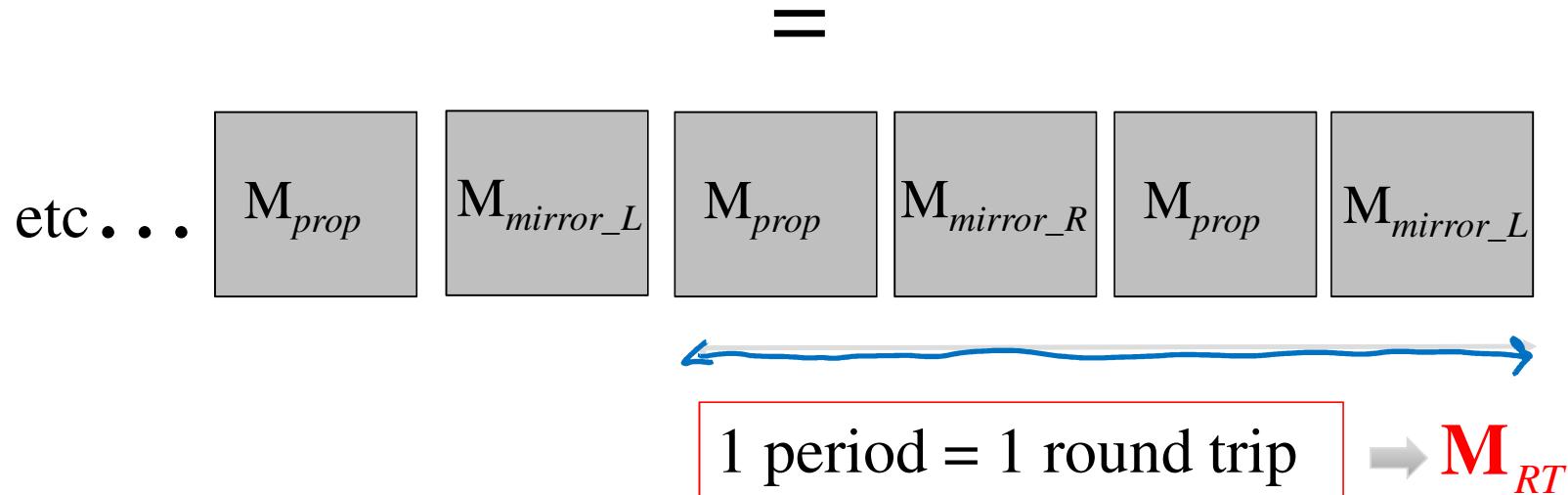
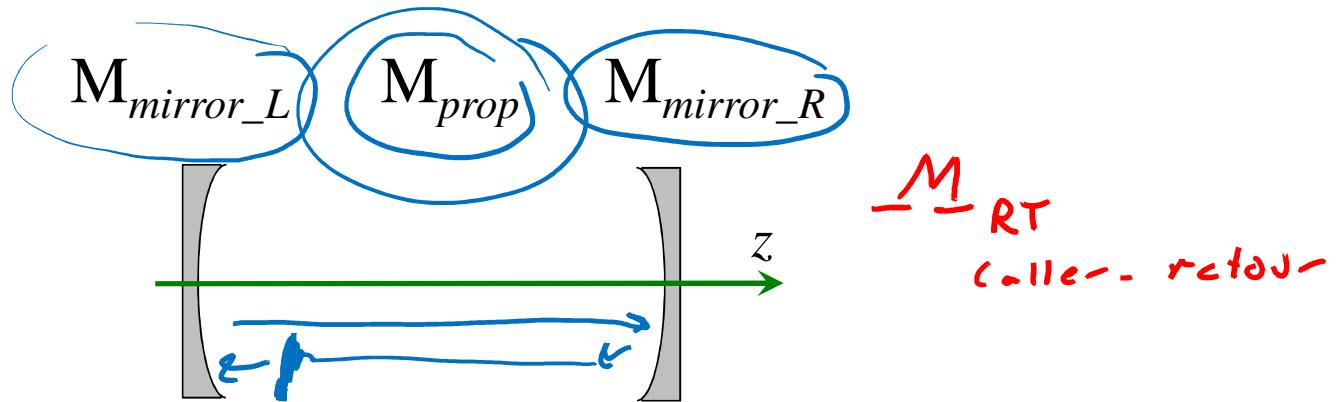


STABLE CAVITY!



UNSTABLE CAVITY!

## Cavity as a series of periodic elements



# Plan of attack for investigating cavity stability



$$\tilde{\mathbf{r}}_n = \mathbf{M}_{RT}^n \tilde{\mathbf{r}}_0$$

1. Find eigenvalues of (general) round-trip matrix  $\mathbf{M}_{RT}$   $\rightarrow$   $\lambda_{\pm}$
2. Find corresponding eigenvectors  $\rightarrow \mathbf{r}_+, \mathbf{r}_-$
3. Express initial ray  $\mathbf{r}_0$  in terms of these eigenvectors
4. Find an expression for  $\mathbf{r}_n$  in terms of these eigenvectors and eigenvalues and examine it.

# Find eigenvalues

1. Find eigenvalues of (general) round-trip matrix  $\mathbf{M}_{RT} \rightarrow \boxed{\lambda_{\pm}}$

$$\mathbf{M}_{RT} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \quad \det \begin{pmatrix} A - \lambda & B \\ C & D - \lambda \end{pmatrix} = 0 \quad AD - BC = 1$$

$$\lambda_{\pm} = m \pm \sqrt{m^2 - 1} \text{ with } m = \frac{A + D}{2}$$

## Cavity stability: steps 2 to 4 $\mathbf{M}_{RT}^n \vec{r}_+ = \lambda_+^n \vec{r}_+$

2. Eigenvectors:  $\mathbf{M}_{RT} \mathbf{r}_+ = \lambda_+ \mathbf{r}_+$   
 $\mathbf{M}_{RT} \mathbf{r}_- = \lambda_- \mathbf{r}_-$

3. Let  $\mathbf{r}_0 = a\mathbf{r}_+ + b\mathbf{r}_-$

4.  $\boxed{\mathbf{r}_n} = ? \quad \vec{r}_n = \mathbf{M}_{RT}^n \vec{r}_0 = \mathbf{M}_{RT}^n a \vec{r}_+ + \mathbf{M}_{RT}^n b \vec{r}_- = \lambda_+^n a \vec{r}_+ + \lambda_-^n b \vec{r}_-$

Condition for stability?

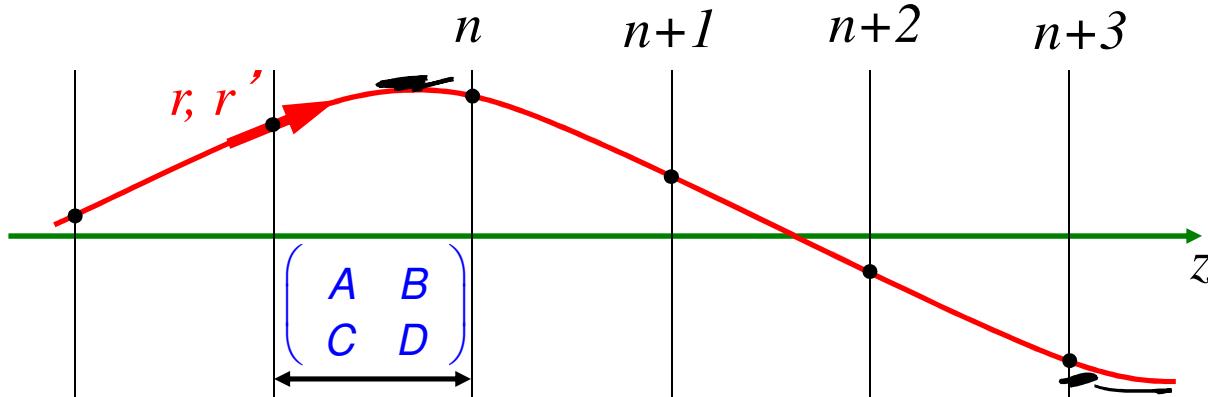
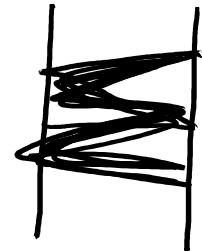
$$|\lambda_{\pm}| < 1$$

Recall:  $\lambda_{\pm} = m \pm \sqrt{m^2 - 1}$  with  $m = \frac{A+D}{2}$

$\rightarrow -1 \leq m \leq 1$

$$-1 \leq \frac{A+D}{2} \leq 1$$

# Stable cavity



$$\mathbf{r}_n = a e^{in\theta} \mathbf{r}_+ + b e^{-in\theta} \mathbf{r}_- = \mathbf{r}_0 \cos n\theta + \mathbf{s}_0 \sin n\theta$$



## Unstable cavity

→  $|\lambda_+| > 1$  or  $|\lambda_-| > 1$

$$\mathbf{r}_n = \mathbf{M}_{RT}^n \mathbf{r}_0 = \mathbf{M}_{RT}^n (a\mathbf{r}_+ + b\mathbf{r}_-) = a\lambda_+^n \mathbf{r}_+ + b\lambda_-^n \mathbf{r}_-$$

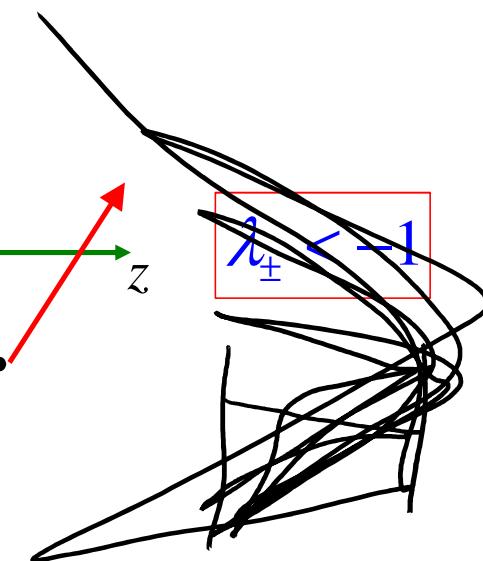
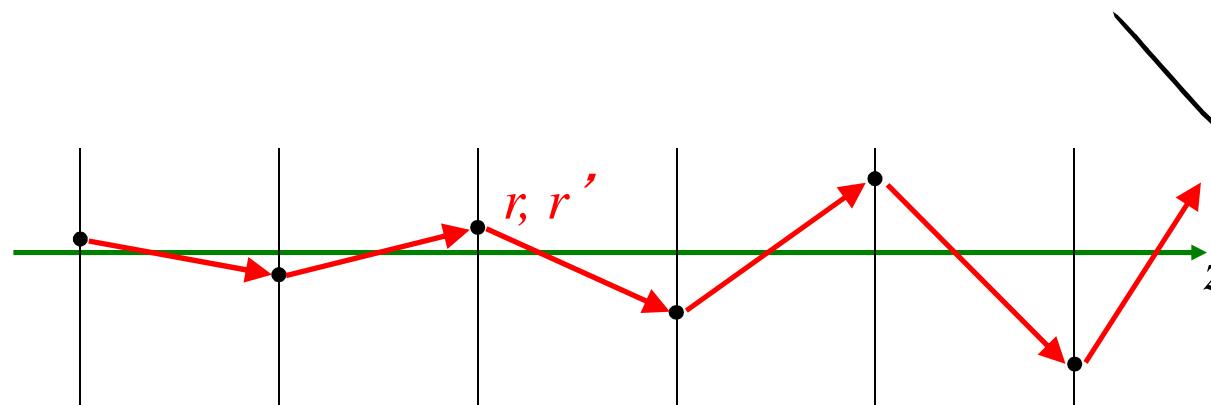
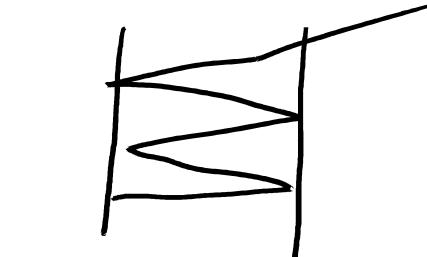
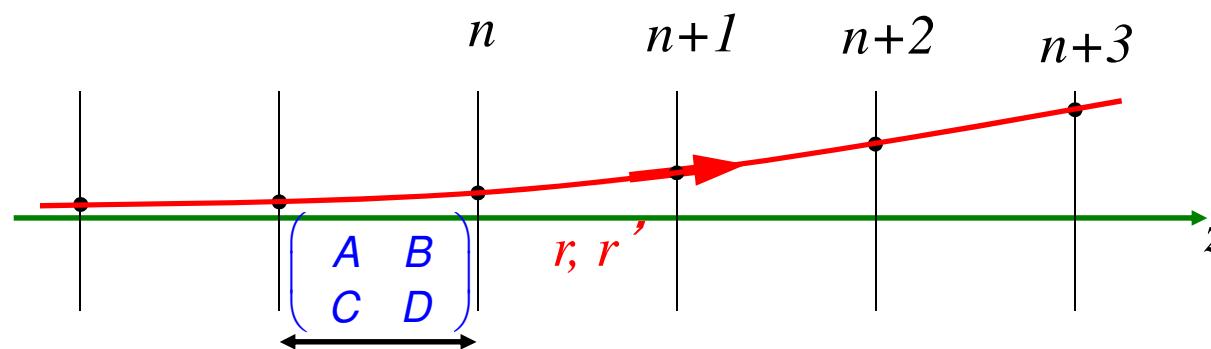
$$\lambda_{\pm} = m \pm \sqrt{m^2 - 1} \text{ with } m = \frac{A+D}{2} \quad |m| > 1$$

Let  $m = \frac{A+D}{2} = \pm \cosh \theta$

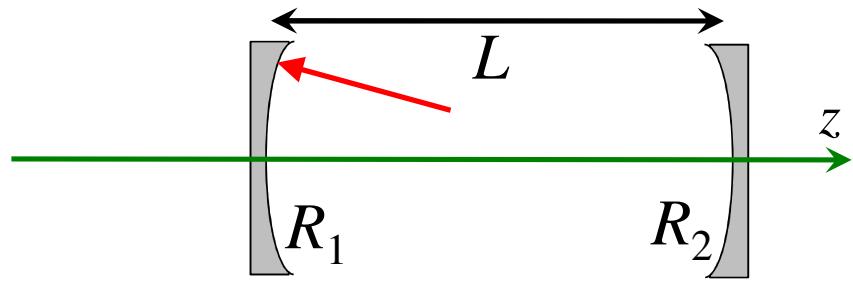
→  $\lambda_{\pm} = \cosh \theta \pm \sqrt{\cosh^2 \theta - 1} = \cosh \theta \pm \sinh \theta = e^{\pm \theta}$

$$\mathbf{r}_n = a\lambda_+^n \mathbf{r}_+ + b\lambda_-^n \mathbf{r}_- = a(\pm e^{\theta})^n \mathbf{r}_+ + b(\pm e^{-\theta})^n \mathbf{r}_-$$

## Unstable cavity



## Example: Cavity with two spherical mirrors



$$\mathbf{M}_{prop} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix}$$

$$\mathbf{M}_{mirror\_i} = \begin{pmatrix} 1 & 0 \\ -\frac{2}{R_i} & 1 \end{pmatrix}$$

$$\mathbf{M}_{RT} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} =$$

$$\mathbf{M}_{RT} = \begin{pmatrix} \left(1 - \frac{2L}{R_2}\right)\left(1 - \frac{2L}{R_1}\right) - \frac{2L}{R_1} & L\left(1 - \frac{2L}{R_2}\right) + L \\ -\frac{2}{R_2}\left(1 - \frac{2L}{R_1}\right) - \frac{2}{R_2} & -\frac{2L}{R_2} + 1 \end{pmatrix}$$

$$m = \frac{A+D}{2} = 2 \left[ 1 - \frac{L}{R_1} \right] \left[ 1 - \frac{L}{R_2} \right] - 1 \equiv 2g_1 g_2 - 1$$

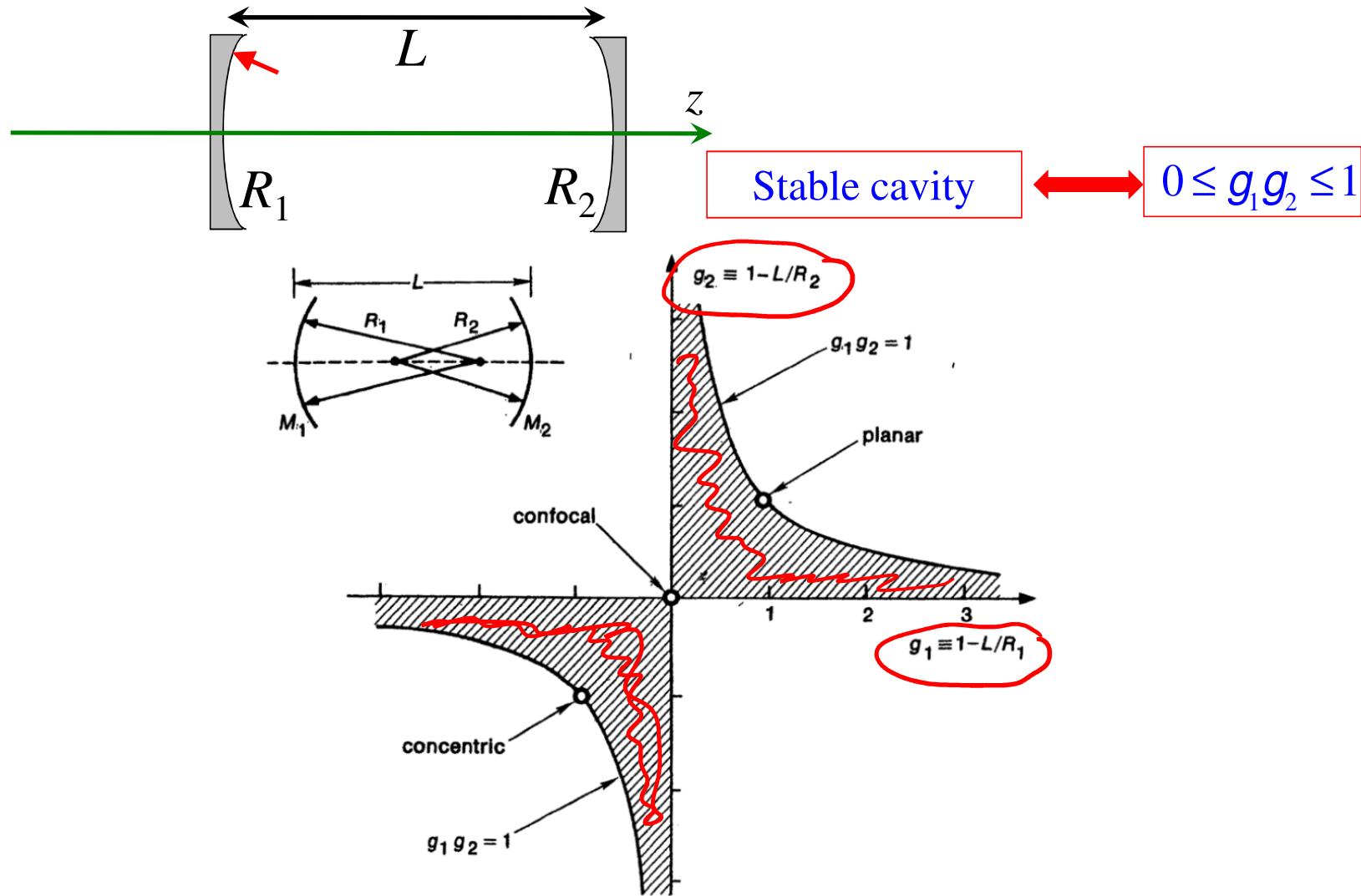
$\rightarrow$  cavity stable  
 $-1 \leq m \leq 1$

$\underbrace{\phantom{0}}_{\equiv g_1}$     $\underbrace{\phantom{0}}_{\equiv g_2}$

Cav: f- stable

$0 \leq g_1 g_2 \leq 1$

## Example: Cavity with two spherical mirrors

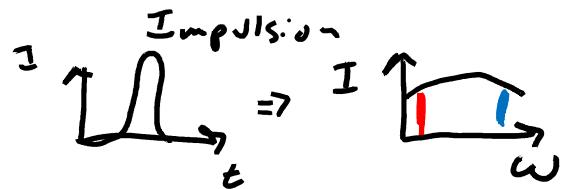


couleur = fréquence

$$\underline{n = n(\omega)}, \mu = \mu(\omega)$$

$$x_e = x_e(\omega), \epsilon = \epsilon(\omega) \dots$$

$\Rightarrow$  Les propriétés optiques dépendent de la fréquence du rayonnement

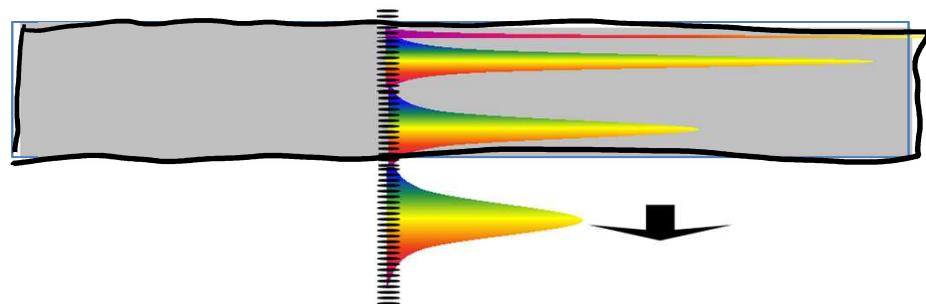


## Pulse propagation in Dispersive media

$$v = \frac{c}{n(\omega)}$$



$$n = n(\omega)$$



# Goals for lecture 3

- Dispersion
  - Where does it come from?
  - What are its consequences?
- Propagation of pulses or wave packets in dispersive media

# Introduction

- Dispersion occurs since

a medium cannot respond instantaneously

to an electromagnetic wave.

- The response of a material to an EM field must be

causal

i.e., it can depend on values of the field  
that existed in the past

but not on those that will exist in the future!

$n(\omega)$

$\rightarrow \rho \leftarrow +\infty$

- Consequence:

frequency dispersion and energy dissipation

are intimately related.

# What is the origin of dispersion?

*If we accept the electromagnetic theory of light, there is nothing left but to look for the cause of dispersion in the molecules of the medium itself.*

Hendrik Lorentz (1878)  
Nobel prize (1902)

# Convolution:

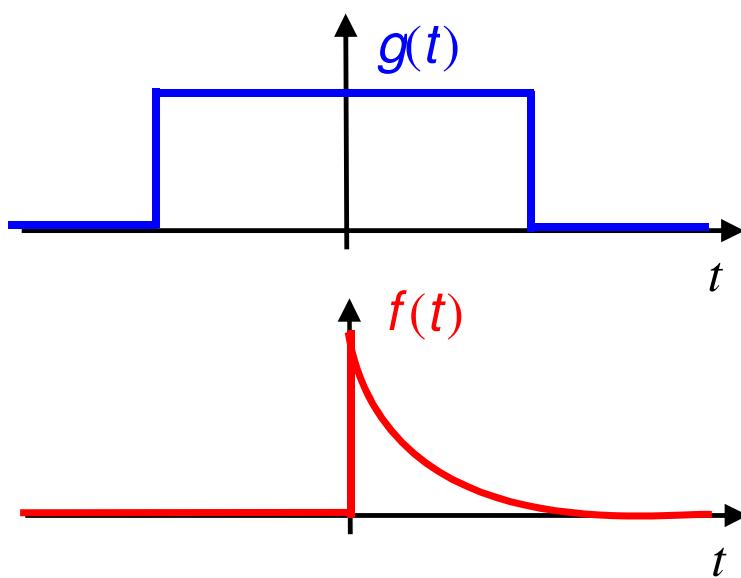


$$[f(t) \circledast g(t)](t) = \int_{-\infty}^{\infty} d\tau f(t - \tau) g(\tau)$$

$g(t)$ : excitation

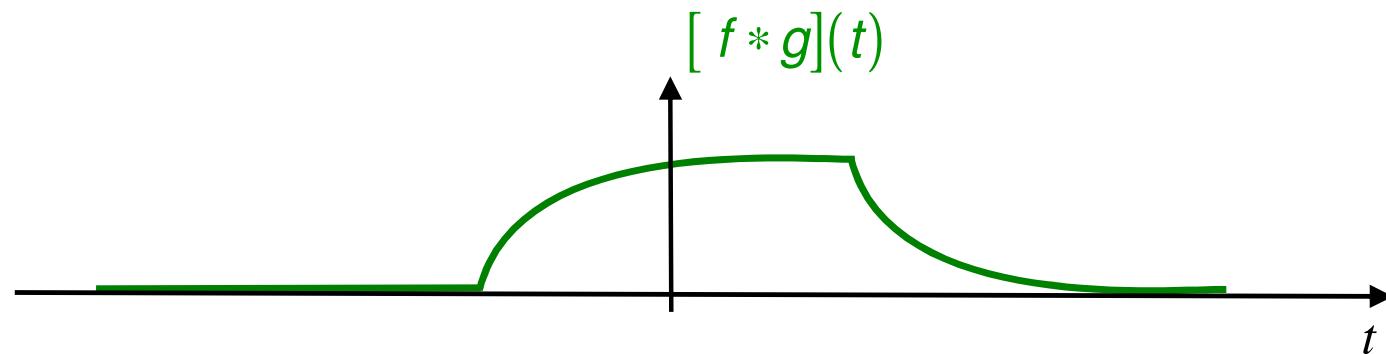
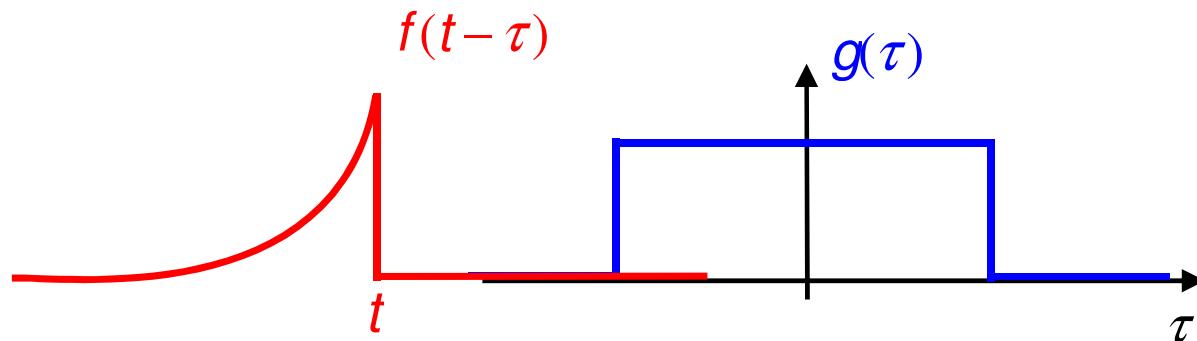
System  
lineare

$f(t)$ : (non-instantaneous) impulse response function of the system



Convolution: excitation +  
system response (linear  
system)

$$[f(t) * g(t)](t) = \int_{-\infty}^{\infty} d\tau f(t - \tau) g(\tau)$$



# What is the origin of dispersion?

$$\vec{j} = \frac{dI}{da_L}$$

dense  
de  
current

$\vec{E} \rightarrow g$  "excitation"

Example: Conductivity

$$\vec{j} = \sigma \vec{E}$$

$\uparrow$  conductivity       $\sigma = \frac{1}{\rho L}$  resistivity

$\sigma \rightarrow f$  "response  
du système  
à une impulsion

$$\vec{j}(\vec{r}, t) = \int_{-\infty}^t dt' \sigma(t - t') \vec{E}(\vec{r}, t')$$
(4.1)

Causality: can only depend on values of the field that existed in the **past**

Let  $\tau \equiv t - t'$

Build causality into the conductivity! Define

$$\sigma(\tau) = 0 \text{ for } \tau < 0$$

$$\sigma(\tau) \rightarrow 0 \text{ for } \tau \rightarrow \infty$$

Distant past has no influence.

→ convolution!

$$\vec{j}(\vec{r}, t) = \int_{-\infty}^{\infty} dt' \sigma(t - t') \vec{E}(\vec{r}, t')$$

# Fourier analysis



[https://commons.wikimedia.org/wiki/File:Fourier\\_transform\\_time\\_and\\_frequency\\_domains.gif](https://commons.wikimedia.org/wiki/File:Fourier_transform_time_and_frequency_domains.gif)

# Fourier analysis for non-periodic functions

$$\vec{E} = \vec{\varepsilon}_0 e^{i\vec{k} \cdot \vec{r} - i\omega t} + CC.$$

espace  $\Leftrightarrow$  fréquence  
spatiale

$\times \Leftrightarrow k_x$

$\vec{r} \Leftrightarrow \vec{k}$

temps  $\Leftrightarrow$  fréquence  
temporelle

( $\omega = 2\pi f$ )

$t \Leftrightarrow \omega$ )

$$f(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(k) e^{ikx} dk$$

$$f(k) = \int_{-\infty}^{\infty} f(x) e^{-ikx} dx$$

Fourier  
transformations

$$f(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega f(\omega) e^{-i\omega t}$$

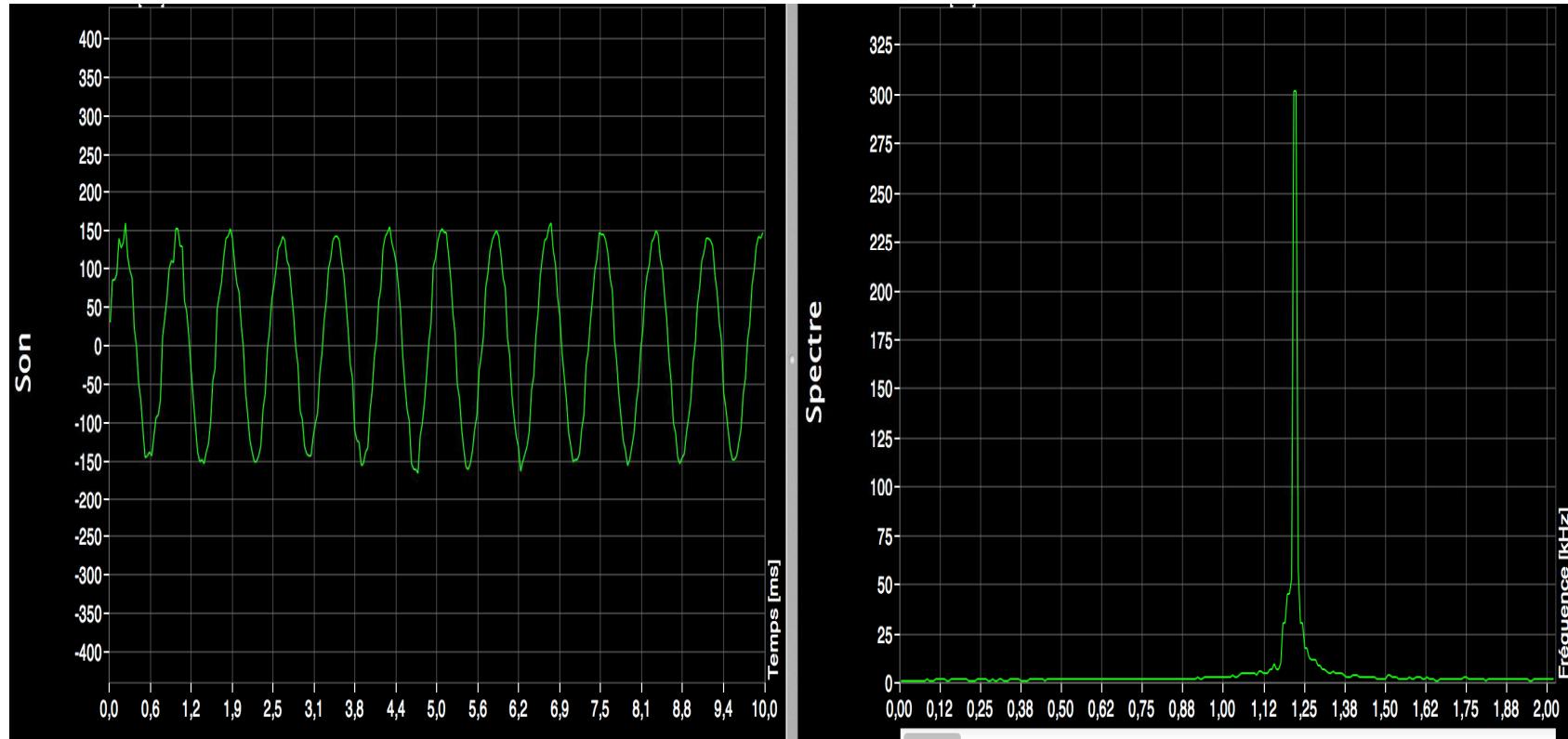
$$f(\omega) = \int_{-\infty}^{\infty} dt f(t) e^{i\omega t}$$

Note: signs and position of  $1/(2\pi)$  is a matter of convention.

# Fourier transforms

## A physical interpretation

$|F(\omega)|^2$  is the power spectral density of the function  $F(t)$ , i.e., it tells us how much power is present at each frequency



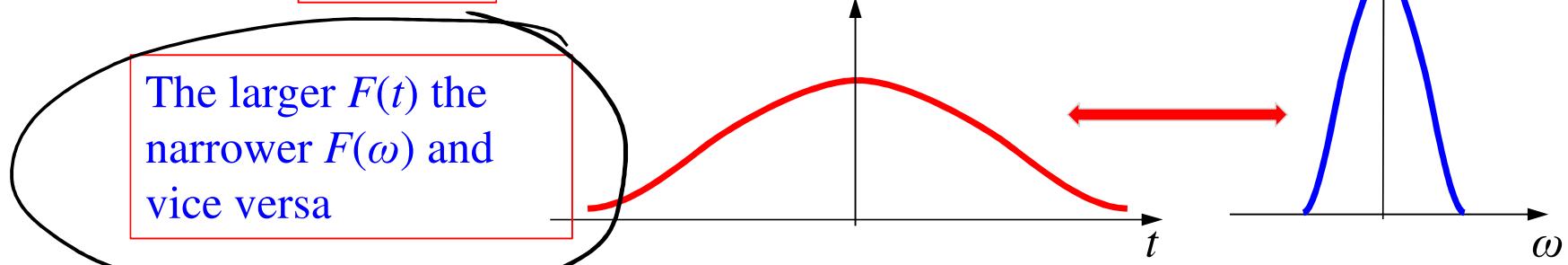
# Some properties of Fourier transforms

## Widths

Suppose that for  $F(t)$  and  $F(\omega)$  :  $\int_{-\infty}^{\infty} F(t) dt = 0$  and  $\int_{-\infty}^{\infty} F(\omega) d\omega = 0$

Suppose that  $F(t)$  is normalized:  $\int_{-\infty}^{\infty} |F(t)|^2 dt = 1$

Then:  $\Delta t \Delta \omega \geq \pi$



PARSEVAL-PLANCHEREL theorem:

$$\int_{-\infty}^{\infty} F_1(t) [F_2(t)]^* dt = \frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} F_1(\omega) [F_2(\omega)]^* d\omega$$

# Some properties of Fourier transforms

Derivatives and the Fourier transform

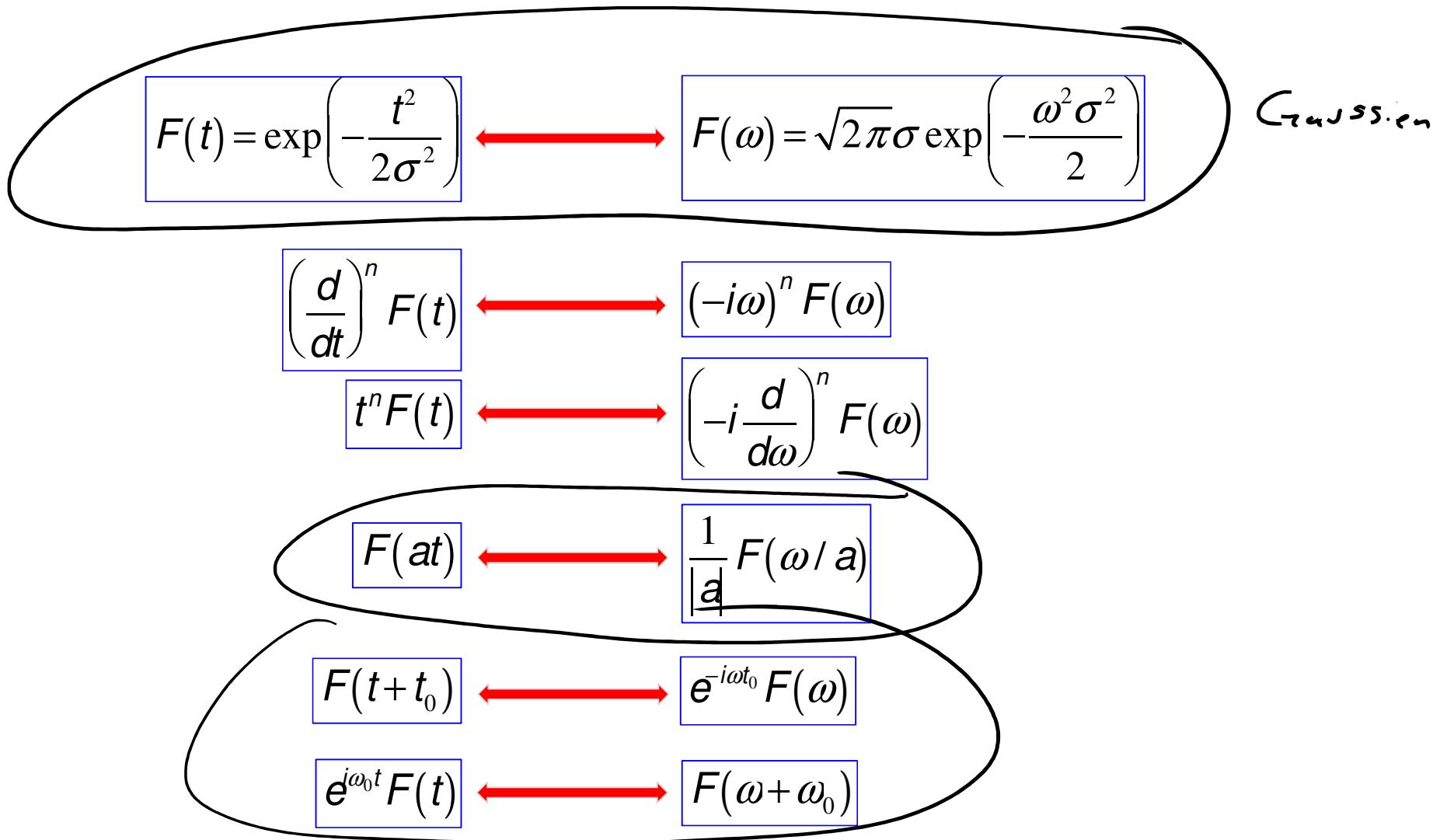
$$\frac{d}{dt} F(t) \rightleftharpoons -i\omega F(\omega)$$

$$itF(t) \rightleftharpoons \frac{d}{d\omega} F(\omega)$$

Fourier transform of a real function:

$$F(t) \in \mathbb{R} \Rightarrow F(-\omega) = [F(\omega)]^*$$

# Some properties of Fourier transforms



# Reciprocal spaces

Time  $\leftrightarrow$  (temporal) frequency

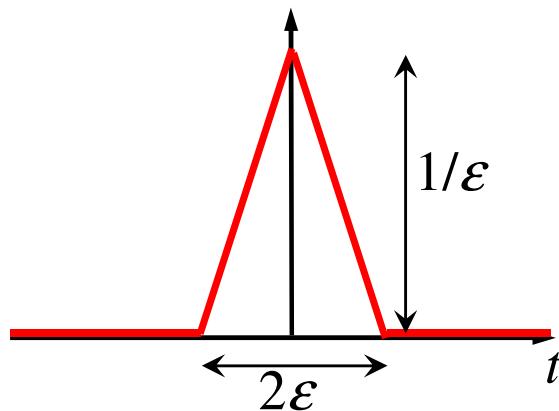
Position  $\leftrightarrow$  spatial frequency



Miguel Covarrubias,  
[http://www.loc.gov/pictures/item  
acd1996002431/PP/](http://www.loc.gov/pictures/item/acd1996002431/PP/)

# Dirac delta function

$\delta(t)$



$$\delta(t) = \begin{cases} 0 & t \neq 0 \\ \infty & t = 0 \end{cases}$$

Area = 1

$$\int_{-\infty}^{\infty} \delta(t) dt = 1$$

$$\delta(t) = \delta(-t)$$

$$\int_{-\infty}^{\infty} \delta(t) f(t) dt = f(0)$$

$$\int_{-\infty}^{\infty} \delta(t - t_0) f(t) dt = f(t_0)$$

Fourier transform:

$$1 = \int_{-\infty}^{\infty} \delta(t) e^{i\omega t} dt$$

$$\delta(t) = \frac{1}{(2\pi)} \int_{-\infty}^{\infty} e^{-i\omega t} d\omega$$

# Recall: Fourier transforms and the convolution integral

In general:

$$f(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dt f(\omega) e^{-i\omega t}$$



$$f(\omega) = \int_{-\infty}^{\infty} dt f(t) e^{i\omega t}$$

$$[f(t) * g(t)](t) = \int_{-\infty}^{\infty} d\tau f(t - \tau) g(\tau)$$



$$f(\omega) \cdot g(\omega)$$

mu tiplication

“The Fourier transform of a convolution integral is equal to the product of the Fourier transforms of the individual functions”.

$$2\pi [f(t) \cdot g(t)]$$



$$[f(\omega) * g(\omega)](\omega) = \int_{-\infty}^{\infty} d\omega' f(\omega - \omega') g(\omega')$$

# Fourier transform pairs

(complexe)

$$\hat{\sigma}(\omega) = \int_{-\infty}^{\infty} \sigma(t) e^{i\omega t} dt$$

$$\sigma(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \hat{\sigma}(\omega) e^{-i\omega t} d\omega$$

$$\mathbf{E}(\mathbf{r}, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \mathbf{E}(\mathbf{r}, \tilde{\omega}) e^{-i\omega t} d\omega$$

$$\mathbf{j}(\mathbf{r}, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \mathbf{j}(\mathbf{r}, \omega) e^{-i\omega t} d\omega$$

selon ce qu'on a  
comme argument.  
on sait s'il  
s'agit de la  
fonction ou de  
sa TF.

# Non-instantaneous response of a dispersive medium

$$\mathbf{j}(\mathbf{r}, t) = \int_{-\infty}^{\infty} dt' \sigma(t - t') \mathbf{E}(\mathbf{r}, t')$$

↓ Fourier transform

$$\mathbf{j}(\mathbf{r}, \omega) = \hat{\sigma}(\omega) \mathbf{E}(\mathbf{r}, \omega)$$

$$\hat{\sigma}(\omega) = \underline{\sigma'}(\omega) + i\underline{\sigma''}(\omega)$$

$$\begin{aligned}\sigma' &\in \mathcal{R} \\ \sigma'' &\in \mathcal{R}\end{aligned}$$

What can you say about the symmetry of the real and imaginary parts of  $\sigma$ ?

# Properties of the real and imaginary contributions

Recall:  $\sigma(t)$  is real  $\rightarrow \sigma(t) = \sigma^*(t)$

From the definition of the Fourier transform:

$$\begin{aligned}\sigma(t) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} [\sigma'(\omega) + i\sigma''(\omega)] e^{i\omega t} d\omega = \sigma^*(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} [\sigma'(\omega) - i\sigma''(\omega)] e^{-i\omega t} d\omega \\ &= \frac{1}{2\pi} \int_{-\infty}^{\infty} [\sigma'(-\omega) - i\sigma''(-\omega)] e^{-i\omega t} d\omega\end{aligned}$$

$$\Rightarrow \text{reelle } \sigma'(\omega) = \sigma'(-\omega) \Rightarrow \text{PAIRE} \quad \Rightarrow n, \chi, \mu, \epsilon \dots$$

imaginäre  $\sigma''(\omega) = -\sigma''(-\omega) \Rightarrow \text{IMPARE}$

Change  $\omega$  to  $-\omega$  in the second integral and conclude!

# Non-instantaneous response of a dispersive medium

$$\sigma(\tau) \in \mathbb{R} \Rightarrow \hat{\sigma}(-\omega) = \hat{\sigma}^*(\omega) \Rightarrow \begin{cases} \sigma'(\omega) \text{ even} \\ \sigma''(\omega) \text{ odd} \end{cases}$$

Same conclusions for  $\hat{\sigma}(\omega), \hat{\mu}(\omega), \hat{\chi}(\omega), \hat{\epsilon}(\omega)$ :  
All are complex and depend on the frequency.

# And what if dispersion didn't exist???

What happens if the response is the same for all frequencies?

i.e.,  $\hat{\sigma}(\omega) \equiv \hat{\sigma} - \text{const.}$

(inverse) Fourier transform

Instantaneous response--  
impossible! Frequency dispersion  
MUST exist

$$\int_{-\infty}^{\infty} e^{-i\omega t} d\omega$$

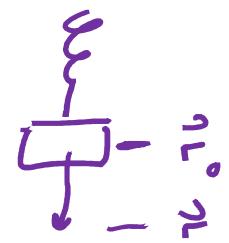
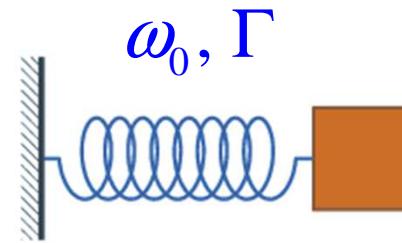
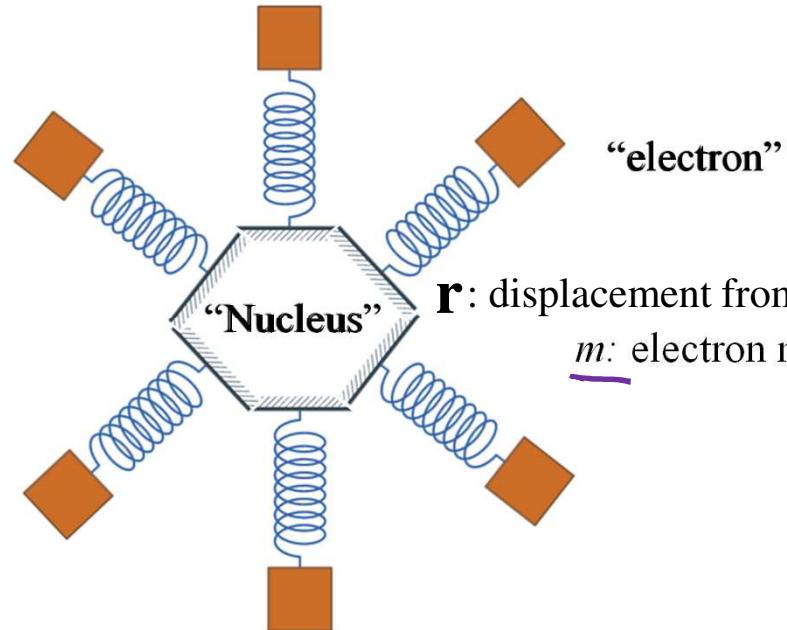
$$\sigma(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \hat{\sigma} e^{-i\omega t} d\omega = \frac{\hat{\sigma}}{2\pi} \underbrace{\int_{-\infty}^{\infty} e^{-i\omega t} d\omega}_{2\pi\delta(t)} = \hat{\sigma} \delta(t)$$

INSTANTANEOUS RESPONSE OF THE SYSTEM

# Next: Classical models for frequency dispersion: the Lorentz model

Trouver  $\eta(\omega)$   
pour un diélectrique  
(isolant)

Basic idea: the movement of the (bound) electrons in a material  
is that of a *damped, driven, simple harmonic oscillator*



$\omega_0$  resonance frequency  
 $\Gamma$  damping constant

$\ddot{r} = -\omega_0^2 r - \Gamma \dot{r}$  amortissement

$$m \frac{d^2 r}{dt^2} + m\Gamma \frac{dr}{dt} + m\omega_0^2 r = -eE \quad \left. \begin{array}{l} \text{force de rappel} \\ \text{force champ} \end{array} \right\}$$

And for “free” electrons? → No spring! → Drude model for metals!!!

## Lorentz Model

$$m \frac{d^2 \mathbf{r}}{dt^2} + m\Gamma \frac{d\mathbf{r}}{dt} + m\omega_0^2 \mathbf{r} = -e\mathbf{E} \quad (4.45)$$

Consider a steady state regime:

Monochromatic field  $\mathbf{E}(t) = \mathcal{E} \exp(-i\omega t) + \text{c.c.}$  (4.46)

Expression for displacement:  $\mathbf{r}(t) = \mathcal{R} \exp(-i\omega t) + \text{c.c.}$  (4.47)

Plug (4.46) and (4.47) in (4.45) and solve for  $\mathcal{R}$  !

$$-m\omega^2 \vec{\mathcal{R}} - i\omega\Gamma \vec{\mathcal{R}} + m\omega_0^2 \vec{\mathcal{R}} = -e \vec{\mathcal{E}}$$

$$\vec{\mathcal{E}} \leftarrow \vec{\mathcal{E}}$$

$$\begin{aligned} \vec{\mathcal{P}} &: \text{dipole} \\ \vec{\mathcal{P}} &= \epsilon \vec{\mathcal{R}} \end{aligned}$$

$$\mathcal{R} = \frac{-e/m}{\omega_0^2 - \omega^2 - i\omega\Gamma} \mathcal{E}$$

Dipole moment:

$$\mathbf{p} = -e\mathbf{r}(t) = \frac{e^2/m}{\omega_0^2 - \omega^2 - i\omega\Gamma} \mathcal{E} \exp(-i\omega t) + \text{c.c.}$$

$$n^2(\omega) = \frac{\epsilon(\omega)}{\epsilon_0}$$

## Lorentz Model

$\tilde{P}$ : moment dipolaire par unité de volume

Dipole moment:  $\mathbf{p} = -e\mathbf{r}(t) = \frac{e^2 / m}{\omega_0^2 - \omega^2 - i\omega\Gamma} \mathcal{E} \exp(-i\omega t) + \text{c.c.}$

Polarization (electric dipole moment per unit volume):

$$\mathbf{P} = \tilde{n}\mathbf{p}(t) = \frac{\tilde{n}e^2 / m}{\omega_0^2 - \omega^2 - i\omega\Gamma} \mathcal{E} \exp(-i\omega t) + \text{c.c.} \quad (4.49)$$

$\tilde{n}$ : number of electrons per unit volume

l/c's

Recall:  $\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P} = \epsilon \mathbf{E}$

Use (4.49) in this expression

Let  $\omega_p^2 = \frac{\tilde{n}e^2}{\epsilon_0 m}$

frequency  
plasma

Solve for  $n^2(\omega) = \frac{\epsilon(\omega)}{\epsilon_0}$

This  $n$  is the  
index of  
refraction!

$$\epsilon_0 \vec{E} + \frac{\epsilon_0 \omega_p^2}{\omega_0^2 - \omega^2 - i\omega\Gamma} \vec{E} = \epsilon \vec{E}$$

$$n^2(\omega) = \frac{\epsilon(\omega)}{\epsilon_0} = 1 + \frac{\omega_p^2}{\omega_0^2 - \omega^2 - i\omega\Gamma}$$

modèle  
de Lorentz

# Lorentz model

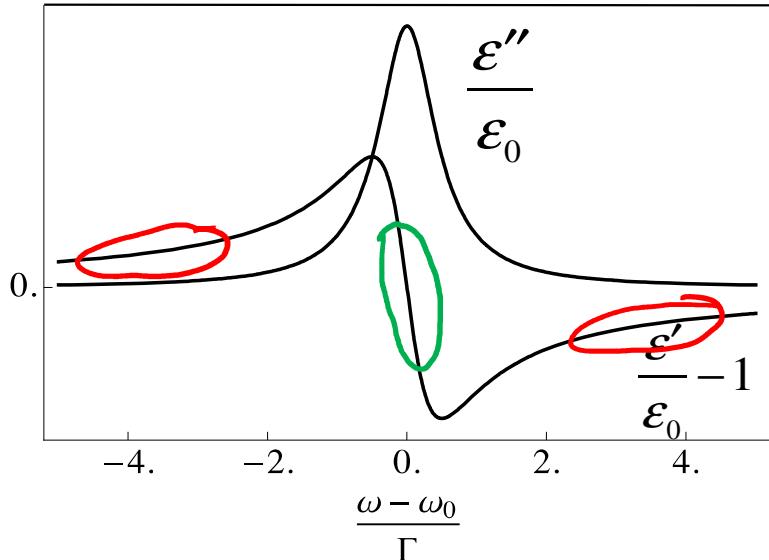
Complex index of refraction

$$n^2(\omega) = \frac{\epsilon(\omega)}{\epsilon_0} = 1 + \frac{\omega_p^2}{\omega_0^2 - \omega^2 - i\omega\Gamma}$$

$$\omega_p^2 = \frac{\tilde{n}e^2}{\epsilon_0 m}$$

$\frac{dn}{d\omega} > 0$  dispersion "normal"  
 $\frac{dn}{d\omega} < 0$  dispersion "anormal"

$n \sim$  relative-  
ment const.  
in disp  
resonance



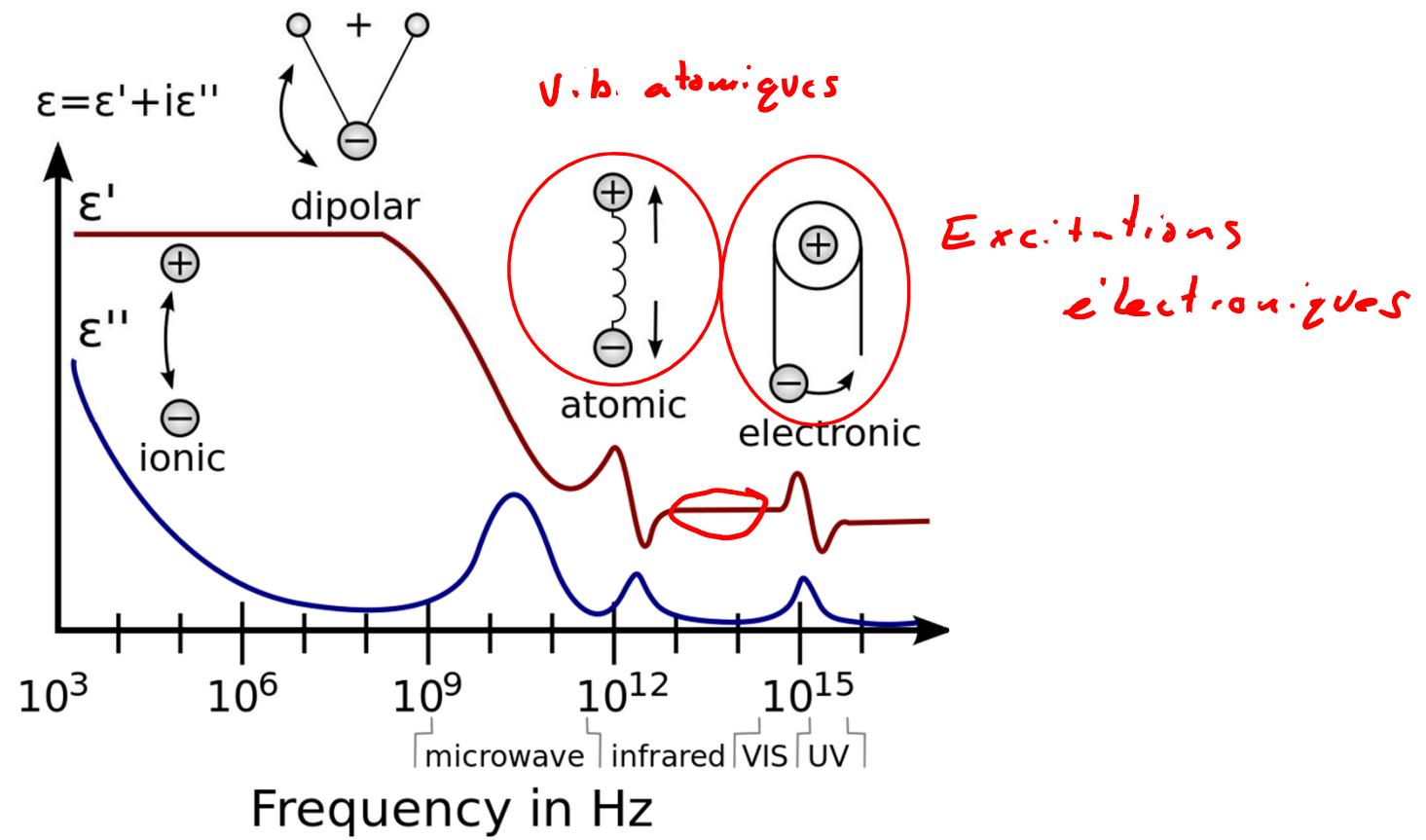
$$\frac{\epsilon'(\omega)}{\epsilon_0} = 1 + \frac{\omega_p^2(\omega_0^2 - \omega^2)}{(\omega_0^2 - \omega^2)^2 + \omega^2\Gamma^2}$$

$$\frac{\epsilon''(\omega)}{\epsilon_0} = \frac{\omega_p^2\omega\Gamma}{(\omega_0^2 - \omega^2)^2 + \omega^2\Gamma^2}$$

Almost Lorentzian if  $\Gamma \ll \omega_0$

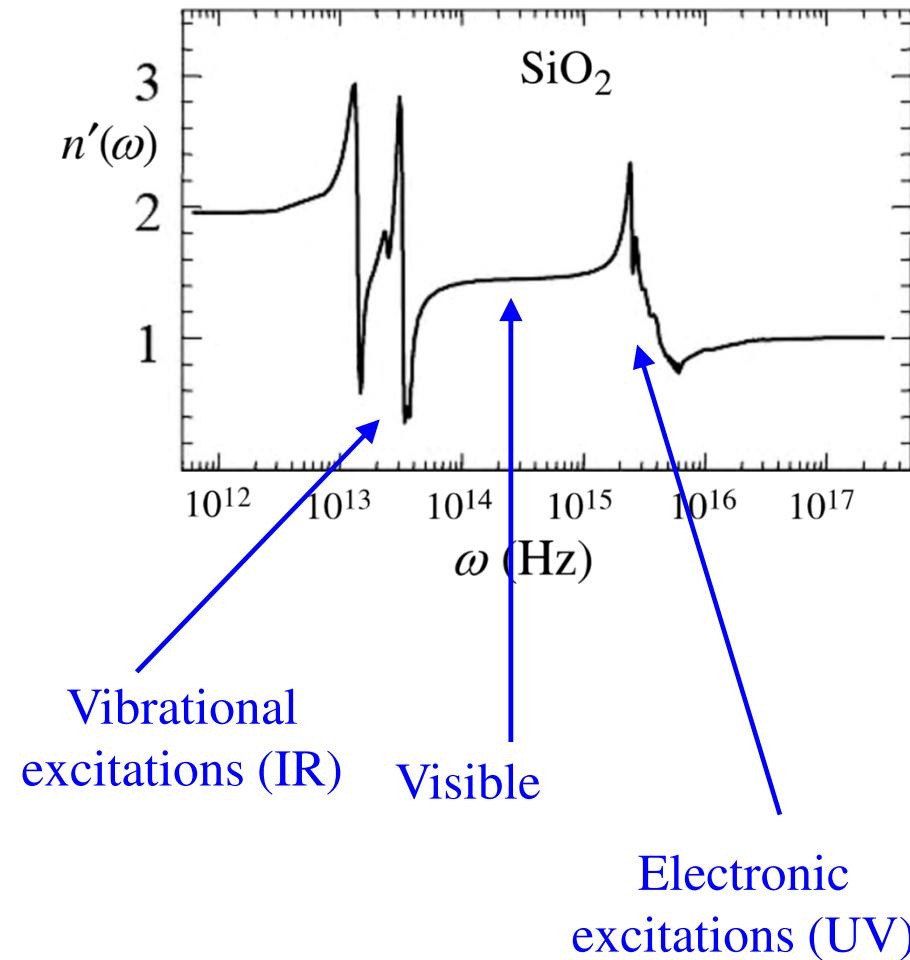
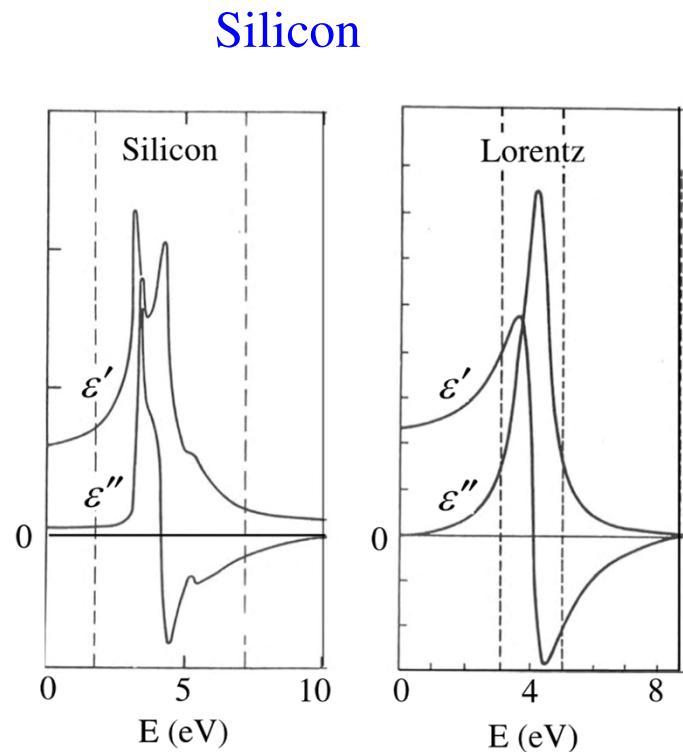
# Dielectrics

## Frequency dependence of the permittivity



Wikipedia

# Comparison of the Lorentz model with data



# Frequency dispersion and energy dissipation

$$\int_V d^3r \left( \mathbf{E} \cdot \frac{\partial \mathbf{D}}{\partial t} + \mathbf{H} \cdot \frac{\partial \mathbf{B}}{\partial t} \right) = - \int_V d^3r \mathbf{j}_l \cdot \mathbf{E} - \int_V d^3r \nabla \cdot (\mathbf{E} \times \mathbf{H})$$

Poynting  
theorem

$$\begin{aligned}\mathbf{D}(\mathbf{r}, \omega) &= \hat{\epsilon}(\omega) \mathbf{E}(\mathbf{r}, \omega) \\ \mathbf{B}(\mathbf{r}, \omega) &= \hat{\mu}(\omega) \mathbf{H}(\mathbf{r}, \omega)\end{aligned}$$

+  
*complexes*

Lecture notes pp. 76-78  
or Zangwill p. 627-629

$$\int_V d^3r \left( \mathbf{E} \cdot \frac{\partial \mathbf{D}}{\partial t} + \mathbf{H} \cdot \frac{\partial \mathbf{B}}{\partial t} \right) = \int_V d^3r \frac{\partial}{\partial t} u_{\text{EM}}(t) + \int_V d^3r Q(t)$$

# Frequency dispersion and energy dissipation

For different frequencies

Energy dissipation occurs when the **imaginary** part of the permittivity is **non-zero**.

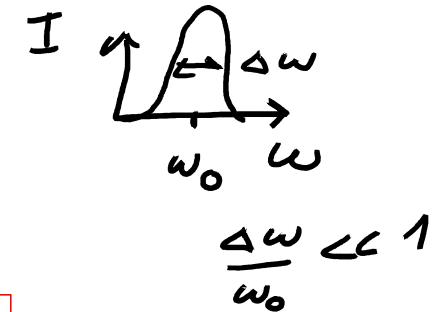
$$\left\{ \begin{array}{l} u_{\text{EM}}(t) = \frac{1}{2} \left\{ \frac{\partial}{\partial \omega} [\omega \epsilon'(\omega)] \|\mathbf{E}(t)\|^2 + \frac{\partial}{\partial \omega} [\omega \mu'(\omega)] \|\mathbf{H}(t)\|^2 \right\} \\ Q(t) = \omega [\epsilon''(\omega) \|\mathbf{E}(t)\|^2 + \mu''(\omega) \|\mathbf{H}(t)\|^2] \end{array} \right.$$

Is it possible to have  $\epsilon''(\omega) = 0$  when  $\epsilon' = \epsilon'(\omega)$  ?

$u_{\text{EM}}(t)$  is the total energy per unit volume (transiently) stored in the medium

$Q(t)$  is the rate of energy absorption (per unit volume)

$\hookrightarrow \rho e^{-tes}$



## The consequences of causality: Kramers-Kronig relations

$\chi$ : susceptibility  
electro-  
magnetic

Recall:  $\mathbf{P} = \epsilon_0 \chi \mathbf{E}; \quad \epsilon = \epsilon_0 (1 + \chi)$

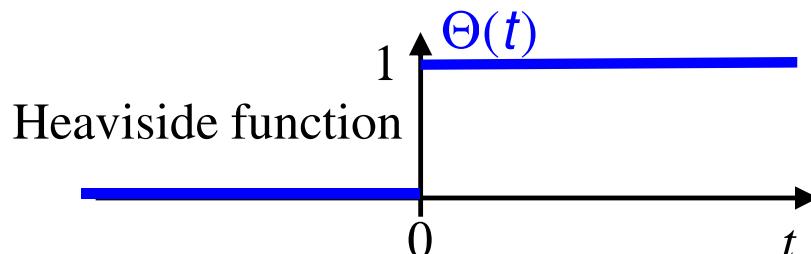
In order for causality to hold:  $\mathbf{P}(\mathbf{r}, t) = \epsilon_0 \int_{-\infty}^{\infty} dt' \chi(t - t') \mathbf{E}(\mathbf{r}, t')$

with  $\chi(\tau) = 0 \text{ for } \tau < 0$

We can write  $\chi(t) = \Theta(t)\chi(t)$

↓ TF

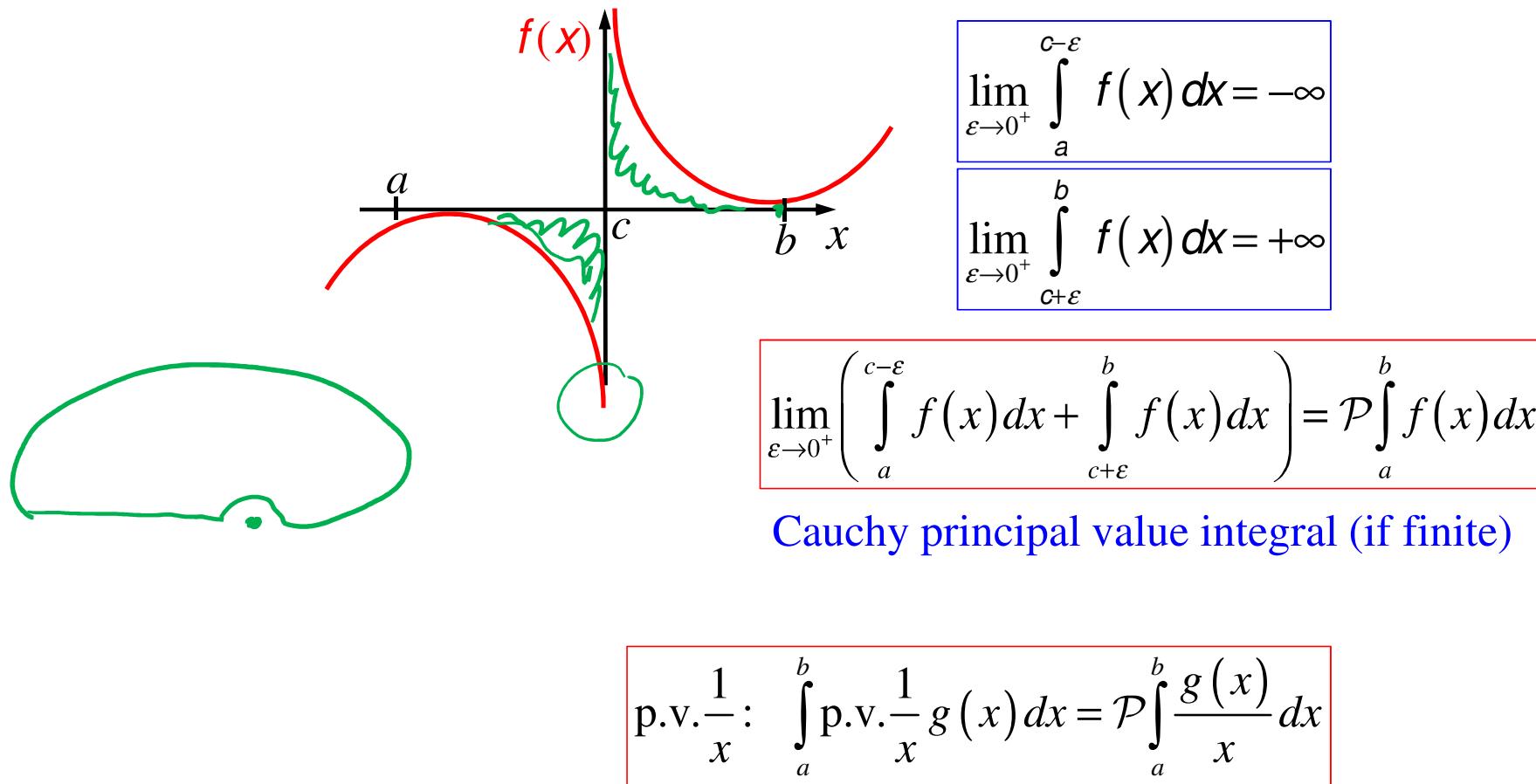
$$\hat{\chi}(\omega) = \frac{1}{2\pi} \hat{\Theta}(\omega) * \hat{\chi}(\omega)$$



$$\hat{\Theta}(\omega) = \pi\delta(\omega) + \text{p.v.} \frac{i}{\omega}$$

"value-principle"

# Cauchy principal value



Cauchy principal value integral (if finite)

$$\text{p.v.} \frac{1}{x} : \int_a^b \text{p.v.} \frac{1}{x} g(x) dx = \mathcal{P} \int_a^b \frac{g(x)}{x} dx$$

# The consequences of causality: Kramers-Kronig relations

Recall:  $\mathbf{P} = \epsilon_0 \chi \mathbf{E}; \quad \epsilon = \epsilon_0 (1 + \chi)$

In order for causality to hold:  $\mathbf{P}(\mathbf{r}, t) = \epsilon_0 \int_{-\infty}^{\infty} dt' \chi(t - t') \mathbf{E}(\mathbf{r}, t')$

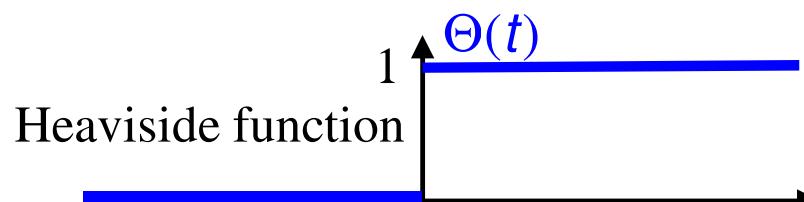
with  $\chi(\tau) = 0 \text{ for } \tau < 0$

We can write  $\chi(t) = \Theta(t)\chi(t)$

$$\hat{\chi}(\omega) = \frac{1}{2\pi} \hat{\Theta}(\omega) * \hat{\chi}(\omega)$$

$$\hat{\Theta}(\omega) = \pi\delta(\omega) + \text{p.v.} \frac{i}{\omega}$$

$$\hat{\chi}(\omega) = \frac{1}{\pi i} \text{P} \int_{-\infty}^{\infty} \frac{\chi(\omega')}{\omega - \omega'} d\omega'$$



$$\begin{aligned} \hat{\chi}(\omega) &= \left[ \frac{1}{2\pi} \left[ \pi \int_{-\infty}^{\infty} \delta(\omega - \omega') \hat{\chi}(\omega') d\omega' \right] \right] = \frac{1}{2} \hat{\chi}(\omega) \\ &+ \frac{1}{2\pi} \left[ i \text{P} \int_{-\infty}^{\infty} \frac{\hat{\chi}(\omega')}{\omega - \omega'} d\omega' \right] \end{aligned}$$

# The consequences of causality: Kramers-Kronig relations

Recall:  $\mathbf{P} = \epsilon_0 \chi \mathbf{E}; \quad \epsilon = \epsilon_0 (1 + \chi)$

$$\hat{\chi}(\omega) = \frac{i}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\omega' \frac{\hat{\chi}(\omega')}{\omega - \omega'}$$

$$\begin{aligned}\chi'(\omega) &= -\frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\omega' \frac{\chi''(\omega')}{\omega - \omega'} \\ \chi''(\omega) &= \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\omega' \frac{\chi'(\omega')}{\omega - \omega'}\end{aligned}$$

Kramers-Kronig relations

Link between real and  
imaginary parts

$\epsilon, \mu, \sigma \dots$

# Interpretation of the Kramers-Kronig relations

If  $\varepsilon'(\omega)$  is not constant *anywhere*,  $\varepsilon''(\omega)$  is non-zero *everywhere* i.e., frequency dispersion in *any* interval of frequency implies that non-zero absorption occurs in *every* interval of frequency

Conversely, frequency dispersion occurs *everywhere* in frequency if absorption occurs *anywhere* in frequency.

***Why is there this intimate relation between dispersion and energy dissipation?***

$$\varepsilon = \varepsilon_0 (1 + \chi)$$

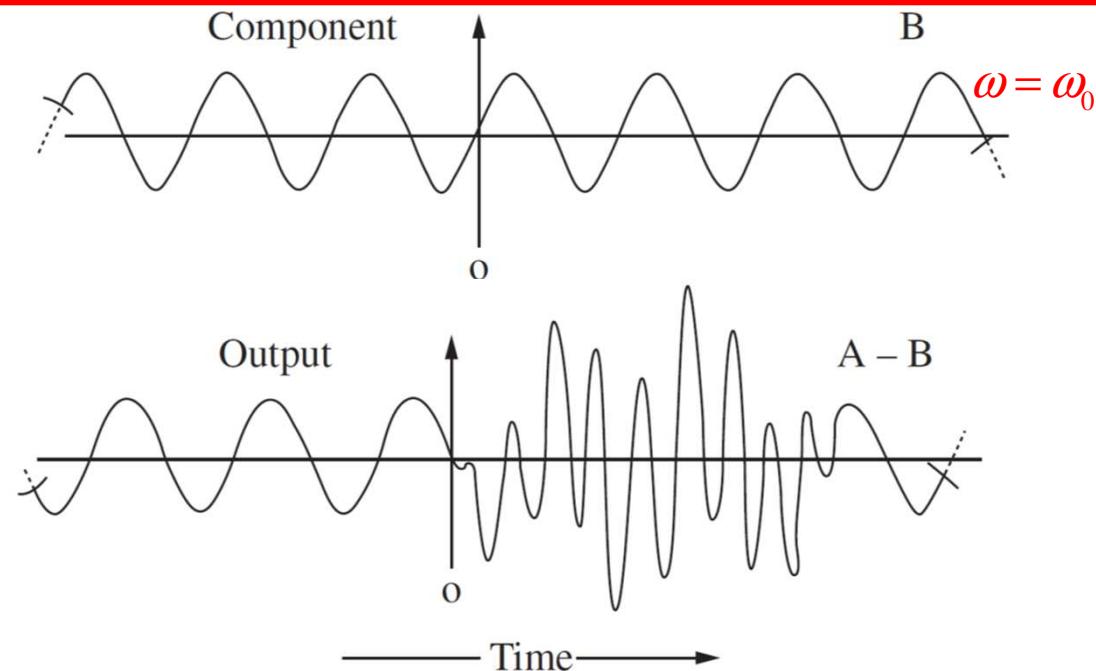
$$\begin{aligned}\chi'(\omega) &= -\frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\omega' \frac{\chi''(\omega')}{\omega - \omega'} \\ \chi''(\omega) &= \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\omega' \frac{\chi'(\omega')}{\omega - \omega'}\end{aligned}$$

# Frequency dispersion, absorption and causality

Wave packet      Input      A

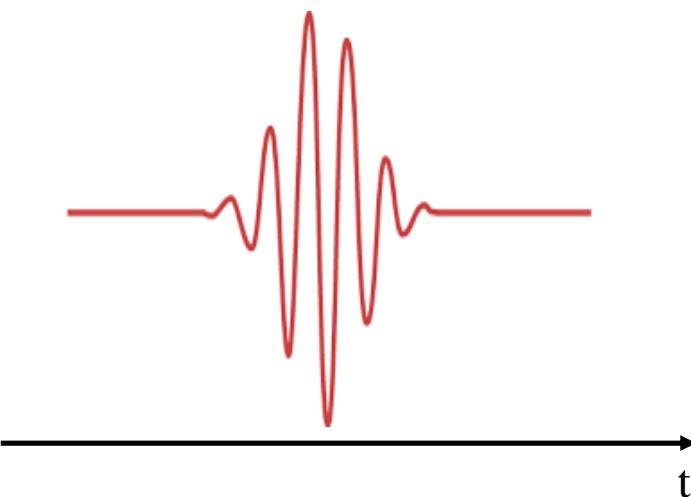
Medium must introduce phase shifts (i.e., frequency dispersion) into all waves in packet so that there is destructive interference for  $t < 0$

Assume  
material absorbs  
only for  
 $\omega = \omega_0$

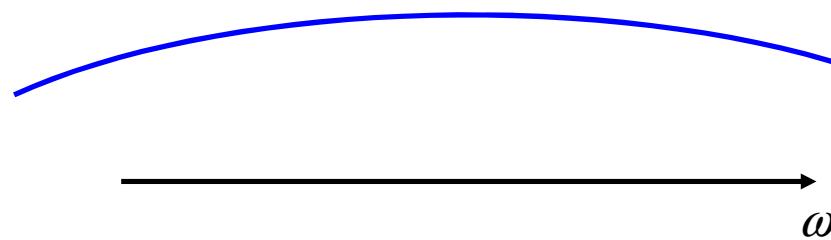


Paquet d'onde dans un milieu dispersif.  
Short light pulses

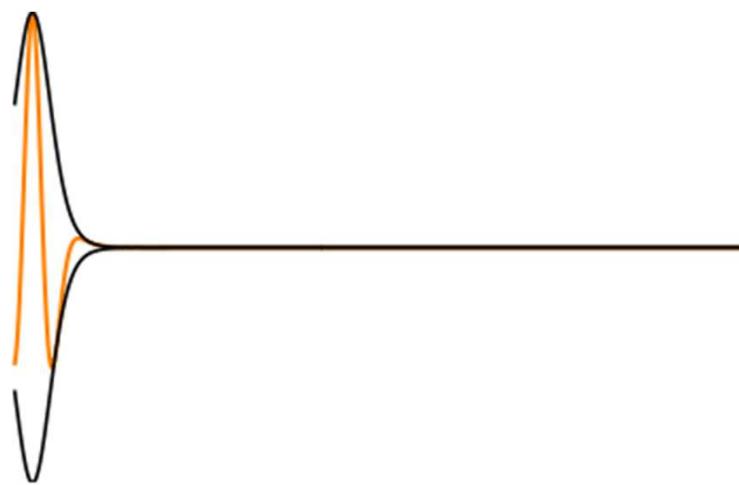
Pulse as a function of time



Frequency space



# Consequence of dispersion

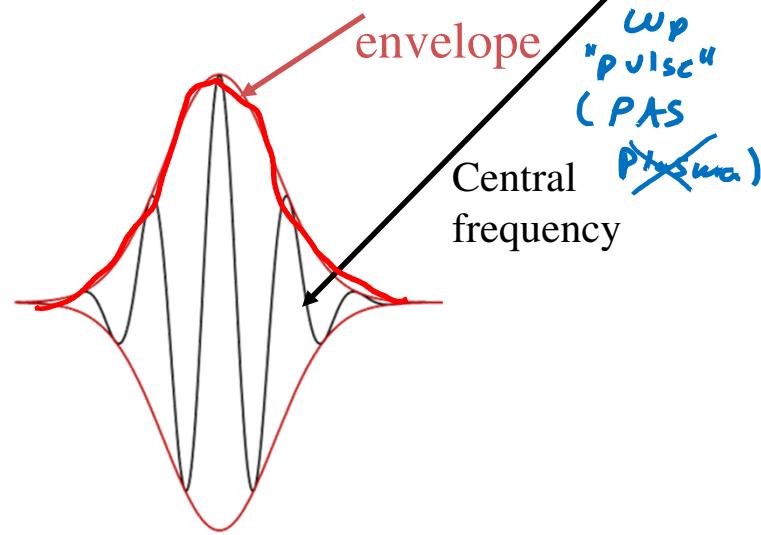


# Pulse propagation

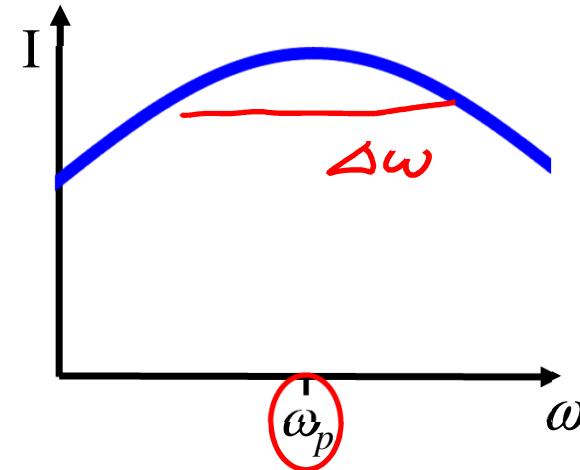


$$E(z=0, t) = \underbrace{\mathcal{E}(z=0, t)}_{\text{envelope}} e^{-i\omega_p t} + \text{c.c.} = E^{(+)}(z=0, t) + \text{c.c.}$$

Analytic signal



Pulse as a function of time



Frequency space

$\frac{\Delta\omega}{\omega_p} \ll 1$   
 $\frac{\omega_p}{\omega}$   
 onde  
 quasi-  
 mono-  
 chromatique  
 ↳ impulsion  
 "pas trop  
 courte"

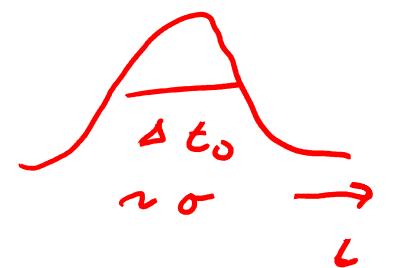
# Pulse propagation



$$E(z=0, t) = \mathcal{E}(z=0, t) e^{-i\omega_p t} + \text{c.c.} = E^{(+)}(z=0, t) + \text{c.c.}$$

Example: Gaussian envelope  $\mathcal{E}(z=0, t) = E_0 \exp\left(-\frac{t^2}{2\Delta t_0^2}\right)$

$$E^{(+)}(z=0, t) = E_0 \exp\left(-\frac{t^2}{2\Delta t_0^2}\right) e^{-i\omega_p t}$$



Fourier transform

## Math review: Fourier transforms

$$\mathcal{F}(t) = \exp\left(-\frac{t^2}{2\sigma^2}\right) \quad \longleftrightarrow \quad \mathcal{F}(\omega) = \sqrt{2\pi}\sigma \exp\left(-\frac{\omega^2\sigma^2}{2}\right)$$

$$e^{i\omega_0 t} \mathcal{F}(t) \quad \longleftrightarrow \quad \mathcal{F}(\omega + \omega_0)$$

# Pulse propagation



$$E(z=0, t) = \underbrace{\mathcal{E}(z=0, t)}_{\text{envelope}} e^{-i\omega_p t} + \text{c.c.} = E^{(+)}(z=0, t) + \text{c.c.}$$

$\mathcal{E}(z=0, t)$

Example: Gaussian envelope  $\mathcal{E}(z=0, t) = E_0 \exp\left(-\frac{t^2}{2\Delta t_0^2}\right)$

FT

$$E^{(+)}(z=0, t) = E_0 \exp\left(-\frac{t^2}{2\Delta t_0^2}\right) e^{-i\omega_p t}$$

$$E^{(+)}(z=0, \omega) = \hat{E}_0 \exp\left(-\frac{(\omega - \omega_p)^2}{2\Delta\omega^2}\right)$$

$\tau_D$

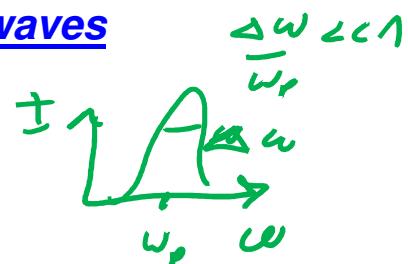
$\hat{E}_0 = \sqrt{2\pi\Delta t_0} E_0$

$$\Delta\omega = 1/\Delta t_0$$

# Pulse propagation

As we will see when we study diffraction, we can write an expression for the pulse at the entrance to the dispersive medium as a sum of monochromatic plane waves

$$E^{(+)}(z=0, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega e^{-i\omega t} E^{(+)}(z=0, \omega)$$



Each component propagates with its own wave number

$$k(\omega) = n(\omega) \frac{\omega}{c}$$

$$E^{(+)}(z, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega e^{-i\omega t} E^{(+)}(z=0, \omega) e^{ik(\omega)z} \quad (4.73)$$

Assume wave packet is sharply peaked at  $\omega_p$

→ Taylor's expansion of  $k(\omega)$  around  $\omega_p$   
(to second order)

Dispersion:

$$k(\omega) = n(\omega) \frac{\omega}{c} \approx k(\omega_p) + (\omega - \omega_p) \left. \frac{dk}{d\omega} \right|_{\omega_p} + \frac{1}{2} (\omega - \omega_p)^2 \left. \frac{d^2 k}{d\omega^2} \right|_{\omega_p}$$



$$v_g = \left. \frac{d\omega}{dk} \right|_{\omega=\omega_p}$$

## Pulse propagation

Dispersion:  $k(\omega) = n(\omega) \frac{\omega}{c} \approx k(\omega_p) + (\omega - \omega_p) \frac{dk}{d\omega} \Big|_{\omega_p} + \frac{1}{2} (\omega - \omega_p)^2 \frac{d^2 k}{d\omega^2} \Big|_{\omega_p}$  (4.75)

$$k(\omega) = n(\omega) \frac{\omega}{c}$$

$$1/v_g$$

$$\beta_2$$

$$\frac{dk}{d\omega} = \frac{dn}{d\omega} \frac{\omega}{c} + \frac{n}{c}$$

$$v_g = \frac{c}{n + \omega \frac{dn}{d\omega}} = \frac{c}{n - \lambda \frac{dn}{d\lambda}}$$
 (4.76)

Group velocity

Group velocity dispersion

$$\beta_2 = \left. \frac{d^2 k}{d\omega^2} \right|_{\omega_p}$$
 (4.77)

Substitute (4.75-4.77) in (4.73), evaluate integral!!!

$$E^{(+)}(z, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega e^{-i\omega t} E^{(+)}(z=0, \omega) e^{ik(\omega)z}$$
 (4.73)

$\rightarrow \tau D$

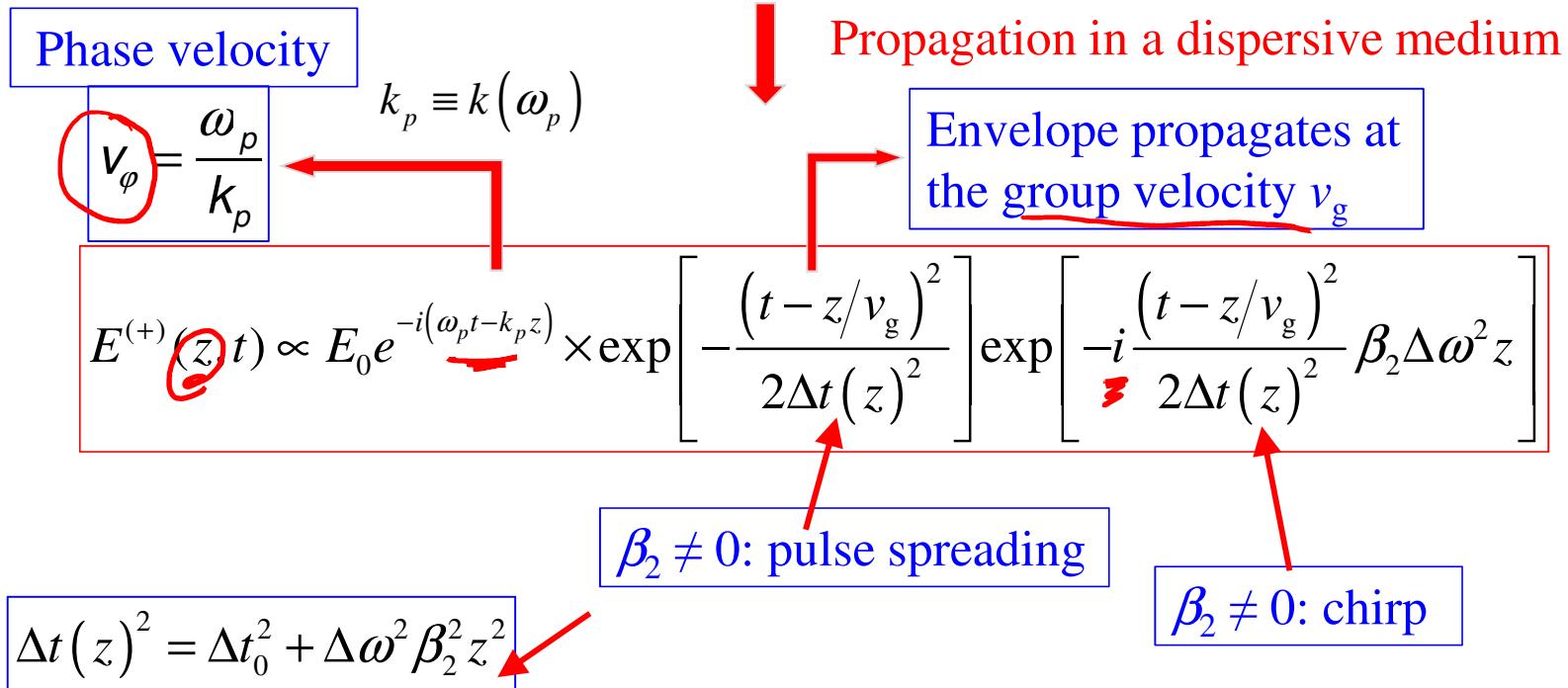
# Propagation of a Gaussian pulse

$$E^{(+)}(z=0, \omega) = \hat{E}_0 \exp\left(-\frac{(\omega - \omega_p)^2}{2\Delta\omega^2}\right)$$

$$E^{(+)}(z=0, t) = E_0 \exp\left(-\frac{t^2}{2\Delta t_0^2}\right) e^{-i\omega_p t}$$

$$\beta_2 = \frac{d^2 k}{d\omega^2} \Big|_{\omega_p}$$

$\cancel{z=0}$

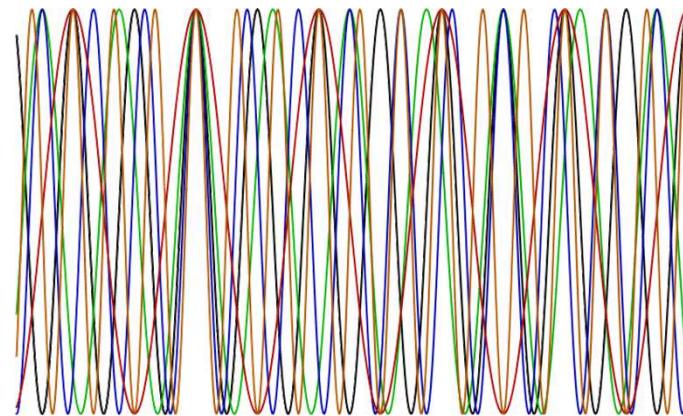


$$E^{(+)}(z, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega e^{-i\omega t} E^{(+)}(z=0, \omega) e^{ik(\omega)z}$$

# Phase and group velocities

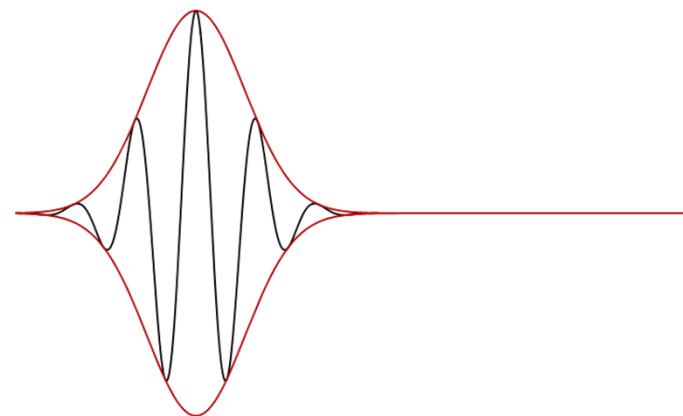
✓ Phase velocity:

$$v_\phi = \frac{\omega_p}{k_p} = \frac{c}{n(\omega_p)}$$

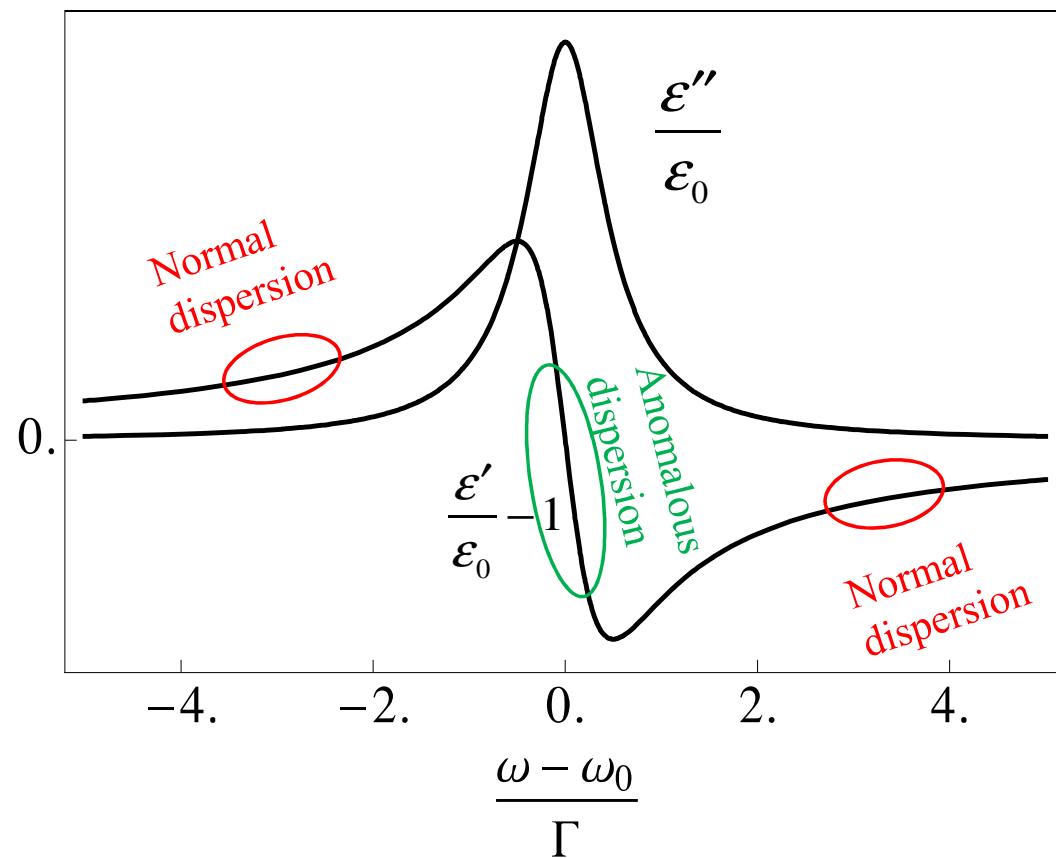


✓ Group velocity:

$$V_g = \frac{c}{n + \omega \frac{dn}{d\omega}}$$



# Normal dispersion



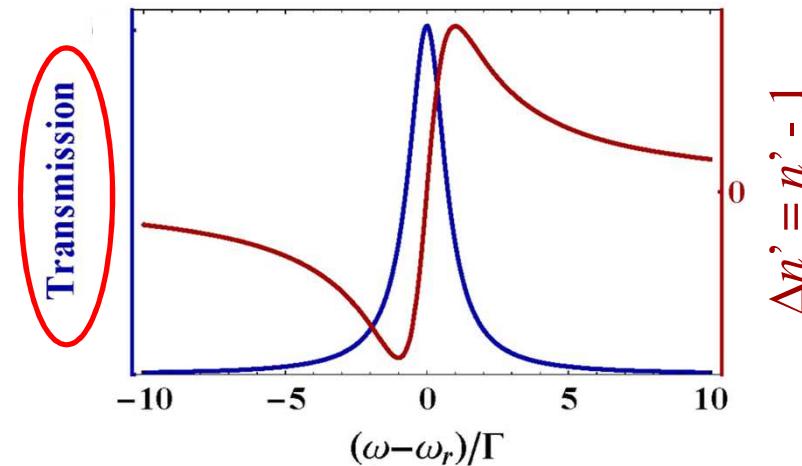
$$\frac{dn}{d\omega} > 0$$

$$v_{\text{go}} = \frac{c}{n + \omega \frac{dn}{d\omega}}$$

# Normal dispersion and slow light

$$\frac{d\gamma}{d\omega} \gg 1$$

Kramers-Kronig relations: link between dispersion and absorption



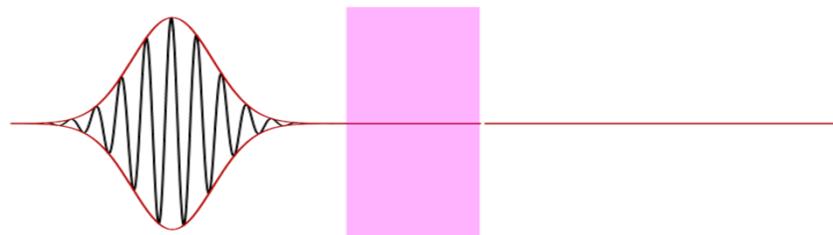
Peak in transmission:



Normal dispersion--  
positive slope



Slow light



$$v_g = \frac{c}{n + \omega \frac{dn}{d\omega}} \ll c$$

# Normal dispersion and slow light

## Light speed reduction to 17 metres per second in an ultracold atomic gas

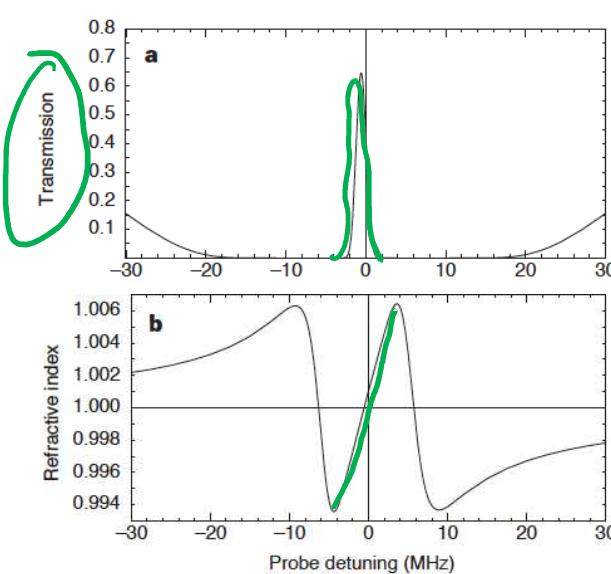
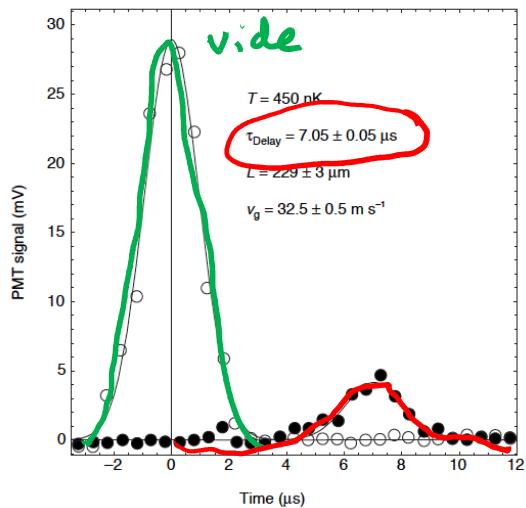
Lene Vestergaard Hau<sup>\*†</sup>, S. E. Harris<sup>‡</sup>, Zachary Dutton<sup>\*†</sup>  
& Cyrus H. Behroozi<sup>\*§</sup>

<sup>\*</sup> Rowland Institute for Science, 100 Edwin H. Land Boulevard, Cambridge, Massachusetts 02142, USA

<sup>†</sup> Department of Physics, <sup>‡</sup> Division of Engineering and Applied Sciences, Harvard University, Cambridge, Massachusetts 02138, USA

<sup>§</sup> Edward L. Ginzton Laboratory, Stanford University, Stanford, California 94305, USA

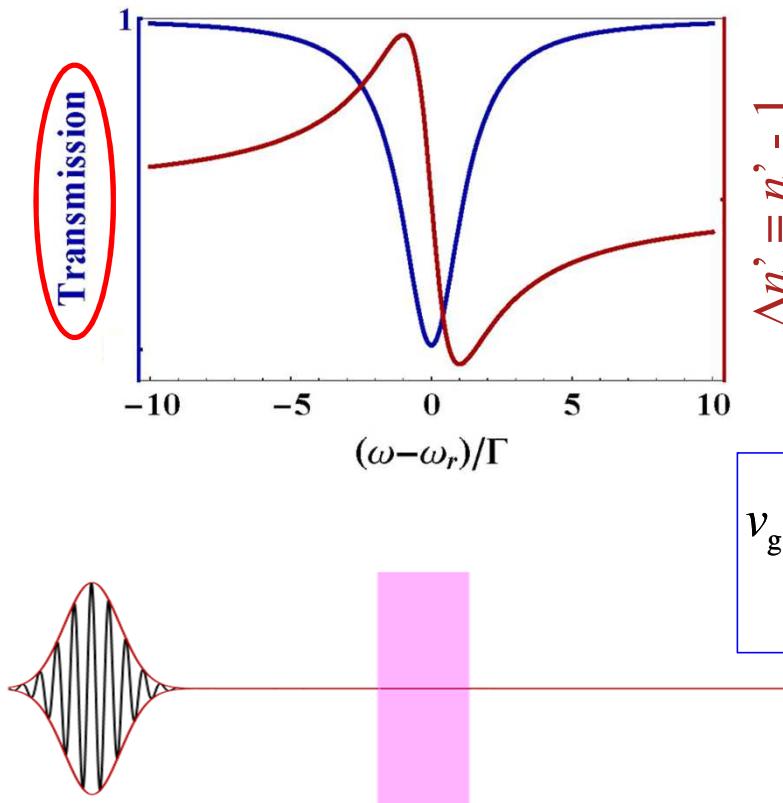
NATURE | VOL 397 | 18 FEBRUARY 1999 | www.nature.com



# Anomalous dispersion and fast light

Kramers-Kronig relations: link between dispersion and absorption

$$\frac{dn}{d\omega} < 0$$



Peak in absorption:



Anomalous dispersion--  
negative slope



Fast light

$$v_g = \frac{c}{n + \omega \frac{dn}{d\omega}} > c \quad \text{or even } < 0$$

# Anomalous dispersion and fast light ( $\underline{\underline{v_g > c}}$ )

## The speed of information in a 'fast-light' optical medium

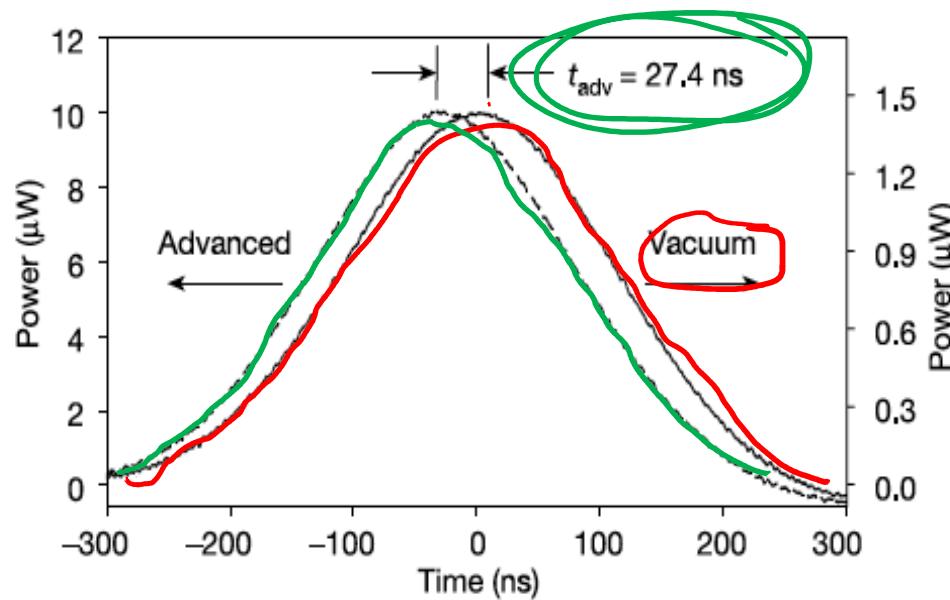
NATURE | VOL 425 | 16 OCTOBER 2003 | www.nature.com/nature

Michael D. Stenner<sup>1</sup>, Daniel J. Gauthier<sup>1</sup> & Mark A. Neifeld<sup>2</sup>

<sup>1</sup>Duke University, Department of Physics, and The Fitzpatrick Center for Photonics and Communication Systems, Durham, North Carolina 27708, USA

<sup>2</sup>Department of Electrical and Computer Engineering, The Optical Sciences Center, University of Arizona, Tucson, Arizona 85721, USA

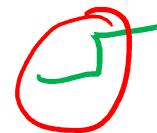
- What about causality?
- Can you transmit information faster than  $c$ ?



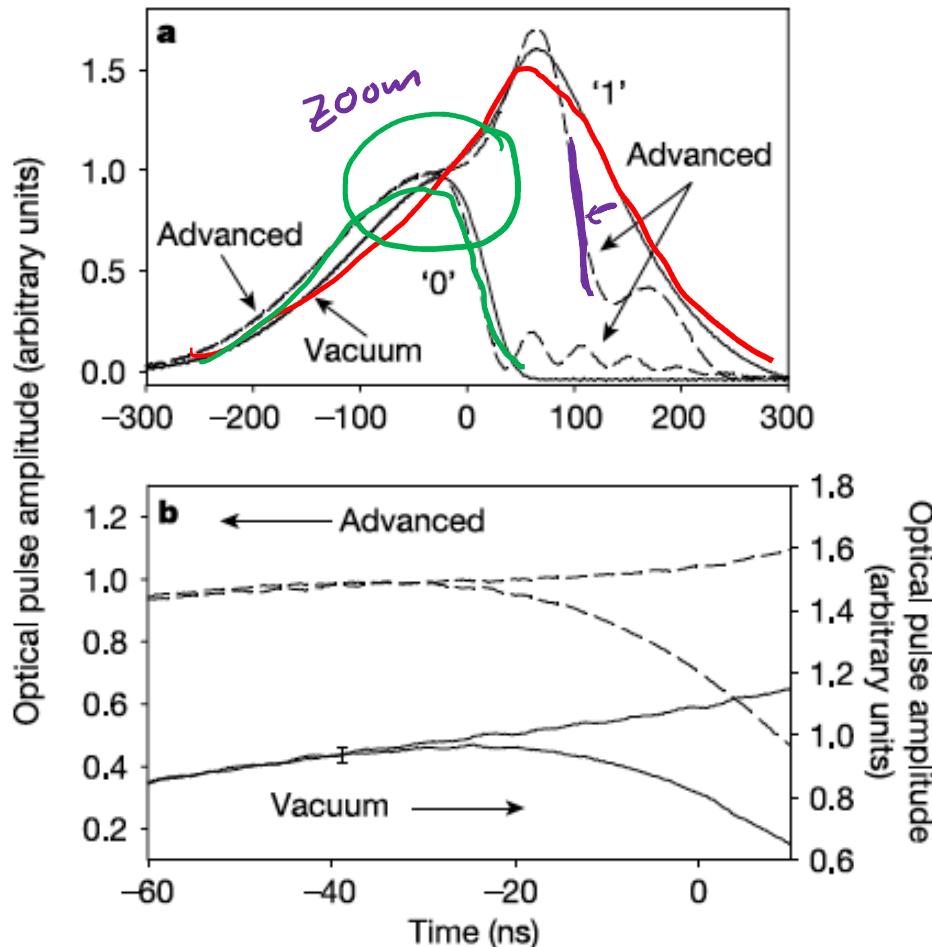
# information Anomalous dispersion and fast light ( $v_g > c$ )

1/10

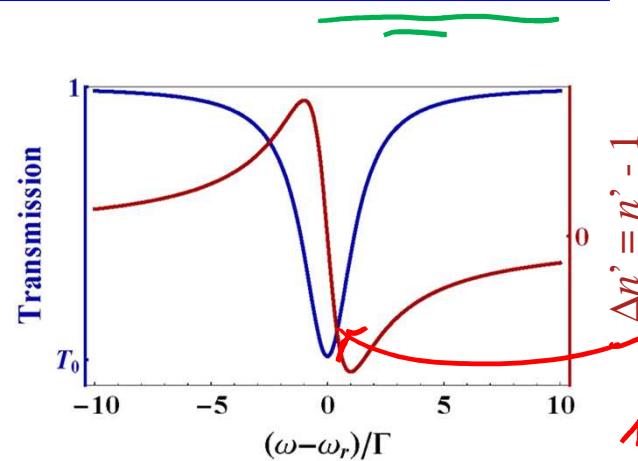
NATURE | VOL 425 | 16 OCTOBER 2003 | www.nature.com/nature



What about causality?



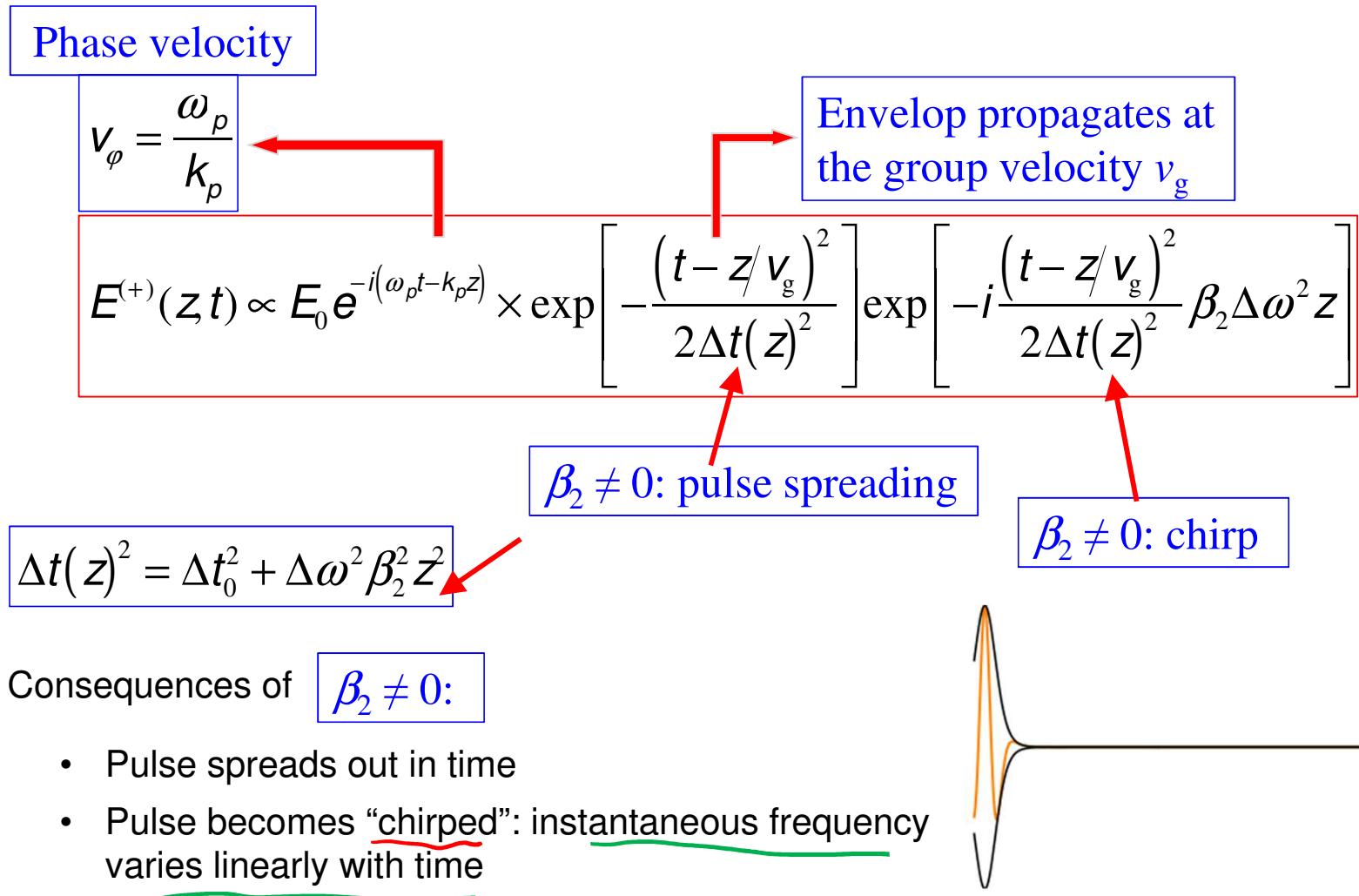
At what moment can you distinguish a 1 from a 0?



A step edge contains a multitude of frequencies!

info = changement abrupte  
= p'tem de fréquence

# Propagation of a Gaussian pulse



## Chirp: instantaneous frequency varies linearly with time

$$E^{(+)}(z, t) \propto E_0 e^{-i(\omega_p t - k_p z)} \times \exp \left[ -\frac{(t - z/v_g)^2}{2\Delta t(z)^2} \right] \exp \left[ -i \frac{(t - z/v_g)^2}{2\Delta t(z)^2} \beta_2 \Delta \omega^2 z \right]$$

$$E^{(+)}(t) \propto e^{-at^2} e^{-i(\omega_p t + bt^2)}$$

$$\phi_{tot} = \omega_p t + bt^2$$

Chirp  $\rightarrow$   $\omega$  varie linéairement avec  $t$

$$\omega_i \equiv \frac{d\phi_{tot}}{dt} = \omega_p + 2bt$$

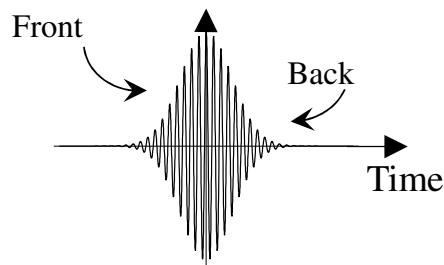
$$\boxed{\beta_2}$$

Instantaneous frequency:

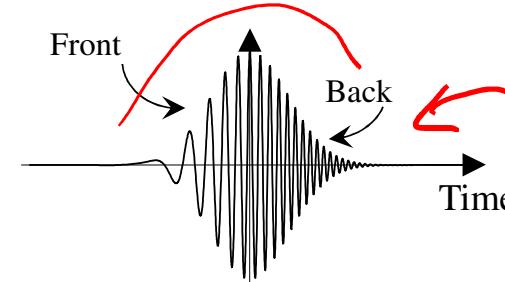
$$\text{Recall: } k(\omega) = n(\omega) \frac{\omega}{c} \approx k(\omega_p) + (\omega - \omega_p) \frac{dk}{d\omega} \Big|_{\omega_p} + \frac{1}{2} (\omega - \omega_p)^2 \frac{d^2 k}{d\omega^2} \Big|_{\omega_p}$$

$$\begin{aligned} k(\omega) &= n(\omega) \frac{\omega}{c} \\ &\approx \omega^2 \beta_2 \\ &\approx \beta_2 \omega \cdot \omega \\ &\approx n(\omega) \\ v &= \frac{c}{n(\omega)} \end{aligned}$$

$\beta_2 > 0$ : high frequencies propagate more slowly than the low frequencies

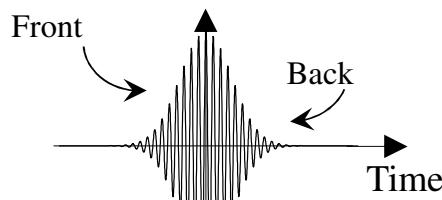


$$n(\omega)$$



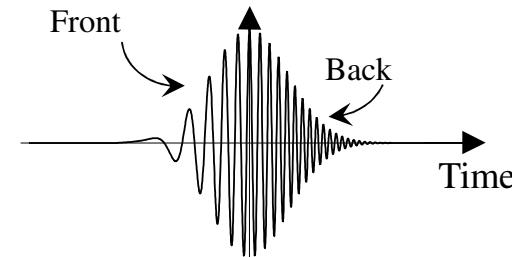
impulsion chirpée

# Pulse spreading as a function of the initial pulse width

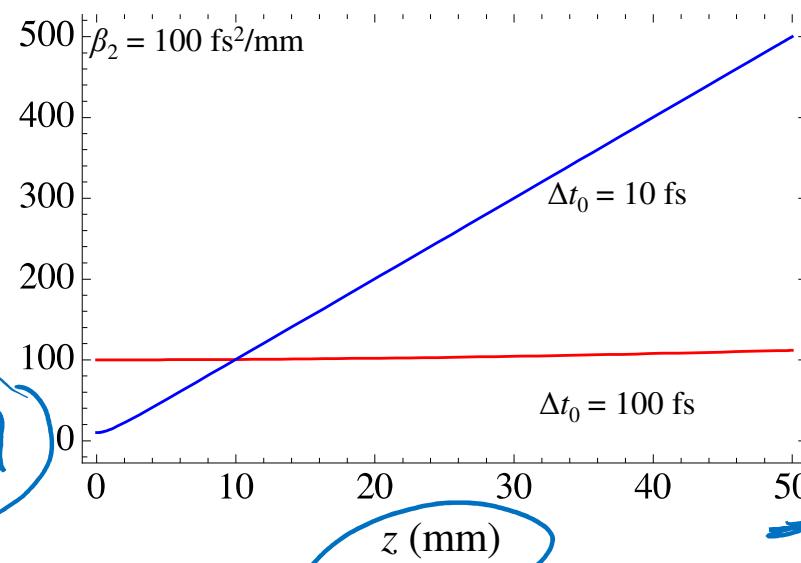
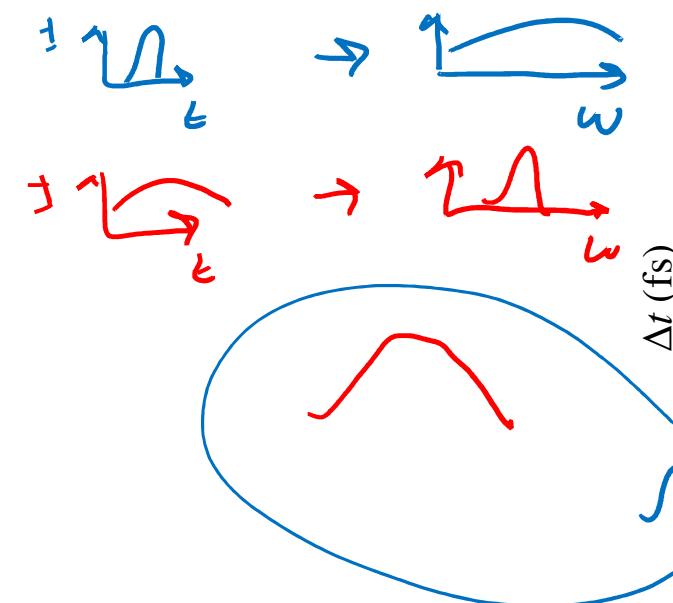


$$E^{(+)}(z=0, t) = E_0 \exp\left[-\frac{t^2}{\Delta t_0^2}\right] e^{-i\omega_p t}$$

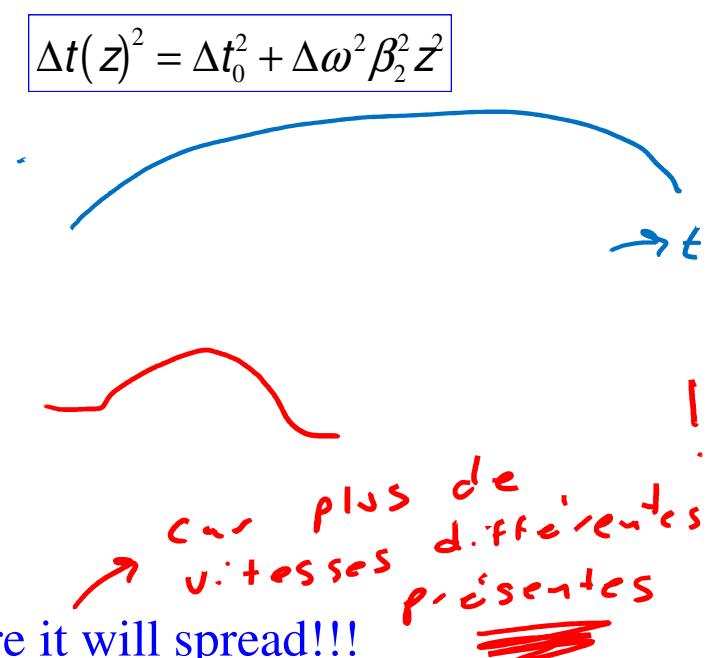
**$n(\omega)$**



$$E^{(+)}(z, t) \propto E_0 e^{-i(\omega_p t - k_p z)} \times \exp\left[-\frac{(t-z/v_g)^2}{2\Delta t(z)^2}\right] \exp\left[-i\frac{(t-z/v_g)^2}{2\Delta t(z)^2} \beta_2 \Delta \omega^2 z\right]$$

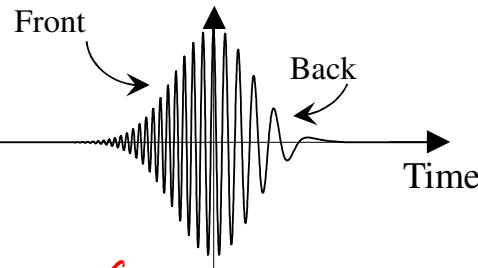
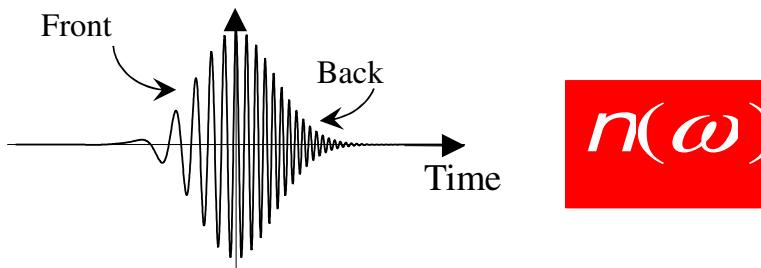


$$\Delta t(z)^2 = \Delta t_0^2 + \Delta \omega^2 \beta_2^2 z^2$$



The shorter the pulse, the larger the spectrum, the more it will spread!!!

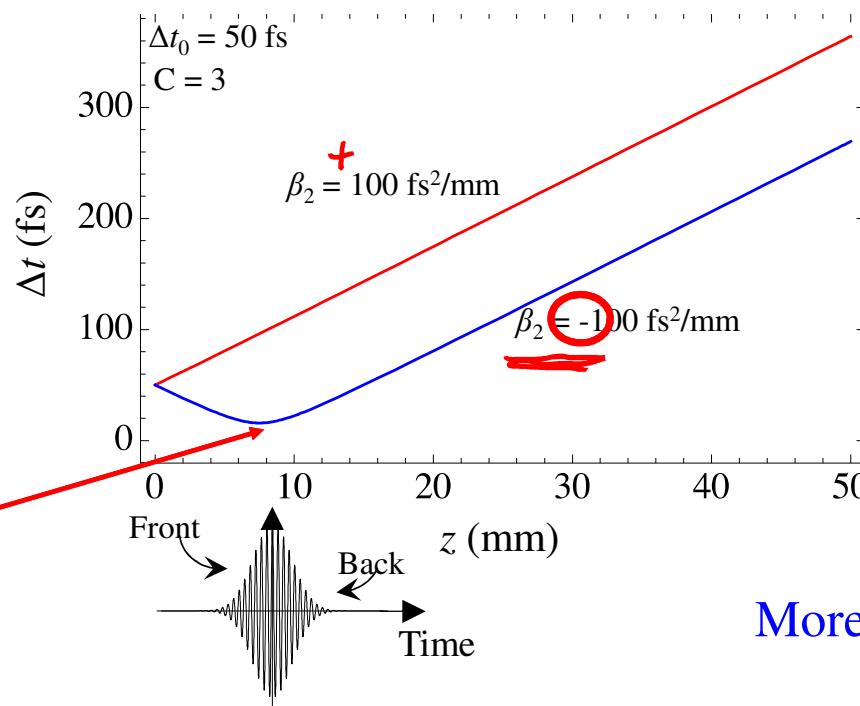
# Pulse spreading, pulse compression...



$$n(\omega)$$

$$E^{(+)}(z=0, t) = E_0 \exp \left[ -\frac{1+iC}{2} \frac{t^2}{\Delta t_0^2} \right] e^{-i\omega_p t}$$

Initial chirp



More in tutorial!

# Summary

- Dispersion: optical response depends on frequency of light
- Origin of dispersion: the material cannot respond instantaneously!
- Consequences of dispersion:
  - Light pulse « shaping » (most often spreading...)
  - Energy dissipation in medium
- Speed(s) of light in matter: can have  $0 < v_g < c$ ,  $v_g > c$ ,  $v_g < 0$ .

Speed of signal is always in agreement with special relativity.

# Reading

- Frequency dispersion:
  - Zangwill « Modern Electrodynamics », p. 624-629
- Kramers-Kronig relations
  - Zangwill, p. 649-653, Kittel « Introduction to Solid State Physics » p. 308-311 (7th edition)
- Lorentz model for dielectric matter
  - Zangwill, p. 635-636
- Wave packets in dispersive matter
  - Zangwill, p. 641-647
  - <http://attolab.fr/>
- Fast light
  - [https://www.photonics.com/Articles/Fast Light Slow Light and Optical Precursors/a27833](https://www.photonics.com/Articles/Fast_Light_Slow_Light_and_Optical_Precursors/a27833)
- CPA
  - <https://physicstoday.scitation.org/doi/pdf/10.1063/PT.3.4086>