

# NONLINEAR OPTICS

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**7 Lectures (7x1h30)**

**1 Homework**

**6 tutorial sessions**

(including one in numerical simulation)

## Lecture 3 /7 : learning outcomes

**By the end of this lecture, students will know...**

- the constitutive relations of nonlinear optics ( $D = \epsilon_0 E + P$  and  $P = \epsilon_0 \chi^{(1)} E + \epsilon_0 \chi^{(2)} EE + \epsilon_0 \chi^{(3)} EEE + \dots$ ) (K1)
- the basic properties of nonlinear susceptibility tensors (K4)

**By the end of this course, students will be skilled at ...**

- Manipulating the nonlinear susceptibility tensor components and, with given incident fields, calculate the components of nonlinear polarisation vector

# Nonlinear Optics

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- **First descriptions**

- Expression of the macroscopic polarization in terms of a power series in the field strength :

$$\mathcal{P}(t) = \chi_1 \mathcal{E}(t) + \chi_2 \mathcal{E}(t) \mathcal{E}(t) + \chi_3 \mathcal{E}(t) \mathcal{E}(t) \mathcal{E}(t) + \dots,$$

- Origin of the nonlinearities : classical anharmonic oscillator (classical model)



- **What are the limits ?**

- the response of material was described by a scalar quantity
- the response time of the material was assumed to be infinitely short (instantaneous response)

## Lecture 3 - Content

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- **Constitutive relations on nonlinear optics**

- Derivation of the impulse response of a time invariant and causal system in LINEAR and NONLINEAR regimes

- **Nonlinear susceptibility tensors**

- Definition
- Basic properties

# Field notation

We assume that the electric field vector can be expressed as a plane wave (or as a projection of plane waves, i.e through a Fourier transformation) :

$$\mathcal{E}(t) = \mathbf{E}(\omega)e^{i(-\omega t + \mathbf{k} \cdot \mathbf{r})} + \mathbf{E}^*(\omega)e^{-i(-\omega t + \mathbf{k} \cdot \mathbf{r})} \quad \text{With : } \mathbf{E}(\omega) = \begin{pmatrix} E_i(\omega) \\ E_j(\omega) \\ E_k(\omega) \end{pmatrix}$$

$$\mathcal{E}(t) = E(\omega)e^{i(-\omega t + \mathbf{k} \cdot \mathbf{r})} \mathbf{e} + CC$$

→ **Purely REAL quantity**  
Polarization state

**Notation :**

$$E^*(\omega) = E(-\omega)$$

Similarly for the macroscopic polarization :

$$\mathcal{P}(t) = P(\omega)e^{i(-\omega t + \mathbf{k} \cdot \mathbf{r})} \mathbf{e} + P^*(\omega)e^{-i(-\omega t + \mathbf{k} \cdot \mathbf{r})} \mathbf{e}$$

→ **Purely REAL quantity**

**Notation :**

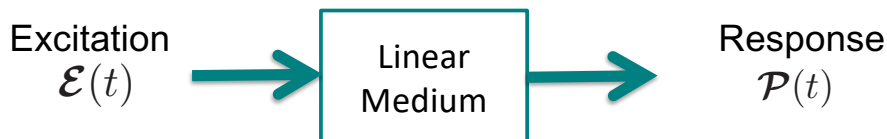
$$P^*(\omega) = P(-\omega)$$

## Pulse response in LINEAR regime

Description of the propagation of an electromagnetic field  $\mathcal{E}(t)$  through a linear medium :

- LINEAR MEDIUM = LINEAR FILTER

- TIME INVARIANT & CAUSAL linear system (+LOCAL Response)



$$\mathcal{P}(t) = \epsilon_0 \int_{-\infty}^{+\infty} \underline{\underline{T}}^{(1)}(t, \tau) \mathcal{E}(\tau) d\tau$$

$\underline{\underline{T}}^{(1)}(t, \tau)$  : Impulse response of the Medium : general case of an anisotropic material, it is a tensor of rank 2 (i.e. 3x3 matrix)

### Properties :

-  $\mathbf{E}(t)$  and  $\mathbf{P}(t)$  are purely real quantities, same for  $\underline{\underline{T}}^{(1)}(t, T)$

- time invariant system :

$$\mathcal{P}(t) = \epsilon_0 \int \underline{\underline{R}}^{(1)}(t - \tau) \mathbf{E}(\tau) d\tau,$$

$$\underline{\underline{T}}^{(1)}(t, \tau) = \underline{\underline{R}}^{(1)}(t - \tau)$$

$$= \epsilon_0 \int \underline{\underline{R}}^{(1)}(\tau) \mathbf{E}(t - \tau) d\tau$$

-Causal system implies that

$$\underline{\underline{R}}^{(1)}(\tau) = 0 \text{ for } \tau < 0$$

# Linear susceptibility

Convention for the Fourier Transform :

$$\mathcal{E}(t) = \int E(\omega) e^{-i\omega t} d\omega$$

$$E(\omega) = \frac{1}{2\pi} \int \mathcal{E}(t) e^{+i\omega t} dt$$

$$\begin{aligned} \mathcal{P}(t) &= \epsilon_0 \int \underline{\underline{R}}^{(1)}(t - \tau) \mathcal{E}(\tau) d\tau \\ &= \epsilon_0 \int \underline{\underline{R}}^{(1)}(\tau) \mathcal{E}(t - \tau) d\tau \end{aligned}$$

Fourier Transform

$$P(\omega) = \epsilon_0 \underline{\underline{\chi}}^{(1)}(\omega) E(\omega)$$

**Linear Susceptibility :**  
Fourier transform of the pulse response

$$\underline{\underline{\chi}}^{(1)}(\omega) = 2\pi \text{ TF } [\underline{\underline{R}}^{(1)}(t)]$$

**Properties :**

- Reality of the function  $\underline{\underline{R}}^{(1)}(t) \rightarrow \underline{\underline{\chi}}^{(1)}(\omega)^* = \underline{\underline{\chi}}^{(1)}(-\omega)$
- The causality property enables to derive the Kramers-Kronig relations that relates the real and imaginary parts of the linear susceptibility

# Nonlinear pulse response

Propagation of an electromagnetic field  $E(t)$  through a NONlinear medium :

**NONLINEAR MEDIUM = TIME INVARIANT & CAUSAL system**  
**(+ LOCAL Response)**



$$\mathcal{P}(t) = \epsilon_0 \int_{-\infty}^{+\infty} \underline{\underline{T}}^{(1)}(t, \tau) \mathcal{E}(\tau) d\tau \quad \text{Linear Macroscopic Polarization}$$

$$\mathcal{P}^{(2)}(t) = \epsilon_0 \int \int \underline{\underline{T}}^{(2)}(t; \tau_1, \tau_2) \mathcal{E}(\tau_1) \mathcal{E}(\tau_2) d\tau_1 d\tau_2$$

2<sup>nd</sup> order nonlinear Macroscopic Polarization

$\rightarrow$  2<sup>nd</sup> order nonlinear impulse response = 3<sup>rd</sup> order tensor (in order to fully describe the quadratic dependance of the 2<sup>nd</sup> order nonlinear polarization)

Expression of the  $i$ th component :  $\mathcal{P}_i^{(2)}(t) = \epsilon_0 \sum_{(j,k)} \int \int T_{ijk}^{(2)}(t; \tau_1, \tau_2) \mathcal{E}_j(\tau_1) \mathcal{E}_k(\tau_2) d\tau_1 d\tau_2$

Component  $ijk$  of the tensor



## Constitutive relations : nonlinear pulse response

### nth order nonlinear pulse response :

$$\mathcal{P}^{(n)}(t) = \epsilon_0 \int \int \cdots \int \underline{\underline{R}}^{(n)}(t; \tau_1, \tau_2, \dots, \tau_n) \mathcal{E}(t - \tau_1) \mathcal{E}(t - \tau_2) \cdots \mathcal{E}(t - \tau_n) d\tau_1 d\tau_2 \cdots d\tau_n$$

### PROPERTIES of the nonlinear pulse response :

**Symmetry condition:** A tensor can be expressed as the summation of a symmetric and an antisymmetric tensor

$$T_{ijk}^{(2)}(t; \tau_1, \tau_2) = S_{ijk}^{(2)}(t; \tau_1, \tau_2) + A_{ijk}^{(2)}(t; \tau_1, \tau_2)$$

$$S_{ijk}^{(2)} = \frac{1}{2} [T_{ijk}^{(2)}(t; \tau_1, \tau_2) + T_{ikj}^{(2)}(t; \tau_2, \tau_1)]$$

$$A_{ijk}^{(2)} = \frac{1}{2} [T_{ijk}^{(2)}(t; \tau_1, \tau_2) - T_{ikj}^{(2)}(t; \tau_2, \tau_1)]$$

Substitution into  $P_i^{(2)}(t) = \epsilon_0 \sum_{(j,k)} T_{ijk}^{(2)}(t; \tau_1, \tau_2) E_j(\tau_1) E_k(\tau_2) d\tau_1 d\tau_2$   
Shows that

$$T_{ijk}^{(2)}(t; \tau_1, \tau_2) = T_{ikj}^{(2)}(t; \tau_2, \tau_1)$$

Conclusion : the nonlinear pulse response tensor is SYMMETRIC

## Constitutive relations : nonlinear pulse response

### PROPERTIES of the nonlinear pulse response :

**Time invariant response property :**  $\underline{\underline{T}}^{(2)}(t; \tau_1, \tau_2) = \underline{\underline{R}}^{(2)}(t - \tau_1, t - \tau_2)$

$$\begin{aligned} \mathcal{P}^{(2)}(t) &= \epsilon_0 \int \int \underline{\underline{R}}^{(2)}(t - \tau_1, t - \tau_2) \mathcal{E}(\tau_1) \mathcal{E}(\tau_2) d\tau_1 d\tau_2, \\ &= \epsilon_0 \int \int \underline{\underline{R}}^{(2)}(\tau_1, \tau_2) \mathcal{E}(t - \tau_1) \mathcal{E}(t - \tau_2) d\tau_1 d\tau_2. \end{aligned}$$

**Causal system:**  $\underline{\underline{R}}^{(2)}(\tau_1, \tau_2) = 0$  for  $\tau_1 < 0$  and  $\tau_2 < 0$

**Real function:** The field vectors are real quantities, which imply the reality of the nonlinear pulse response.

# Constitutive relations : nonlinear susceptibility

## Case of the 2<sup>nd</sup> order nonlinear susceptibility :

$$P^{(2)}(\omega = \omega_1 + \omega_2) = \epsilon_0 \underline{\underline{\chi}}^{(2)}(\omega = \omega_1 + \omega_2; \omega_1, \omega_2) \mathbf{E}(\omega_1) \mathbf{E}(\omega_2)$$

$$\begin{aligned} \underline{\underline{\chi}}^{(2)}(\omega_s; \omega_1, \omega_2) &= \int \int \underline{\underline{R}}^{(2)}(\tau_1, \tau_2) e^{i(\omega_1 \tau_1 + \omega_2 \tau_2)} d\tau_1 d\tau_2 \\ &= (2\pi)^2 \text{TF} \left[ \underline{\underline{R}}^{(2)}(\tau_1, \tau_2) \right]. \end{aligned}$$

$$P_i^{(2)}(\omega_1 + \omega_2) = \epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

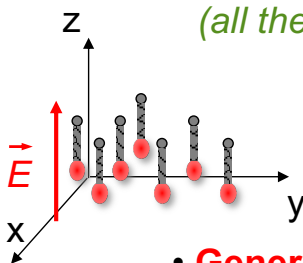
$$P(\omega = \omega_p + \omega_q) = \epsilon_0 \sum_{(pq)} \underline{\underline{\chi}}^{(2)}(\omega = \omega_p + \omega_q; \omega_p, \omega_q) \mathbf{E}(\omega_p) \mathbf{E}(\omega_q)$$

# Nonlinear susceptibility tensor

## Case of the nonlinear interaction of 2 waves @ $\omega_1$ and $\omega_2$ in a 2<sup>nd</sup> order NL medium :

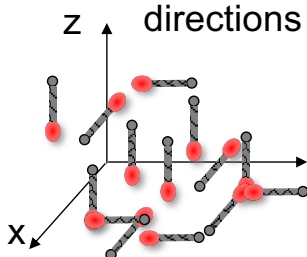
- Classical anharmonic oscillator : **scalar expression** of the polarization @  $\omega = \omega_1 + \omega_2$

(all the dipoles are supposed identically oriented along the linear polarization state of the applied field):



$$P(\omega_1 + \omega_2) = \epsilon_0 \chi^{(2)}(\omega_1, \omega_2) E(\omega_1) E(\omega_2)$$

- **General description** : the array of dipoles are oriented along the 3 directions x,y et z + different oscillator parameters for each direction



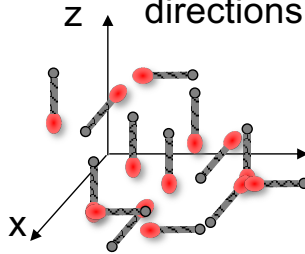
General relation :

$$P_i(\omega_1 + \omega_2) = \epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

# Nonlinear susceptibility tensor

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General relation :

$$P_i(\omega_1 + \omega_2) = \epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

**Vector / Tensor notation :**

$$\underset{\text{Vector}}{\mathbf{P}}(\omega_1 + \omega_2) = \epsilon_0 \underset{\text{Tensor of rank 3}}{\chi^{(2)}}(\omega_1 + \omega_2; \omega_1, \omega_2) \underset{\text{Vectors}}{\mathbf{E}}(\omega_1) \underset{\text{Vectors}}{\mathbf{E}}(\omega_2)$$

# Nonlinear susceptibility tensor

## To conclude

- The response of a material subject to the excitation by an electromagnetic field is given by the **macroscopic polarization**
- In the electric dipole approximation (which consists in neglecting the quadrupole term in the constitutive relation), the **polarization term is developed in power series expansion of the electric field**:

$$\mathcal{P}(t) = \mathcal{P}^{(1)}(t) + \mathcal{P}^{(2)}(t) + \mathcal{P}^{(3)}(t) + \dots$$

- **Relation with the nonlinear impulse response of the material :**

$$\begin{aligned} \underset{\text{vector}}{\mathcal{P}^{(2)}(t)} &= \int \mathbf{P}^{(2)}(\omega) e^{-i\omega t} d\omega \\ &= \epsilon_0 \int \int \underset{\text{Tensor of rank 3 (impulse response)}}{\underline{\underline{R}}^{(2)}}(\tau_1, \tau_2) \underset{\text{vectors}}{\mathcal{E}}(t - \tau_1) \underset{\text{vectors}}{\mathcal{E}}(t - \tau_2) d\tau_1 d\tau_2 \end{aligned}$$

# Nonlinear susceptibility tensor

## To conclude

- Relation with the nonlinear impulse response of the material :

$$\begin{aligned}\mathcal{P}^{(2)}(t) &= \int \mathbf{P}^{(2)}(\omega) e^{-i\omega t} d\omega \\ &= \epsilon_0 \int \int \underline{\underline{R}}^{(2)}(\tau_1, \tau_2) \mathcal{E}(t - \tau_1) \mathcal{E}(t - \tau_2) d\tau_1 d\tau_2\end{aligned}$$

- The nonlinear susceptibility is proportional to the FOURIER TRANSFORM of the impulse response of the material

$$\begin{aligned}\underline{\underline{\chi}}^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) &= \int \int \underline{\underline{R}}^{(2)}(\tau_1, \tau_2) e^{i(\omega_1 \tau_1 + \omega_2 \tau_2)} d\tau_1 d\tau_2. \\ &= (2\pi)^2 \text{TF} \left[ \underline{\underline{R}}^{(2)}(\tau_1, \tau_2) \right].\end{aligned}$$

- Constitutive relation of nonlinear optics =

$$\mathbf{P}^{(2)}(\omega = \omega_1 + \omega_2) = \epsilon_0 \underline{\underline{\chi}}^{(2)}(\omega = \omega_1 + \omega_2; \omega_1, \omega_2) \mathbf{E}(\omega_1) \mathbf{E}(\omega_2)$$

This constitutive relation means that the interaction of two waves at  $\omega_1$  and  $\omega_2$  in a second order nonlinear medium generates a polarization term at  $\omega = \omega_1 + \omega_2$ . Notice that the frequency arguments can take either positive or negative values, which comes from the assumption for the electric field  $\mathcal{E}(t)$  to be a purely real quantity

# Nonlinear susceptibility tensor

## To conclude

- Constitutive relation of nonlinear optics =

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Each vectorial component of the polarization can be expressed in terms the tensorial components  $\chi_{ijk}^{(2)}(\omega = \omega_1 + \omega_2; \omega_1, \omega_2)$  and the electric field components  $E_{i,j,k}(\omega)$  :

$$P_i^{(2)}(\omega_1 + \omega_2) = \epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2).$$

This relation might also require to sum over the frequency components :

$$P_i(\omega = \omega_p + \omega_q) = \epsilon_0 \sum_{jk} \sum_{(pq)} \chi_{ijk}^{(2)}(\omega = \omega_p + \omega_q; \omega_p, \omega_q) E_j(\omega_p) E_k(\omega_q).$$

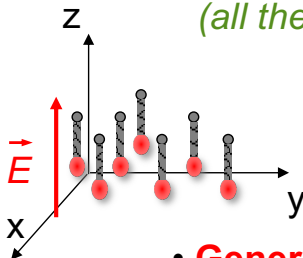
$$\mathbf{P}(\omega = \omega_p + \omega_q) = \epsilon_0 \sum_{(pq)} \underline{\underline{\chi}}^{(2)}(\omega = \omega_p + \omega_q; \omega_p, \omega_q) \mathbf{E}(\omega_p) \mathbf{E}(\omega_q)$$

# Nonlinear susceptibility tensor - Definition

Case of the nonlinear interaction of 2 waves @  $\omega_1$  and  $\omega_2$  in a 2<sup>nd</sup> order NL medium :

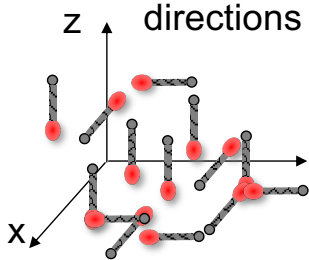
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$$P(\omega_1 + \omega_2) = \epsilon_0 \chi^{(2)}(\omega_1, \omega_2) E(\omega_1) E(\omega_2)$$

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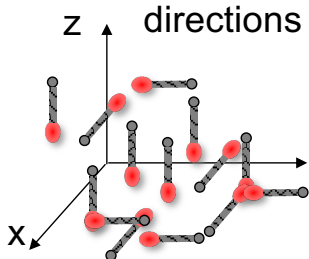
General relation :

$$P_i(\omega_1 + \omega_2) = \epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

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**Vector / Tensor notation :**

$$\mathbf{P}(\omega_1 + \omega_2) = \epsilon_0 \underline{\underline{\chi^{(2)}}}(\omega_1 + \omega_2; \omega_1, \omega_2) \mathbf{E}(\omega_1) \mathbf{E}(\omega_2)$$

Vector                      Tensor of rank 3                      Vectors



# Nonlinear susceptibility tensor - Definition

## ✓ 2<sup>nd</sup> order NL susceptibility :

= tensor of rank 3

It contains  $9 \times 3 = 27$  components

$$\underline{\underline{\underline{\chi^{(2)}}}}}$$

**Comment :** Each tensor is defined for a set of frequencies.

The value of the components of the tensor depends on the frequencies (in a general manner) !!!

### • General expression of the 2nd order NL polarization :

$$\mathbf{P}(\omega = \omega_p + \omega_q) = \epsilon_0 \sum_{(pq)} \underline{\underline{\underline{\chi^{(2)}}}}(\omega = \omega_p + \omega_q; \omega_p, \omega_q) \mathbf{E}(\omega_p) \mathbf{E}(\omega_q)$$

Expression of the  $i^{\text{th}}$  component :

$$P_i(\omega = \omega_p + \omega_q) = \epsilon_0 \sum_{jk} \sum_{(pq)} \chi_{ijk}^{(2)}(\omega = \omega_p + \omega_q; \omega_p, \omega_q) E_j(\omega_p) E_k(\omega_q)$$

# Nonlinear susceptibility tensor - Definition

## ✓ 3<sup>rd</sup> order NL susceptibility :

= tensor of rank 4

81 components !!!!

$$\underline{\underline{\underline{\underline{\chi^{(3)}}}}}}$$

### • General expression of the 3rd order NL polarization :

$$P_i(\omega = \omega_p + \omega_q + \omega_r) = \epsilon_0 \sum_{jkl} \sum_{(pqr)} \chi_{ijkl}^{(3)}(\omega = \omega_p + \omega_q + \omega_r; \omega_p, \omega_q, \omega_r) E_j(\omega_p) E_k(\omega_q) E_l(\omega_r)$$

Expression of the  $i^{\text{th}}$  component :

$$\mathbf{P}(\omega = \omega_p + \omega_q + \omega_r) = \epsilon_0 \sum_{(pqr)} \underline{\underline{\underline{\underline{\chi^{(3)}}}}}}(\omega = \omega_p + \omega_q + \omega_r; \omega_p, \omega_q, \omega_r) \mathbf{E}(\omega_p) \mathbf{E}(\omega_q) \mathbf{E}(\omega_r)$$

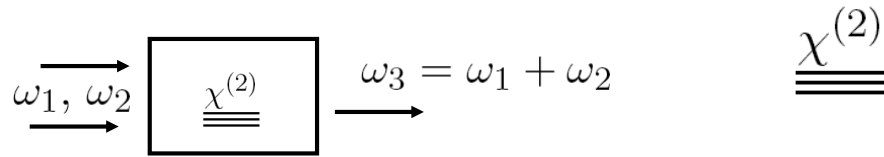
## ✓ N<sup>th</sup> order NL susceptibility

... just have fun !!

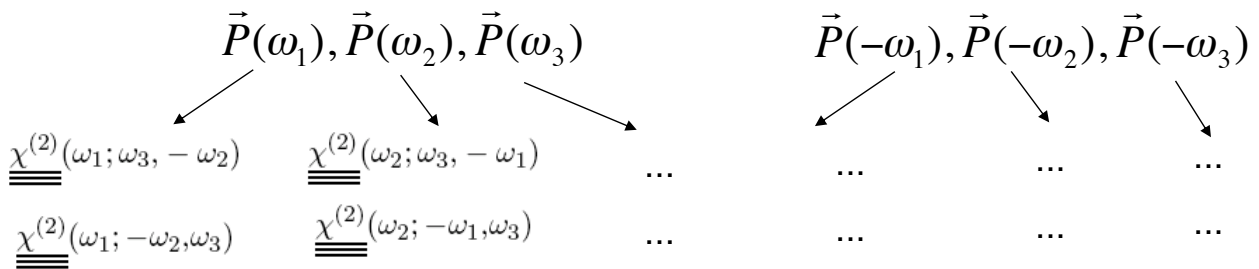


# Properties of NL susceptibilities

## Nonlinear susceptibilities = Tensor



Complete description of the waves interaction (3 waves in this case) requires the determination of :



12 tensors = 12 x 27 = 324 components !!!

# Properties of NL susceptibilities

## • Reality of the fields

$$\rightarrow \chi_{ijk}^{(2)*}(\omega_3; \omega_1, \omega_2) = \chi_{ijk}^{(2)}(-\omega_3; -\omega_1, -\omega_2)$$

## • Intrinsic Permutation Symmetry

The quantities :  $\chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$   
and  $\chi_{ikj}^{(2)}(\omega_3; \omega_2, \omega_1) E_k(\omega_2) E_j(\omega_1)$  are numerically equal

Consequence

$$\rightarrow \chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_1, \omega_2) = \chi_{ikj}^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_2, \omega_1)$$

## • Lossless media

Expression of  $\chi^{NL}$  is a purely real quantity

Verification : in the case of the classical oscillator model discussed in ch1,  
since  $\omega \ll \omega_0$

# Properties of NL susceptibilities

## • Degeneracy Factor

Determination of  $P(\omega)$  : summation over field frequencies in interaction and for which  $\omega = \omega_1 + \omega_2 + \omega_3 + \dots$

Due to intrinsic permutation  $\rightarrow$  simplification occurs

Example : Sum-Frequency generation

$$\begin{array}{c} \xrightarrow{\omega_1, \omega_2} \\ \xrightarrow{\omega_1, \omega_2} \end{array} \boxed{\chi^{(2)}} \xrightarrow{\omega_3 = \omega_1 + \omega_2}$$

$$P_i(\omega_3) = \epsilon_0 \sum_{jk} \left[ \chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2) + \chi_{ijk}^{(2)}(\omega_3; \omega_2, \omega_1) E_j(\omega_2) E_k(\omega_1) \right]$$

Intrinsic permutation  $\rightarrow P_i(\omega_3) = 2\epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$

# Properties of NL susceptibilities

## • Degeneracy Factor

### - 2nd order NL Polarization expression

$$P_i(\omega_3) = D^{(2)} \epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

Degeneracy factor

- $D^{(2)} = 1$  in the case of one distinct field ( $\omega_1 = \omega_2$ ),
- $D^{(2)} = 2$  in the case of 2 distinct fields ( $\omega_1 \neq \omega_2$ ).

### - 3rd order NL Polarization expression

$$P_i(\omega_4 = \omega_1 + \omega_2 + \omega_3) = D^{(3)} \epsilon_0 \sum_{jkl} \chi_{ijkl}^{(3)}(\omega_4; \omega_1, \omega_2, \omega_3) E_j(\omega_1) E_k(\omega_2) E_l(\omega_3)$$

Degeneracy factor

- $D^{(3)} = 1$  in the case of one distinct field ( $\omega_1 = \omega_2 = \omega_3$ ),
- $D^{(3)} = 3$  in the case of 2 distinct fields ( $\omega_1 = \omega_2 \neq \omega_3$ ),
- $D^{(3)} = 3! = 6$  in the case of 3 distinct fields ( $\omega_1 \neq \omega_2 \neq \omega_3$ ).

# Properties of NL susceptibilities

## • Kleinman Symmetry - Lossless Media

Lossless media : no exchange of energy with the nonlinear medium

$$\chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_1, \omega_2) = \chi_{jki}^{(2)}(-\omega_1 = \omega_2 - \omega_3; \omega_2, -\omega_3) = \chi_{kji}^{(2)}(-\omega_2 = \omega_1 - \omega_3; \omega_1, -\omega_3)$$

(See Tutorial 1)

Simultaneous permutations of the indices with the frequency arguments

Far from any material resonance,  $\chi^{NL}$  does not depend on frequencies **Consequence :**

Permutation of the indices without permuting frequencies

$$\left\{ \begin{array}{l} \chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_1, \omega_2) = \chi_{jki}^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_1, \omega_2) = \chi_{kji}^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_1, \omega_2) \\ + \text{intrinsic permutation} \end{array} \right.$$

**Full permutation of the indices, without permuting the frequencies**

## Spatial Symmetries

**Spatial symmetry properties of the nonlinear material : reduction of the number of independent components**

• Example : media inside which the directions x and y are similar (from th point of view of its NL response)

$$\chi_{zxx}^{(2)} = \chi_{zyy}^{(2)} \quad (\text{for instance}) \quad \longrightarrow \quad \begin{array}{l} \text{Strong reduction of the} \\ \text{numbers of} \\ \text{independent} \\ \text{components} \end{array}$$

• Important example : Centre-symmetric material

**2nd order nonlinear susceptibility vanishes**  
(i.e silica...)

(generalization :  $2N^{\text{th}}$  order )

$$\underline{\underline{\chi^{(2)}}} = 0$$

# Contracted notation $d_{eff}$

When the **Kleinman symmetry** condition is valid  
Or  
For **2nd harmonic generation** process

$$d_{ijk} = \frac{1}{2} \chi_{ijk}^{(2)} \quad \text{Permutation symmetry of the last two indices}$$

Contraction notation of the last two indices

$$\begin{array}{c|cccccc} jk & 11 & 22 & 33 & 23 \text{ ou } 32 & 13 \text{ ou } 31 & 12 \text{ ou } 21 \\ l & 1 & 2 & 3 & 4 & 5 & 6 \end{array}$$

$$d_{il} = \begin{bmatrix} d_{11} & d_{12} \cdots & d_{16} \\ d_{21} & \cdots & d_{26} \\ d_{31} & \cdots & d_{36} \end{bmatrix} \quad \text{Matrix with 6x3 components}$$

## Spatial Symmetries

Yariv's Quantum electronics 3rd Edition pages 381-382

TABLE 16.1. The Form of the Nonlinear Optical Tensor  $d_{ijk}$  as Defined by (16.1-4)

| Key to Notation                                                                                                                                                          |                                                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| •                                                                                                                                                                        | Zero modulus                                                                                                                                      |
| •                                                                                                                                                                        | Nonzero modulus                                                                                                                                   |
| —                                                                                                                                                                        | Equal moduli                                                                                                                                      |
| —○                                                                                                                                                                       | Moduli numerically equal, but opposite in sign                                                                                                    |
| Centrosymmetrical Classes (all moduli vanish)                                                                                                                            |                                                                                                                                                   |
| Noncentrosymmetrical Classes                                                                                                                                             |                                                                                                                                                   |
| Triclinic Class 1                                                                                                                                                        |                                                                                                                                                   |
| $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(18)}$                                         |                                                                                                                                                   |
| Monoclinic                                                                                                                                                               |                                                                                                                                                   |
| $2 \parallel x_2$ (standard orientation) $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(8)}$ | $2 \parallel x_3$ $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(8)}$ |
| $m \perp x_2$ (standard orientation) $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(10)}$    | $m \perp x_3$ $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(10)}$    |
| Orthorhombic                                                                                                                                                             |                                                                                                                                                   |
| Class 222 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(3)}$                                | Class mm2 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(5)}$         |
| Tetragonal                                                                                                                                                               |                                                                                                                                                   |
| Class 4 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(4)}$                                  | Class $\bar{4}$ $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(4)}$   |
| Class 422 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(11)}$                               | Class 4mm $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(3)}$         |

TABLE 16.1. (Continued)

| Tetragonal (continued)                                                                                                                                               |                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Class $\bar{4}2m$ $2 \parallel x_1$ $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(2)}$  |                                                                                                                                                                |
| Cubic                                                                                                                                                                |                                                                                                                                                                |
| Class 432 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(0)}$<br>All moduli vanish       | Classes $\bar{4}3m$ and 23 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(1)}$     |
| Trigonal                                                                                                                                                             |                                                                                                                                                                |
| Class 3 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(6)}$                              | Class 32 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(2)}$                       |
| $m \perp x_1$ (standard orientation) $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(4)}$ | $m \perp x_2$ $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(4)}$                  |
| Hexagonal                                                                                                                                                            |                                                                                                                                                                |
| Class 6 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(4)}$<br>same as class 4           | Class 6mm $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(3)}$<br>same as class 4mm |
| Class 622 $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(1)}$<br>same as class 422       | Class $\bar{6}$ $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(2)}$                |
| $m \perp x_1$ (standard orientation) $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(1)}$ | $m \perp x_2$ $\begin{pmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}_{(1)}$                  |

Source: Reference 2.

# Spatial Symmetries

**EXAMPLE : KDP crystal – See the learning activity on eCampus**

Point group  $4\bar{2}m$  - 3 nonzero coefficient, 2 numerically equal coefficients :

$$\begin{bmatrix} 0 & 0 & 0 & d_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{14} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{36} \end{bmatrix}$$

**2 $\omega$  generation :** Determination of  $\vec{P}(2\omega)$

$$\begin{aligned} P_x(2\omega) &= 4\epsilon_0 d_{14} E_y(\omega) E_z(\omega) \\ P_y(2\omega) &= 4\epsilon_0 d_{14} E_x(\omega) E_z(\omega) \\ P_z(2\omega) &= 4\epsilon_0 d_{36} E_x(\omega) E_y(\omega) \end{aligned}$$

## Student activities

**To complete, read the lecture notes :  
sections 2.2 and 2.3**

**+ Complete two short tests on eCampus  
by next Monday (27 Nov.)**