

- 5 cours Michel Andrieux
  - 5 cours Vincent Ji
    - 19/01 à 10h30,
    - 24/01 à 8h15,
    - 25/01 à 8h15
    - 13/02 à 13h30
    - 15/02 à 13h30
- + projets et présentation oral

# Residual stresses analysis:

- 1) RS generation dues to process
- 2) RS influence on properties
- 3) RS determination and relaxation
- 4) Surface mechanical traitments

# Residual stresses analysis: Generation, influence (1)

# outline

- Bibliographic References
- Residual Stress: definition, 3 orders of RS
- RS origins
- RS and materials process (plastic deformation, rolling, heat treatment, welding, surface treatment and surface coating, machining)

# Residual Stress

## - References:

- 1) Residual Stress Measurement by Diffraction and Interpretation, Noyan, I. C., Cohen, J.B. Springer Verlag (1987)
- 2) Handbook of measurement of residual stresses, ed J. Lu, SEM Inc. The Fairmont Press (1996)
- 3) Handbook of Residual Stress and Deformation of Steel, eds G. Totten, M. Howes and T. Inoue, ASM Inter., Materials Park, (2002)

# Residual Stress

## Definition:

**Residual stresses** or locked-in stresses can be defined as those stresses existing within a body in the absence of external loading or thermal gradients.

In other words residual stresses in a structural material or component are those stresses which exist in the object without the application of any service or other external loads.

# Residual Stress

## Role of residual stresses

Residual stresses have the same role in a structure's strength as common mechanical stresses.

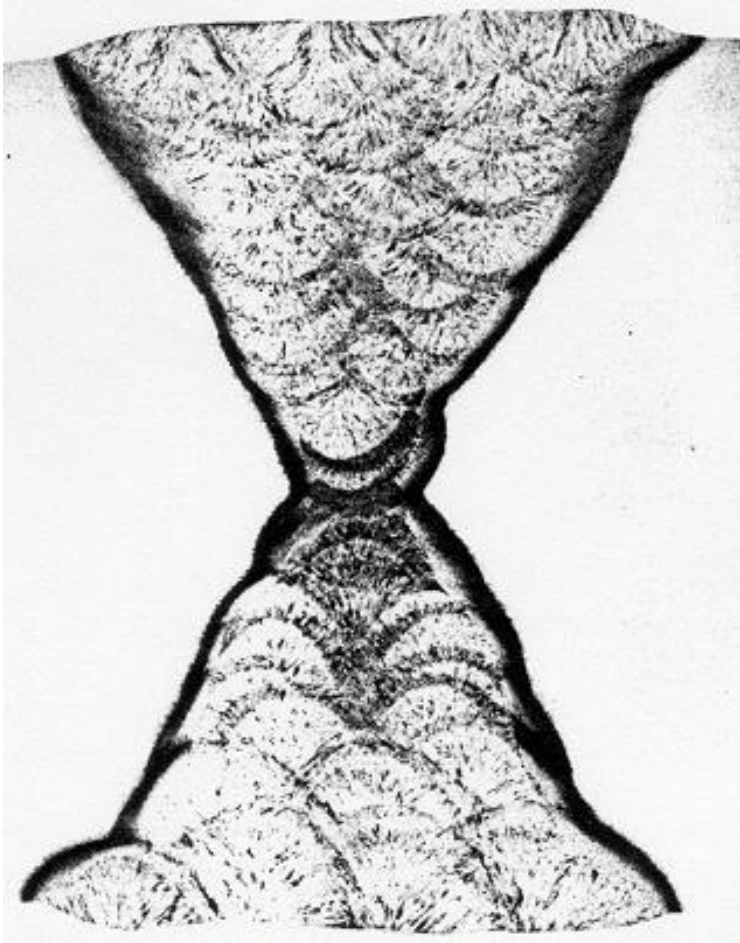
Residual stresses can play a significant role in explaining or preventing failure of a component at times.

Tensile residual stresses may reduce the performance or cause failure of manufactured products.

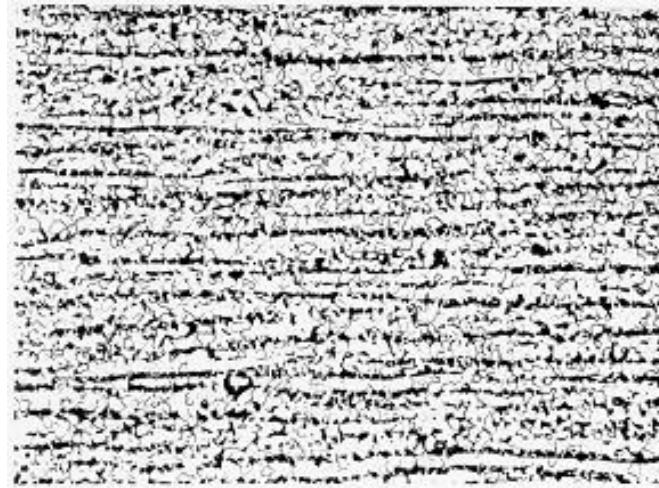
Compressive residual stresses are beneficial. It must be kept in mind that the internal stresses are balanced in a component.

Tensile residual stresses are counter balanced by compressive residual stresses. Residual stresses are three-dimensional.

# Multiscale material study (1)

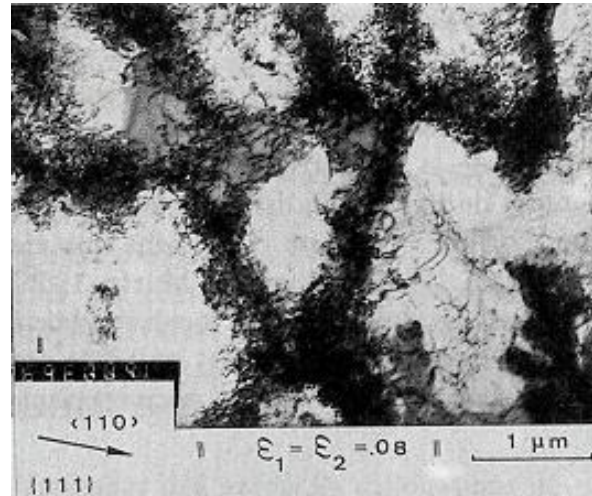


**X sharp multi-pass welding joint**



**Carbon steel,  
structure in strip**

2.5 mm



**Dislocations cells**

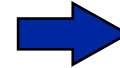
1  $\mu$ m



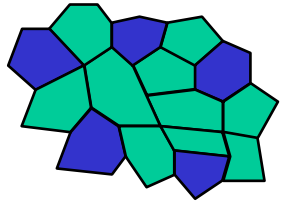
# Multiscale material study (2)



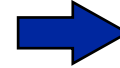
Macroscopic scale ( $\approx$  cm)  
Mechanical scale



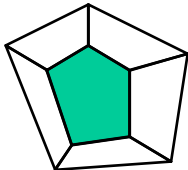
chemical composition  
massive/surface  
fabrication process



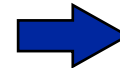
Phases ( $\approx$  few tens  $\mu$ m)  
Metallurgy scale



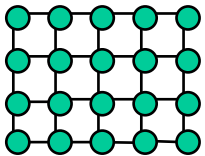
chemical composition  
proportion  
crystalline structure  
interphase joint



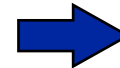
Grain (few  $\mu$ m)  
Crystallographic scale



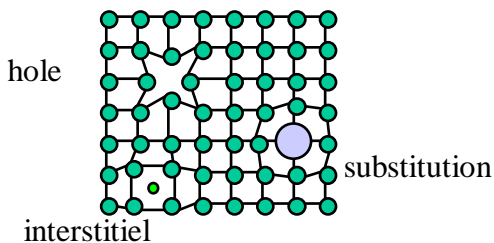
size  
morphology  
orientation  
grain boundary



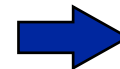
Coherent domaine (few nm)  
Diffraction scale



size  
distorsion  
dislocations

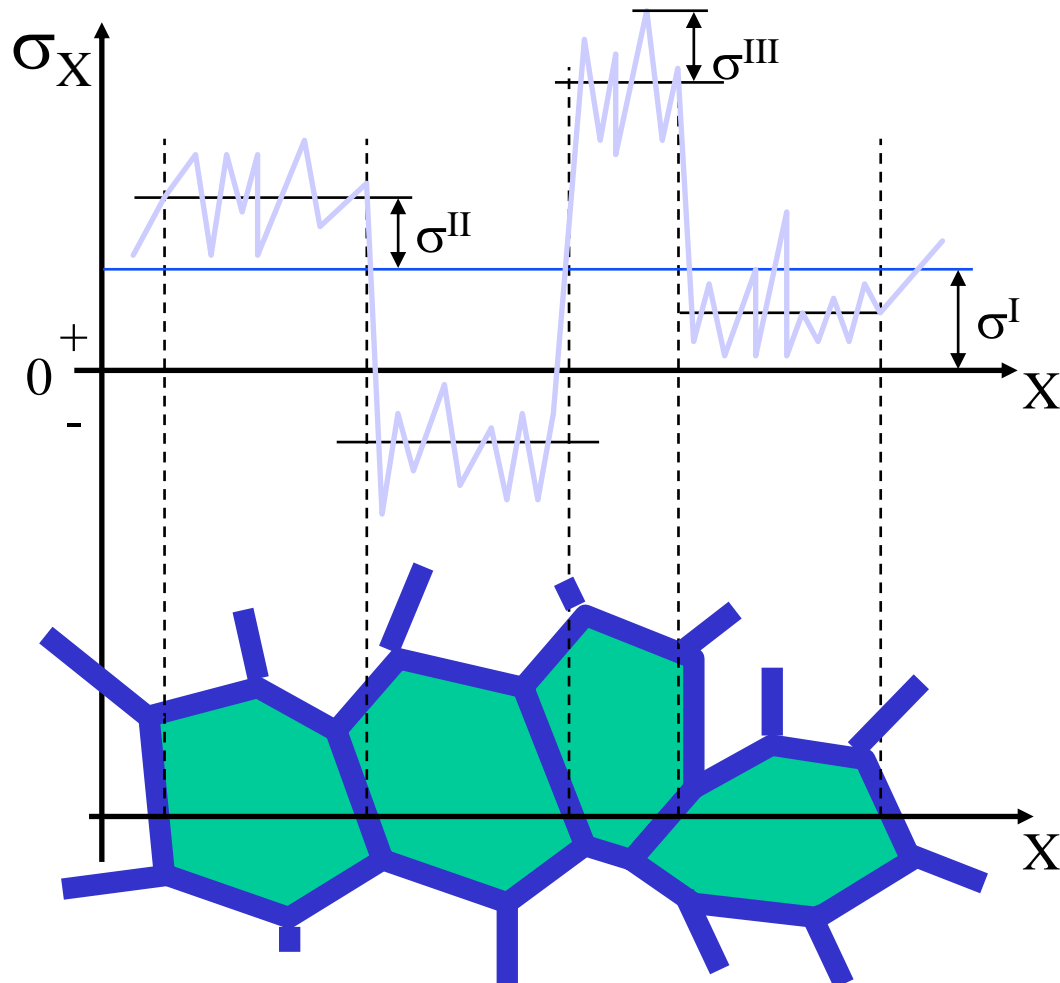


Crystalline lattice (few  $\text{Å}$ )  
TEM scale



crystalline planes  
point defects

# Multiscale material study (3)



**Ordre I ( $\sigma^I$ )**  
Average stress in  
observed zone

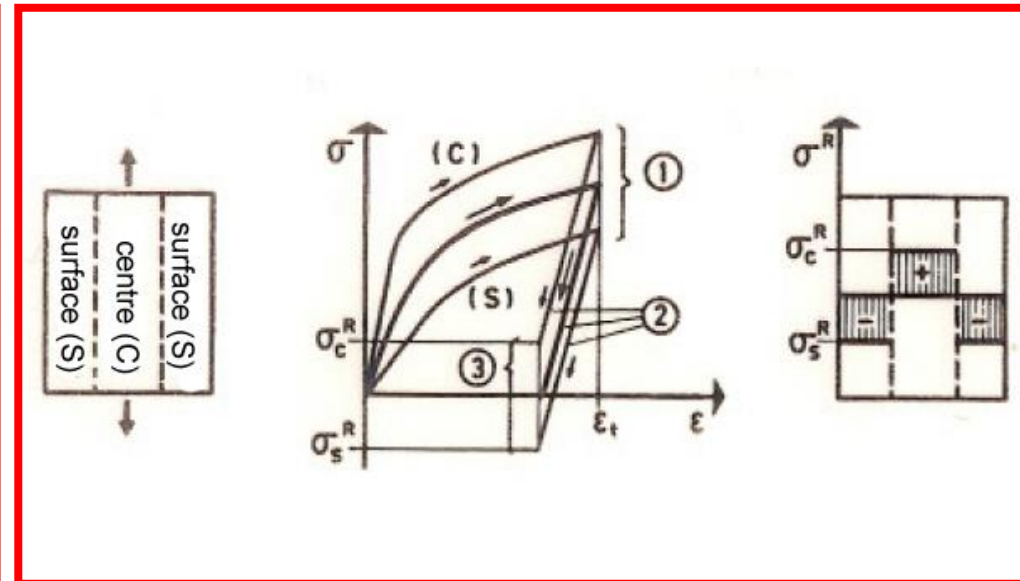
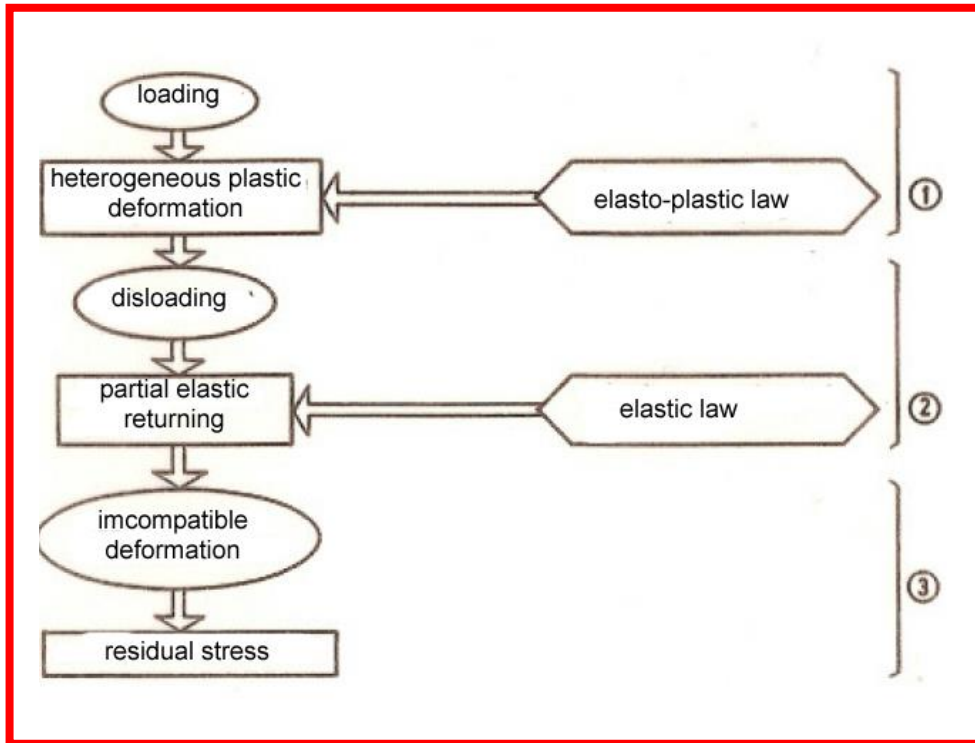
**Ordre II ( $\sigma^{II}$ )**  
Average stress in a  
grain or in a phase

**Ordre III ( $\sigma^{III}$ )**  
stress in a grain

## $\sigma_R$ origin

- Mechanical
- Thermal
- Chemical (microstructural)

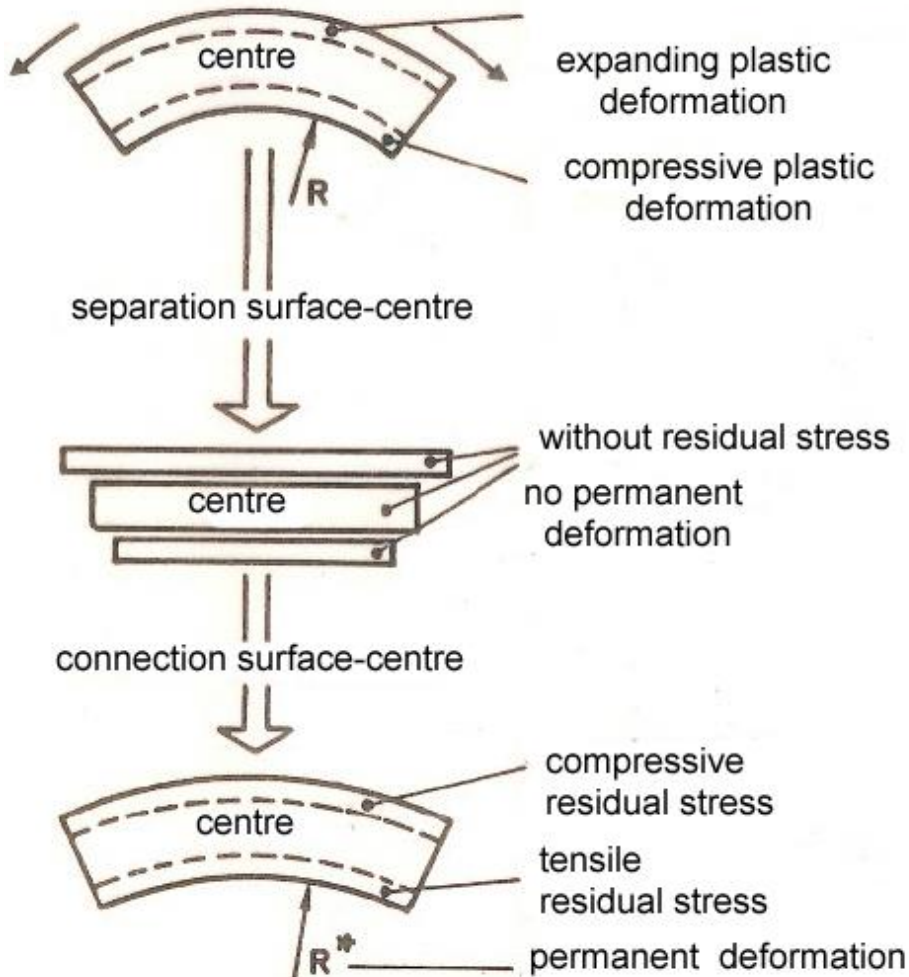
# Material forming – plastic deformation (1)



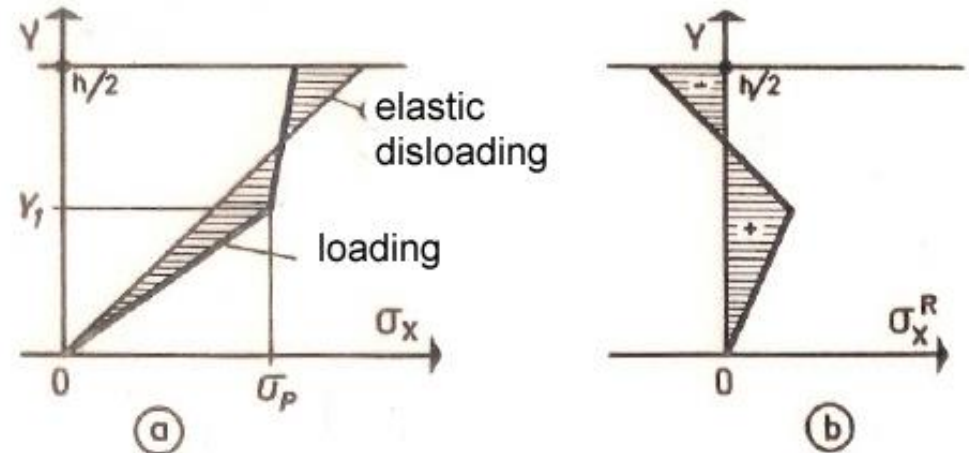
Case of tensile test for a surface treated specimen

Residual stress introduction by plastic deformation

# Material forming – plastic deformation (2)



Residual stress generation in case of material forming by plastic bending

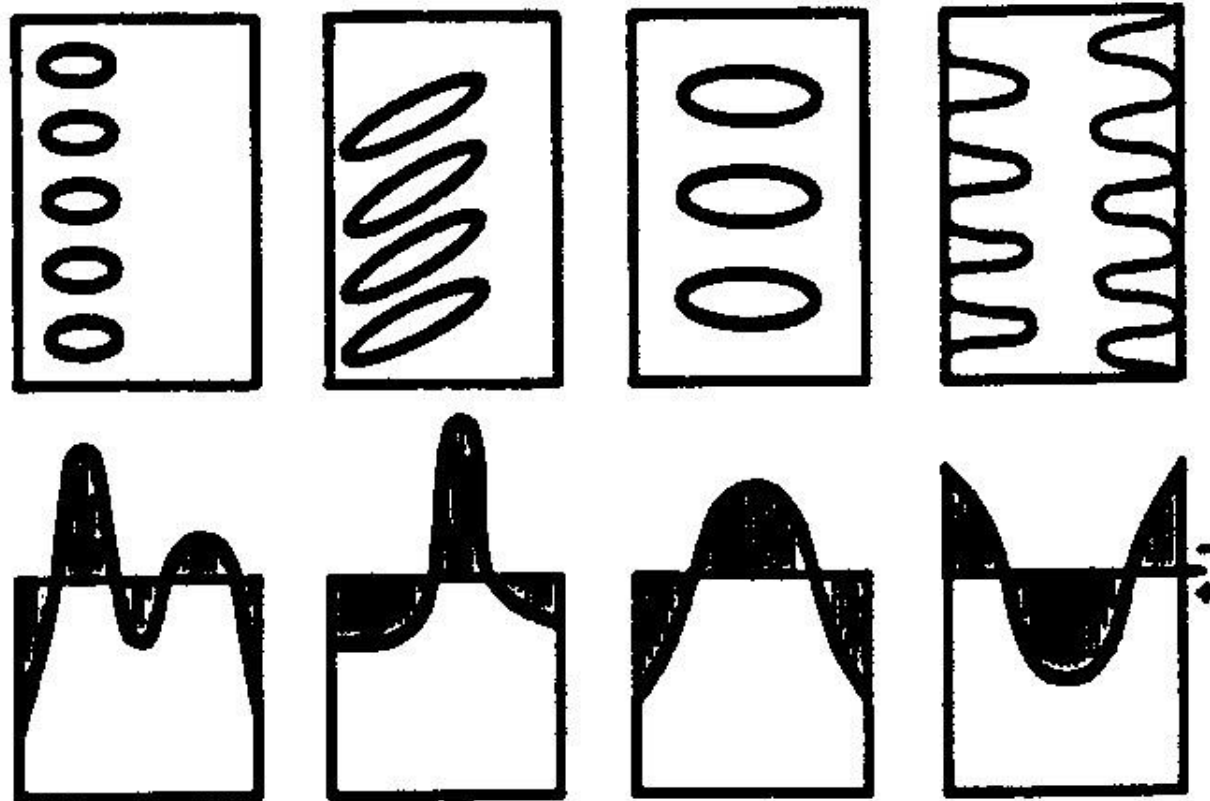


Stress profile in section in case of elasto-plastic bending

(a) Applied stress

(b) Residual stress

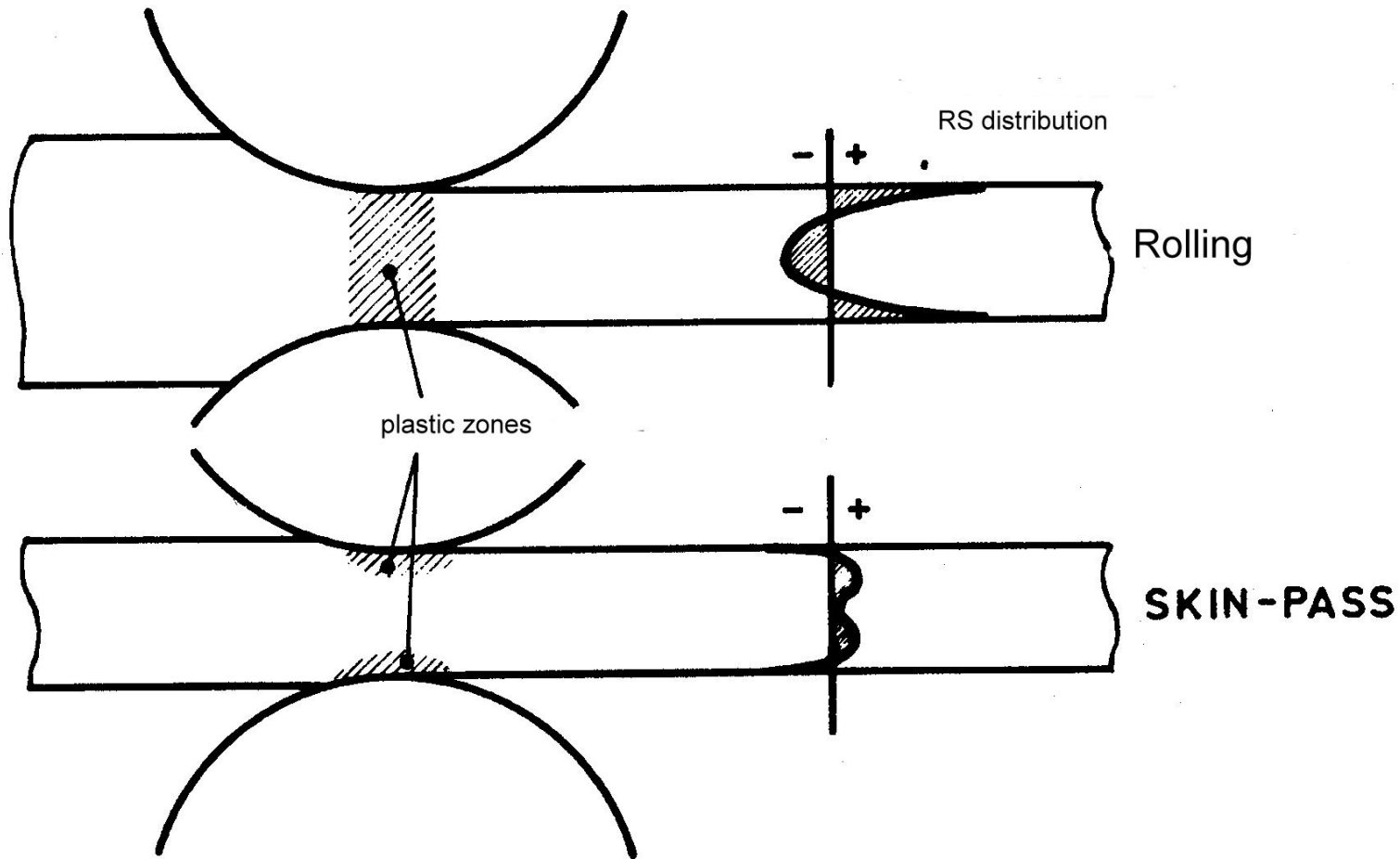
# Material forming – rolling (1)



## Example: rolling

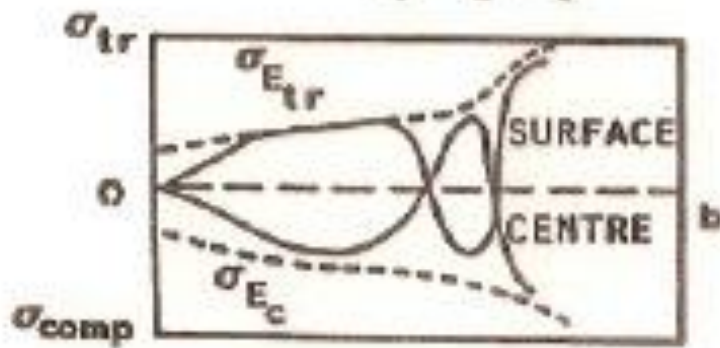
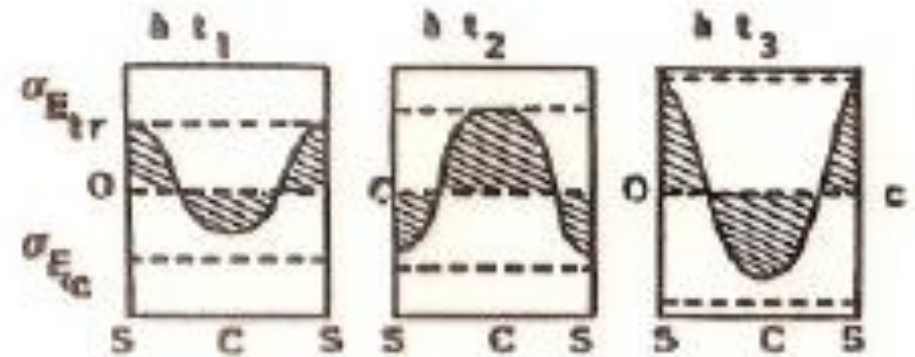
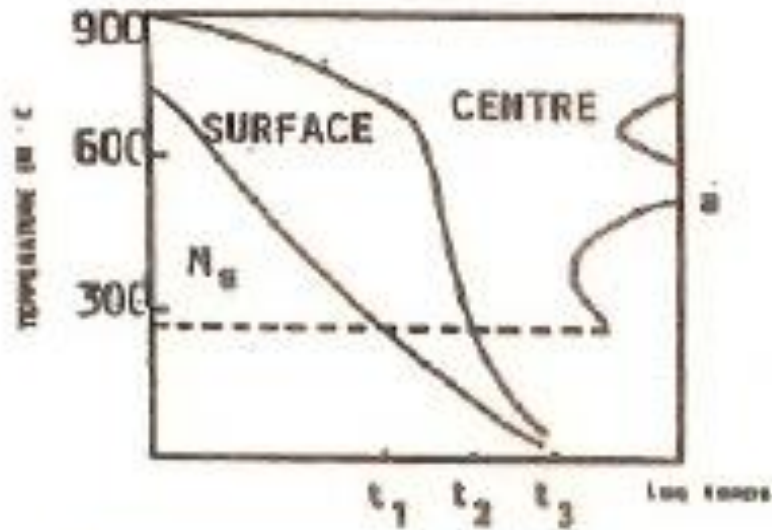
Residual stress distribution and associated geometrical modification (blisters, edge longs, bucklings ...)

# Material forming – rolling (2)



Residual stress gradient in depth of rolled sheet

# Heat treatment (quench 1)



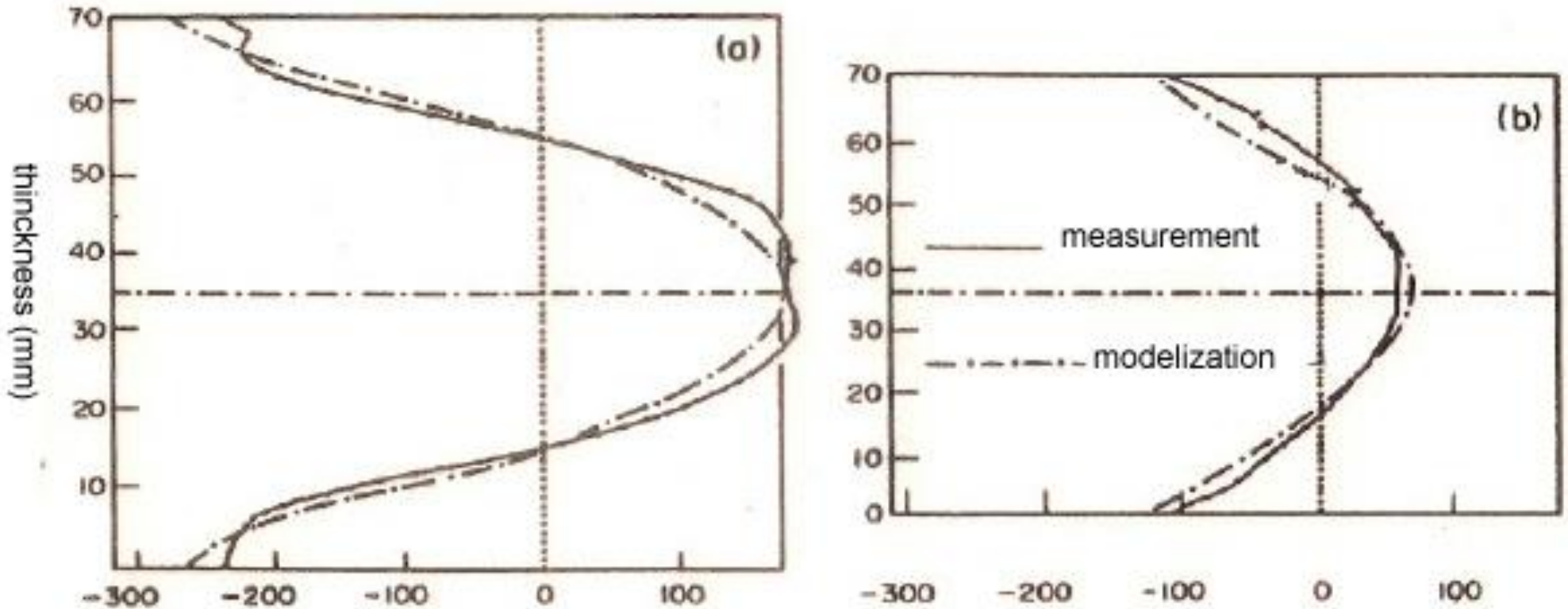
PLASTIC DEFORMATION



stress profile (in long direction  $\sigma_L$  and in transverse direction  $\sigma_T$ )  
after quench in cold water for Aluminium alloy 7075 – thickness of sheet: 70 mm

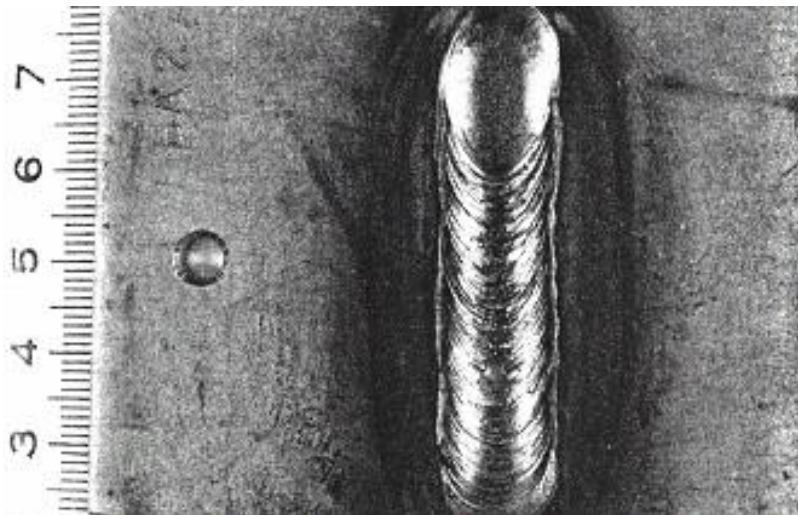
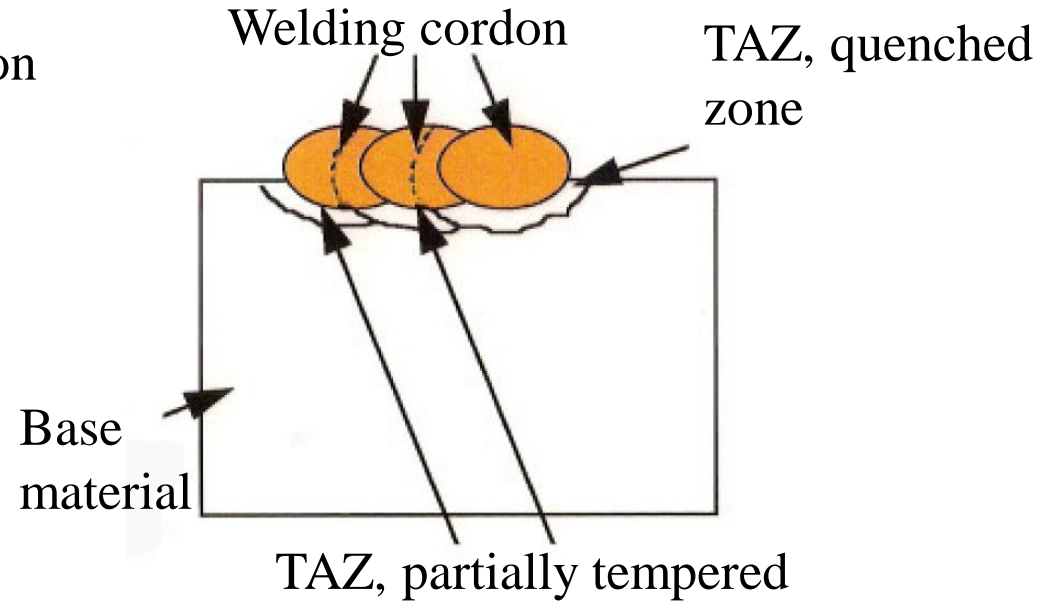
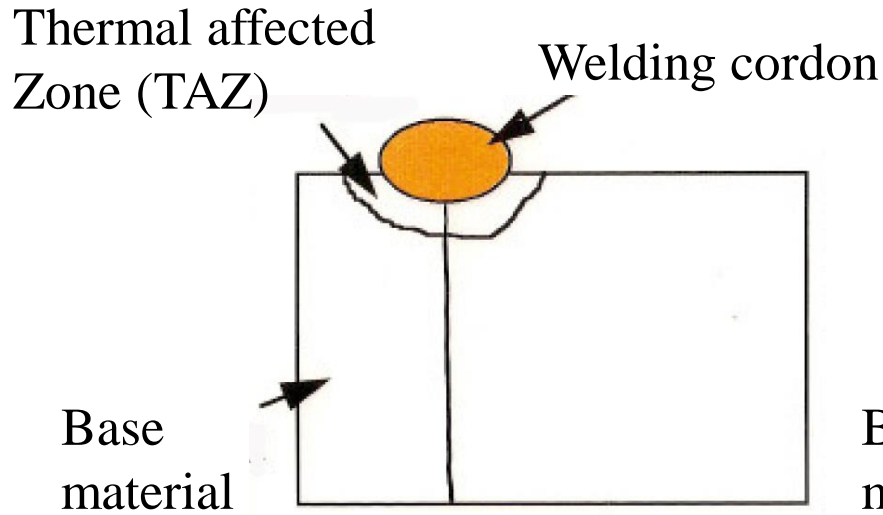


# Heat treatment (quench 2)



**Residual stress distribution for quench specimen with phase change  
(a) high speed (b) low speed**

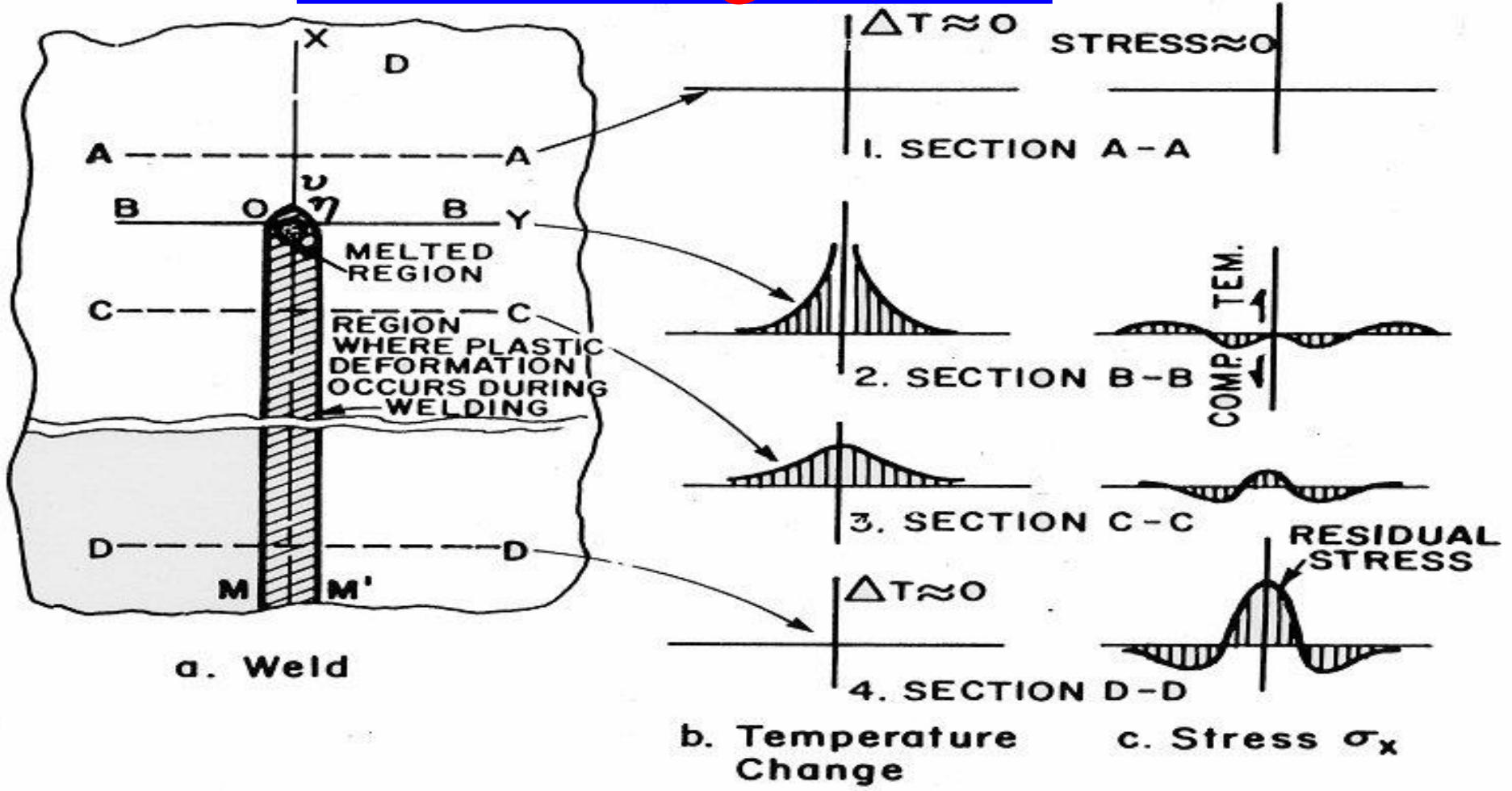
# Welding (1)



**Residual stress:**  
Residual strain  
Structure heterogeneities  
Crack apparition de risk...

Welding cordon (austenitic steel)

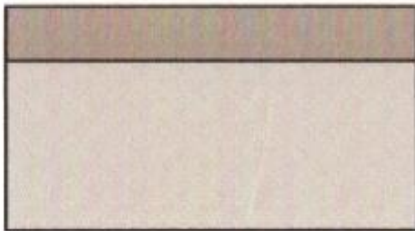
# Welding (2)



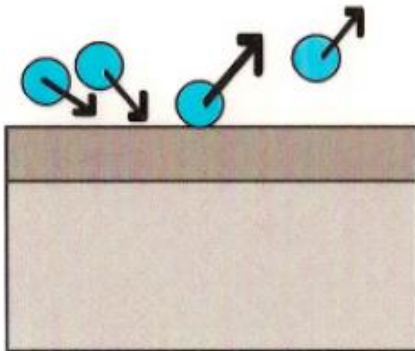
Schematic representation of residual stress generation during welding without phase change

# Surface treatment

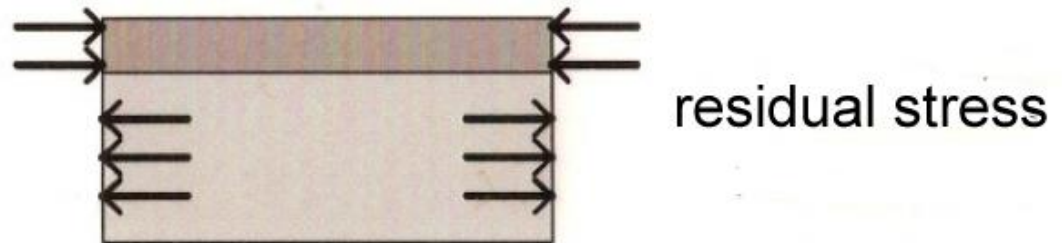
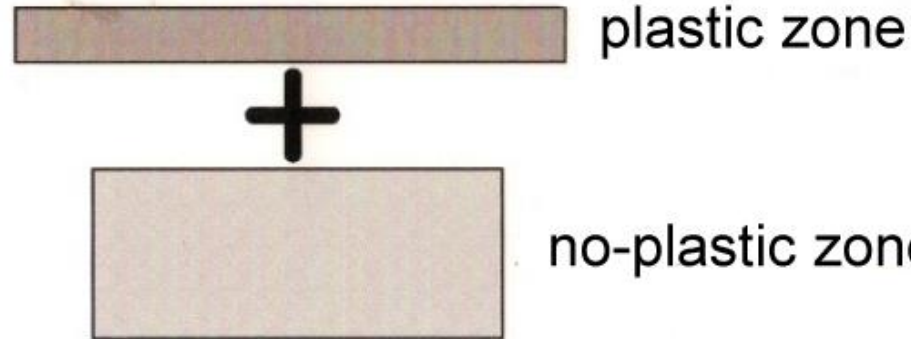
1 initial state



2 shot-peening



3 shot-peening plastification



4. continuity of strain

Case of shot-peening

# Coatings (1)

- Utilisation under extreme loading conditions (corrosive atmospheres, vacuum, high temperature...)
- High hardness (TiN, TiC, TiCN)
- Aims:
  - increasing corrosion resistance,
  - decreasing wear damage
  - modification of tribological properties for tools and mechanical

## Techniques for coatings elaboration :

- CVD
  - high  $T^{\circ}C$  ( $600^{\circ}C$  to  $1050^{\circ}C$ ) and corrosive atmosphere
- PVD
  - Evaporation under vacuum / Cathodique Pulverisation / Ionique deposition
  - relatively low  $T^{\circ}C$  ( $100^{\circ}C$  to  $500^{\circ}C$ )
- Thermal Projection (at high temperature, plasma)
- Electrolytic deposition

## Coatings (2)

### CVD coating

- thermal origin of RS
- (difference of thermal dilatation coefficient between Substrat and coating)
- RS level and nature depend on substrat (hard metal or steel)

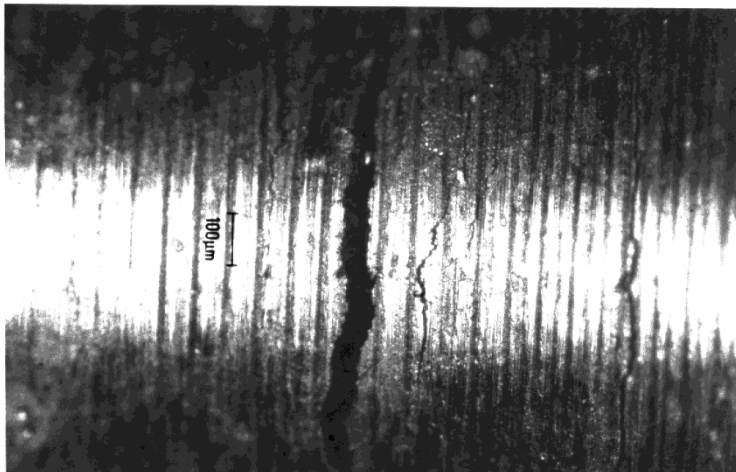
### PVD coating

- origin of RS is thermal and microstructural (defects of growth)
- RS are generally in compression

# Machining (1)

Processing of material remove induce:

- great plastic deformations on surface layer
  - generation of heats
  - metallurgical transformations
- ➔ Source of residual stress apparition
- ➔ Influence on utilisation properties of components (fatigue, stress corrosion cracking, fissuration ...)



crack on specimen surface prepared  
by turning on 316L steel





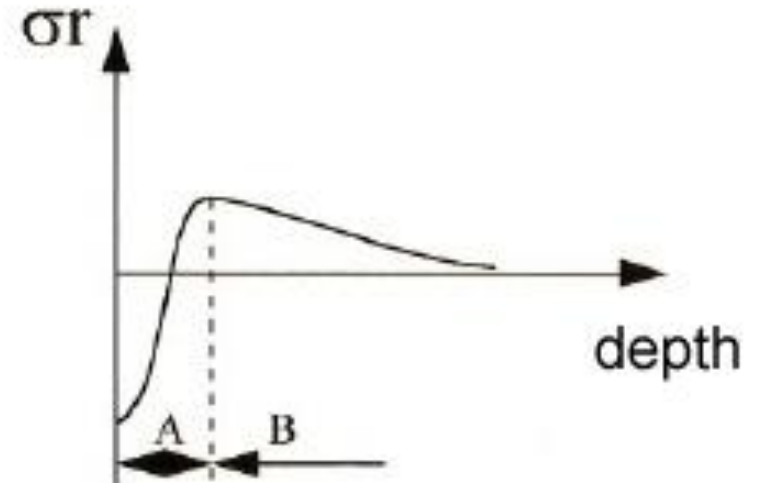
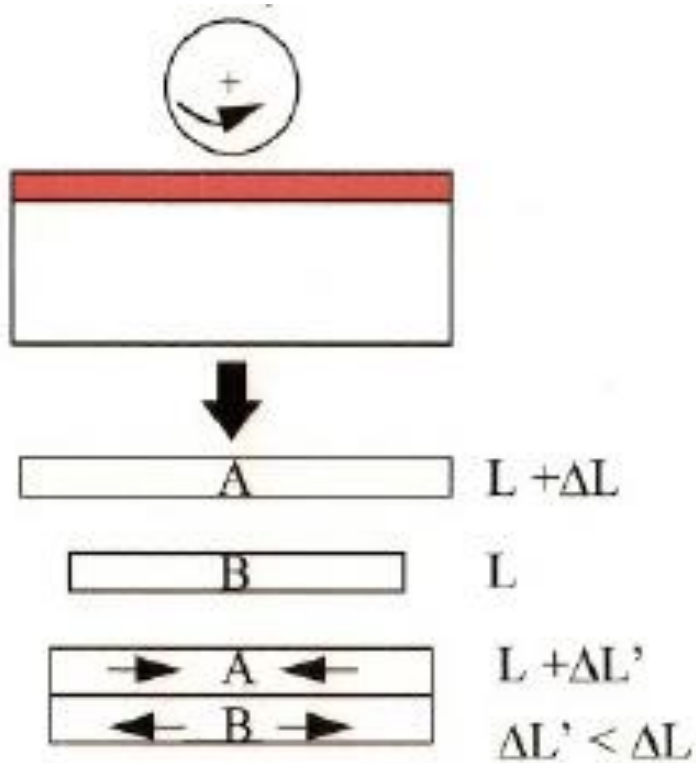
# Machining (grinding 1)

generation mechanism of RS in case of grinding

Simultaneous 3 actions :

- 1) Heterogeneous plastic deformation in surface layer due to cutting force
- 2) Thermal dilatation of surface layer engendered by surface heating due to the friction between tool/ piece and the associated thermal gradient
- 3) Volume change because of metallurgical transformations (phase change)

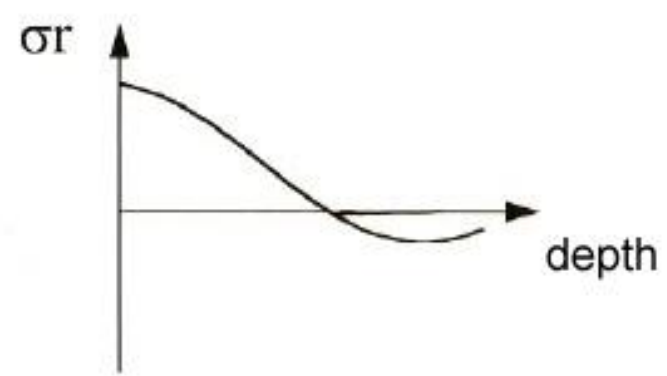
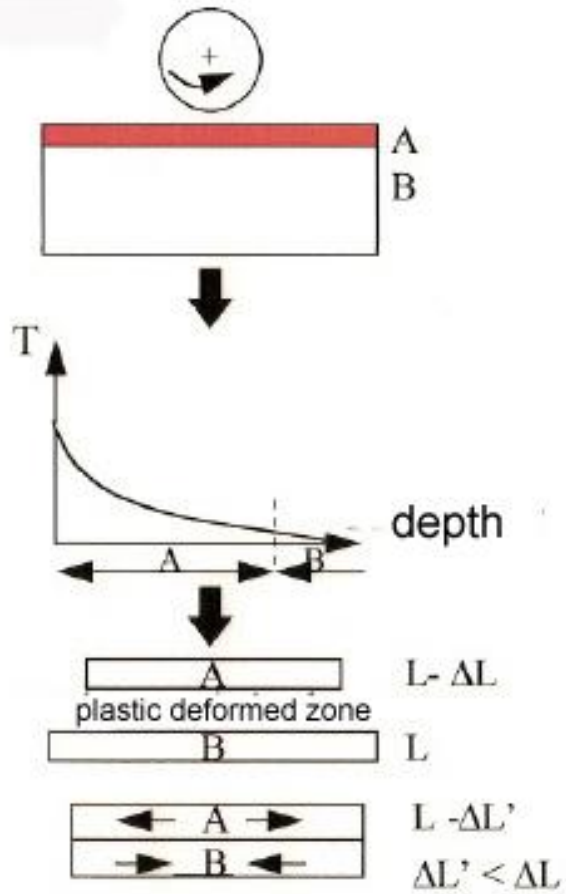
# Machining (grinding 2)



A: plastically deformed layer  
B: base material

1) Residual stress generated by plastic deformation without thermal gradient

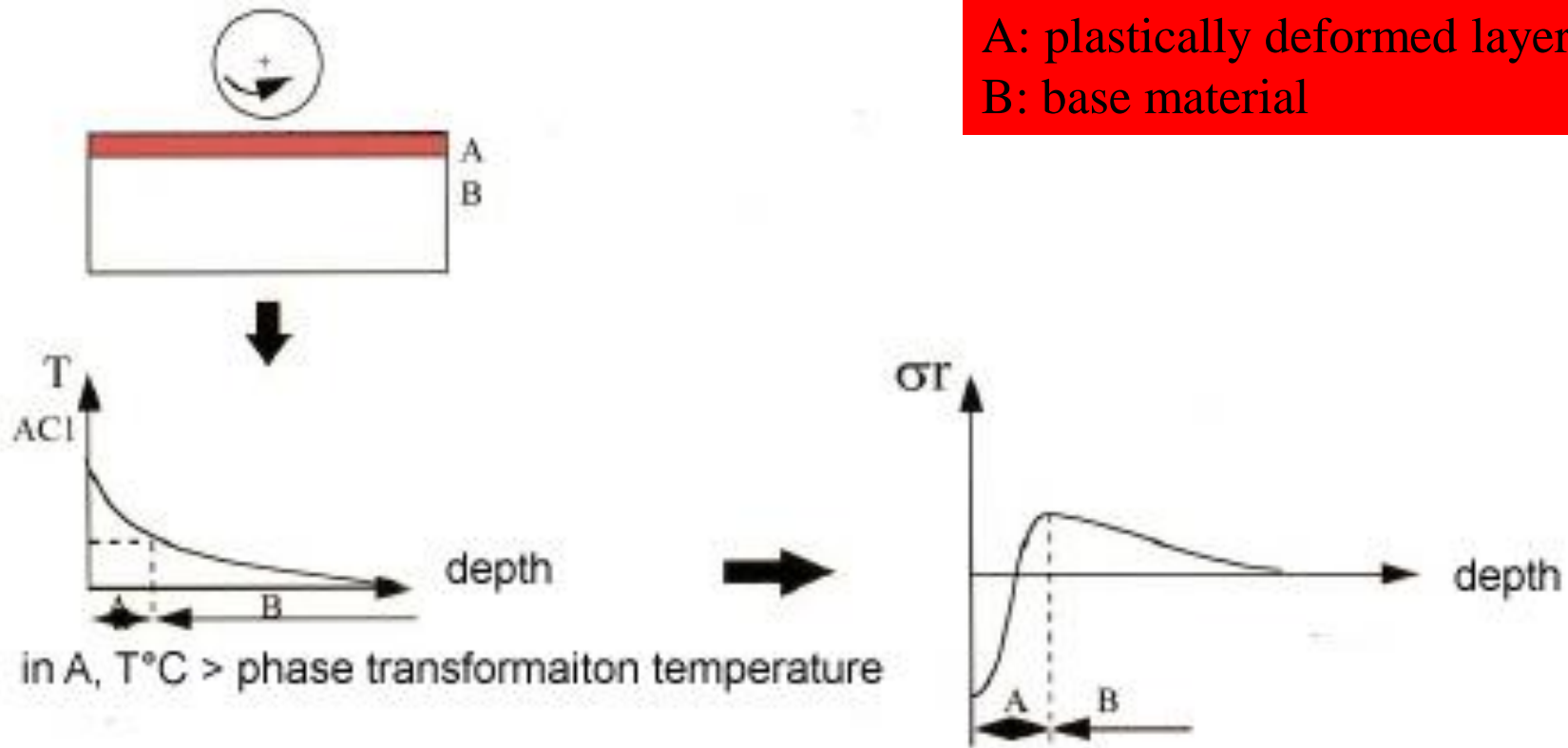
# Machining (grinding 3)



A: plastically deformed layer  
B: base material

2) Residual stress generated by exceeding of elastic limit of material because of presence of a thermal gradient

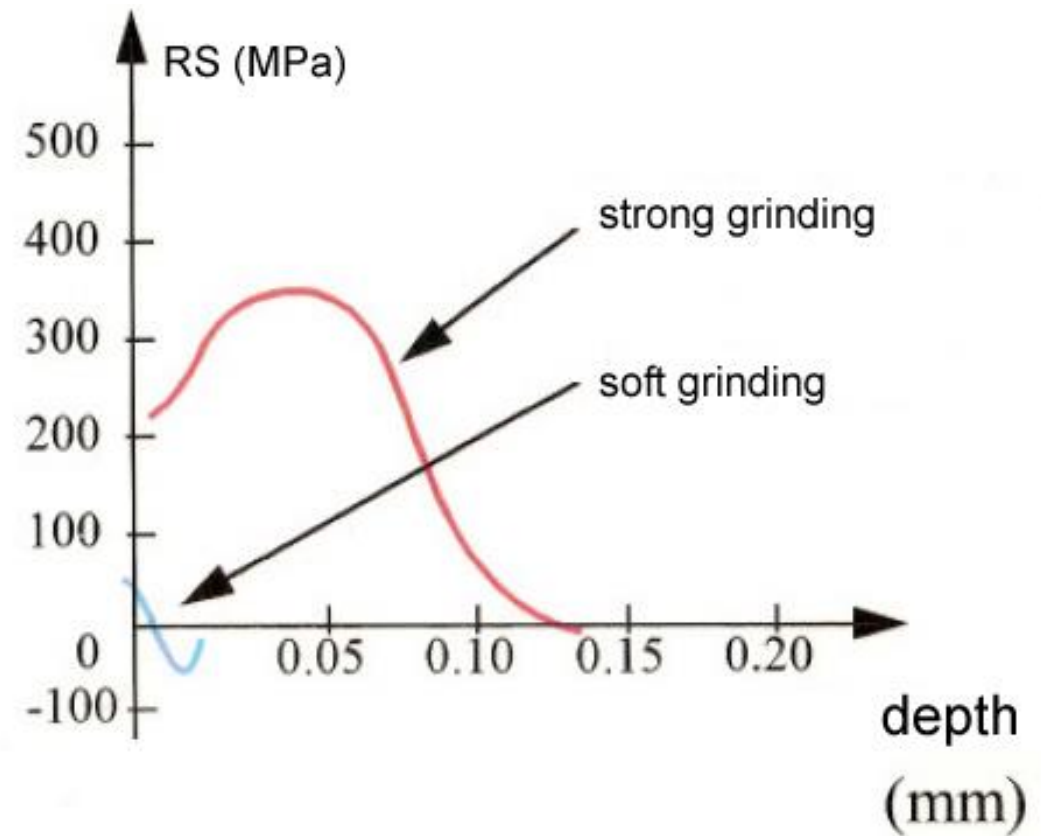
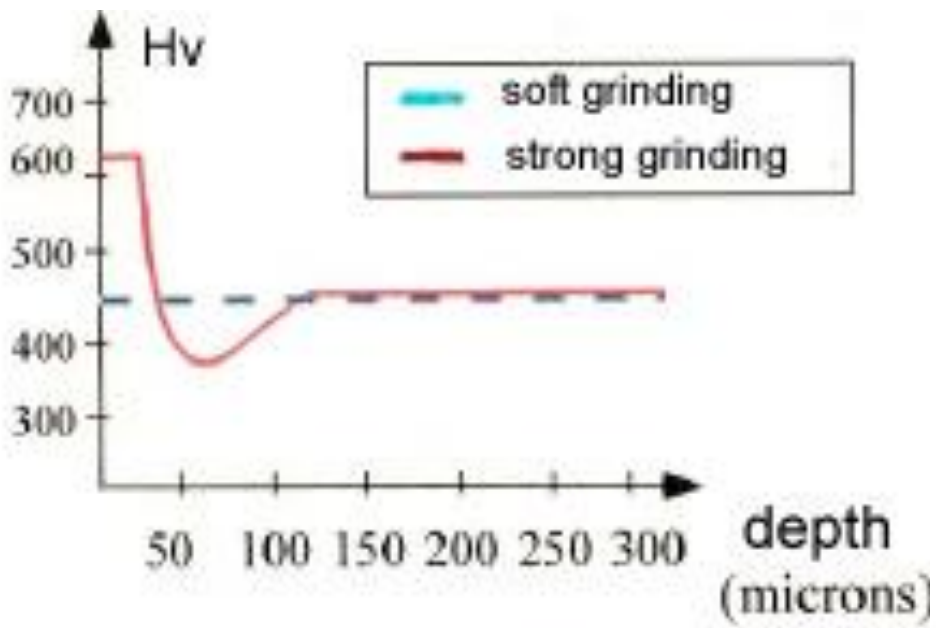
# Machining (grinding 4)



3) Residual stress generated by volume change after a metallurgical change

# Machining (grinding 5)

Vickers hardness (under 100g)



Study example: Influence of grinding conditions on residual stress distribution on a steel 42CD4

process	mechanical	thermal	metallurgical
Cold rolling	+++		
Hot rolling	+++	+++	
Forging	+++	+++	
Wire drawing	+++		
Quenching	+++	+++	+++
Welding	+++	+++	+++
Coating CVD-PVD	++	+++	++
Shot-peening	+++		++
Machining	+++	+++	++
Press-forming	+++	++	
Additive fabrication	++	+++	++

Residual stress generation for different process

# Residual stresses analysis: generation, influence (2)

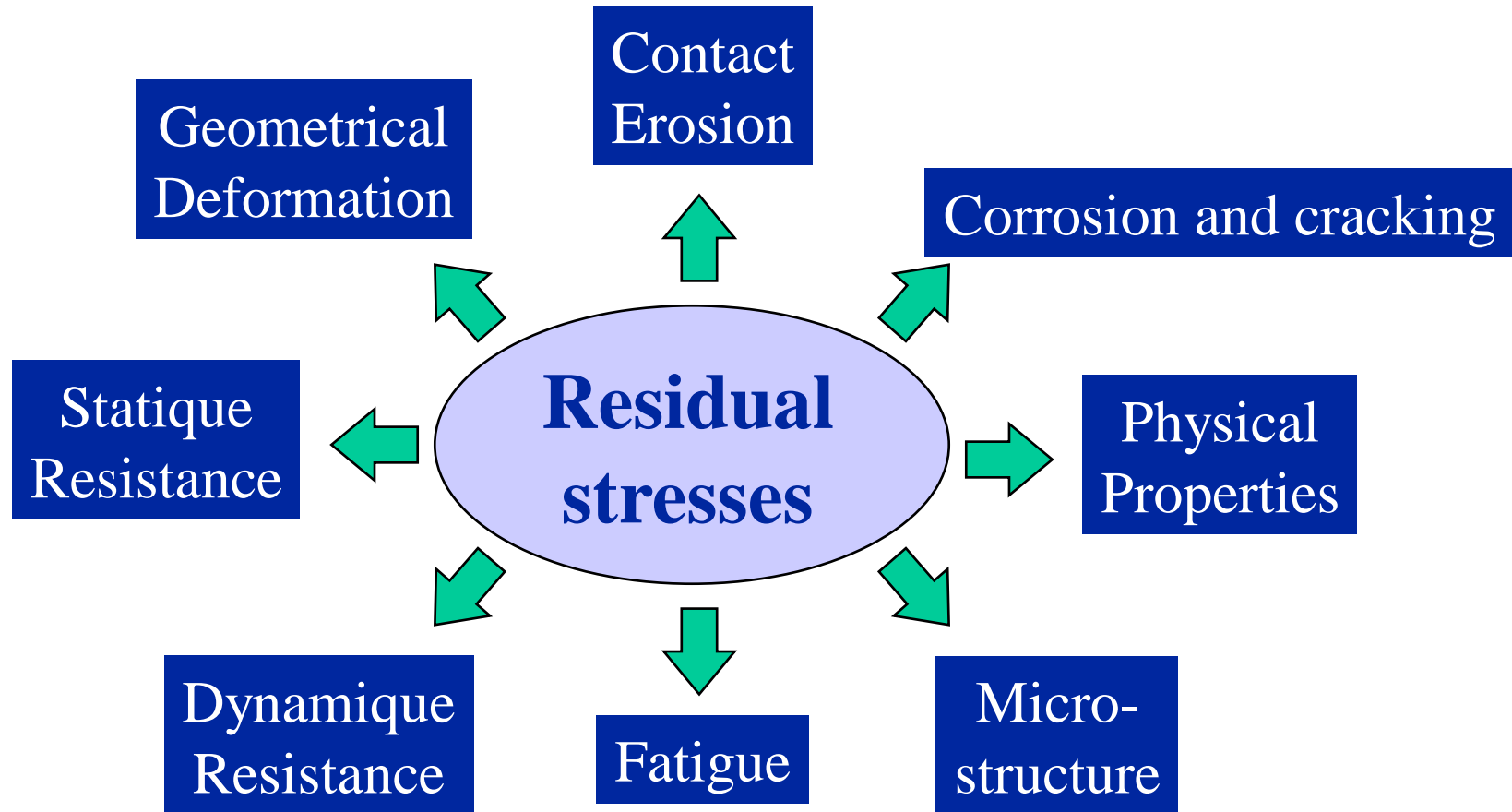
# outline

## Influences of residual stresses on materials properties

- Physical properties
- Geometrical deformation
- Static mechanical properties
- Contact and erosion
- Stress corrosion cracking
- Fatigue properties
- Cracking characteristics
- Microstructure modification



# $\sigma_R$ & utilisation properties

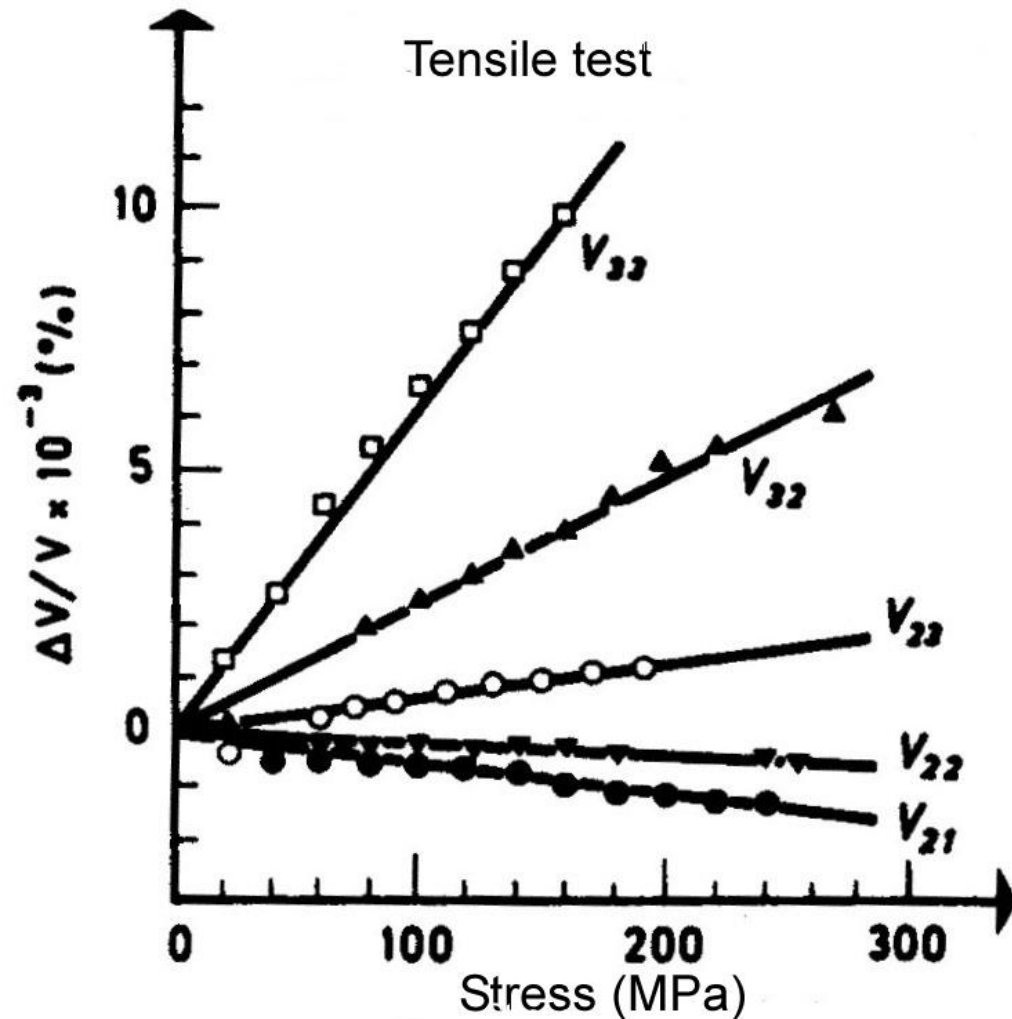


# Physical Properties (1)

## Acoustical effect :

speed of ultrasonic wave propagation  $V$  varies in function of stress level in material

Example : Measurement of propagation speed on a specimen in Al based alloy AU4G under monotonic tensile loading

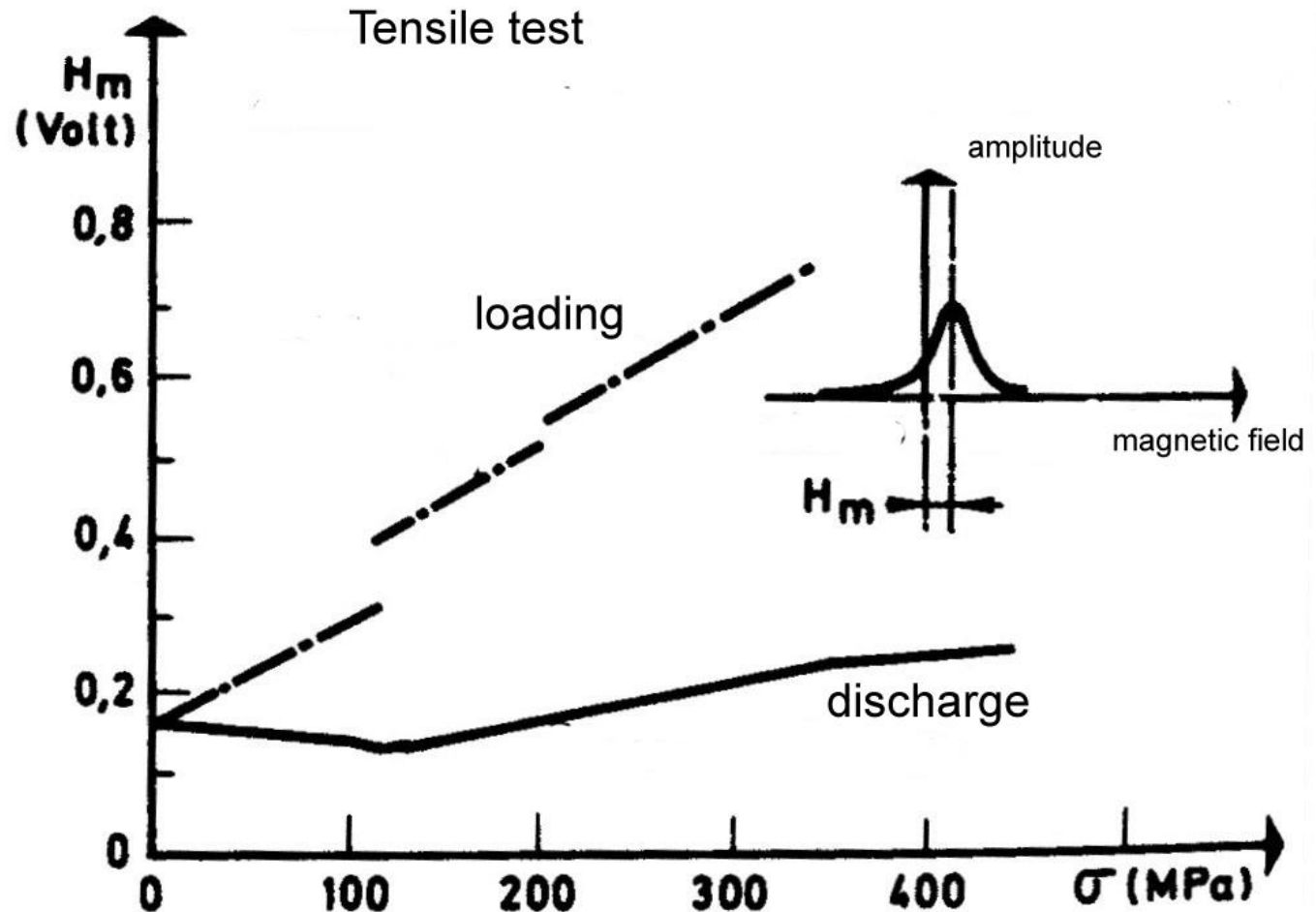


# Physical Properties (2)

## Magnetic effect:

coercitif field of Barkhausen effect is modified in function of stress in material

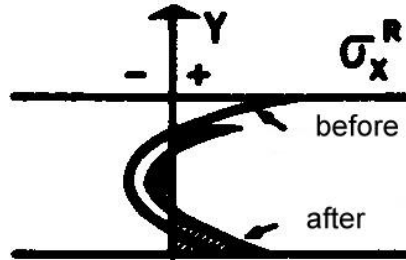
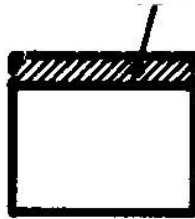
Example : Evaluation of Barkhausen effect  $H_m$  on Nickel specimen under monotonic tensile loading



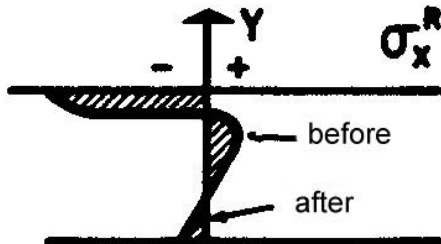
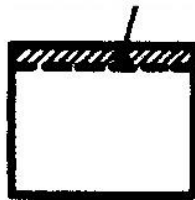
# Geometrical deformation (1)

## Cutting :

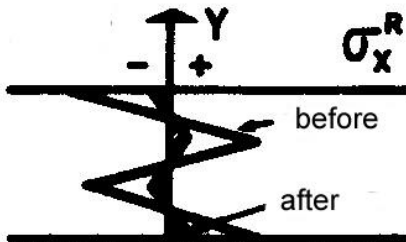
stressed layer removal



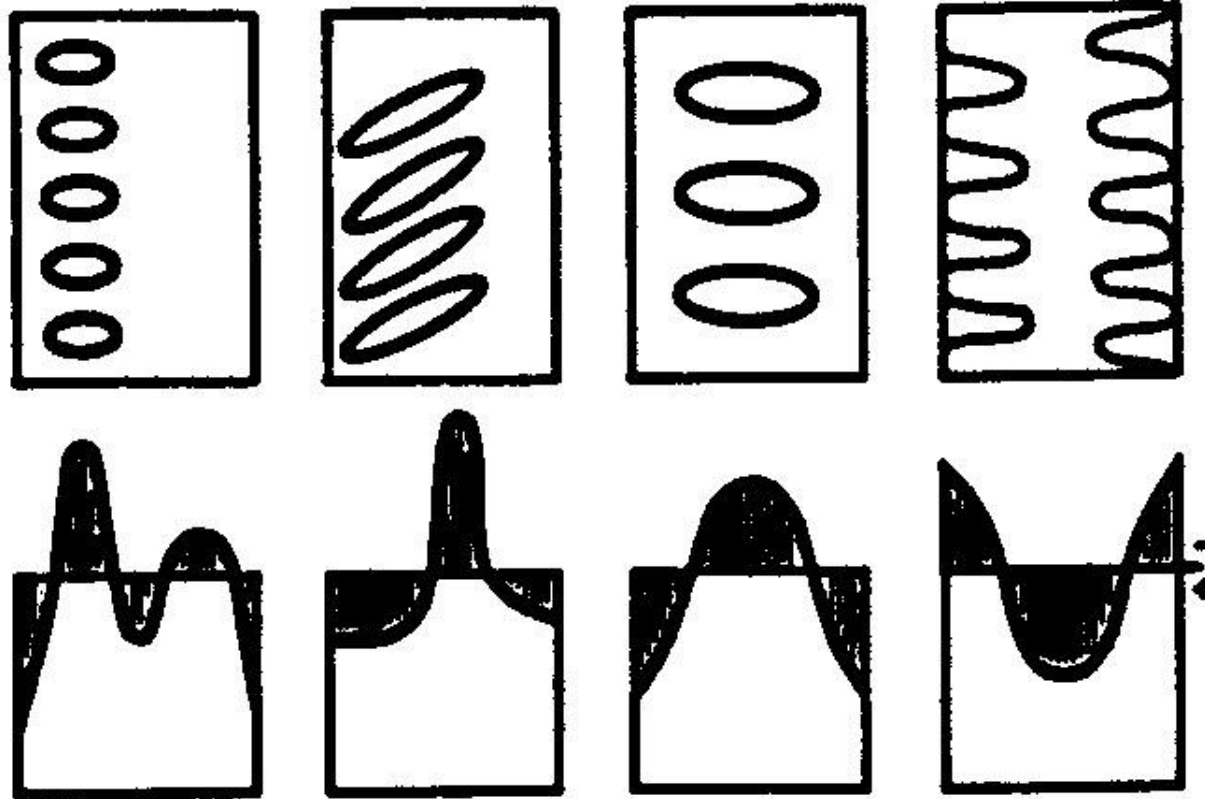
stress introduction



stress relaxation  
(thermal, mechanical)



## Geometrical deformation (2)

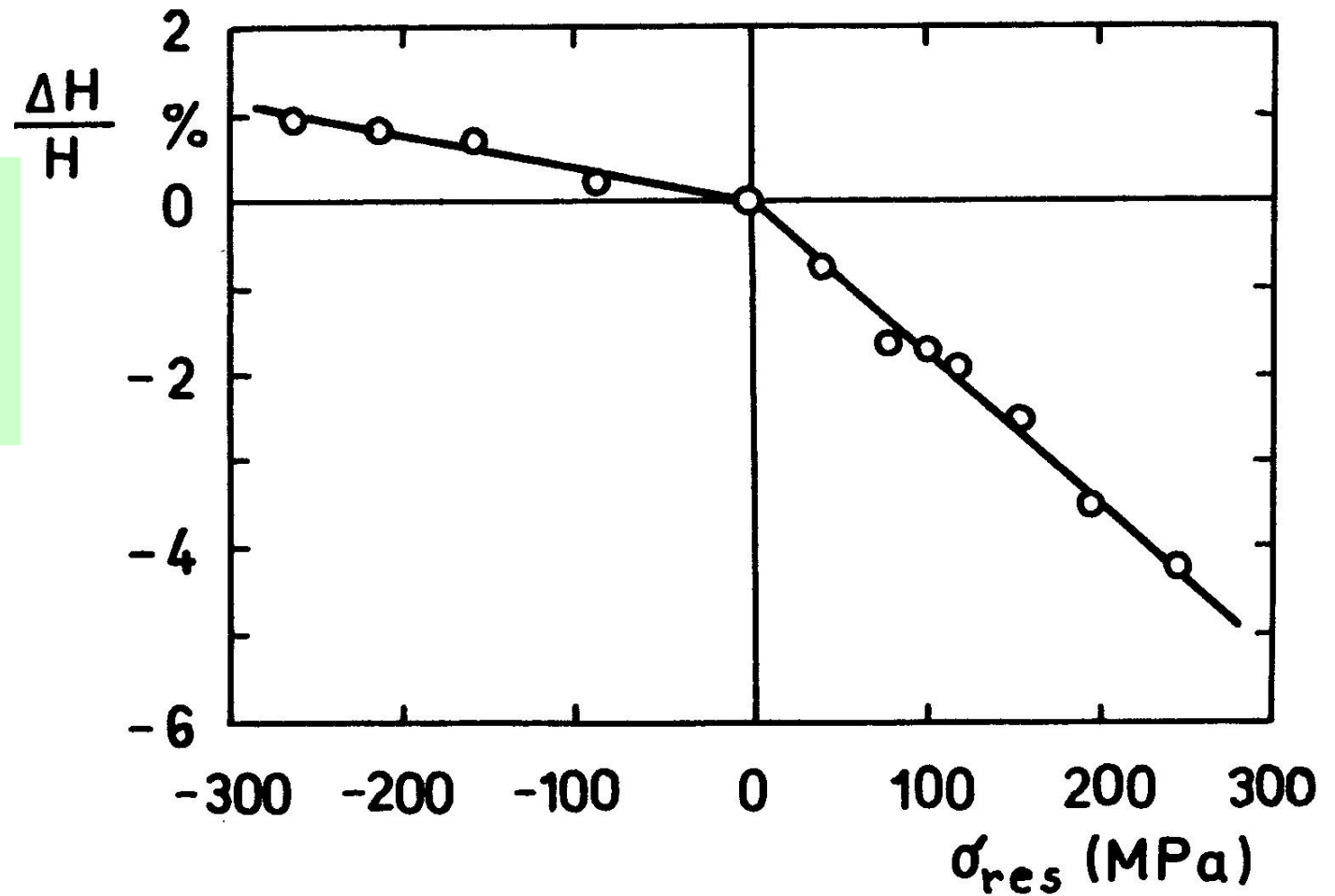


### Example: rolling

Residual stress distribution and associated geometrical modification (blisters, edge longs, bucklings ...)

# Static mechanical properties (1)

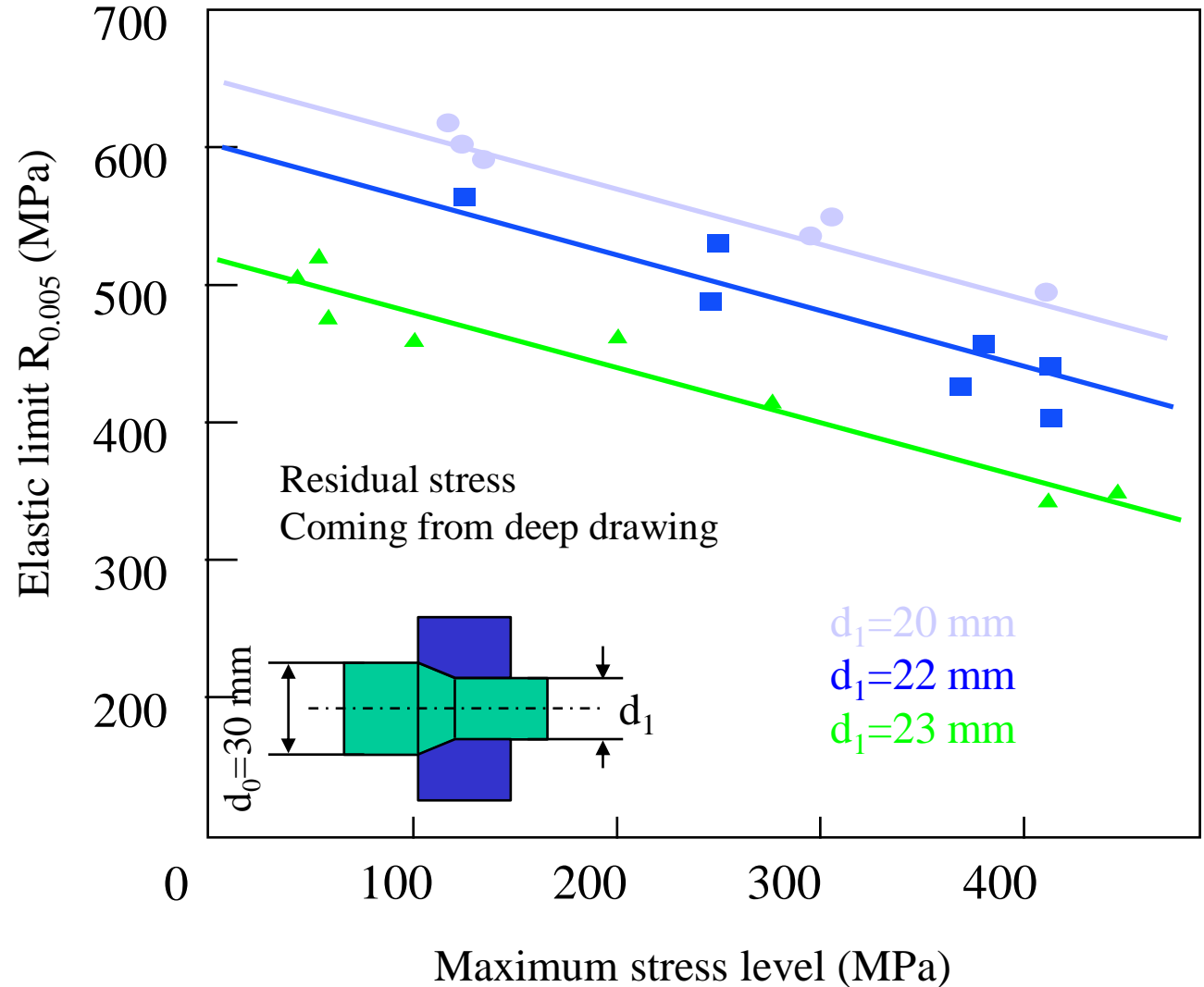
Example : Hardness variation in function of level and sense of residual stress on surface of carbon steel



# Static mechanical properties (2)

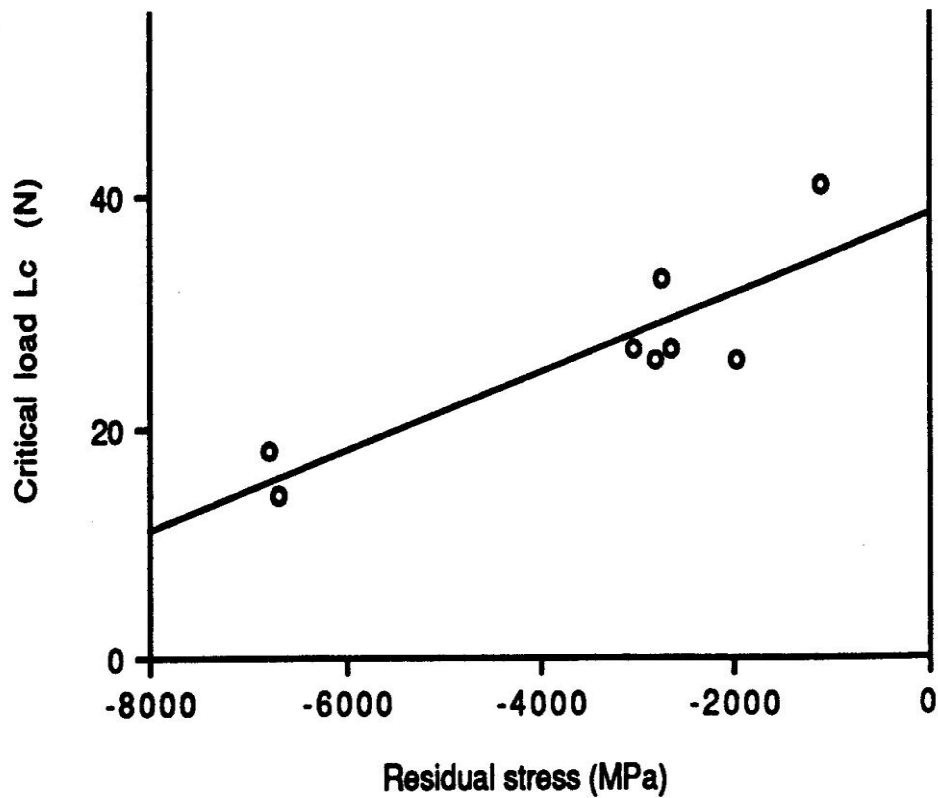
## Elastic limits

Example 1 : decrease of elastic limits of steel wire by drawing in function of stress level under tensile test



# Static mechanical properties (3)

## Coating Adhesion:



**Table 2. Characteristics of the TiN coatings**

Substrate No.	Thickness $\mu\text{m}$	$-\sigma$ MPa	Lc N	Deposition	H2:N2
1	3,9	6775	18	CVD	1:1
1	3,3	6686	14	CVD	4:1
2	4,6	3040	27	CVD	1:1
2	4,2	2815	26	CVD	4:1
3	4,3	2740	33	CVD	1:1
3	3,5	2655	27	CVD	4:1
4	4,4	1970	26	CVD	1:1
4	4,6	1122	41	CVD	4:1
1	13,8	3475	24	CVD	1:1
1	13,8	3140	21	CVD	1:1
2	17,1	776	61	CVD	1:1
2	17,1	756	57	CVD	1:1
3	15,3	870	57	CVD	1:1
3	15,3	882	55	CVD	1:1
4	14,4	700	57	CVD	1:1
4	14,4	692	52	CVD	1:1
1	3	11825	14	PVD	-
2	3	7392	20	PVD	-
3	3,2	8912	17	PVD	-

TiN coating on cutting tools



# Contact and erosion (1)

**TABLE 1**  
Levels of residual macrostresses in specimens of steel XBT

Batch No.	After thermal treatment		After additional DC pulse treatment	
	$(\sigma_1 + \sigma_2)$ (MPa)	$\sigma_\varphi$ (MPa)	$(\sigma_1 + \sigma_2)$ (MPa)	$\sigma_\varphi$ (MPa)
1	471	333	not treated	
2	520	392	not treated	
3	490	275	3	1
4	559	353	7	2

**TABLE 2**  
Wear stability parameters of hardened steel XBT

Batch number	Load kgf	Specimen wear $\text{mm}^3$	f
1	24	0.041	0.0969
2	54	0.116	0.0898
3	24	0.027	0.0813
4	54	0.045	0.0755

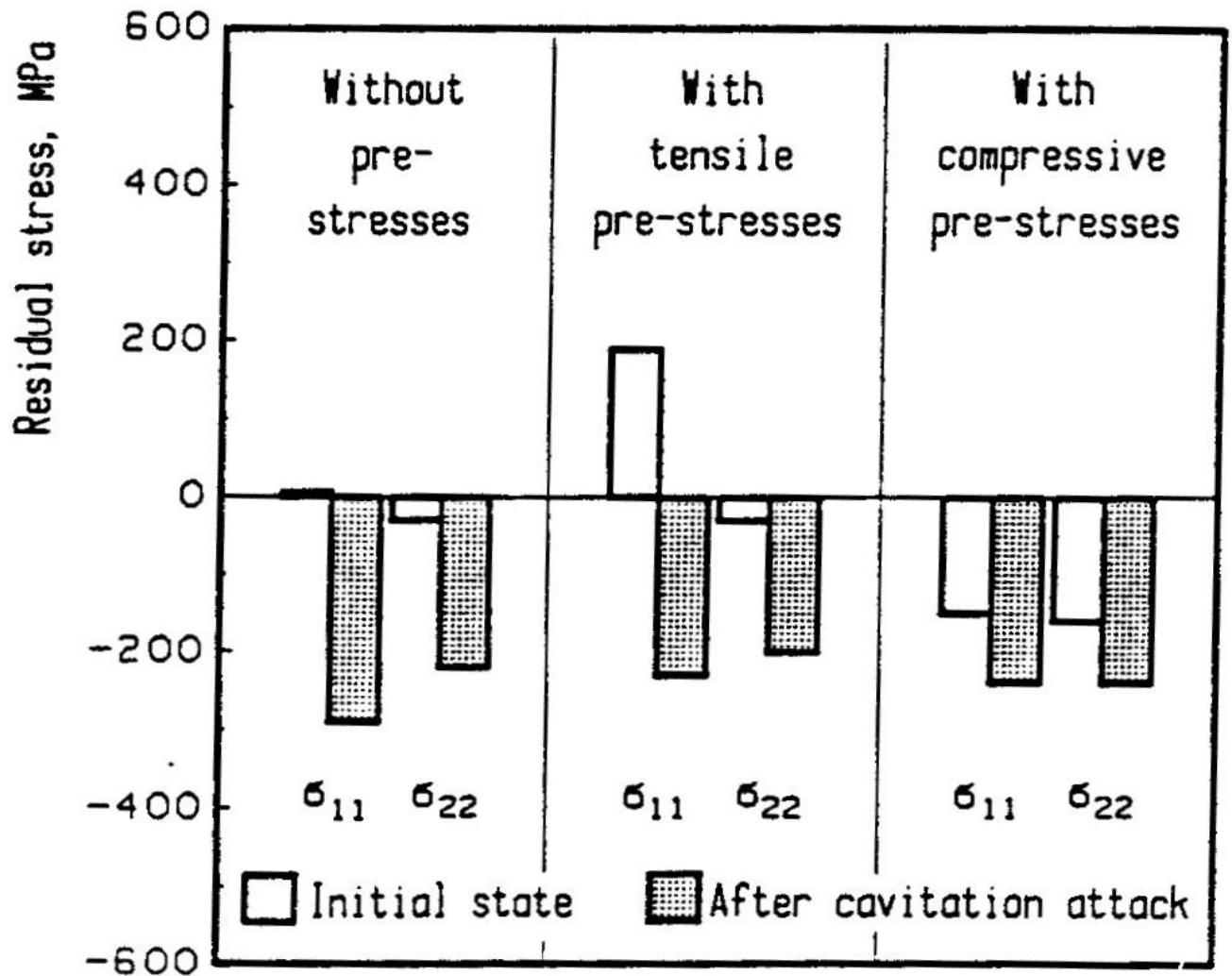
## Wear :

piece wear of treated steel will increase if residual stress in surface will be in tension

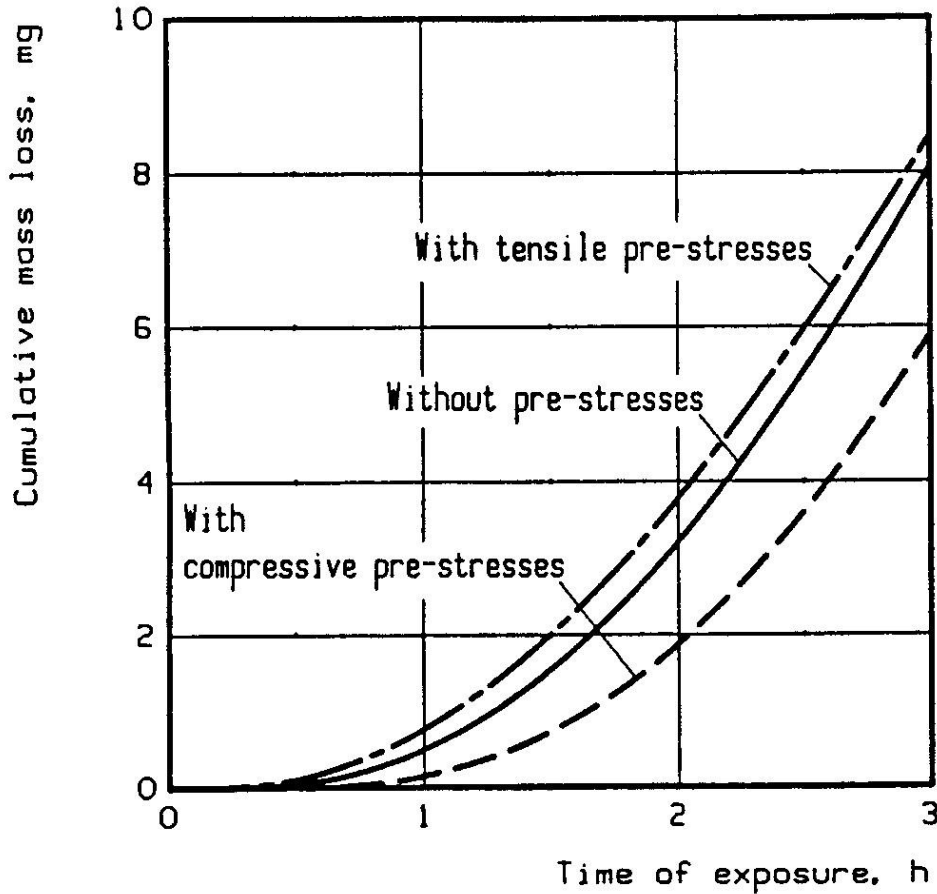
# Contact and erosion (2)

## Erosion :

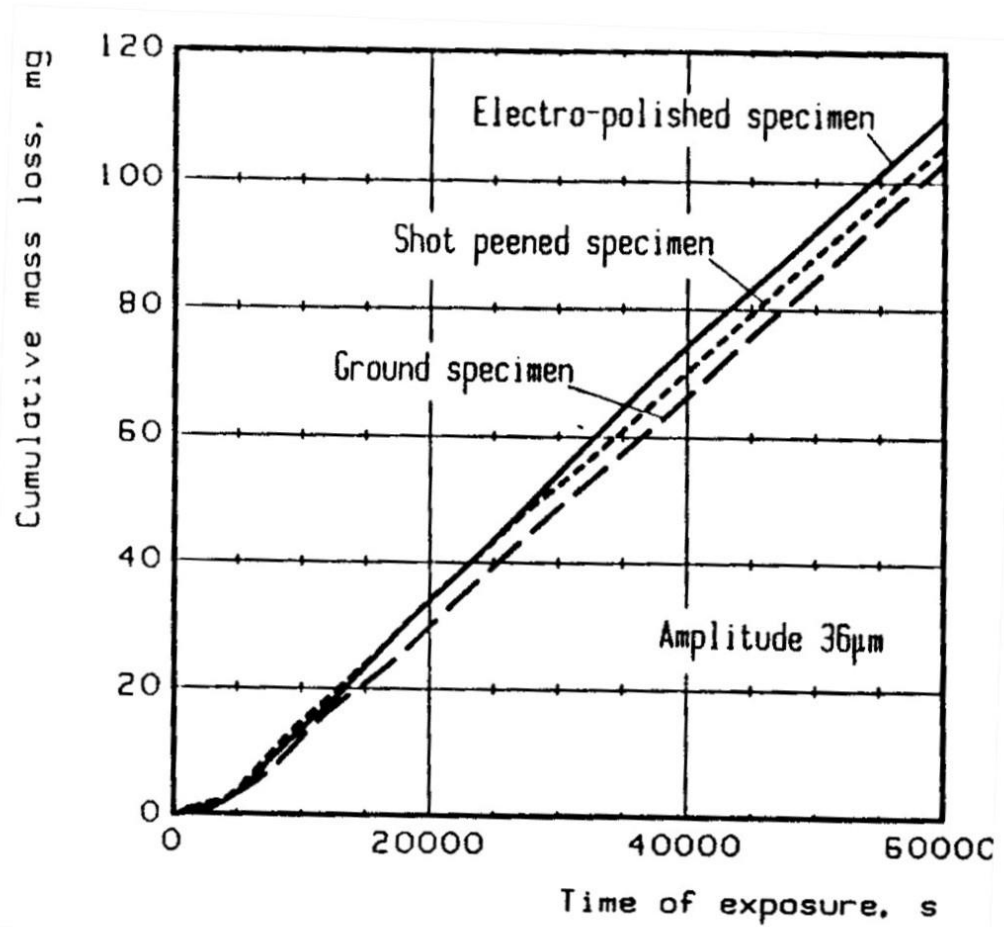
Surface Residual Stress in the initial state and after a short cavitation time



# Contact and erosion (3)



Influence of prestress on erosion mechanism



Influence of surface preparation on erosion property

# Stress corrosion cracking (1)

SCC is the conjoint action of stress and a corrosive environment leading to the formation of brittle cracks

Typical SCC failures are seen in

- pressure vessels,
- pipework,
- highly stressed components
- an excursion from normal operating conditions or the environment occurs.

tensile  
stress

susceptible  
material

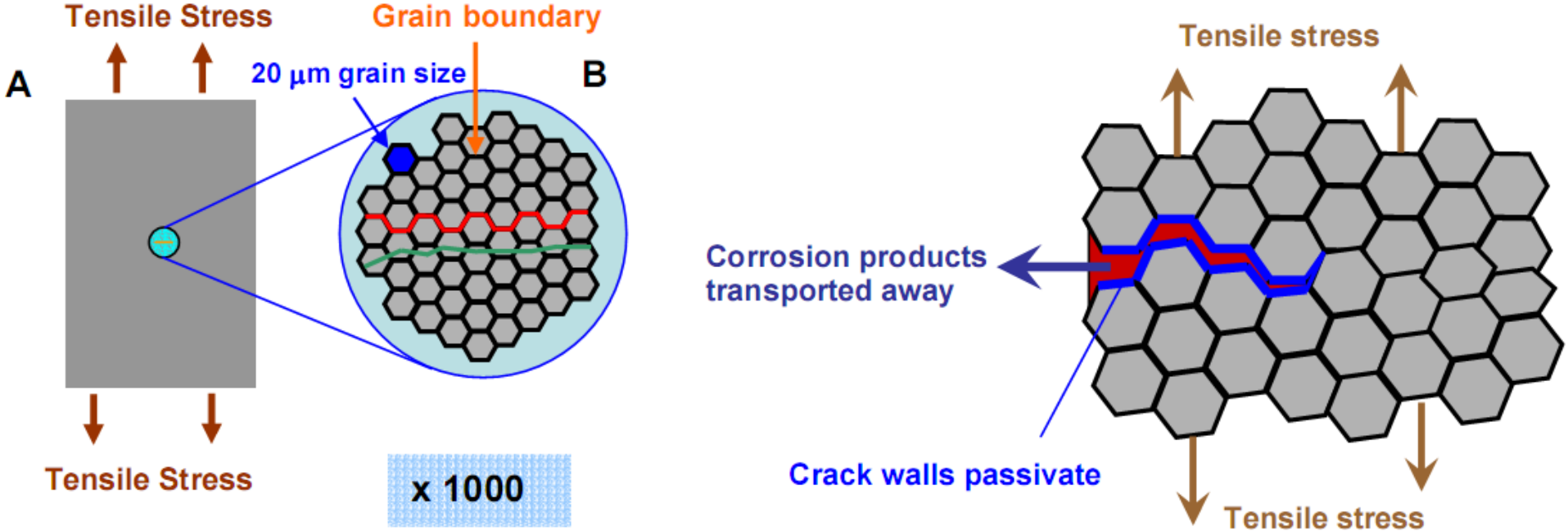


corrosive  
environment

SCC

# Stress corrosion cracking (2)

The required tensile stresses may be in the form of applied stresses or residual stresses.

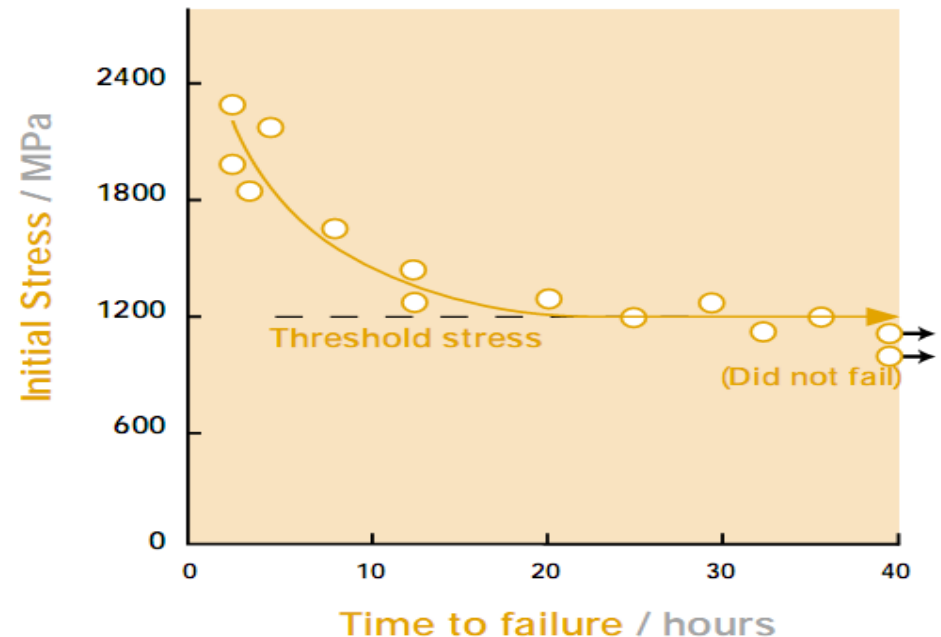


## Stress corrosion cracking (3)

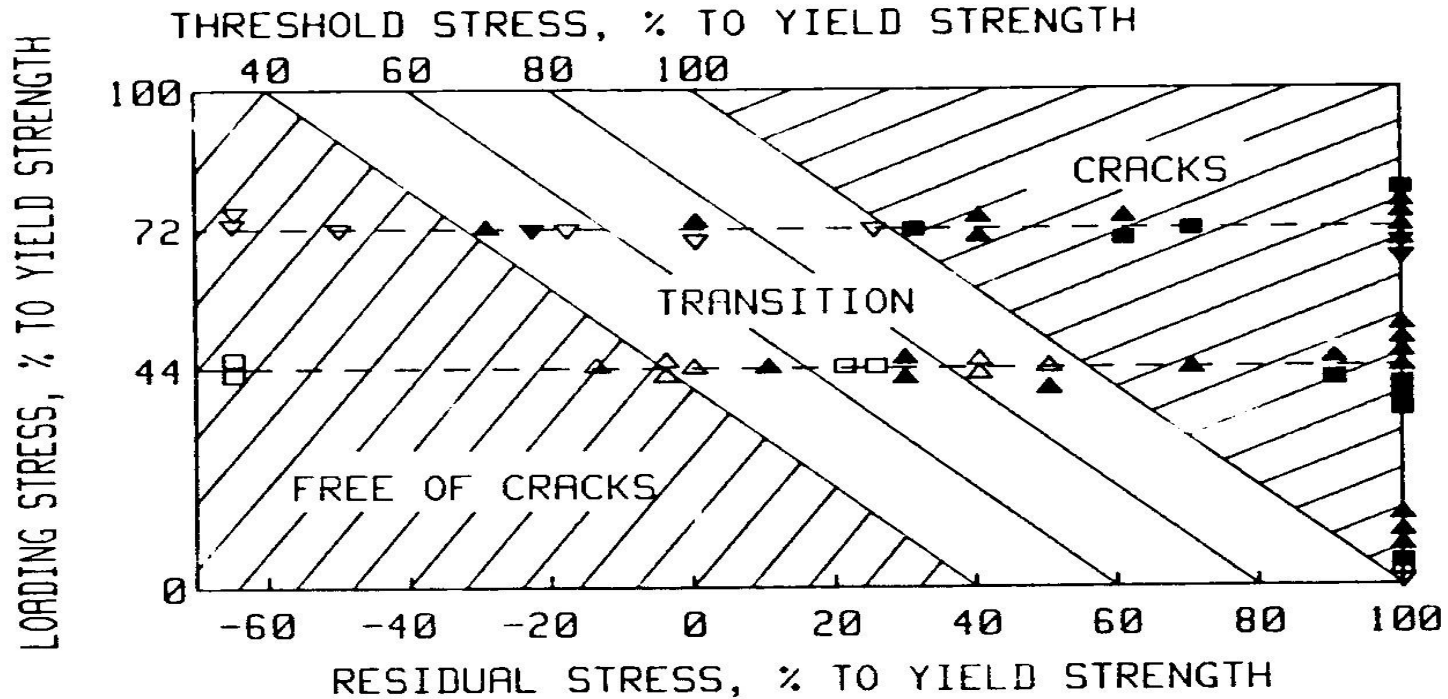
The environment is

- either the permanent service environment i.e. sea water
- or a temporary one caused by operations such as cleaning of the system which can leave a residue,
- or if the stress is applied during the operation initiate cracking.

SCC is a corrosion mechanism that requires the pairing of a material with a very particular environment and the application of a tensile stress above a critical value.



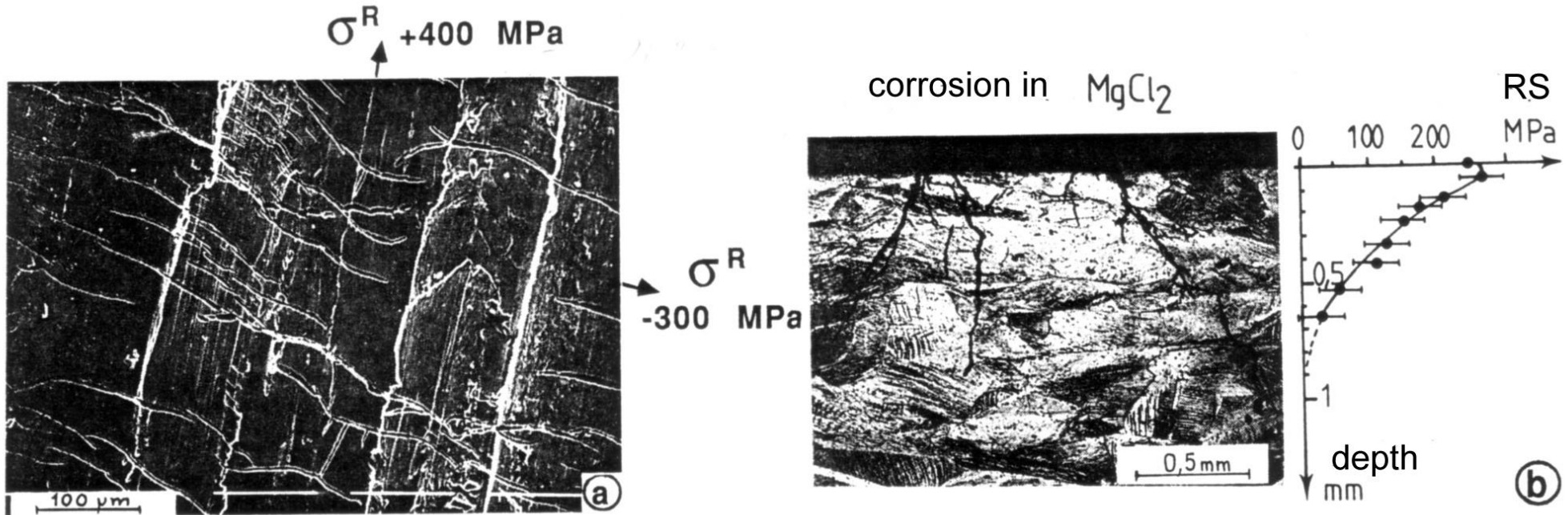
# Stress corrosion cracking (4)



FREE OF CRACKS	CRACKS	
△	▲	= ~ 100 h
□	■	= ~ 200 h
▽	▼	= ~ 720 - 820 h

**Example 2 : Influence of level and sign of residual stress on critical cracking stress of a stainless steel**

# Stress corrosion cracking (5)



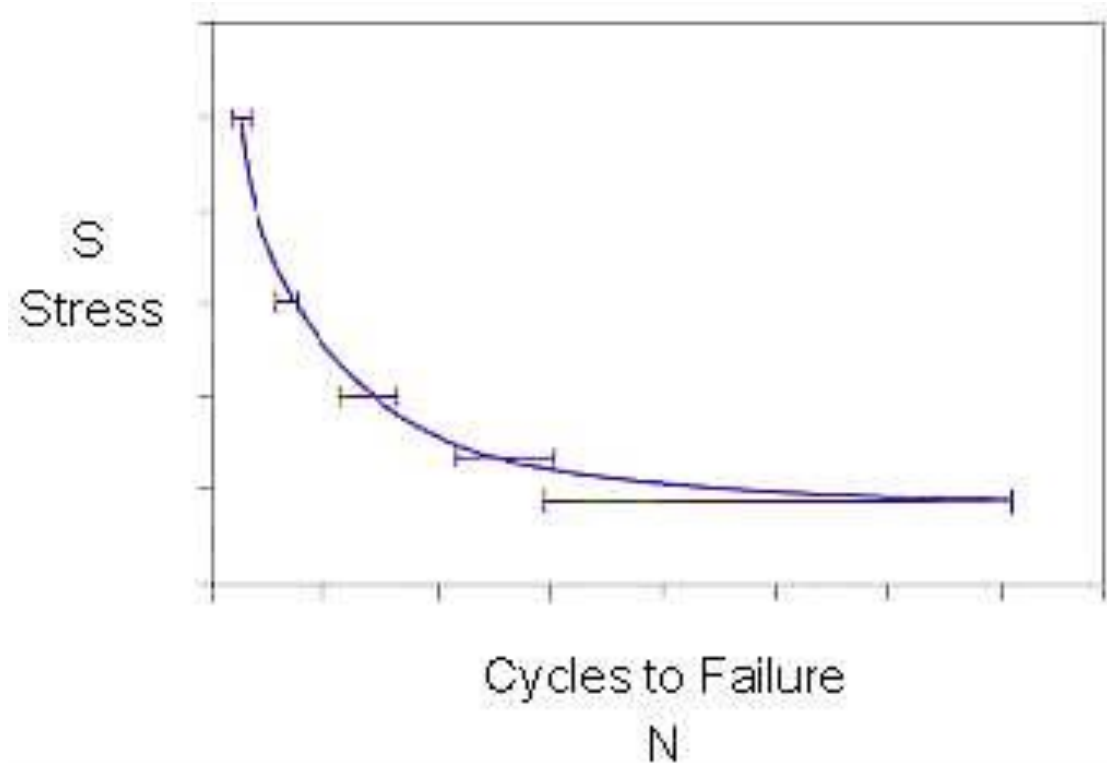
**Example 3 :** residual stress influence on stress corrosion cracking of an austenitic stainless steel under  $\text{MgCl}_2$  environment  
 (a) after milling      (b) after rolling



## Fatigue properties (1)

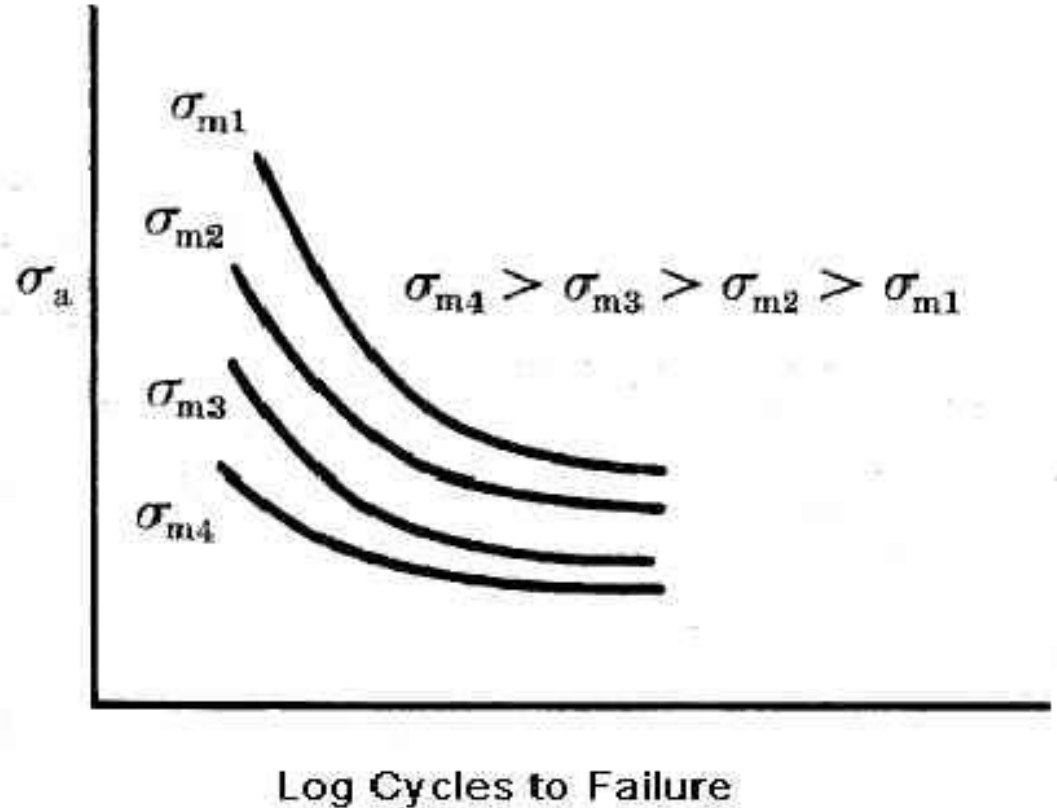
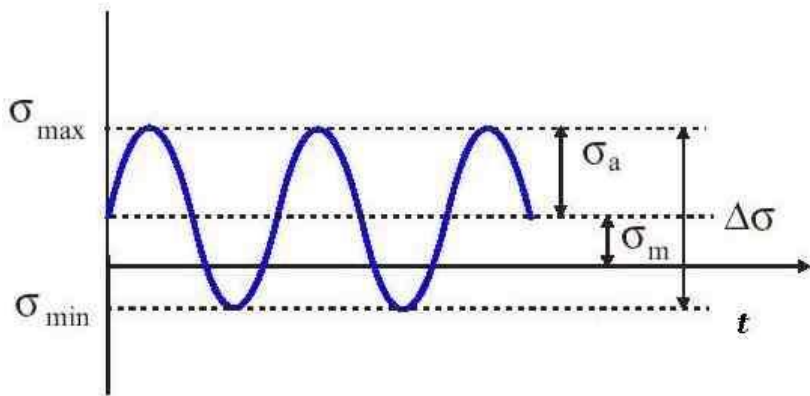
**fatigue** is the progressive and localized structural damage that occurs when a material is subjected to cyclic loading;

It is a progressive localized damage due to fluctuating stresses and strains on the material. Metal fatigue cracks initiate and propagate in regions where the strain is most severe.



# Fatigue properties (2)

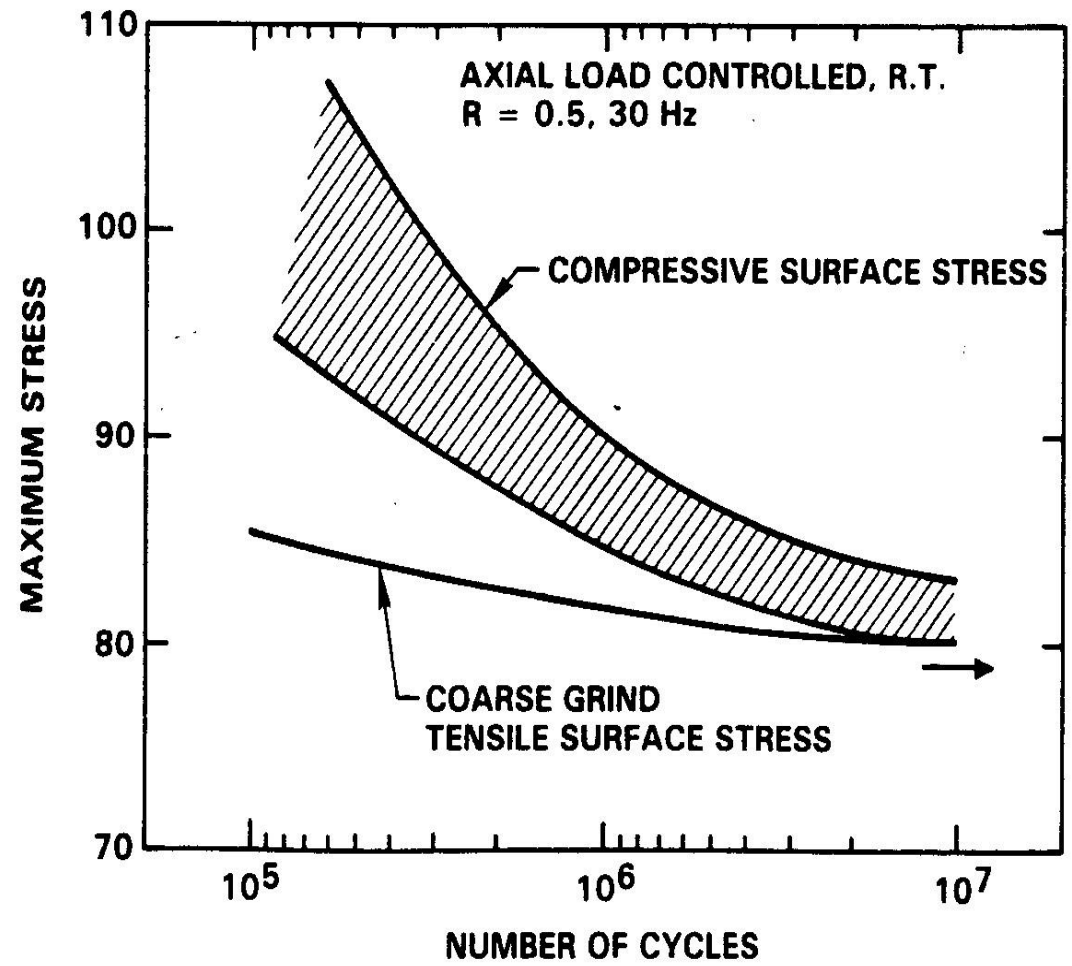
The most commonly used stress ratio is  $R$ , the ratio of the minimum stress to the maximum stress ( $\sigma_{\min}/\sigma_{\max}$ ).



# Fatigue properties (3)

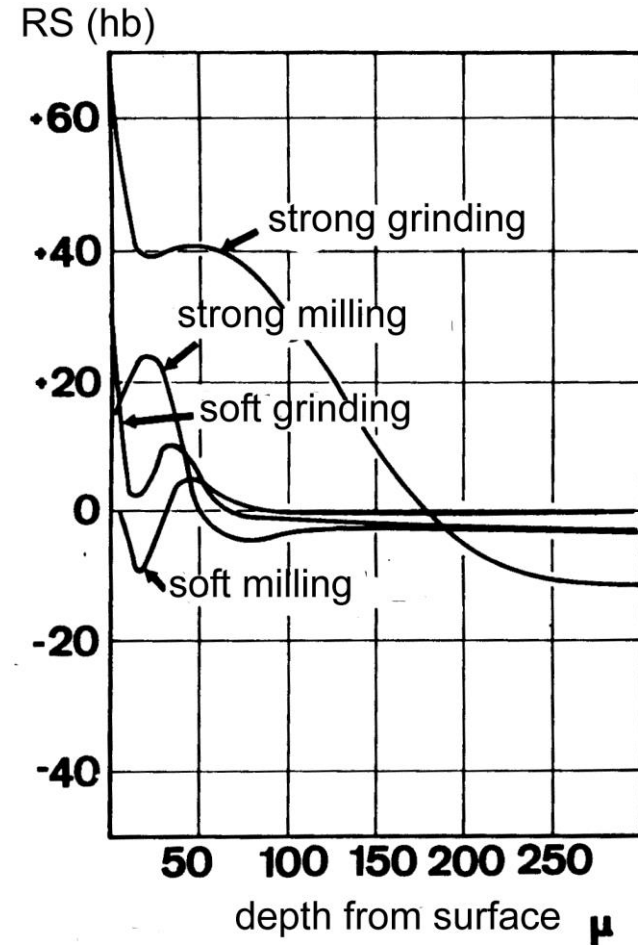
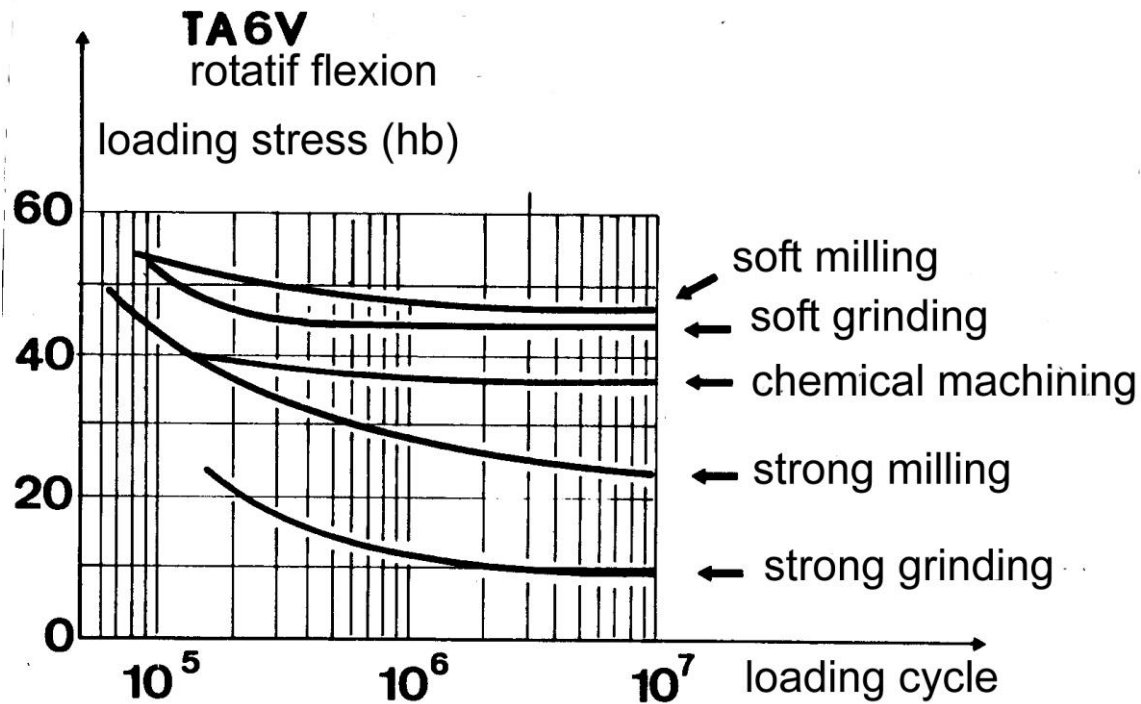
SC85-31262

Coarse Grinding	+190 MPa
Gentle Grinding	-200 MPa
Form Tool Finish	-380 MPa
Single Point Tool	-275 MPa
Shot Peen	-700 MPa



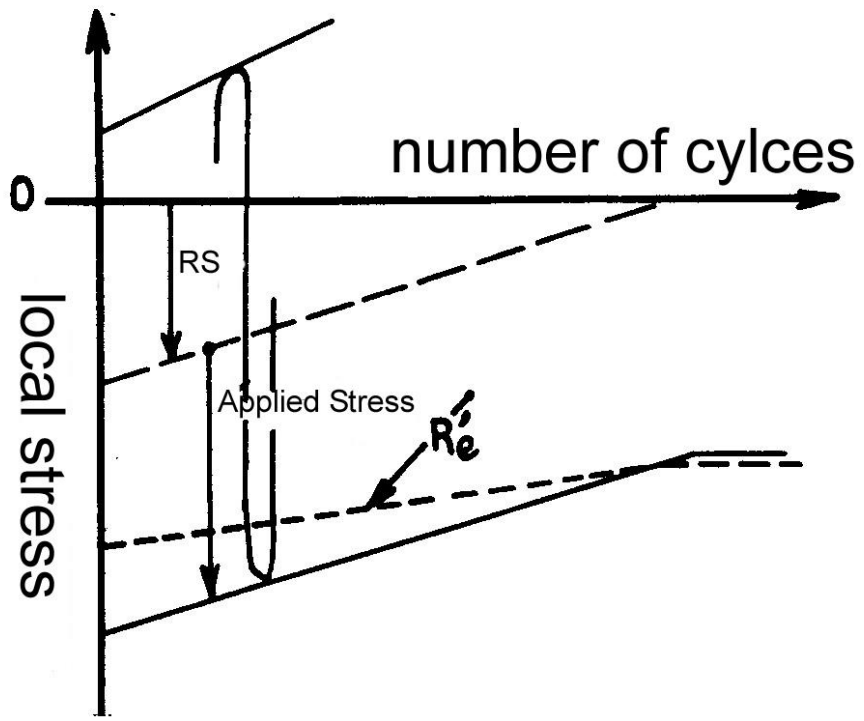
Evolution of fatigue limits according surface residual stress

# Fatigue properties (4)

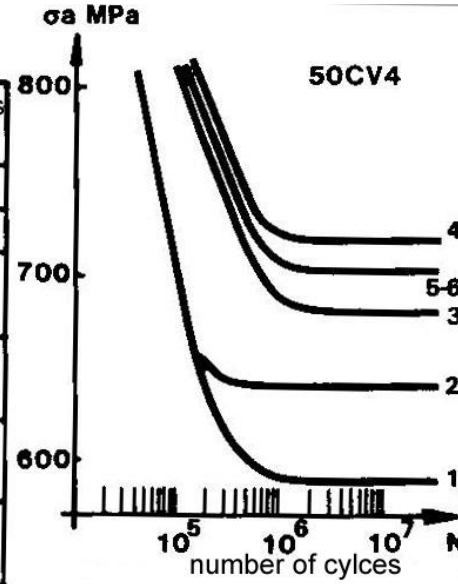


**Example 3 : Influence of machining modes on residual stress distribution and on fatigue resistance with rotative bending tests for rolled TA6Vspecimens**

# Fatigue properties (5)

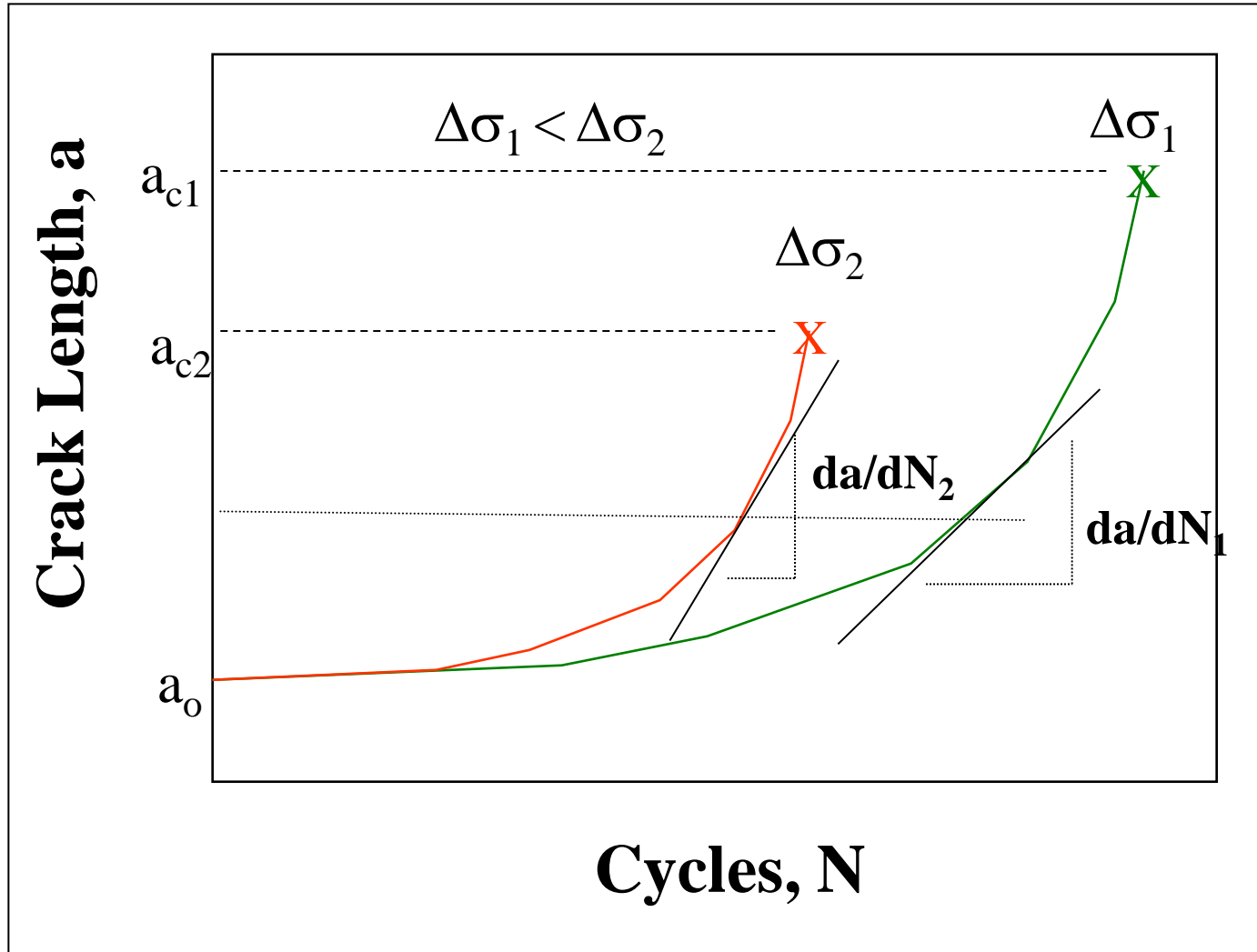


sample	surface preparation	roughness Ra $\mu\text{m}$
1	raw turning	4,3
2	raw grinding	1,4
3	turning + soft shot-peening (S110, 0.36 A)	2,2
4	grinding + soft shot-peening (S110, 0.36 A)	2,7
5	turning + strong shot-peening (S330-0,61 A)	4,4
6	grinding + strong shot-peening (S330-0,61 A)	4,3



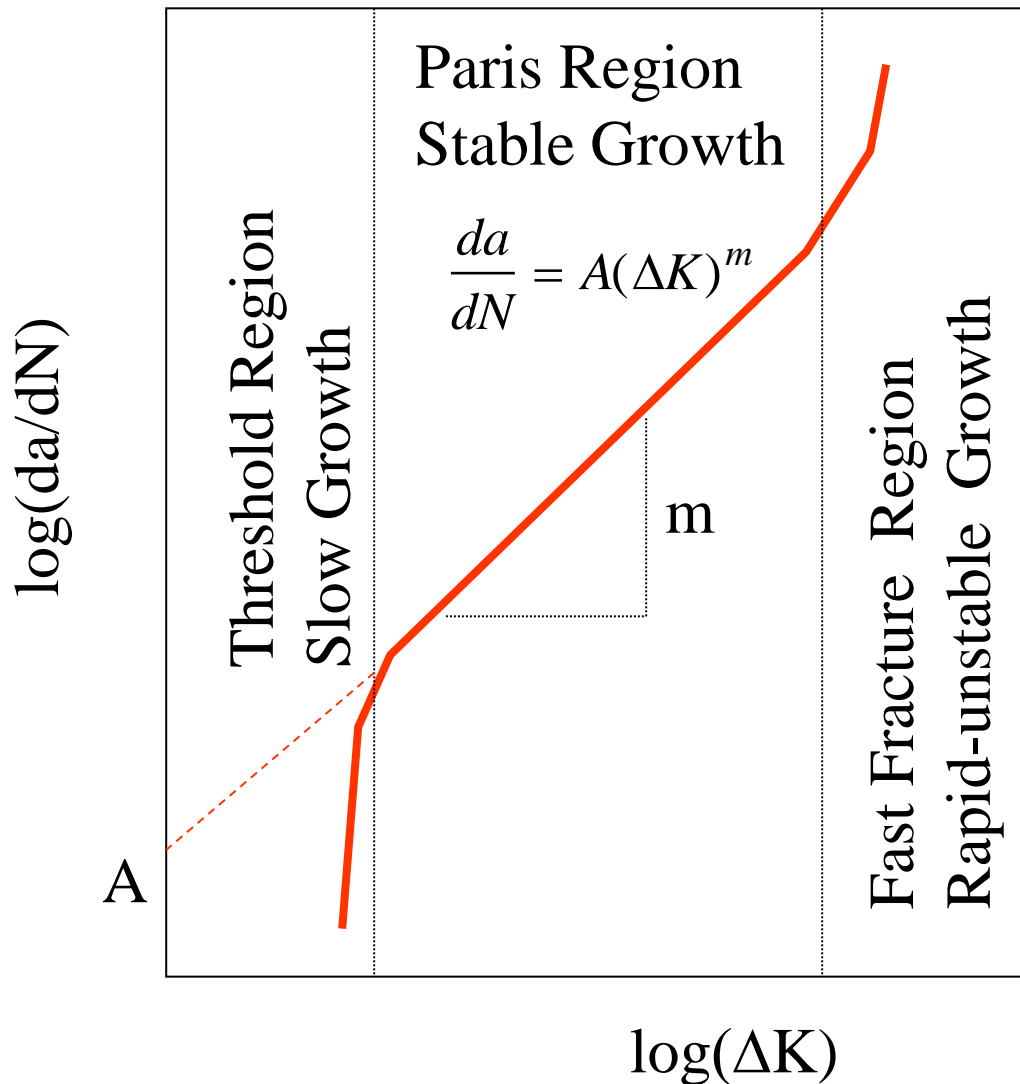
**Example 4 : Effect of shot-peening on fatigue resistance of 50CV4 steel (spring steel)**

# Cracking characteristics (1)



Crack Growth  
Rate,  $da/dN$

# Cracking characteristics (2)



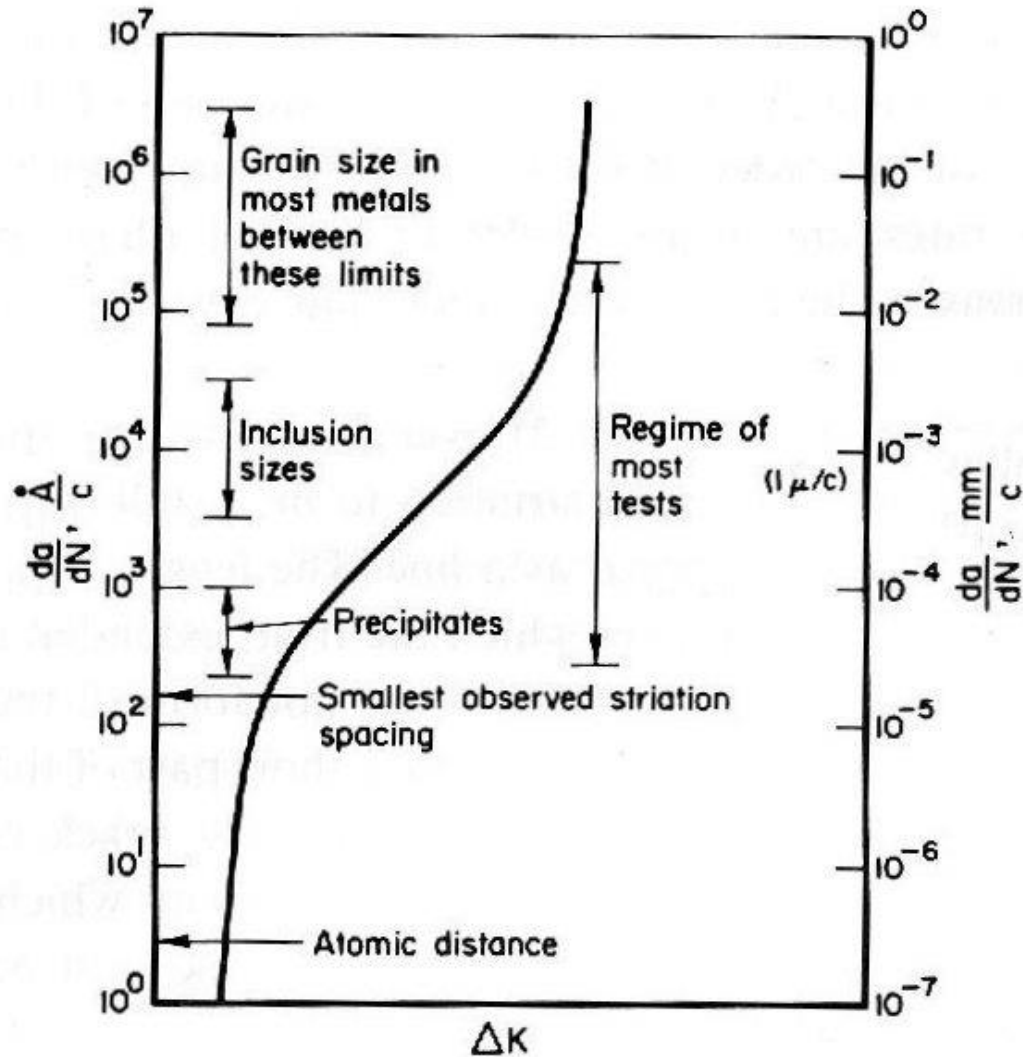
- The driving force for crack growth is the **range** in the stress intensity factor during cycling.

$$\Delta K = f(a/W)\Delta\sigma\sqrt{\pi a}$$

$$\Delta\sigma = \sigma_{\max} - \sigma_{\min} \text{ for } R \geq 0$$

$$\Delta\sigma = \sigma_{\max} \text{ for } R < 0$$

# Cracking characteristics (3)



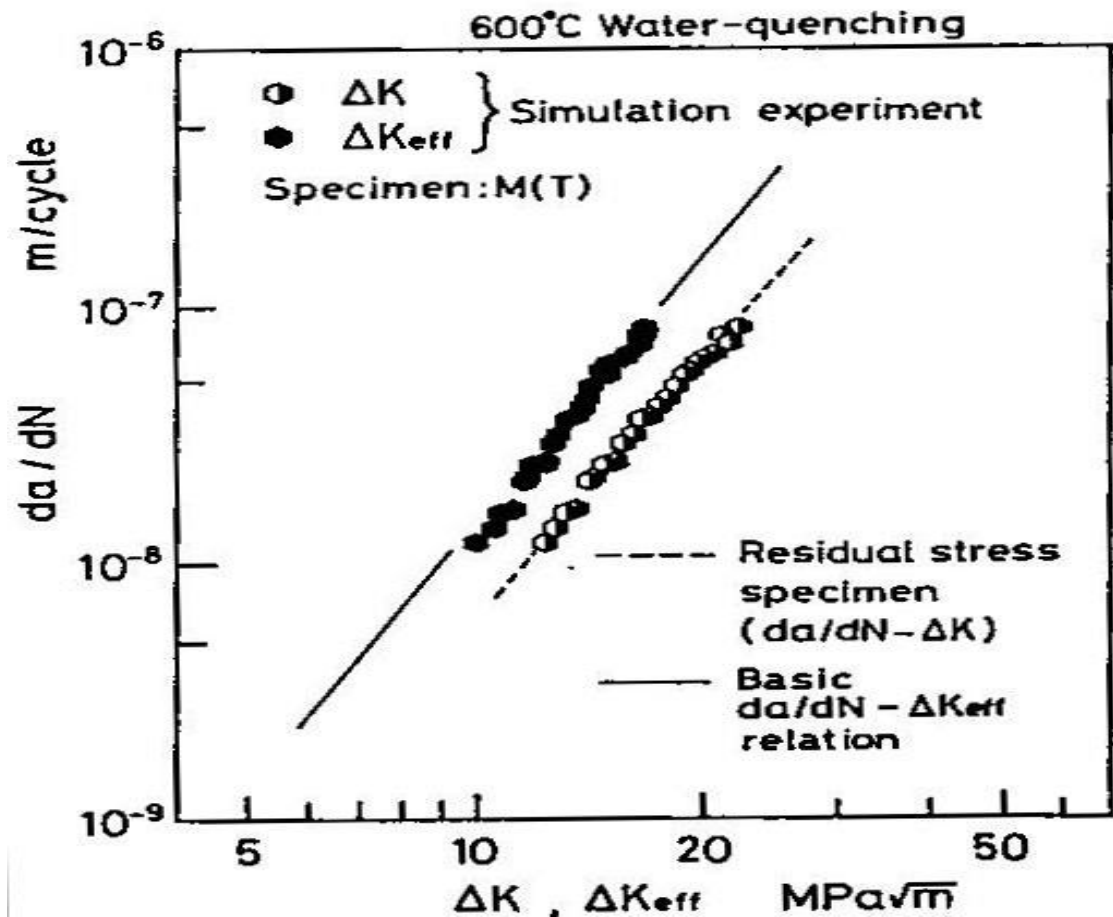
Crack Growth Rates  
and  
Microstructural  
Features



# Cracking characteristics (4)

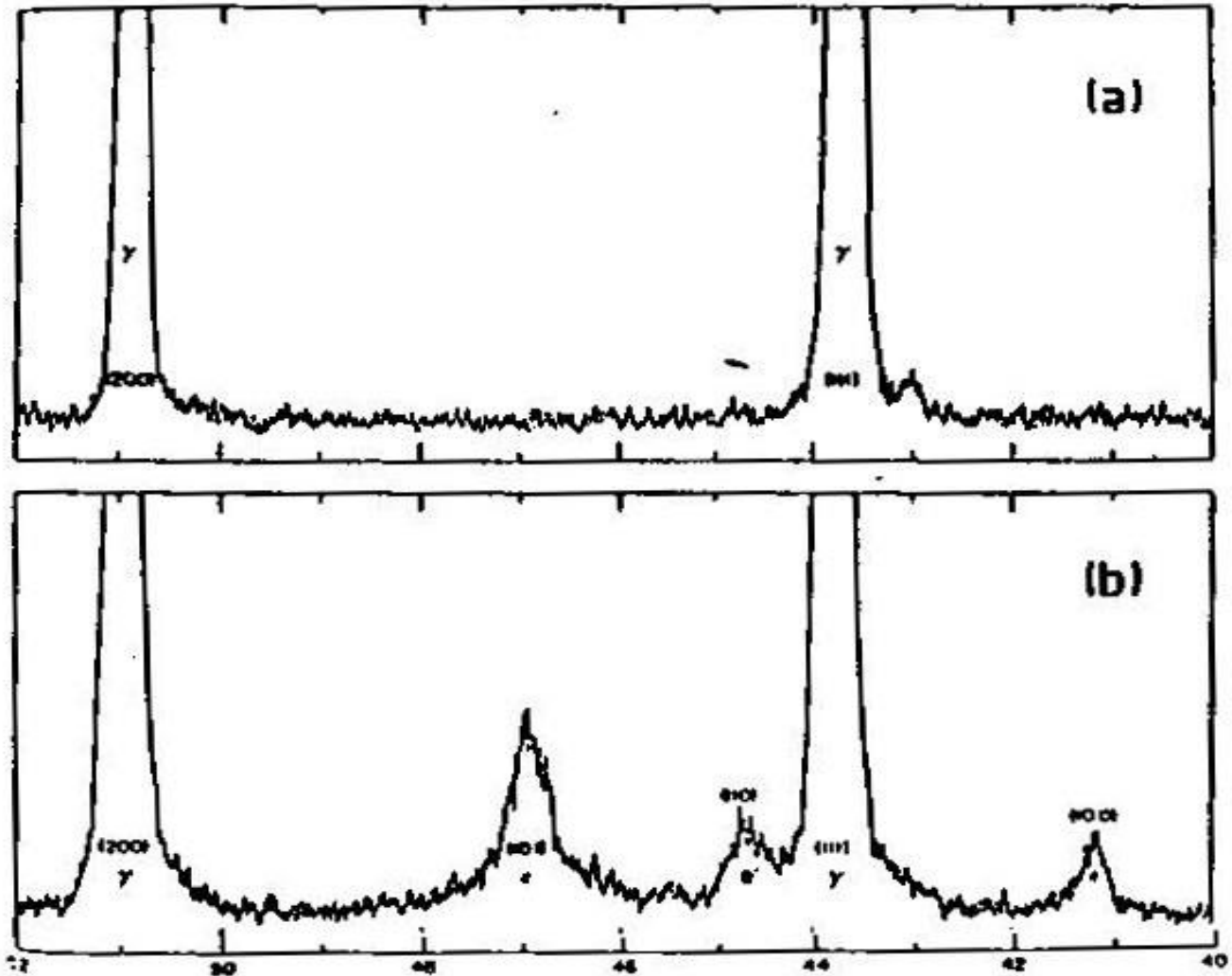
Speed of crack propagation is influenced by the presence of residual stress in materials

Example 1 : reducing of crack propagation speed  $da/dN$  due to the compressive residual stress

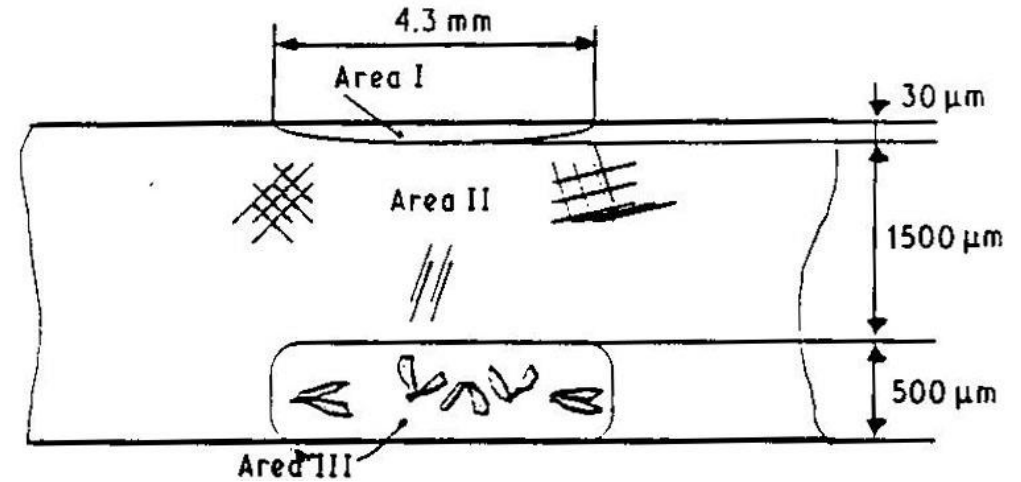
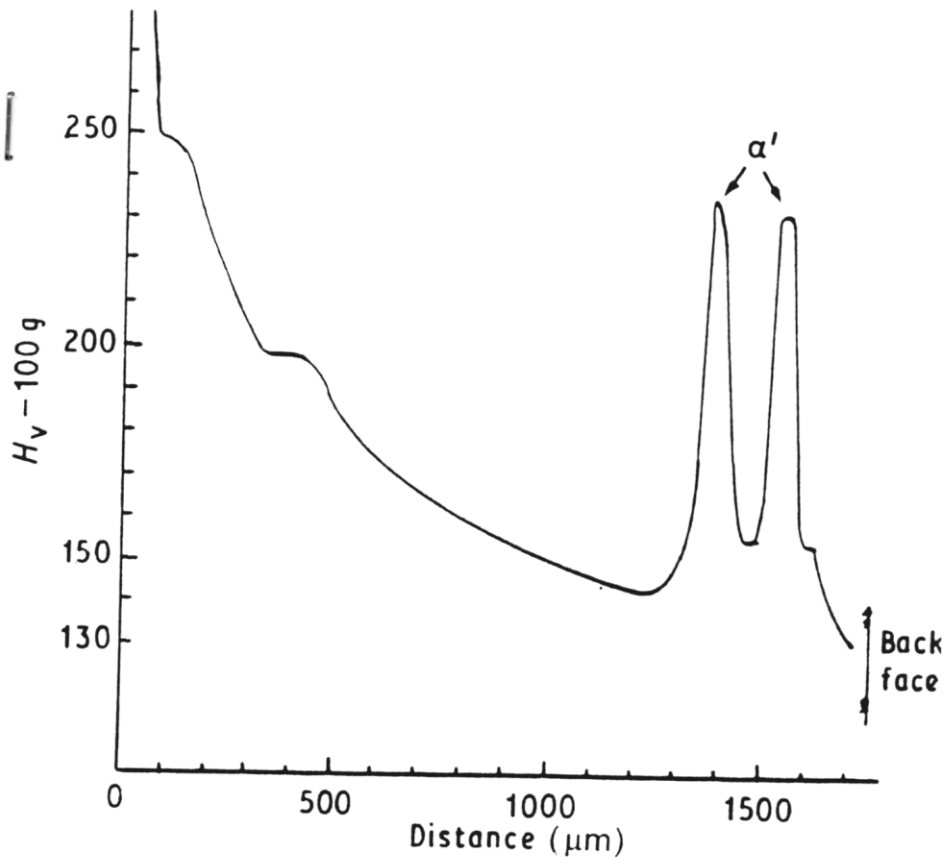


# Microstructure modification (1)

Example 1 :  
martensite  
formation on a  
austenitic  
stainless steel  
304L under  
monotonic tensile  
test



# Microstructure modification (2)



**Example 2 : Phase change due to laser shock of an austenitic steel**

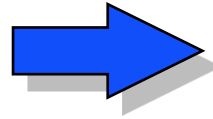
property	RS influence
Acoustic	RS level $\rightarrow$ V change of ultrasonic wave propagation
Magnetic	RS $\rightarrow$ modification of coercitif field of Barkhausen effect
Geometric	RS redistribution or/and relaxation $\rightarrow$ geometric change
Hardness	(+ RS) $\rightarrow$ Hv decrease, (- RS) $\rightarrow$ Hv increase
Elastic limit	(+ RS) $\rightarrow$ $\sigma_e$ decrease, (- RS) $\rightarrow$ $\sigma_e$ increase
Erosion and contact	(+ RS) $\rightarrow$ mass loss increase, (- RS) $\rightarrow$ mass loss decrease
Stress corrosion cracking	(+ RS) $\rightarrow$ cracking speed up or resistance decrease, (- RS) $\rightarrow$ cracking delayed or resistance increase
Fatigue	(+ RS) $\rightarrow$ loading cycle decrease or resistance decrease, (- RS) $\rightarrow$ loading cycle increase or loading amplitude increase
Cracking	(+ RS) $\rightarrow$ crack propagation speed increase, (- RS) $\rightarrow$ crack propagation speed decrease,
Microstructure	RS level $\rightarrow$ phase change

## Residual stress and properties

# Residual stresses analysis: determination and relaxation (3)

# Classification of methods (1)

Residual stress  
Determination



Strain measurement

## Mechanical Methods

Measurement of strains associated to stress relaxation (by material remove)

- Hole-drilling Method, Ring core method
- Layer remove method - Sachs
- Layer remove method - deflexion method
- Sectioning method

## Physical Method

Direct measurement of strains comparing to a reference or to a standard specimen

- X-ray diffraction
- Neutron diffraction
- Ultrasonic method
- Magnetic method – Barkhausen noise method
- Raman effect method

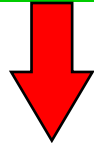
# Classification of methods (2)

Mechanical Methods

Physical Methods

gages

Relaxation



Strain



Stress

Strain variation

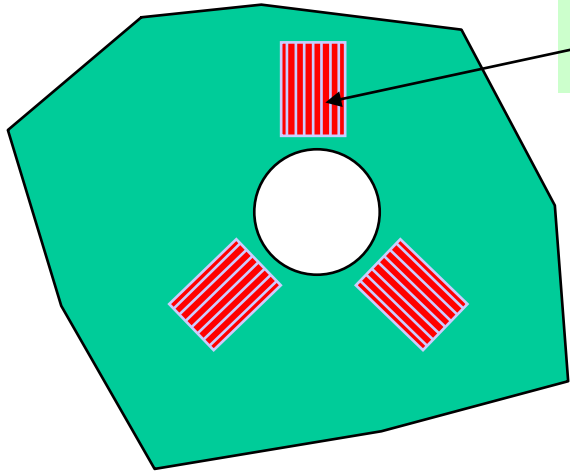


Elastic mechanical Models

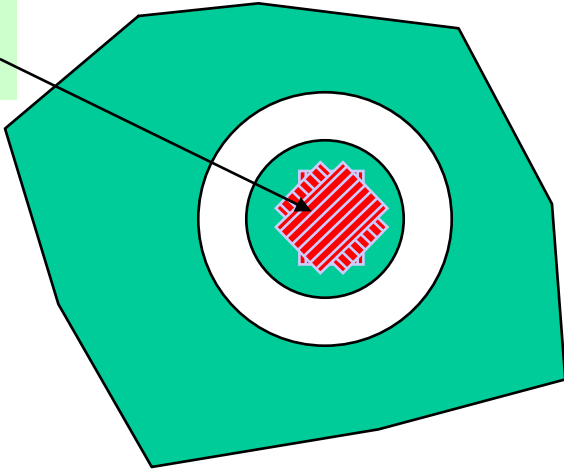


# Hole-drilling and Ring core (1)

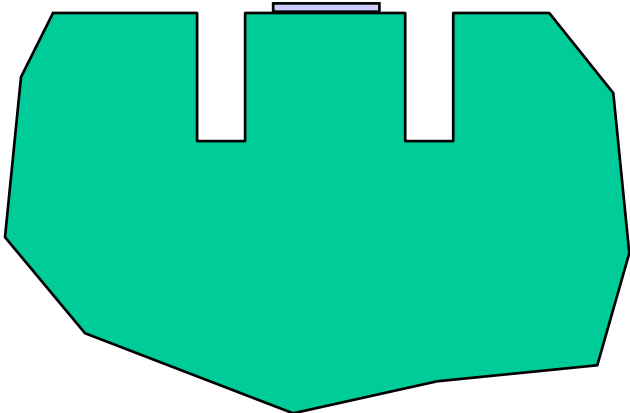
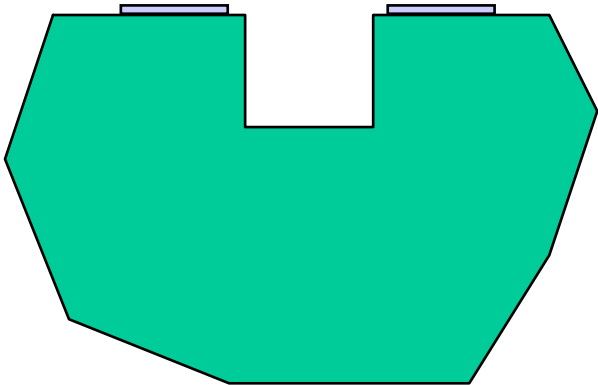
hole drilling



ring core



rosette  
of strain gage





# Hole-drilling and Ring core (2)

## Advantages / Disadvantages

### Hole-drilling method

- diam : 1 to 4 mm
- depth  $\approx$  diam.
- sensibility to errors on diameter and on excentricity

### Ring core method

- diam : 15 to 150 mm
- depth  $\approx$  25 à 150% diam.
- insensibility to errors on diameter and on excentricity
- step by step working

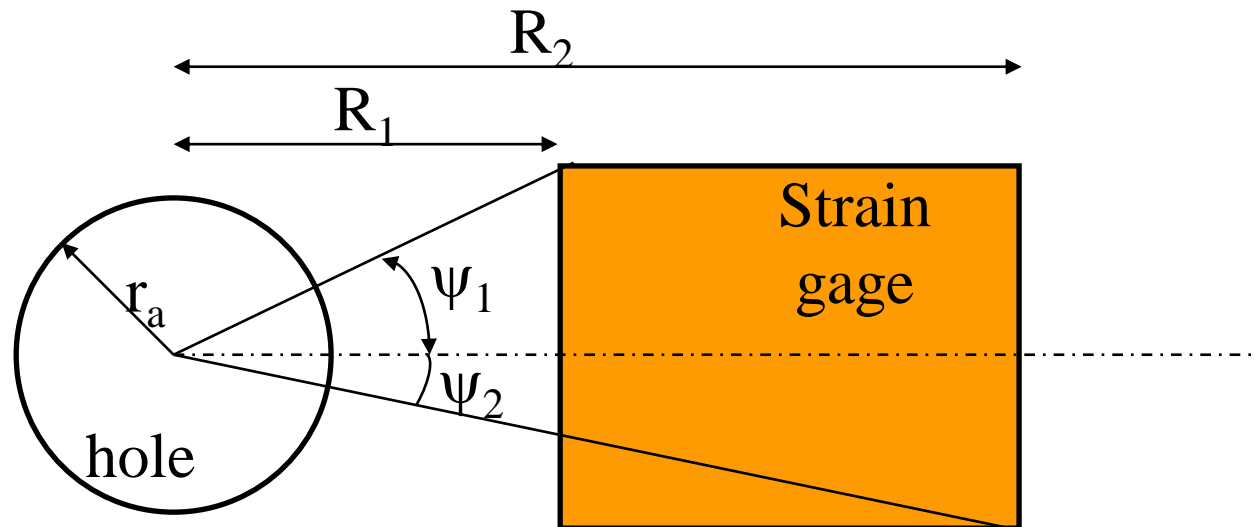
- semi-destructif method
- sensitive to heating
  - stress relaxaion
  - gage damage
- plate bottom of hole
- straight edge of hole

# Hole-drilling and Ring core (3)

## Simplified theoretical consideration

$$\varepsilon = (\sigma_{\max} + \sigma_{\min})\bar{A} + (\sigma_{\max} - \sigma_{\min})\bar{B} \cos(2\beta)$$

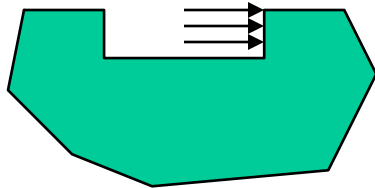
A and B : calibration constant obtained by experimentation or by theoretical calculation, A and B = fonction ( rosette, E,  $\nu$ ,  $r_a$ ,  $\psi_1$ ,  $\psi_2$ ...)  
 $\beta$ : the angle from the axis to  $\sigma_{\max}$



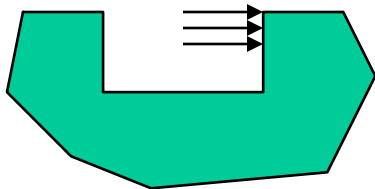
# Hole-drilling and Ring core (4)

## Incremental method

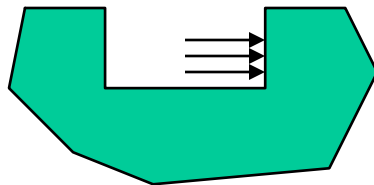
Method to determine  
A stress gradient  
In depth



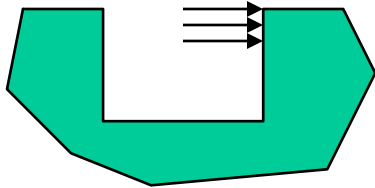
$a_{11}$



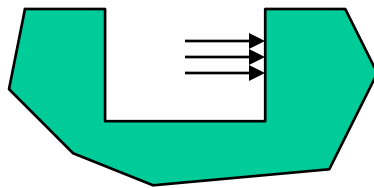
$a_{21}$



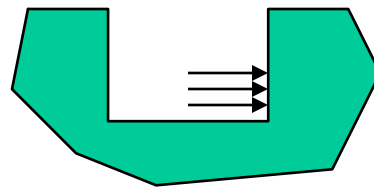
$a_{22}$



$a_{31}$



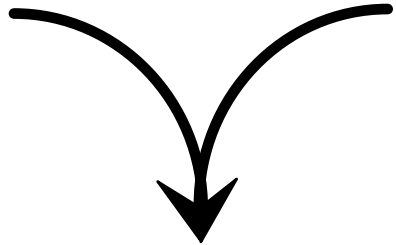
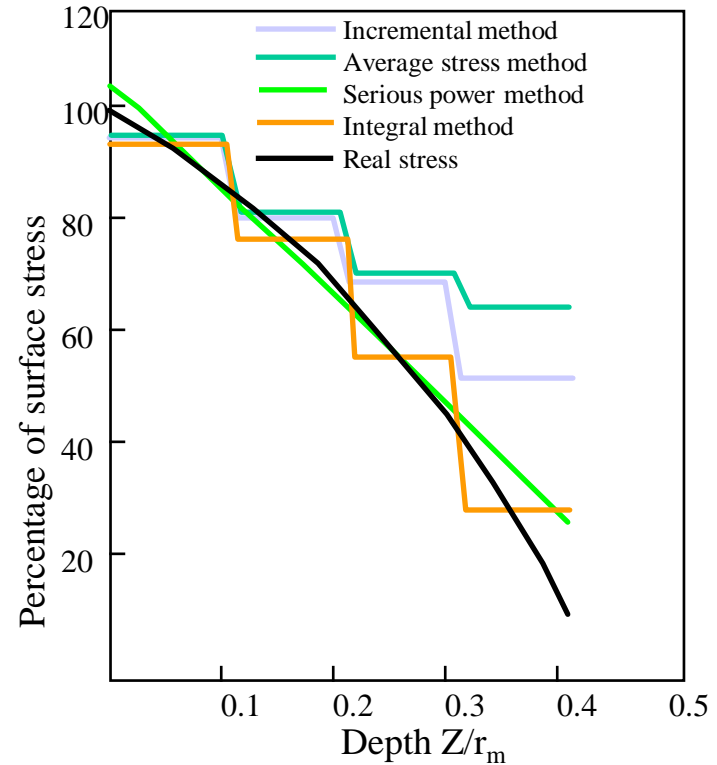
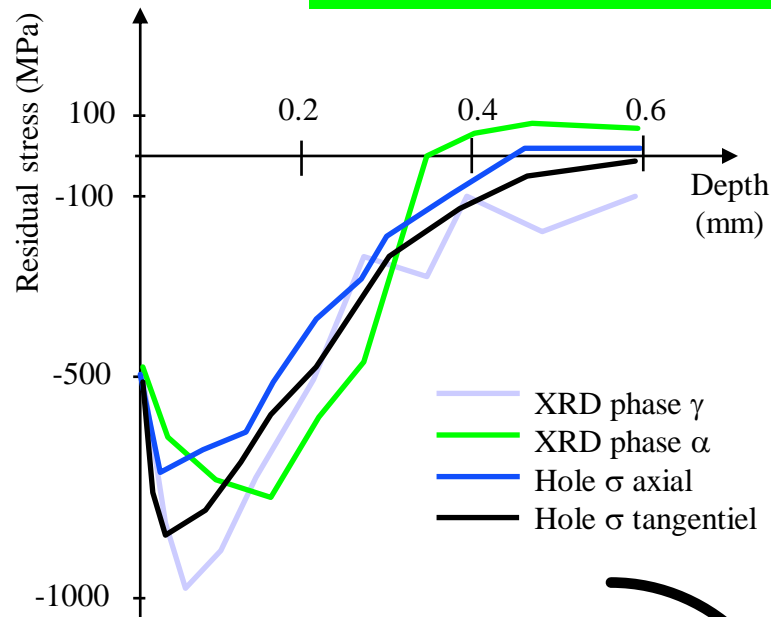
$a_{32}$



$a_{33}$

# Hole-drilling and Ring core (5)

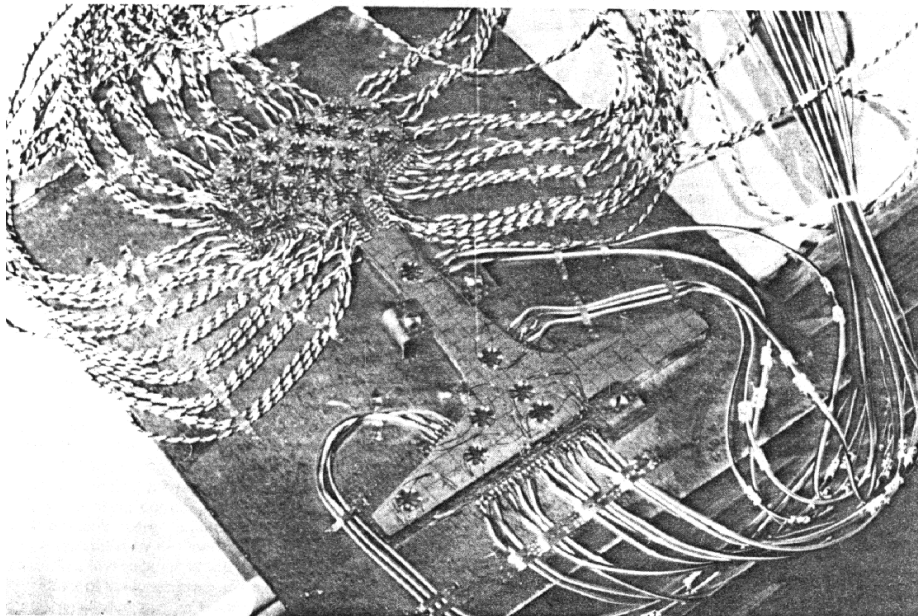
## Incremental method



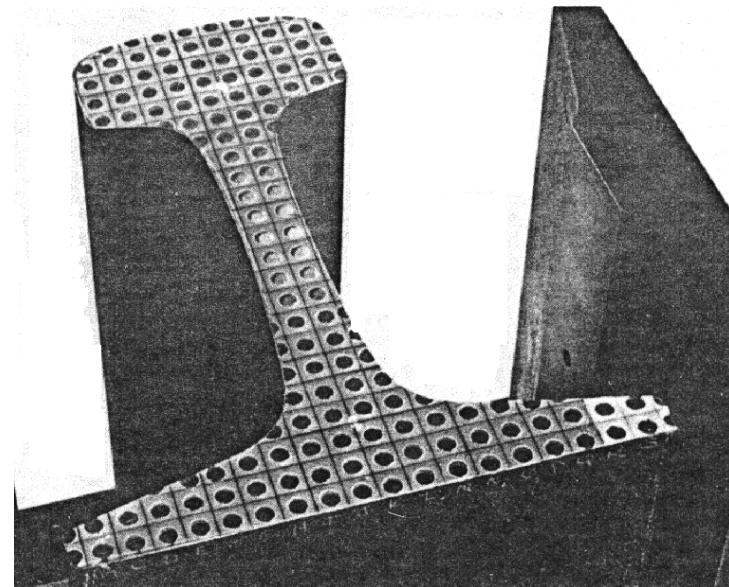
X-ray diffraction method  $\hat{=}$  1 day  
Automated incremental hole drilling  $\hat{=}$  2 hours

# Hole-drilling and Ring core (6)

Case of rail for railway



Instrumentation



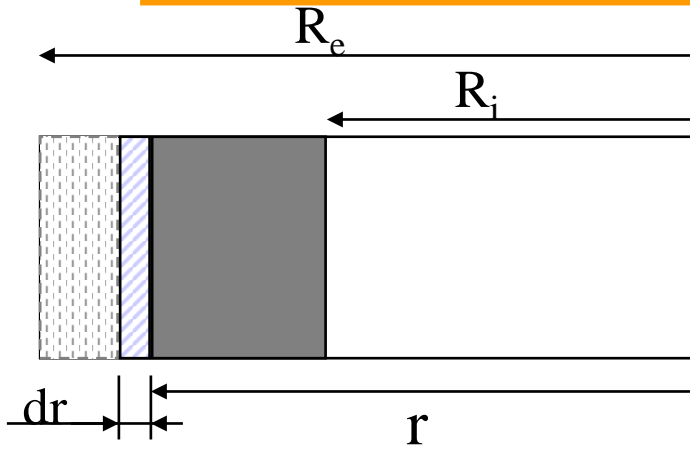
After drilling

# Layer remove method – Sachs (1)

## Principal and applications of the method

### ⌢ Hypothesis

- cylindric component
- symmetric distribution of residual stress
- destructive method



This method is specially used for residual stress determination with thermal or surface mechanical treatment such as shot-peening



# Layer remove method – Sachs (2)

## Theoretical aspects of the method

$$\Delta = \lambda + \mu\theta$$

$$\Lambda = \theta + \mu\lambda$$

$\lambda$  : longitudinal strain

$\theta$  : circumferential strain

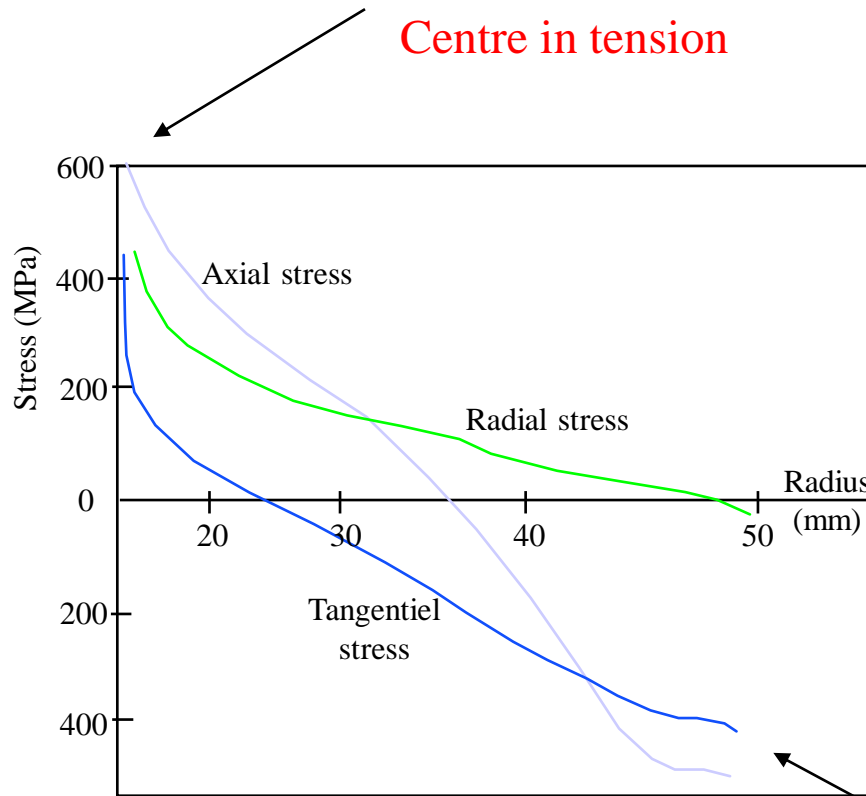
$\mu$  : Poisson's coefficient

$$\sigma_L = \frac{E}{1-\mu^2} \left[ (S_e - S) \frac{d\Delta}{dS} - \Delta \right]$$

$$\sigma_t = \frac{E}{1-\mu^2} \left[ (S_e - S) \frac{d\Lambda}{dS} - \frac{S + S_e}{2S} \Delta \right]$$

$$\sigma_R = -\frac{E}{1-\mu^2} \Lambda \frac{S_e - S}{2S}$$

# Layer remove method – Sachs (3)



## Advantages

- deep depth
- rapid method

## Disadvantages

- limited geometry
- destructif

**Bar in Titanium alloy R=50 mm  
After quench treatment**

Surface in compression



## Layer remove method – deflexion (1)

- Frequently used to determine the stresses within coatings and layers.
- Deposition of a layer can induce stresses which cause substrate to curve
- Curvatures can be measured using **contact methods** (profilometry, strain gauges) or **without direct contact** methods (video, **laser scanning**, grids, double crystal diffraction topology)
- Curvature is related to the **residual stress** using **Stoney's equation**

## Layer remove method – deflexion (2)

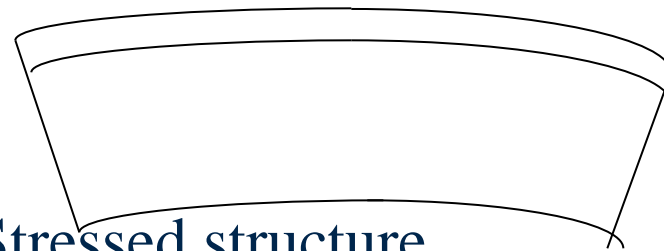
Stoney’s Equation

$$\sigma = (E_s / (1-\nu_s)) * (t_s^2 / t_1) * [ 1/R_2 - 1/R_1 ]$$

Where,  $E_s/(1 - \nu_s)$  is the substrate biaxial modulus,  $t_s$  and  $t_1$  are substrate and film thickness,  $R_1$  and  $R_2$  are radii of curvature of substrate before and after deposition respectively. It is assumed that  $t_1 \ll t_s$



Free and unstressed  
film and substrate



Stressed structure

# Layer remove method – deflexion (3)

**Massive component**



**Cutting**



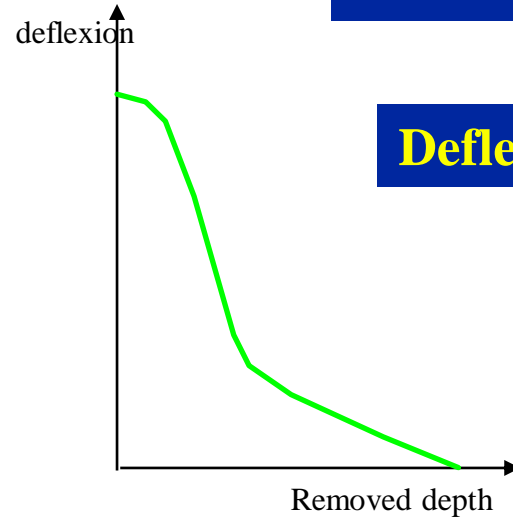
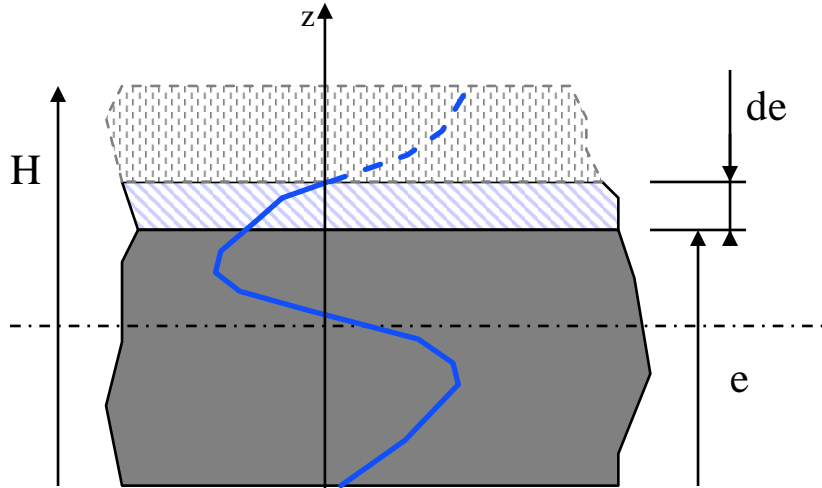
**Relaxation**



**Deflexion measurement**



**Stress Calculation**

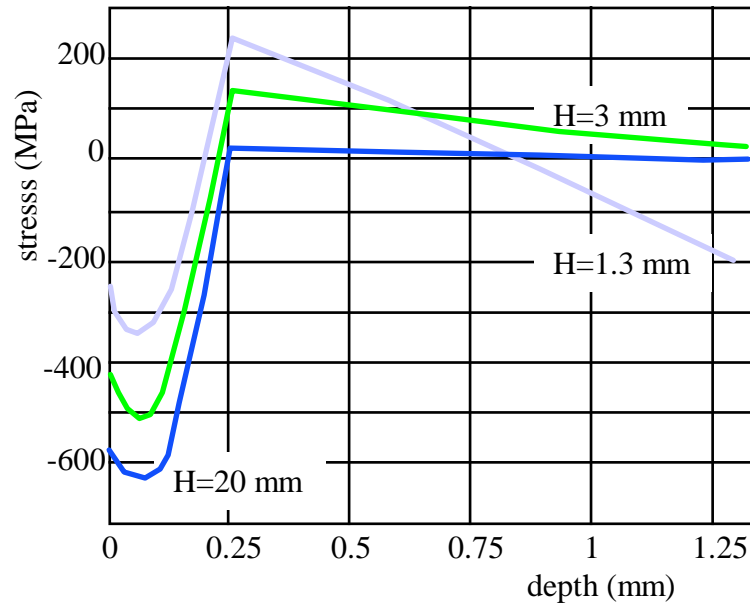


## Hypothesis

- samples with great length comparing to it's width
- $\sigma_{33}$  : negligible
- $\sigma_{11}$  : principal axis
- material removing should not introduce additional stress

## Layer remove method – deflexion (4)

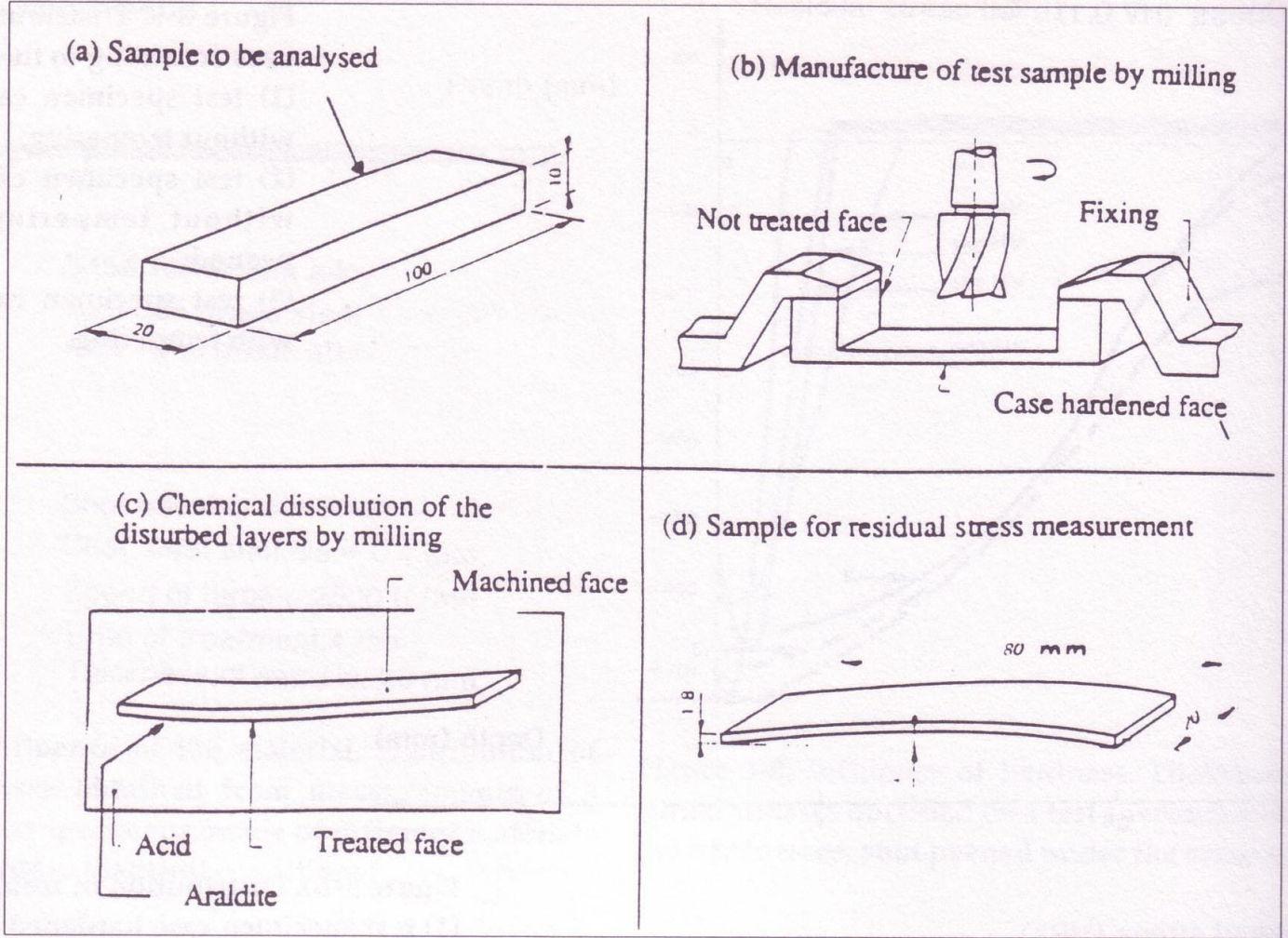
$$\sigma_e = -4 \frac{E_e^2}{3l^2} \frac{df_e}{de} + \frac{8E_e}{l^2} (f_H - f_e) + \frac{8E}{3l^2} \int_H^e edf$$



∧ same conditions  
than surface shot-peening

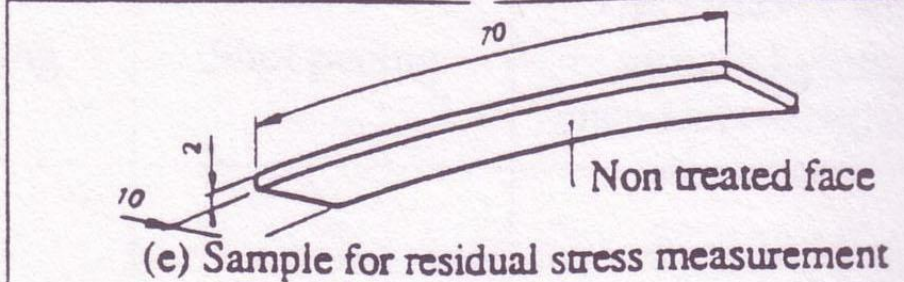
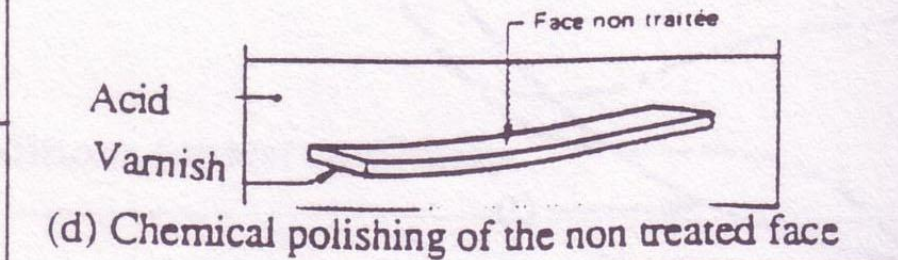
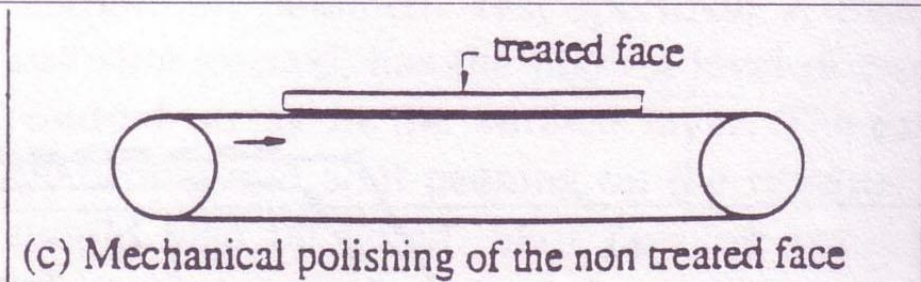
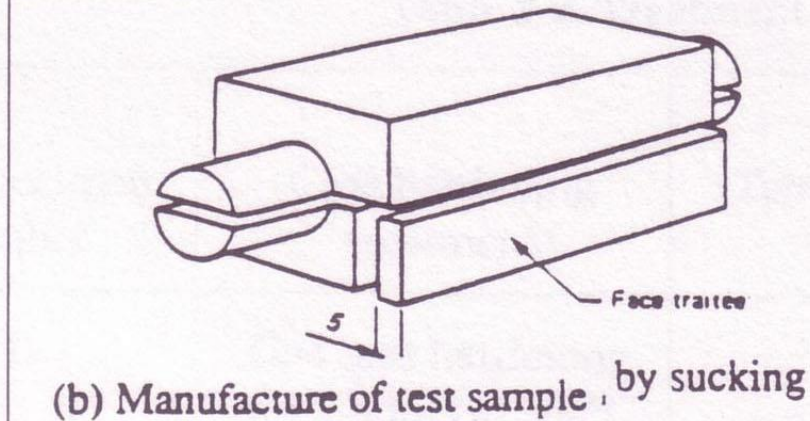
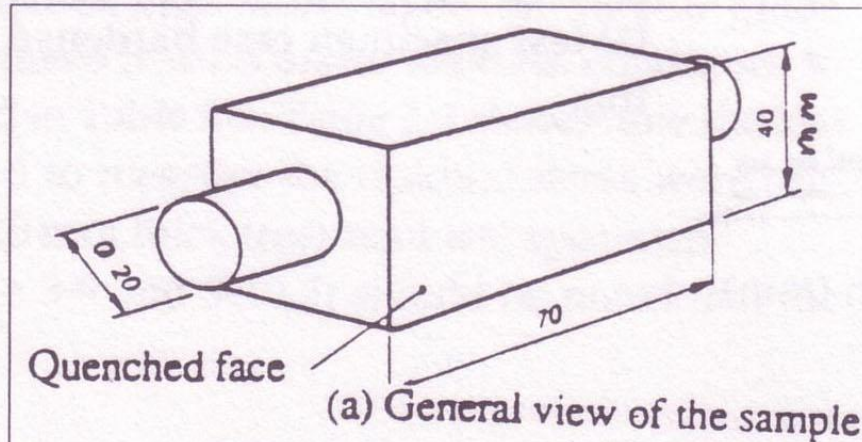
□ 3 samples with different  
thickness

# Layer remove method – deflexion (5)



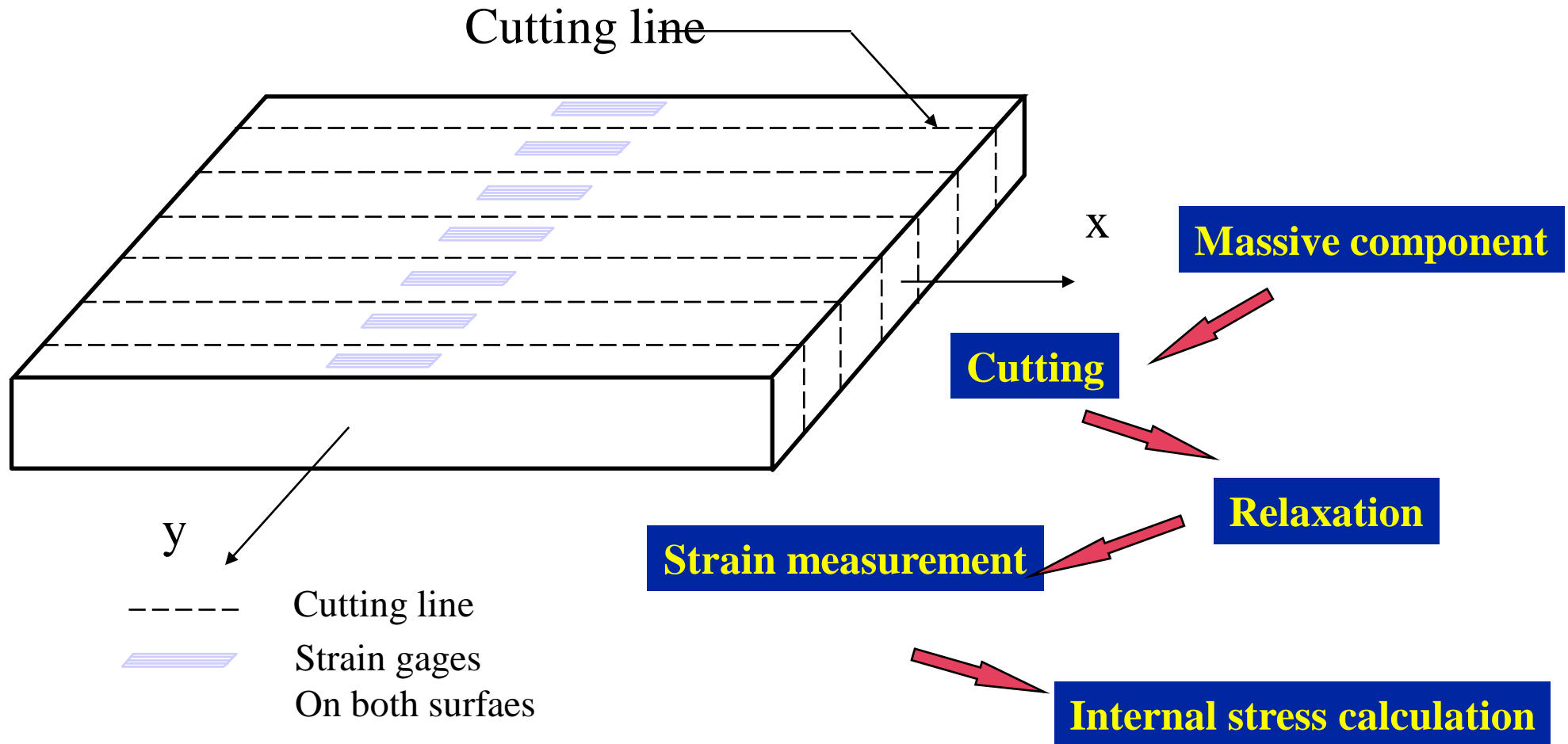
Preparation for tested specimens

## Layer remove method – deflexion (6)

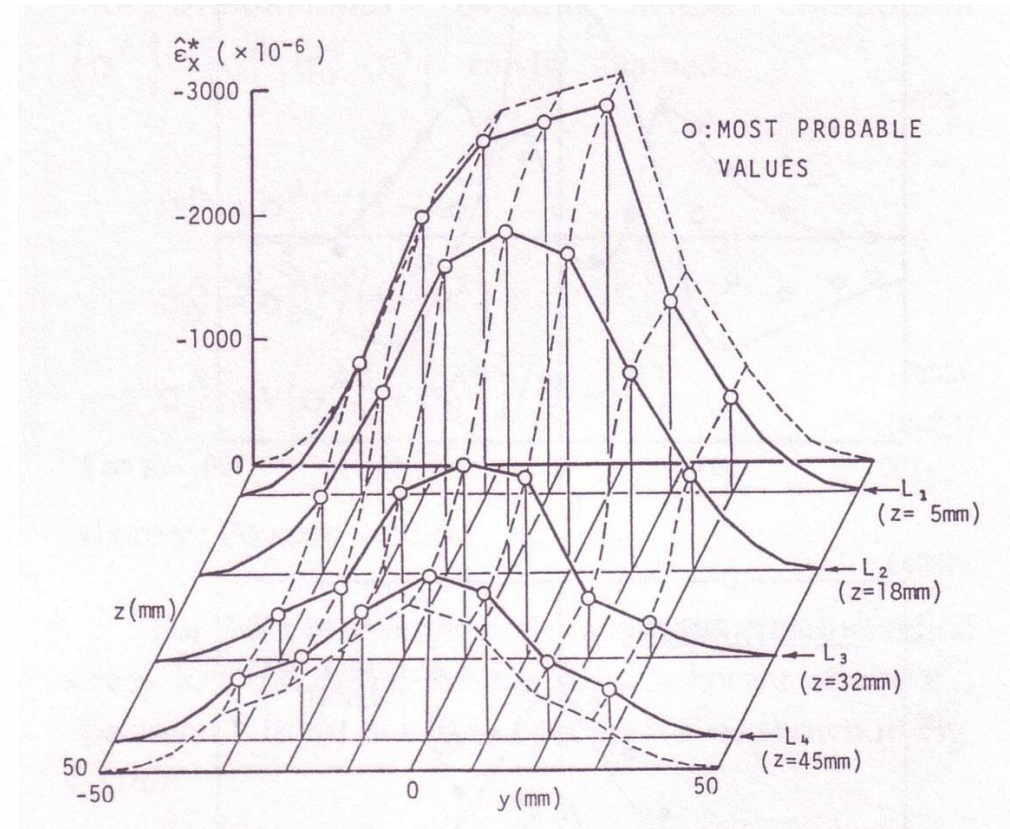
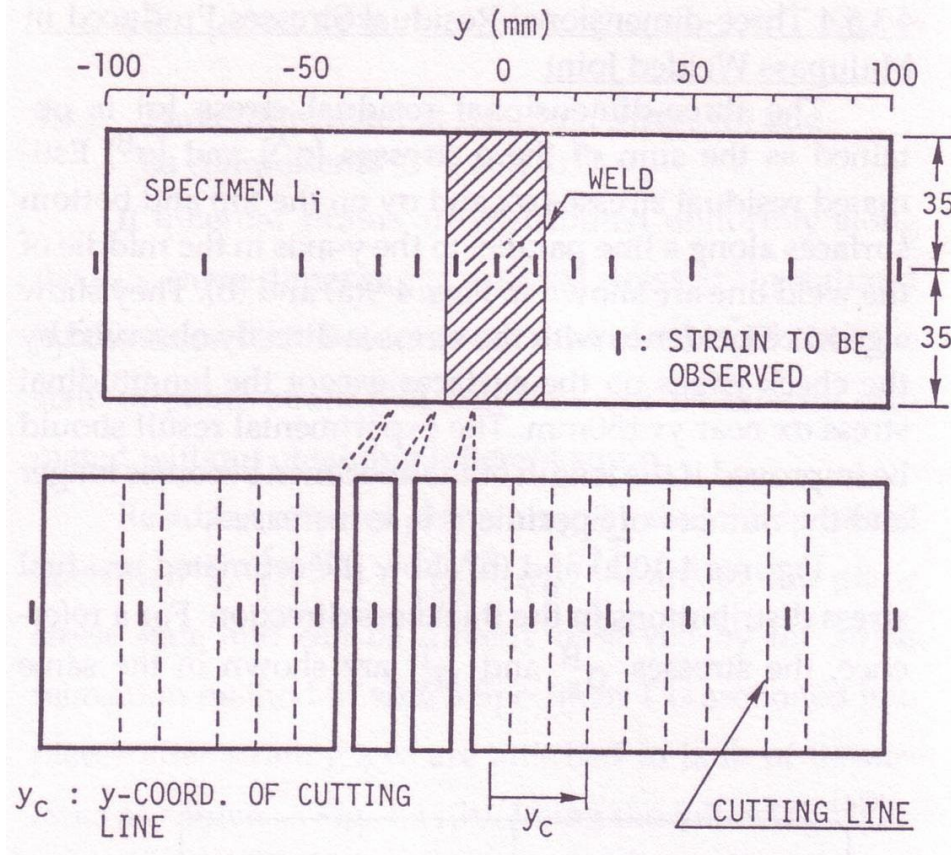


Used method for specimen preparation from induction treated component

# Sectioning method (1)



# Sectioning method (2)



Location of observing points  
and procedure of cutting

Most probable values of  
longitudinal inherent strains



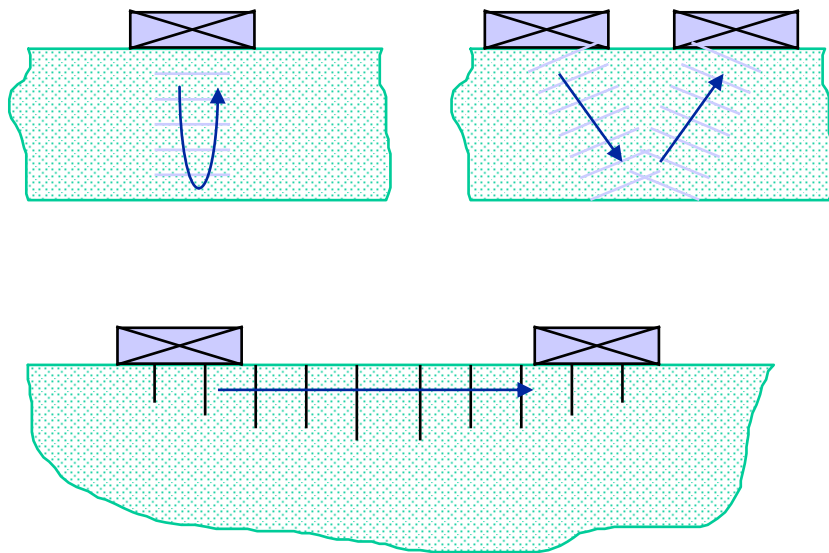
# Comparaison of methods

## Methods for RS determination by stress and strain field modification

	<b>Modification of stress field due to material removing</b>			
<b>Method</b>	<b>Hole-drilling</b>	<b>Ring core</b>	<b>Deflexion</b>	<b>Sachs</b>
Hypothesis on material	Plate stress	Plate stress	Plate stress length >> width >> e	Cylindric piece Homogeneous field on surface
Analysis volume	2 mm <sup>2</sup> x 2 mm	100mm <sup>2</sup> x 200mm	10 cm <sup>2</sup> x 0.1 mm	1 cm <sup>2</sup> x 0.2 mm
Mini. depth	20 μm	20 μm	20 μm	1 mm
Multiphase materials	Global information	Global information	Global information	Global information
Anisotropy sensibility	No	No	No	No
Instrument	Portable	Portable	No	No
Experimentation duration	5 minutes	30 minutes	1 hour	1 hour
Equipement cost	7 K€ to 40 K€	7 K€ to 40 K€	8 K€	8 K€ to 50 K€

# Ultrasonic method (1)

## Variation of speed of wave propagation



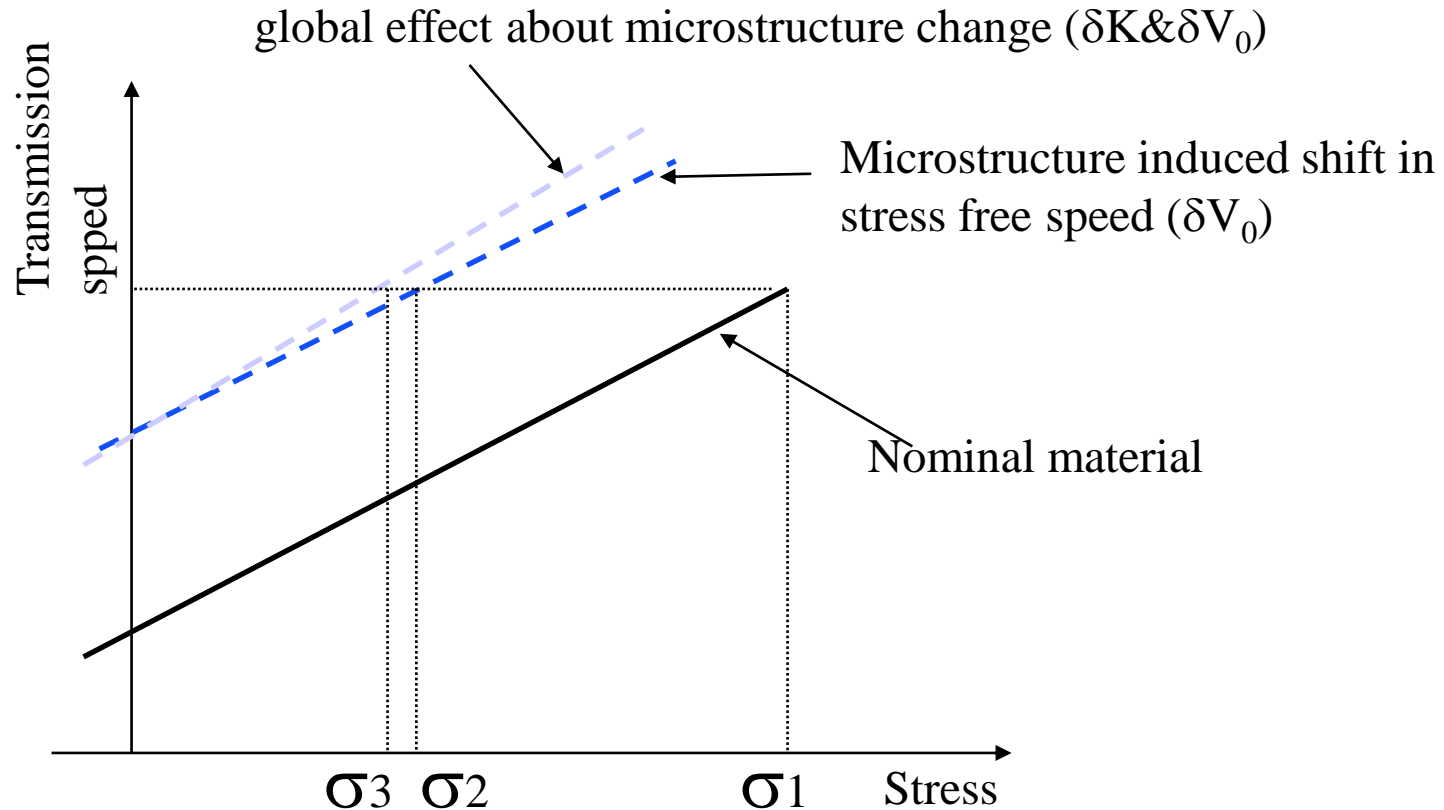
$$V = V_0 + K\sigma$$

speed of wave propagation  
in material without stress

Acoustoelastic constant  
In function with material

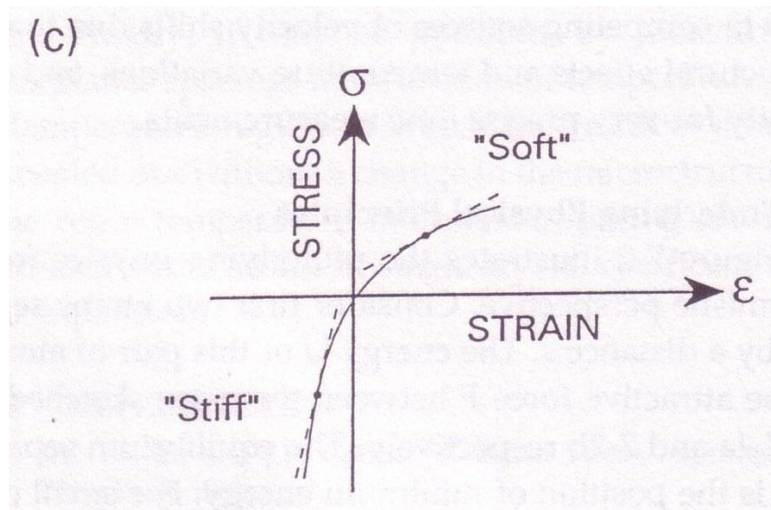
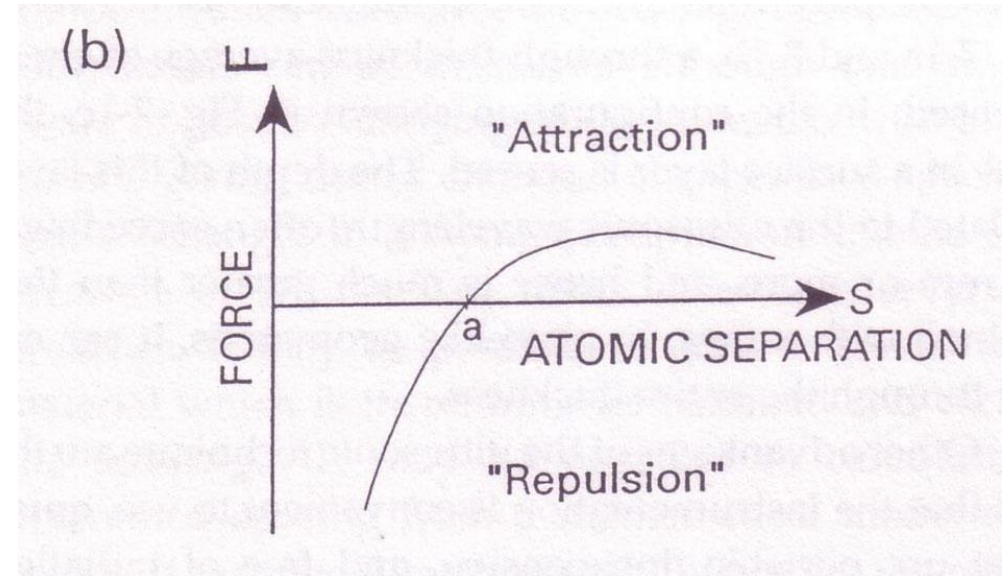
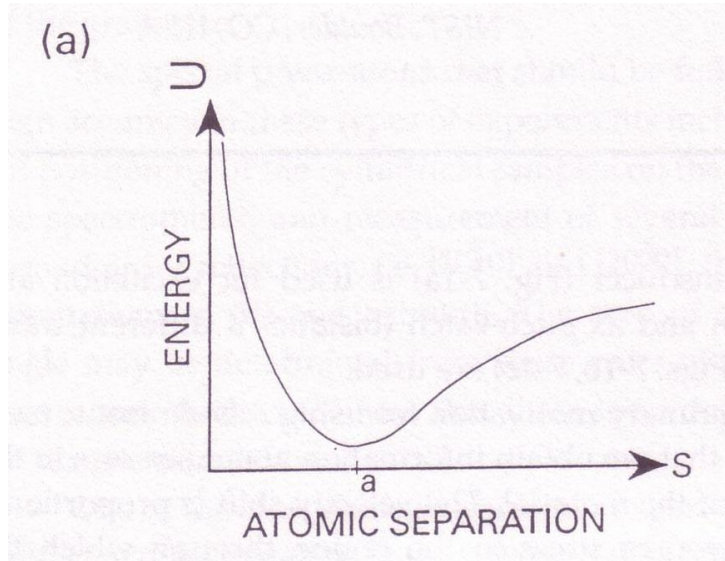
- information about the average information of internal stress
- information only in analysed zone

# Ultrasonic method (2)



- ̂ susceptibility on thermal and microstructural variations
- ̂ weak spatial resolution
- ̂ great precision about the measurement vs. time

# Ultrasonic method (3)



## Origin of acoustoelastic effect

A: Energy vs. atomic separation for a pair of atoms

B: Interatomic force vs. atomic separation

C: Stress vs. an elastic solid, change in local slope inducing compressive or tensile stress

# Ultrasonic method (4)

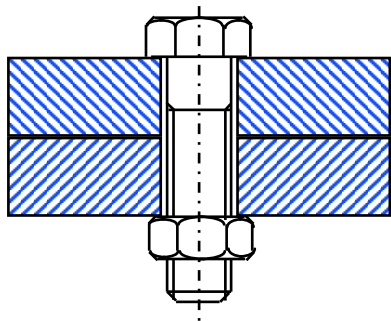
	$\hat{K}_1$	$\hat{K}_2$	$\hat{K}_3$	$\hat{K}_4$	$\hat{K}_5$
Polystyrene <sup>a</sup>	-93.7	-4.49	-4.59	-40.80	-11.46
Magnesium (Tooling Plate) <sup>b</sup>	-19.36	-11.76	-1.02	-4.03	0.58
Al Alloy (2S) <sup>b</sup>	-7.73	1.12	-2.15	-3.96	0.79
Rail Steel #1 <sup>c</sup>	-1.21	0.18	-0.12	-0.75	0.02
Rail Steel #4 <sup>c</sup>	-1.21	0.11	-0.11	-0.71	0.01
Tungsten (Sintered) <sup>b</sup>	-0.91	-0.09	0.15	-0.54	-0.10
Pyrex <sup>a, e</sup>	8.26	-0.99	5.85	4.04	-4.72
ZrO <sub>2</sub> <sup>d</sup> (partially stabilized)	-1.59	0.13	-0.31	-0.93	0.26
Al <sub>2</sub> O <sub>3</sub> <sup>d</sup>	-0.52	-	-	-0.38	-0.014
WC-Co ceramic <sup>d</sup>	-0.28	0.003	-	-0.21	0.003

Acoustoelastic constants of selected materials (%GPa)

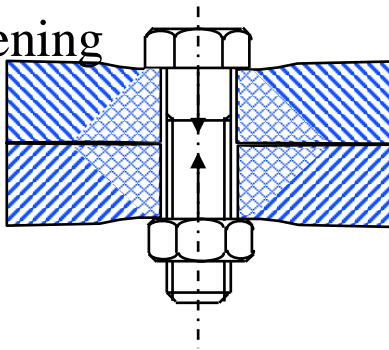
# Ultrasonic method (5)

## Example

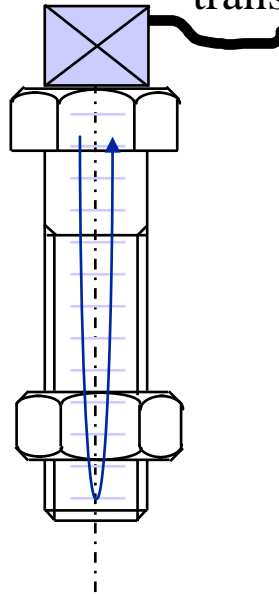
Before tightening



after  
tightening



Ultrasonic  
transloar



$$\hat{\lambda} = 6 \text{ mm}/\mu\text{s}$$

$$\hat{l} \text{ bolt length} = 15 \text{ mm}$$

$$\hat{\epsilon} \text{ extention} = 5 \mu\text{s}$$

$\hat{\sigma}$  10% variation of  $\sigma$  leads to  
0.1% of speed

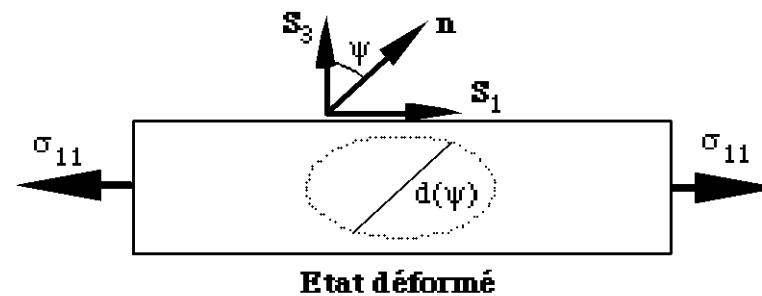
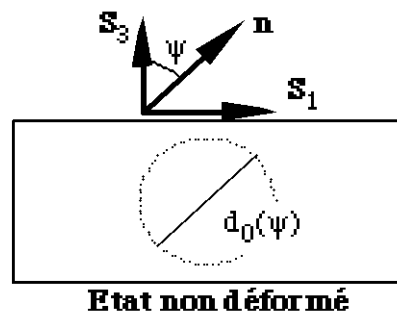
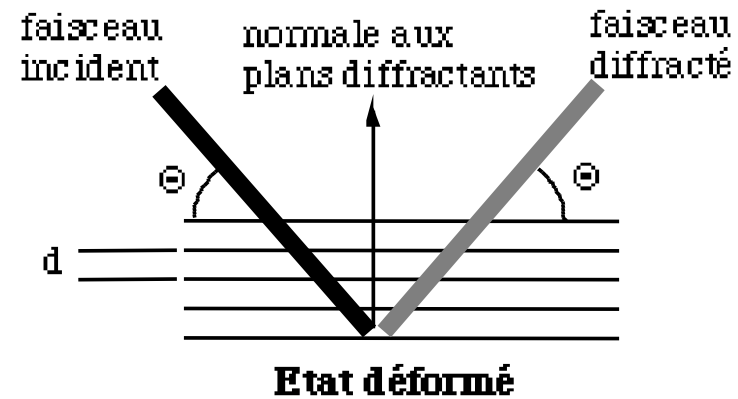
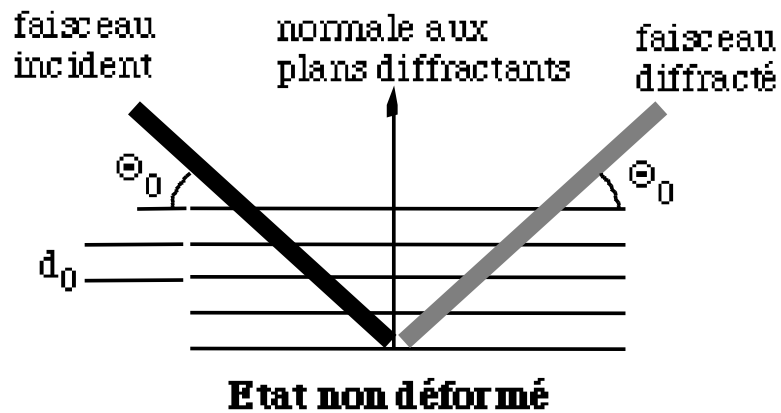
$$\hat{\delta} \text{ precision on length: } 7.5 \mu\text{m}$$

$$\hat{\delta} \text{ time resolution: } 2,5 \text{ ns}$$

# Principal: R.S. and XRD (1)

Parameters	Explanatory Factors
Peak Position	<ul style="list-style-type: none"> <li>* Geometrical aberrations from apparatuses</li> <li>* Average chemical composition</li> <li>* <u>Macroscopical stresses</u></li> </ul>
Peak Intensity	<ul style="list-style-type: none"> <li>* Phases distribution</li> <li>* <u>Orientation distribution</u></li> </ul>
Peak Forme	<ul style="list-style-type: none"> <li>* Instrumental broadening</li> <li>* Chemical composition distribution</li> <li>* Elastic strain distribution</li> <li>* <u>Coherent domain size/crystallite size</u></li> <li>* <u>Strain inside coherent domain/crystallite</u></li> </ul>

# Principal: R.S. and XRD (2)



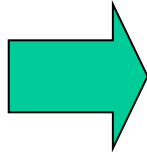




# Principal: strain measurement (1)

Measured strain	Different approaches
conventional strain :	$\varepsilon = \frac{d - d_0}{d_0} \quad \rightarrow \quad \varepsilon = \frac{\sin\Theta_0}{\sin\Theta} - 1$
rational strain:	$\varepsilon = \ln\left(\frac{d}{d_0}\right) = \ln\left(\frac{\sin\Theta_0}{\sin\Theta}\right)$
development in series:	$\ln(x) = \left(\frac{x-1}{x}\right) + \frac{1}{2}\left(\frac{x-1}{x}\right)^2 + \dots \quad \rightarrow \quad \varepsilon = 1 - \frac{\sin\Theta}{\sin\Theta_0}$
Differentiation of Bragg law	$\varepsilon = -\cot\Theta_0 \cdot \Delta\Theta$

strain  $\varepsilon$



diffraction peak shift  $\Delta 2\theta$

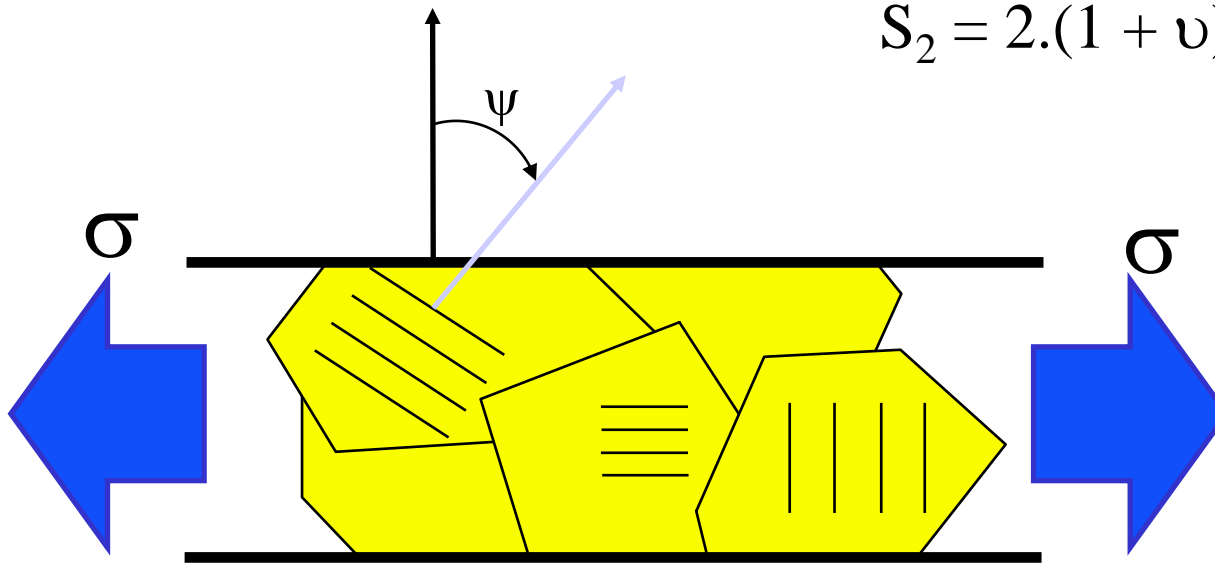
# Principal: strain measurement (2)

$\sin^2\psi$  law:

$$\varepsilon_{\phi\psi} = \frac{1+\nu}{E} \sigma_{\phi} \sin^2\psi - \frac{\nu}{E} \text{Tr}(\boldsymbol{\sigma}) = \frac{1}{2} S_2 \cdot \sigma_{\phi} \cdot \sin^2\psi + S_1 \cdot \text{Tr}(\boldsymbol{\sigma})$$

$$S_2 = 2 \cdot (1 + \nu) / E$$

$$S_1 = -\nu/E$$

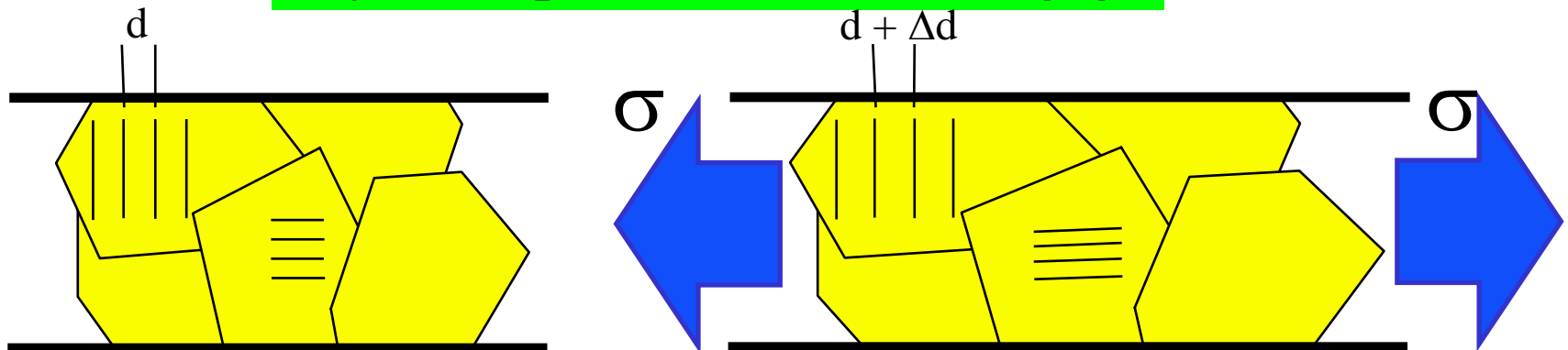


## Assumptions

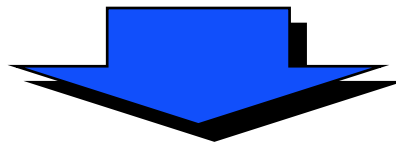
- Homogeneous and isotropical materials
- Elastic strain
- Surface analysis

# Principal: stress calculation (1)

Crystallin plans = Deformation gage

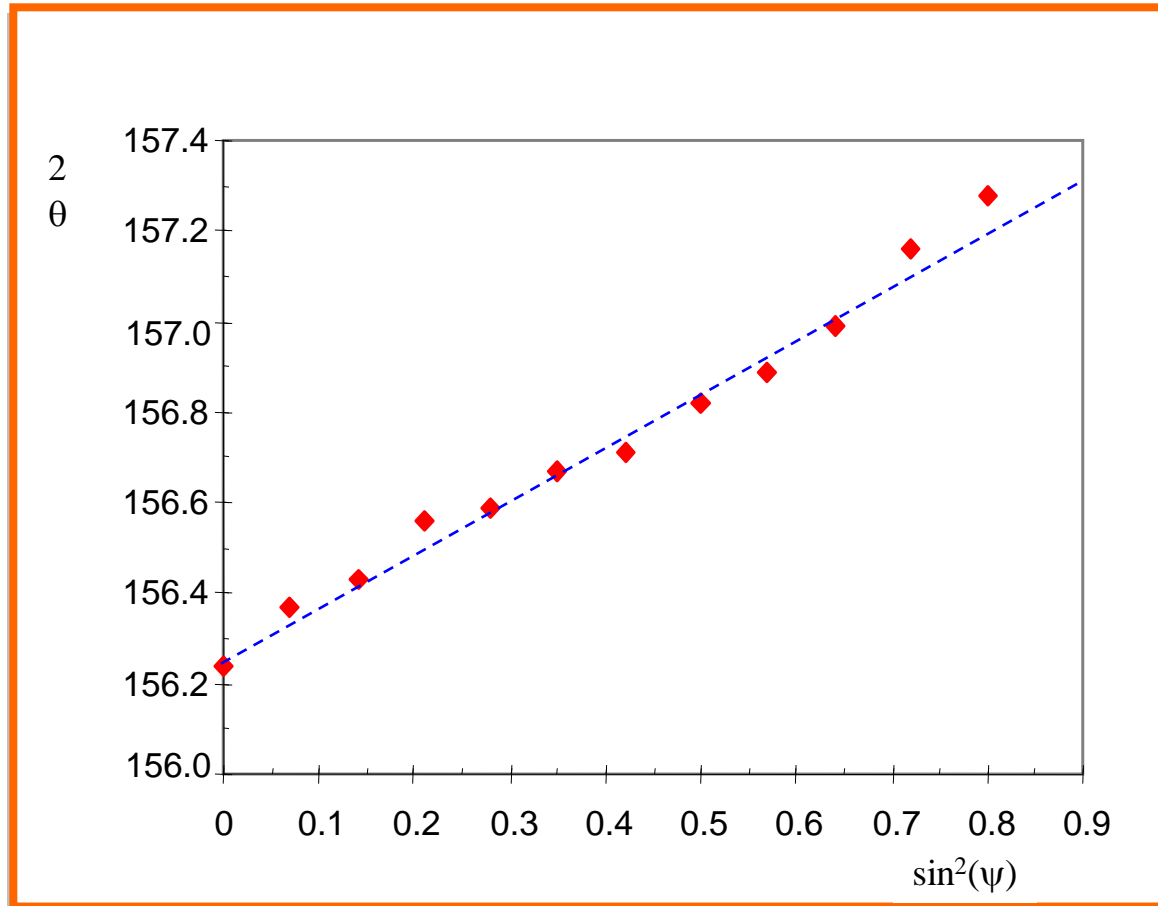


Homogeneous elastic deformations of crystals in material



Variations of inter-reticular distances = macroscopic deformations

# Principal: stress calculation (2)



{211} planes of  
 $\alpha$ -Fe with  $\lambda_{\text{CrK}\alpha}$   
 $2\theta = 156,3^\circ$

$\sigma = -350 \text{ MPa} \pm 30$



# Principal: analysis standard

- **European standard**

FA121454

ISSN 0335-3931

**norme européenne**

**NF EN 15305**

Avril 2009

norme française

Indice de classement : A 09-185

ICS : 19.100

Essais non destructifs

**Méthode d'essai pour l'analyse  
des contraintes résiduelles  
par diffraction des rayons X**

E : Non-destructive Testing — Test Method for Residual Stress analysis  
by X-ray Diffraction

D : Zerstörungsfreie Prüfung — Röntgendiffraktometrisches Prüfverfahren  
zur Ermittlung der Eigenspannungen

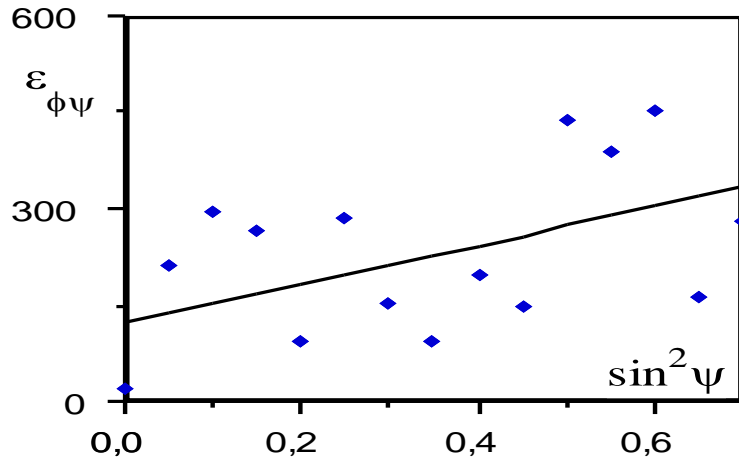
# Principal: precautions and limits

- Analysis volume and depth:  
 $\approx \text{mm}^2 \times \text{a few tens } \mu\text{m}$
- Stress average values:  
 $\text{in the volume and on the depth}$
- Elastic Constant and anisotropy:  
 $\text{microscopical REC}$
- Micro-macro passage :  
 $\text{REC evaluation or measurement}$

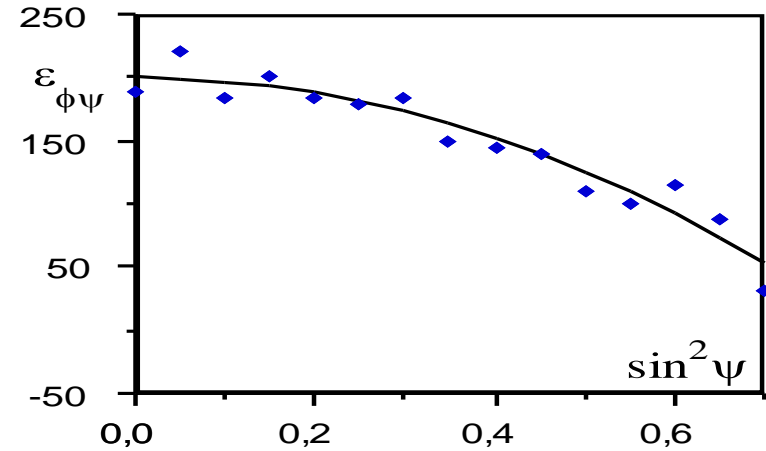
- Grains size
- Gradient
- Texture
- anisotropy
- Multiphase
- Specimen géométrie
- Thin films
- crystalline symmetry



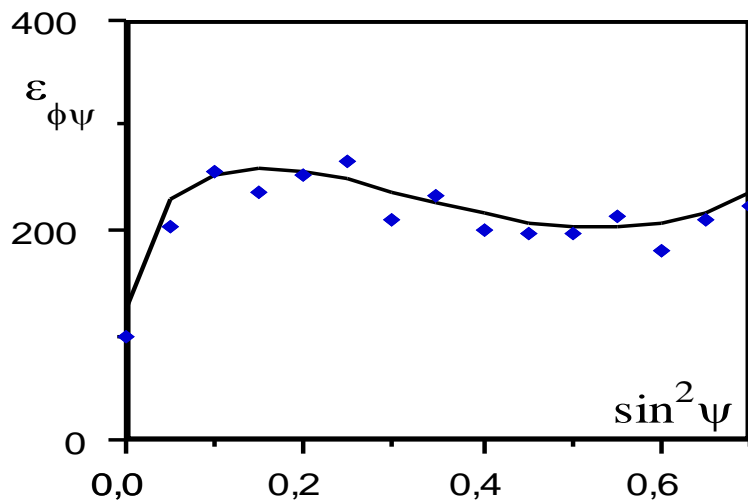
# precautions and limites



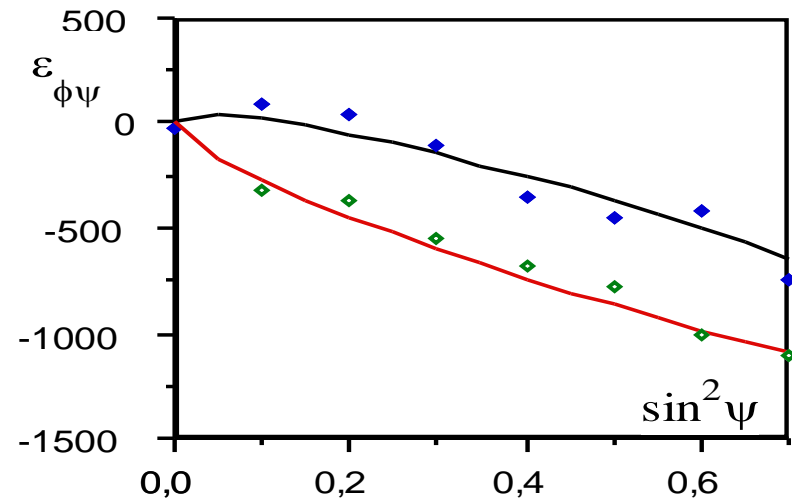
(a) Material with coarse grains



(b) Material with stress gradient

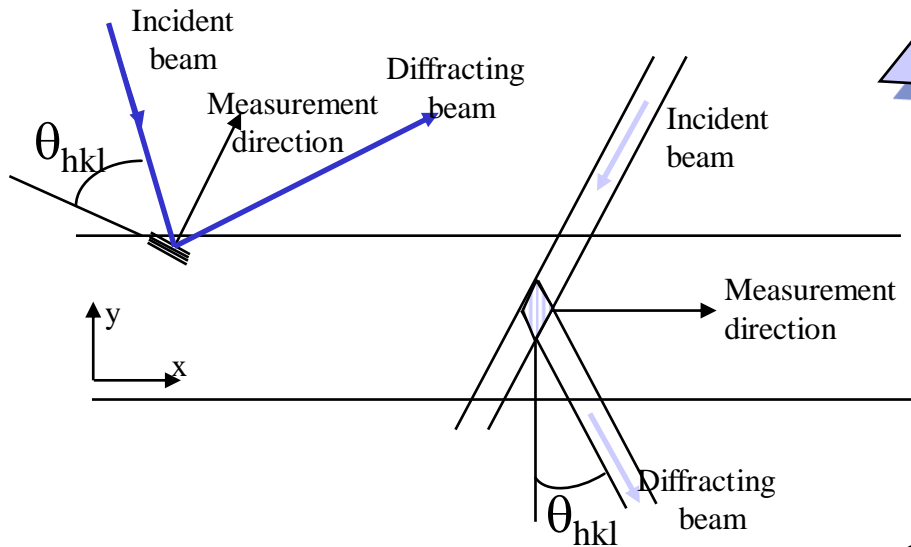


(c) Material with strong texture



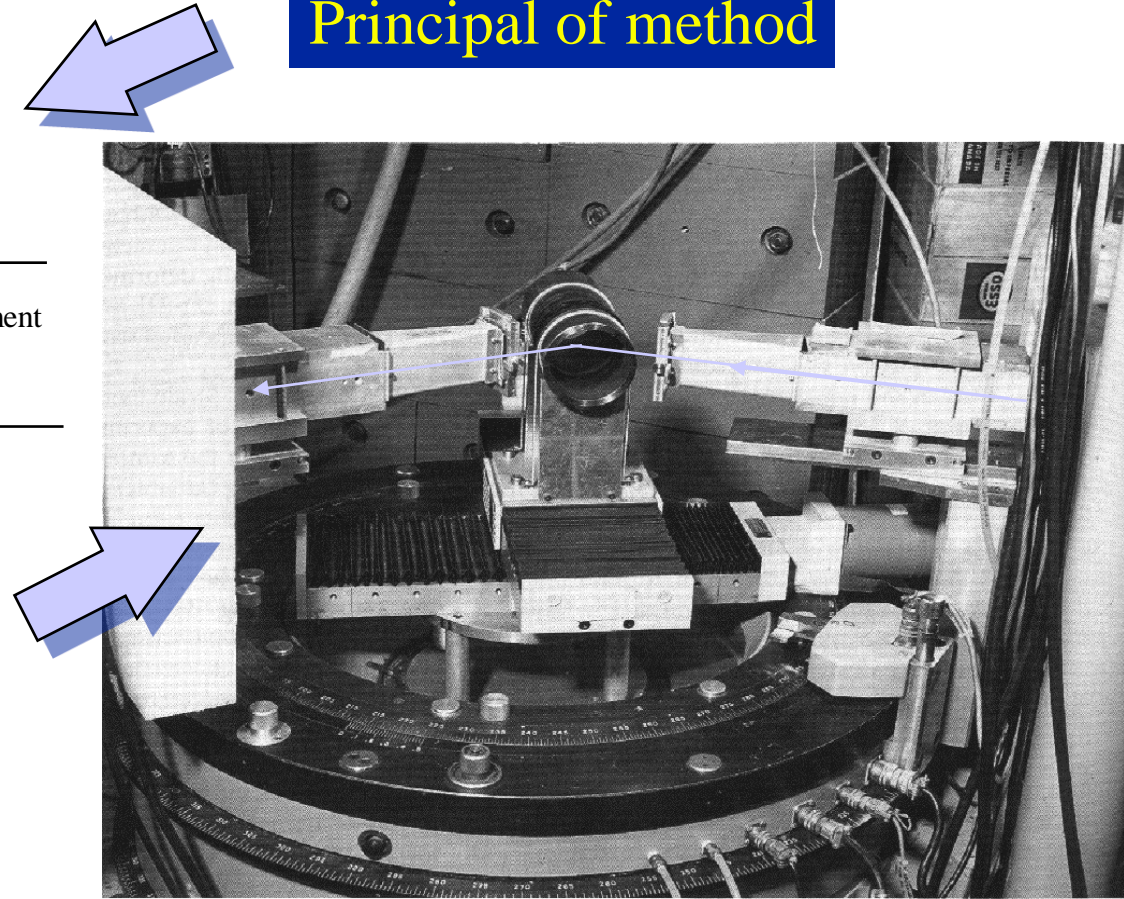
(d) Isotropic material, with 3-axial stress state

# Neutron diffraction (1)



Principal of method

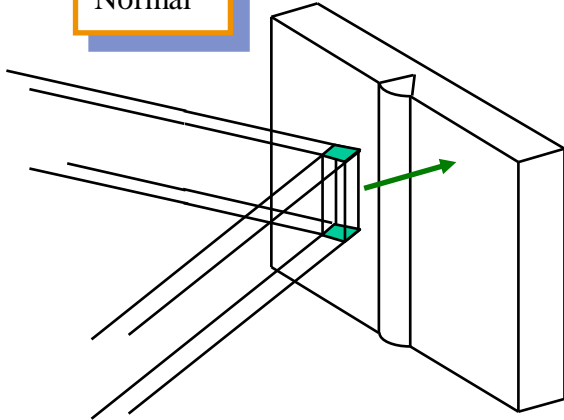
Goniometer for residual  
Stress analysis  
By neutron diffraction



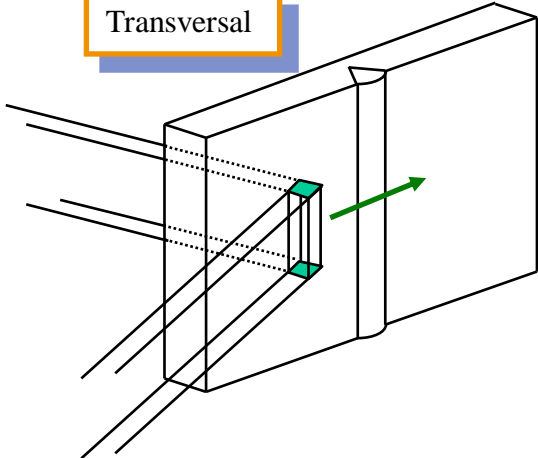


# Neutron diffraction (2)

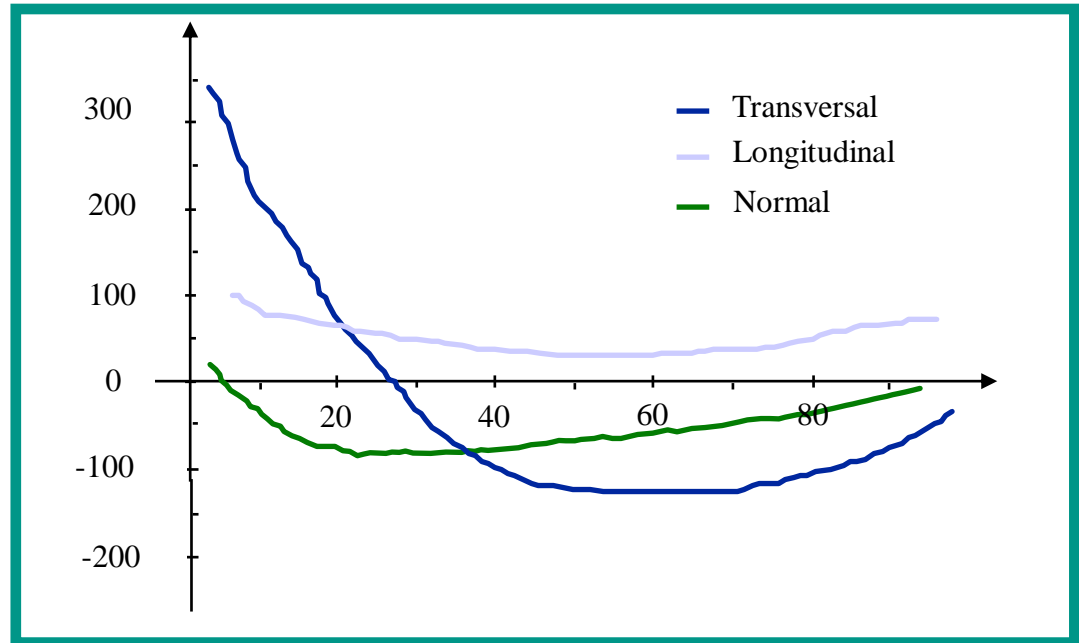
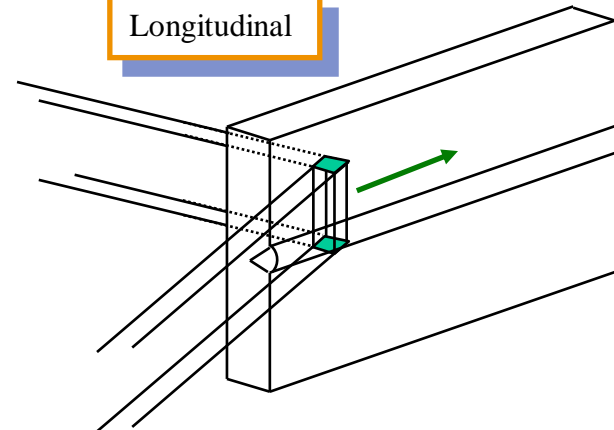
Normal



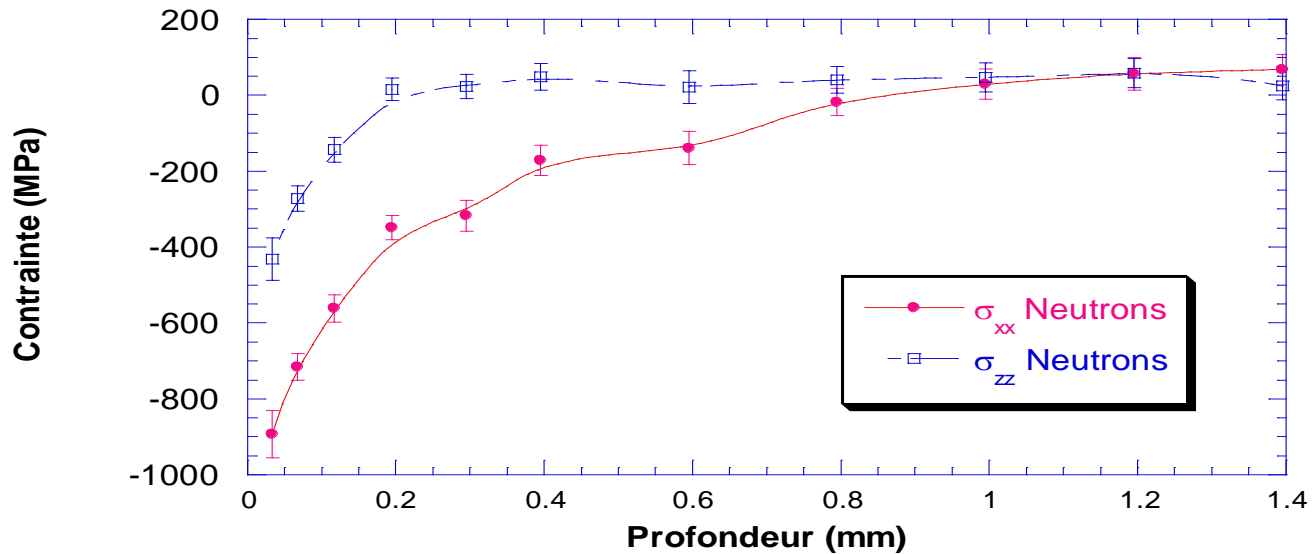
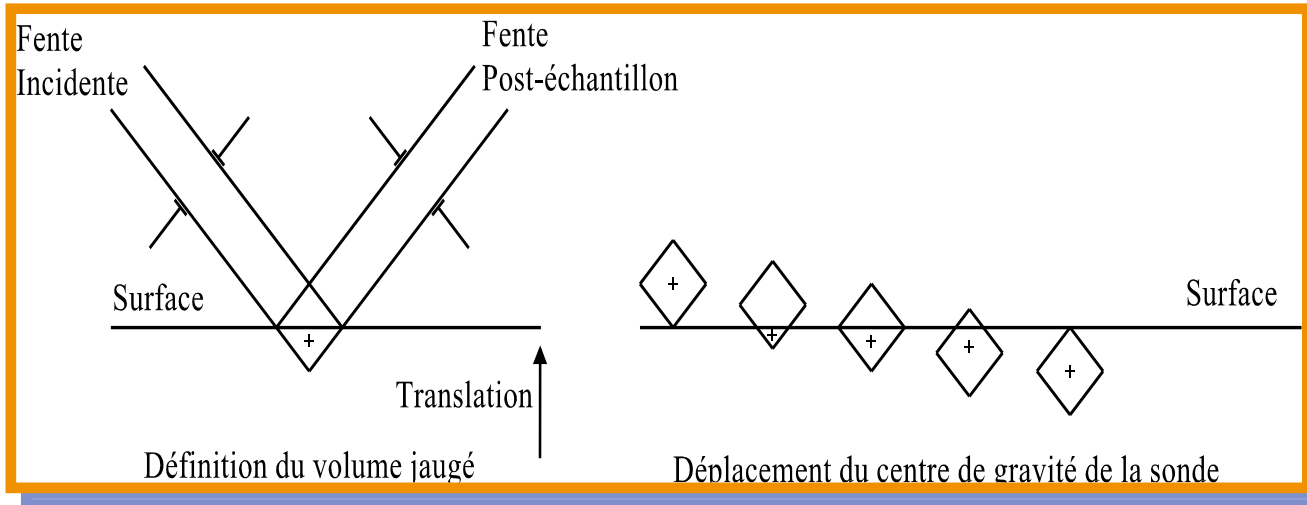
Transversal



Longitudinal



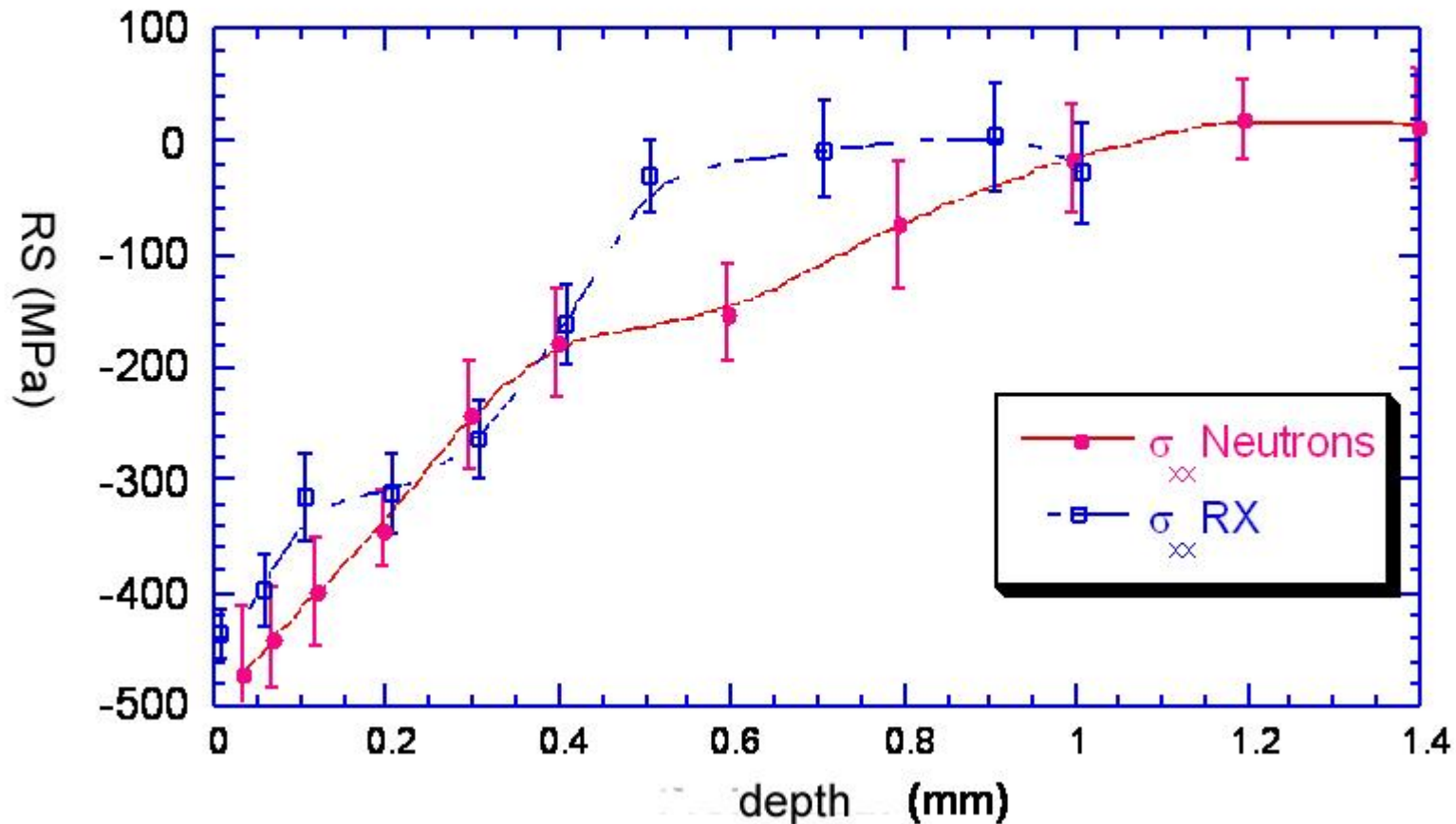
# Neutron diffraction (3)



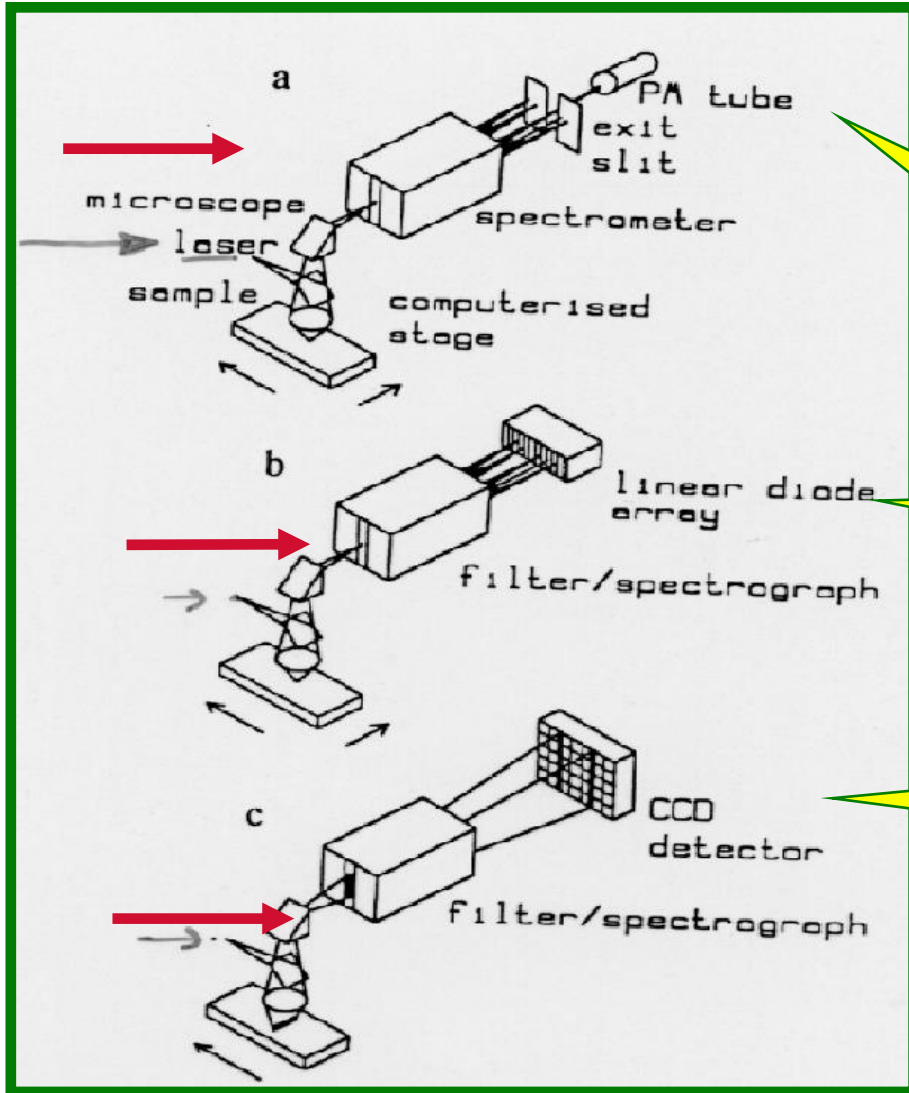


# Neutron diffraction (4)

Comparation between X-ray diffraction and neutron diffraction



# Raman effect (1)



Different configurations of Raman microscope

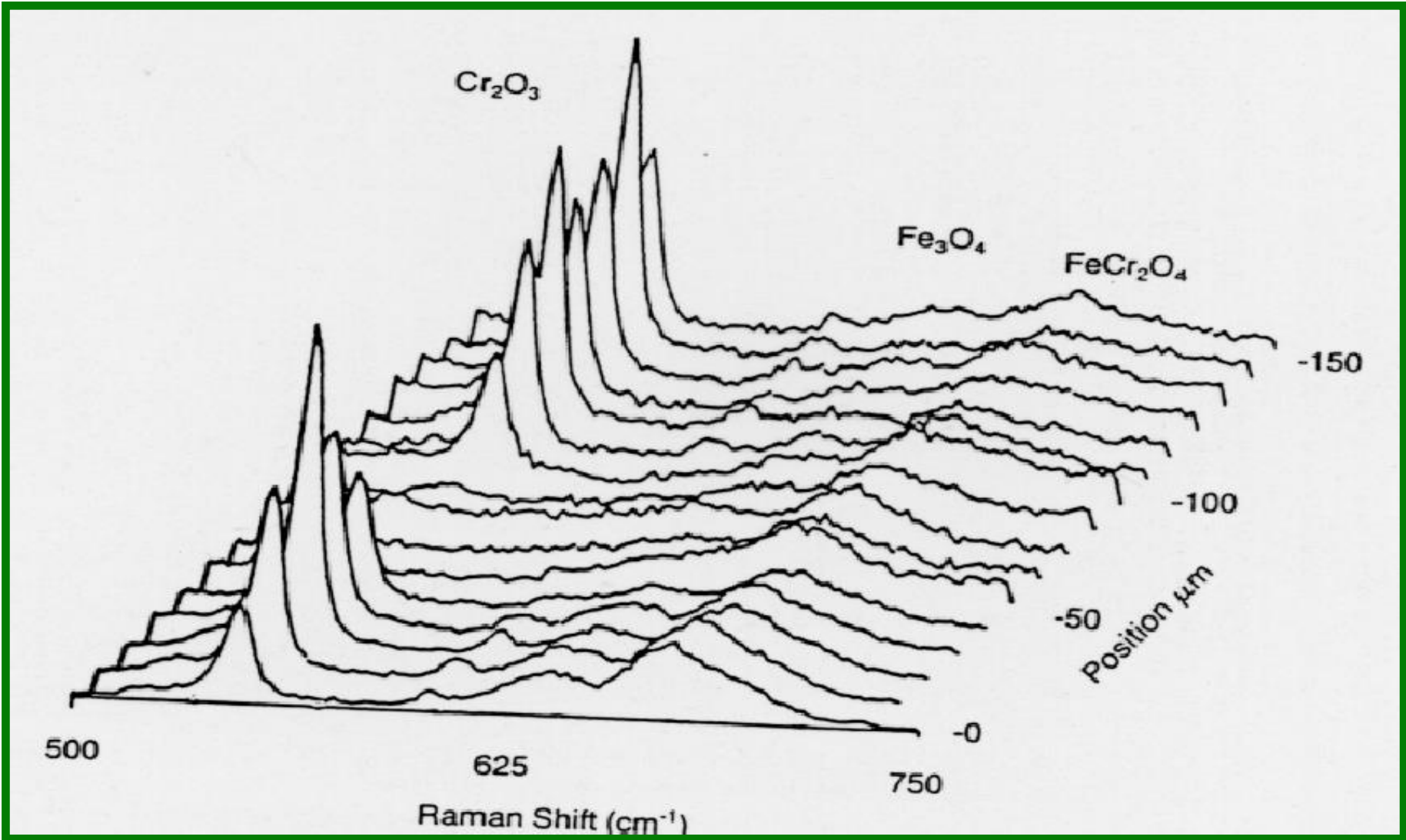
**A** Photomultiplier Detector

**B** Linear Detector

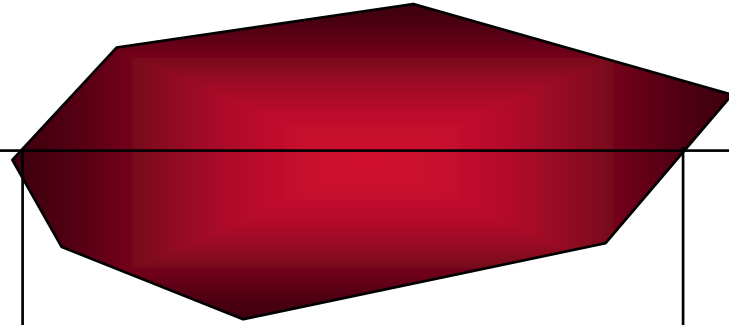
**C** CCD Detector

Spot dimension > 5  $\mu$ m

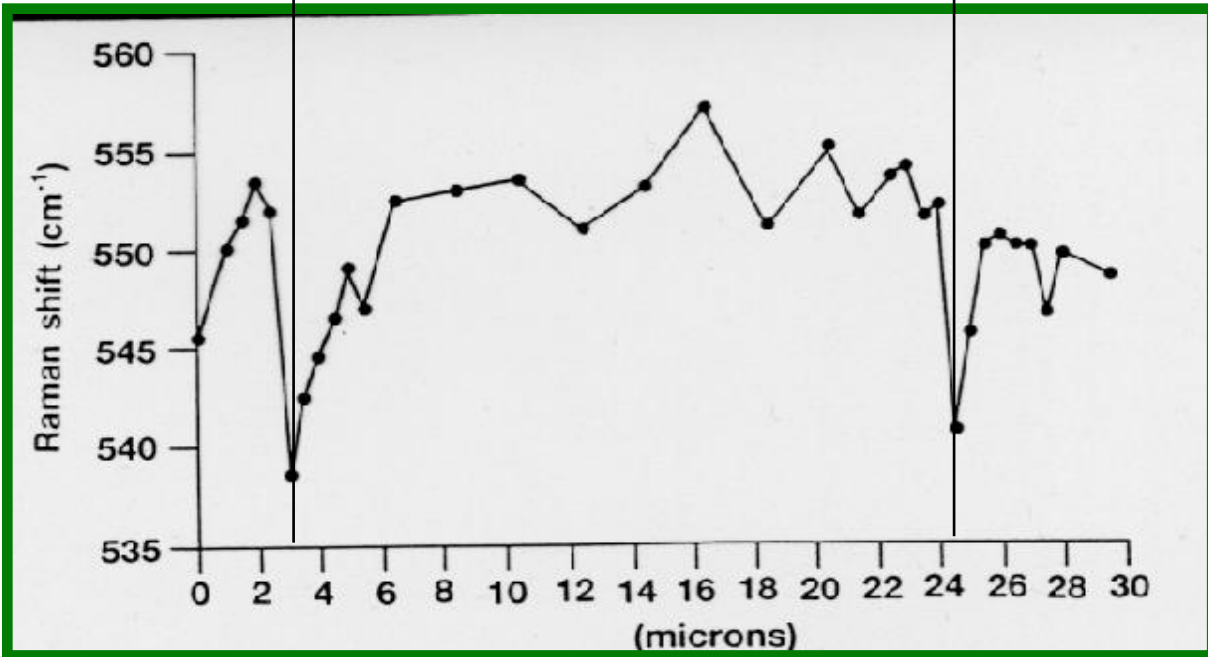
# Raman effect (2)



# Raman effect (3)



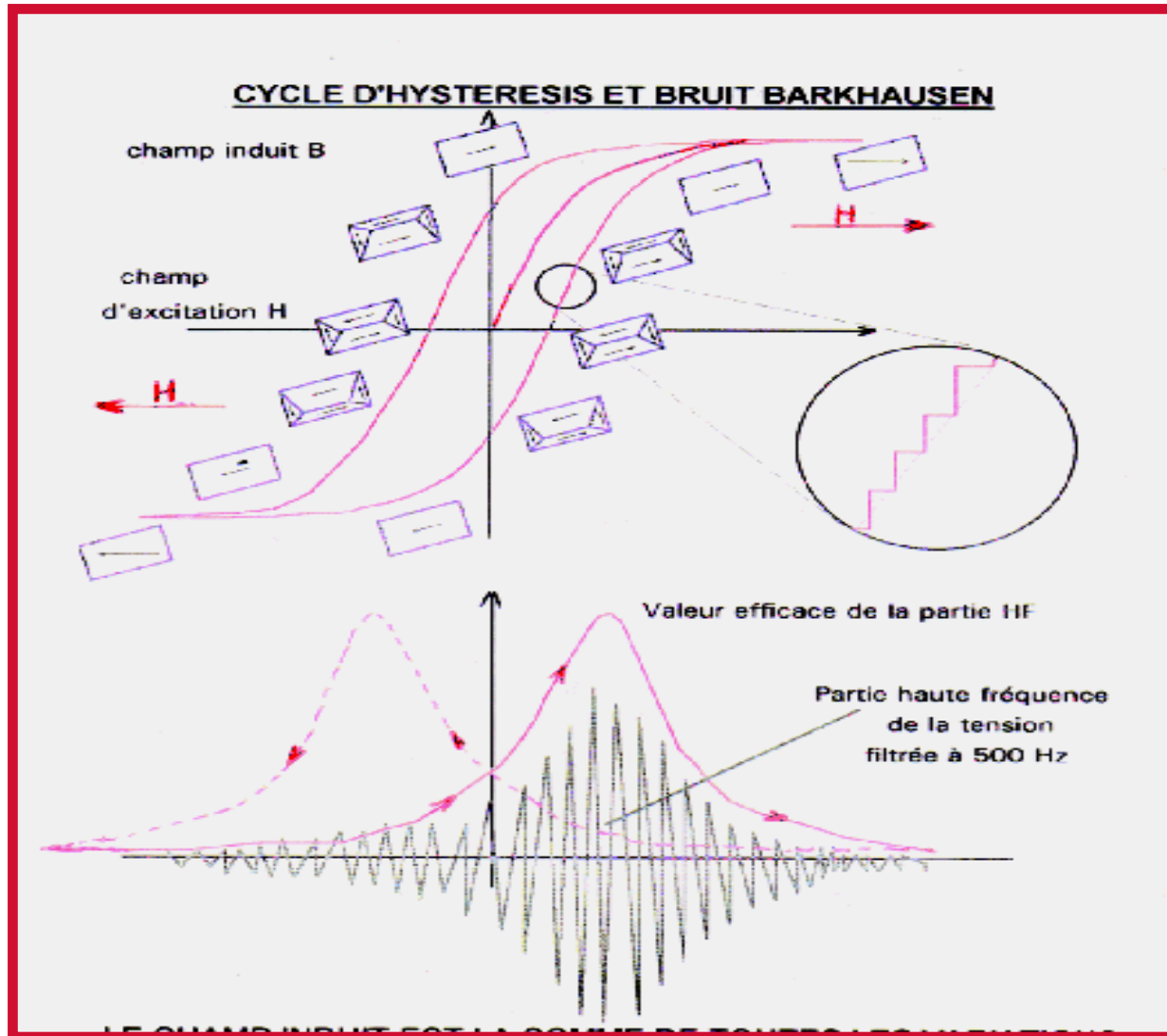
decrease of  $\text{Cr}_2\text{O}_3$  at grain boundary leads to apparition of intra-granular stress



↑ **Compression**

↓ **Tension**

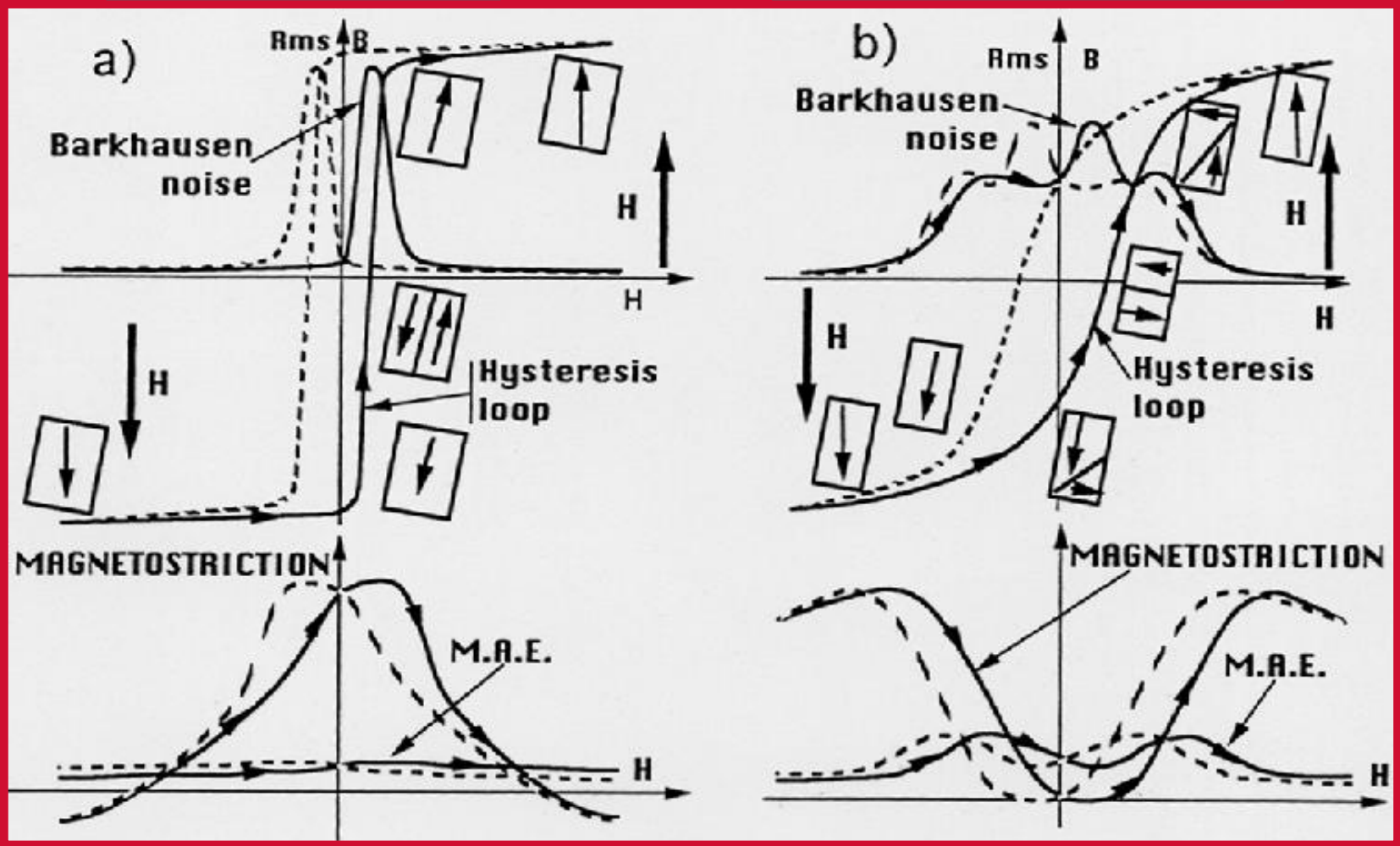
# Barkhausen noise (1)



# Barkhausen noise (2)

Applied tension

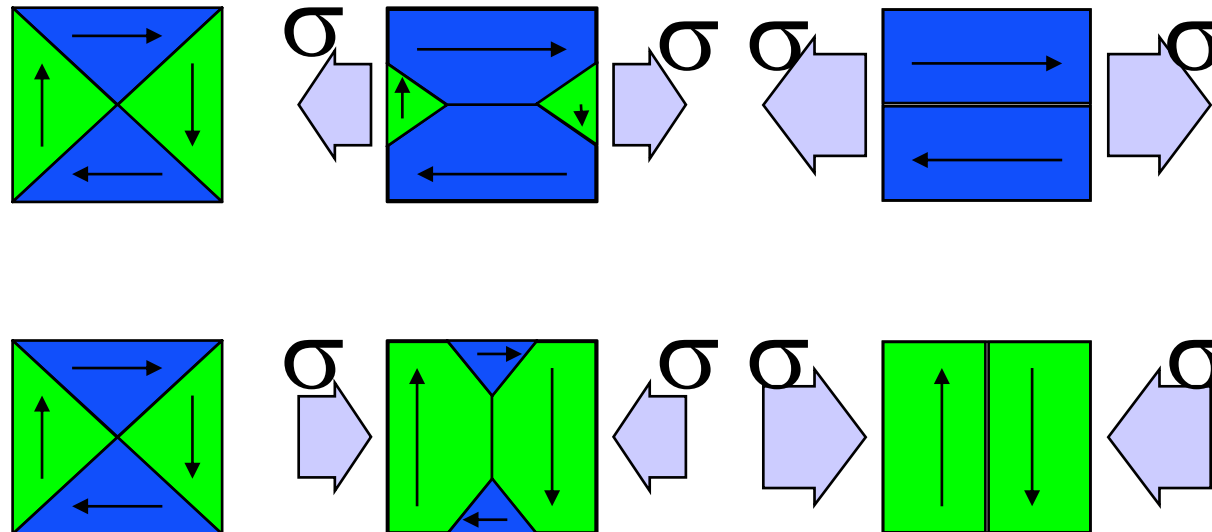
Applied compression





# Barkhausen noise (3)

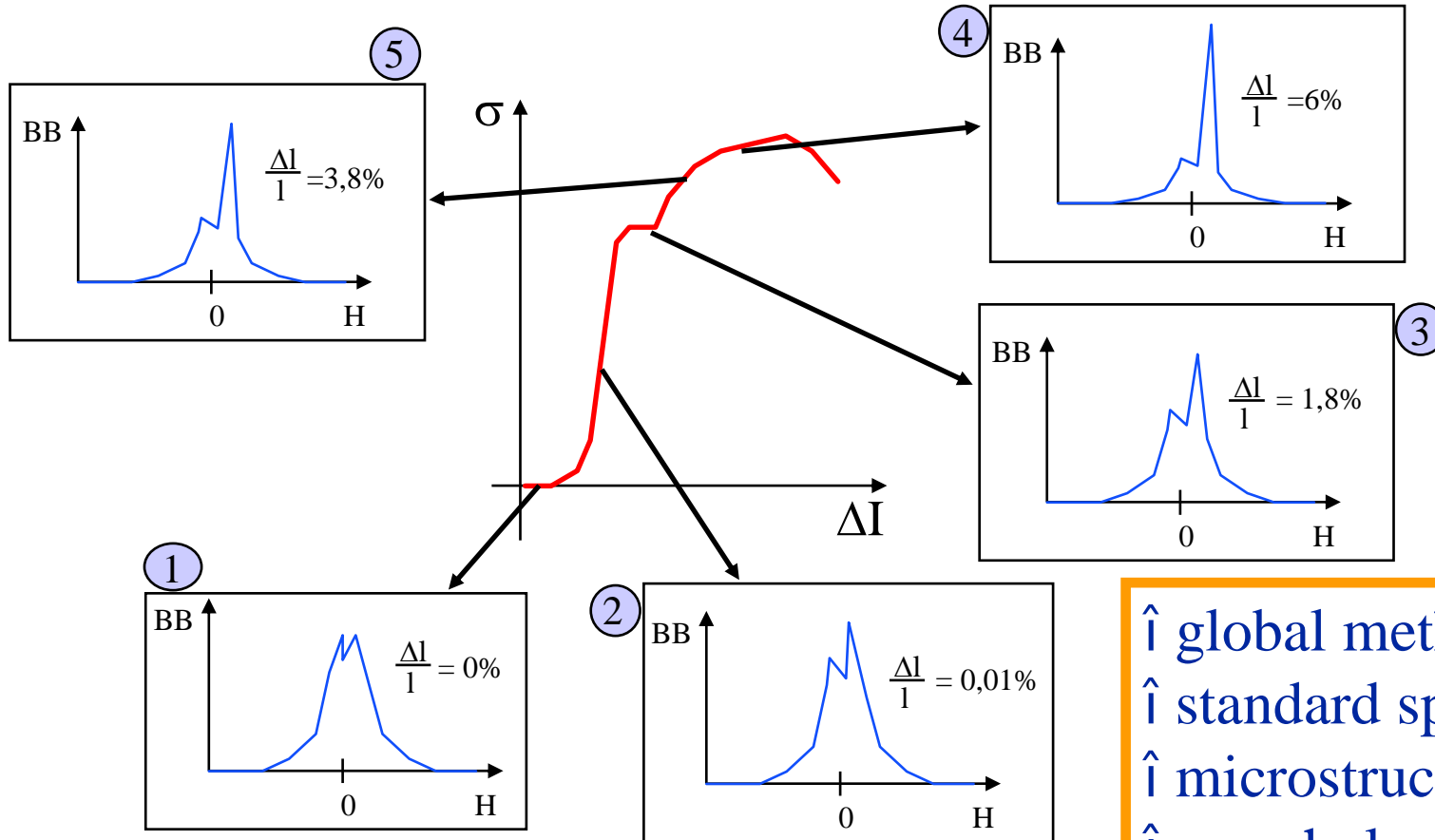
Stress field modification leads to a modification of arrangement of Weiss magnetic domains



∧ non destructive method

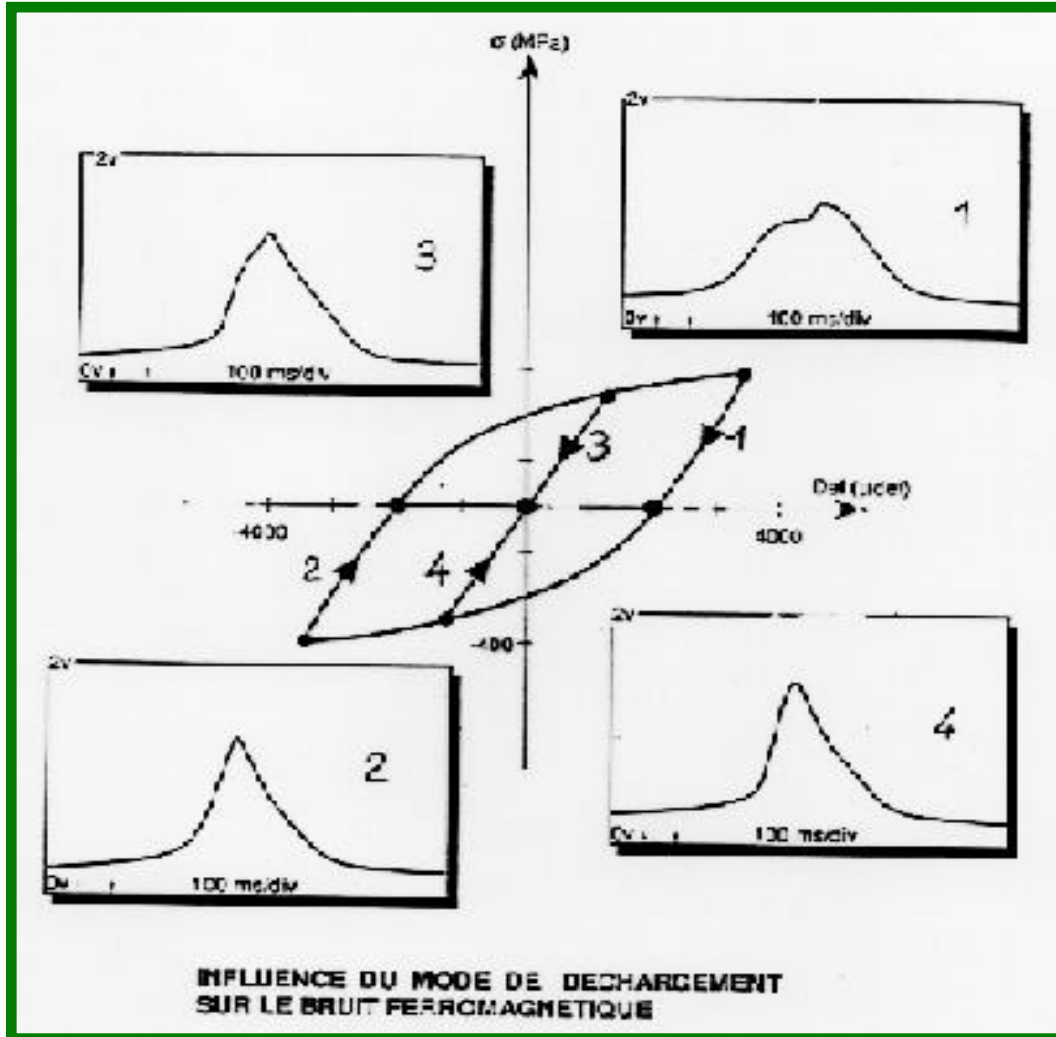
∧ ferromagnetic material

# Barkhausen noise (4)



- î global method
- î standard specimen
- î microstructure
- î morphology
- î macroscopic method

# Barkhausen noise (5)



Unloading effect on  
ferromagnetic noise

# Comparison of methods

## Methods for RS determination by physical order measurement

Method	$d_{hkl}$ : interreticular distance		US propagation speed	magnetism
	DRX	Neutron	Ultrason	Barkhausen
Hypothesis on material	Polycrystalline with small grains (< 50 $\mu\text{m}$ ) homogeneous, isotrope	polycrystalline, homogeneous, isotrope	Homogeneous, isotrope $\sigma$ homogeneous in analysis zone	ferromagnetic
Analysis volume	1 $\text{mm}^2 \times 15 \mu\text{m}$	10 $\text{mm}^3$	30 $\text{mm}^2 \times$ thickness	1 $\text{mm}^2 \times 1 \text{mm}$
Depth	5 to 100 $\mu\text{m}$	50 mm	some 100 cm	1 mm
Info. Multiphase materials	Information in each phase	Information in each phase	Global information	Global information
Sensibility to l'anisotropy	Yes	No	Yes	Yes
Instrument	portable	No	Portable	portable
Experimentation duration	5 to 30 minutes	2 hours	2 minutes	several s
Equipement cost	70 K� to 200 K�	Price of a reactor ?	30 K� to 150 K�	7 K� to 50 K�

# RS relaxation (1)

- Fatigue
- Vibration
- Plastic deformation

- Isotherme heat
- Thermal cycling

Mechanical loading

Thermal loading

Dislocation movements

Microplasticity

Relaxation

Favourable effets

Unfavourable effets

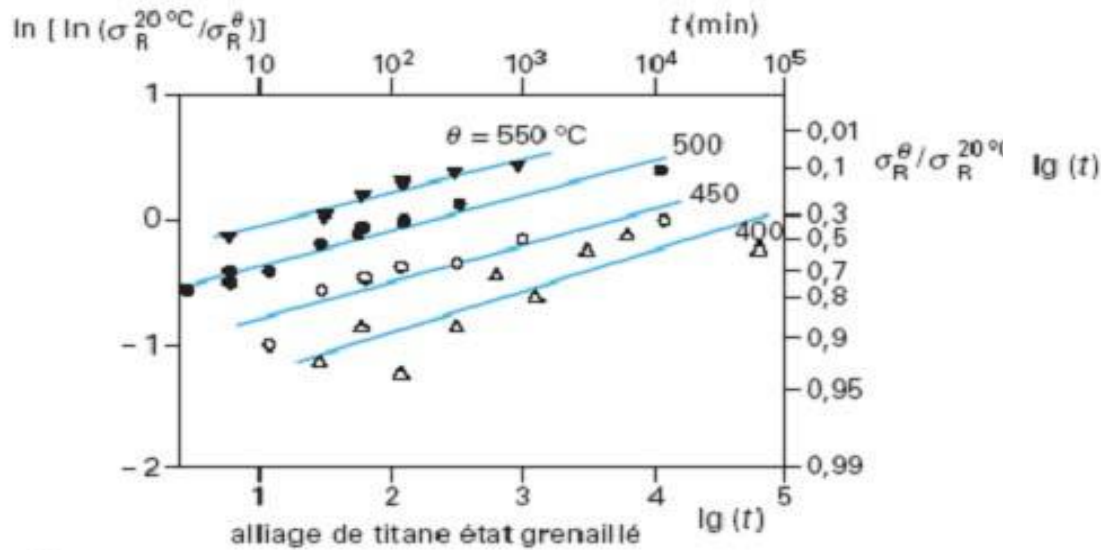
- Reduction of tensile RS
- Dimensional stability during forming

- Reduction of compressive RS
- Dimension and forme modification of components

**Schematic presentation of origin and consequenc of RS relaxation**

# RS relaxation (2)

## Thermal relaxation

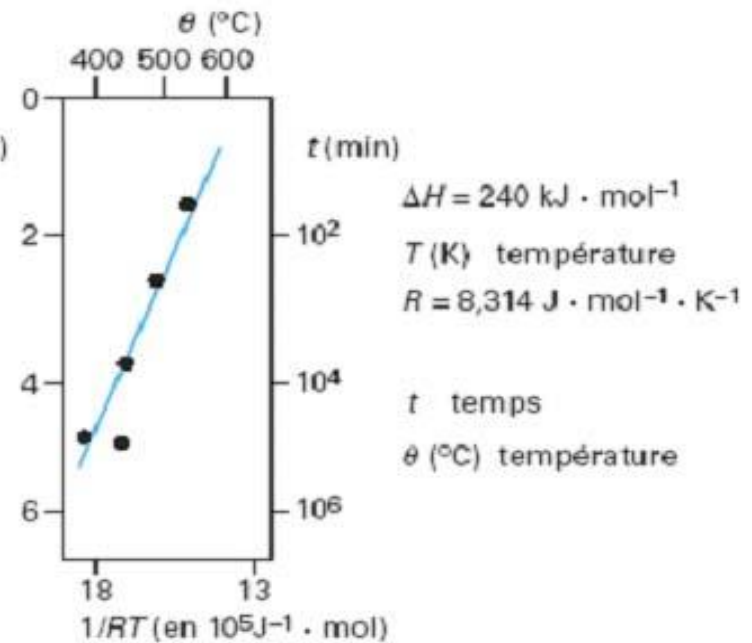


(a) évolution des contraintes résiduelles en fonction du temps pour différentes températures

$$\frac{\sigma_T(t)}{\sigma_0} = \exp \left\{ - \left[ A t \exp \left( - \frac{\Delta H}{RT} \right) \right]^m \right\}$$

$$\ln \left( \ln \frac{\sigma_0}{\sigma_T(t)} \right) = m \left( \ln A + \ln t - \frac{\Delta H}{RT} \right)$$

avec  $R$  constante des gaz parfaits,  
 $\Delta H$  enthalpie d'activation de relaxation.

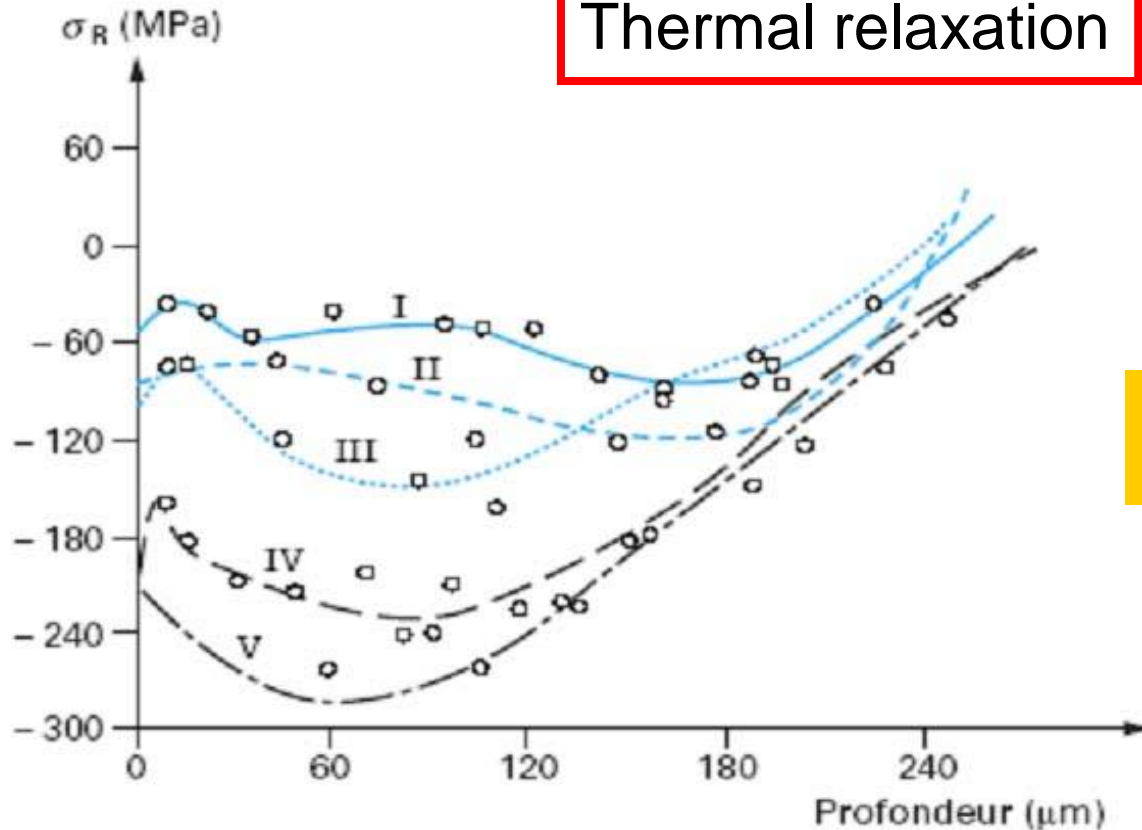


(b) détermination de l'enthalpie d'activation de relaxation

Thermal relaxation of RS due to surface shot-peening of X22CrMoV12 steel

# RS relaxation (3)

Thermal relaxation



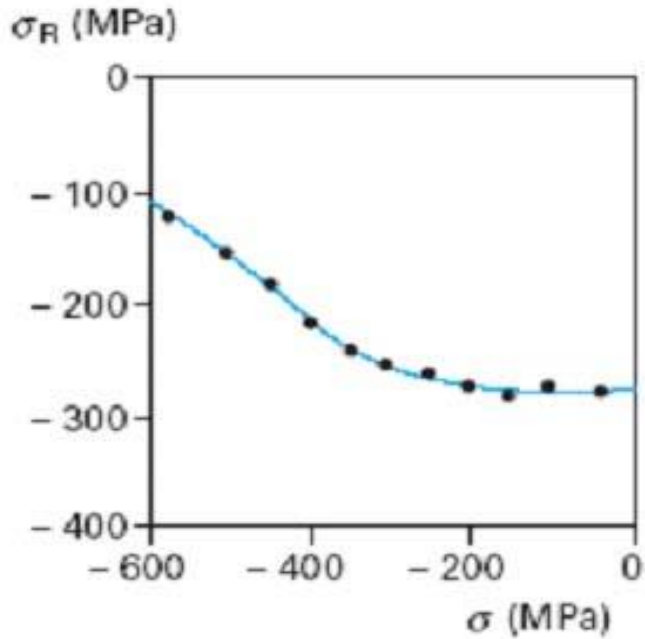
Shot-peening stress  
after thermal relaxation

- |                       |                        |                     |
|-----------------------|------------------------|---------------------|
| I après 64 h à 160 °C | III après 2 h à 150 °C | V état de référence |
| II après 4 h à 160 °C | IV après 2 h à 100 °C  |                     |

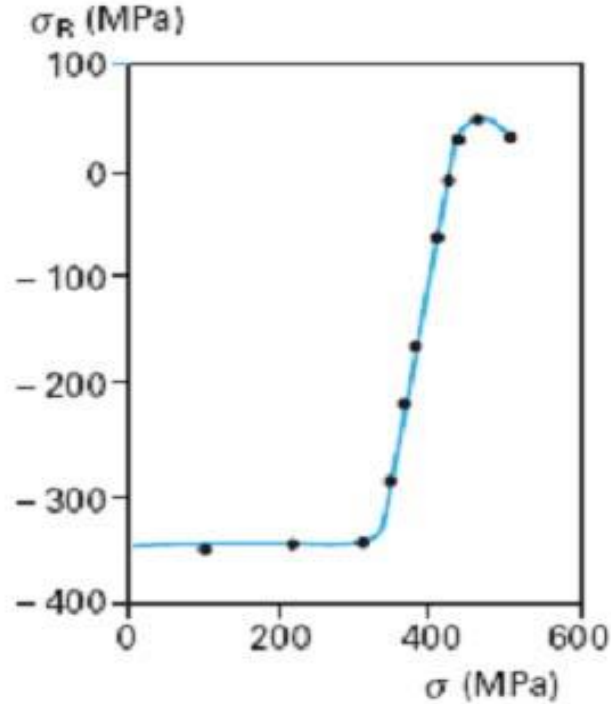
acier 45SiCrMo6

# RS relaxation (4)

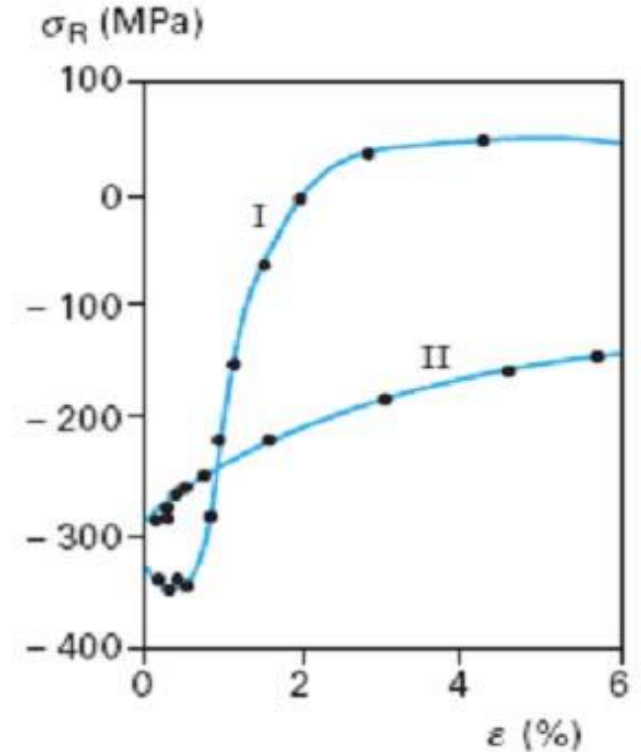
## Mechanical relaxation



Shot-peened Al 2014  
under compression



Shot-peened Al 2014  
Under tensile test



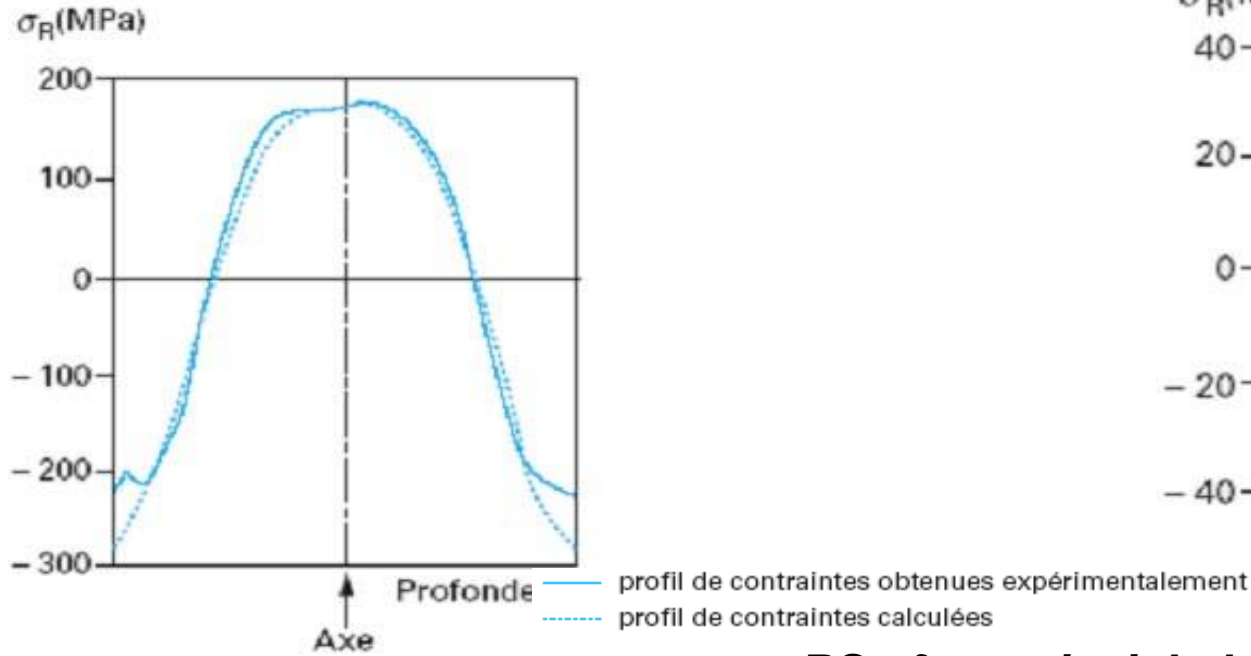
I: tensile relaxation  
II: compression relaxation

Mechanical relaxation by uniaxial plastic deformation of shot-peened residual stress

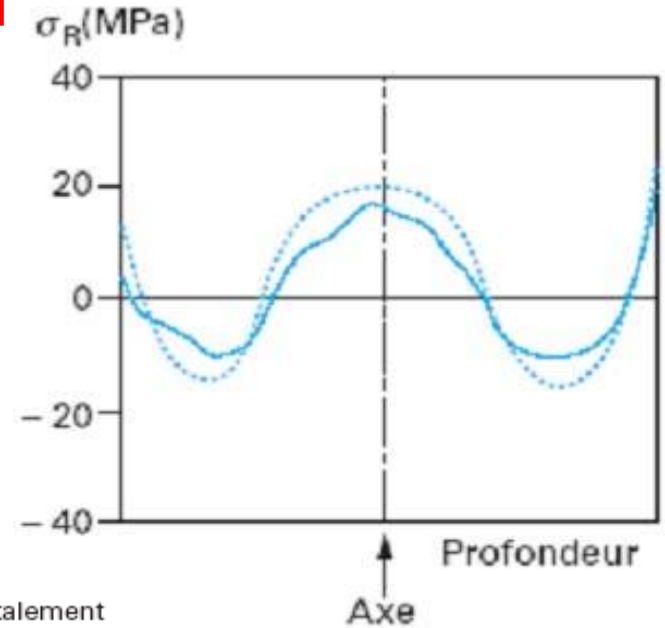


# RS relaxation (5)

## Mechanical relaxation



***RS before plastic deformation***

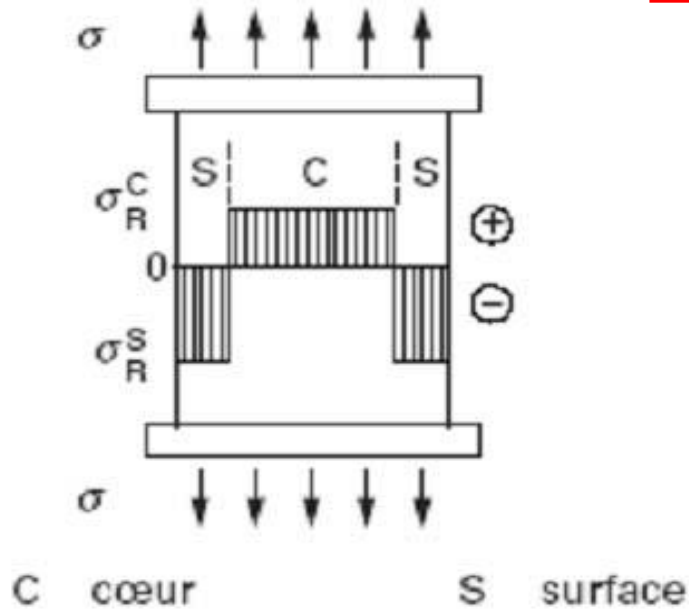


***RS after uniaxial plastic deformation (tensile test with 2,2% in longitudinal direction of piece )***

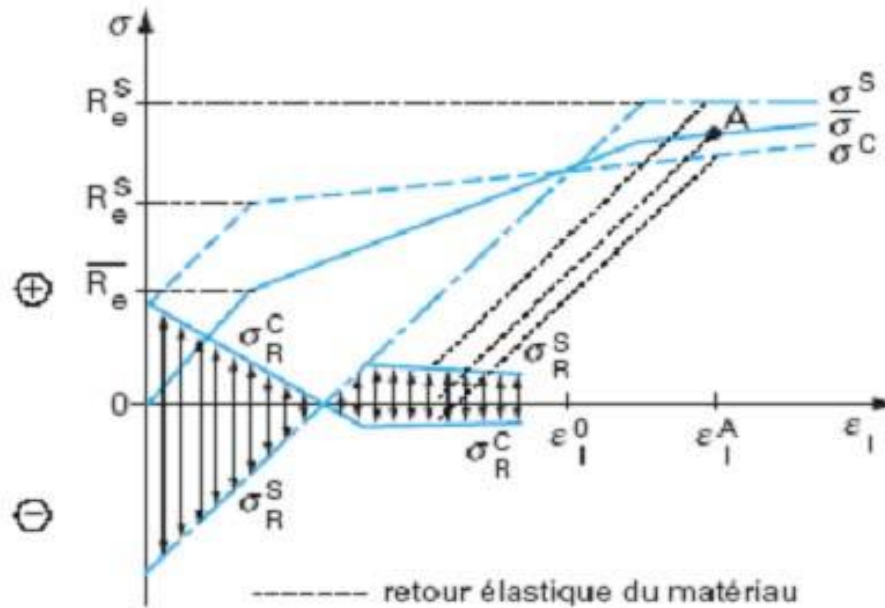
Mechanical relaxation by uniaxial plastic deformation of RS from quenching  
Al 7075 quenched in water at 20 °C, sheet with 70 mm of thickness

# RS relaxation (6)

## Mechanical relaxation



*RS in piece before relaxation*



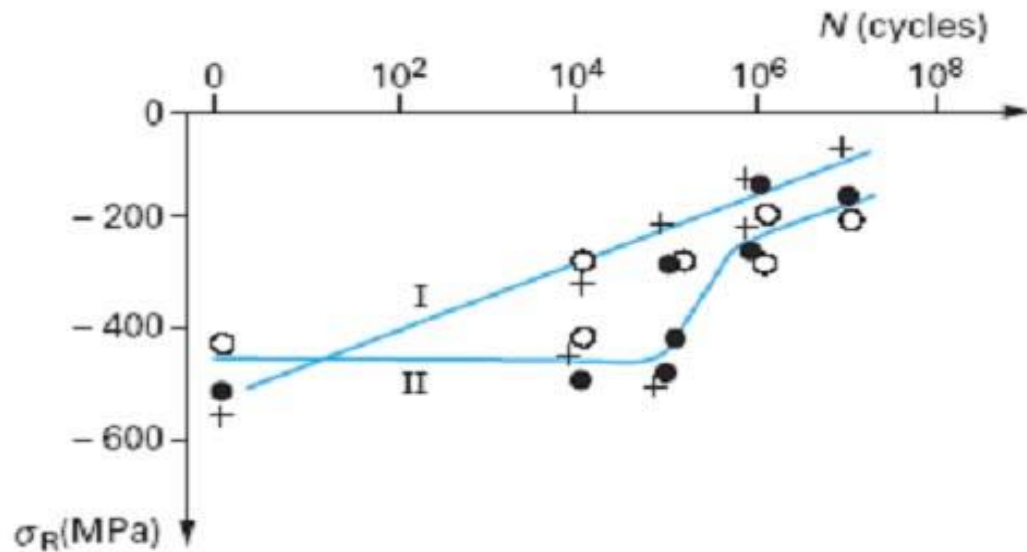
**Stress vs  
plastic  
deformation**

- retour élastique du matériau
  - $R_e$  limite d'élasticité
  - $\epsilon_l$  déformation longitudinale
  - $\epsilon_l^0$  déformation longitudinale standard (éprouvette sans contraintes résiduelles)
  - $\epsilon_l^A$  déformation longitudinale quand  $\bar{\sigma}$  atteint le point A
  - $\sigma$  contrainte appliquée
  - $\sigma_R$  contrainte résiduelle
- Les valeurs surlignées sont des valeurs moyennes

**macroscopic relaxation schema of RS by plastic deformation**

# RS relaxation (7)

## Mechanical relaxation - fatigue



mechanical relaxation of RS of shot-peening by fatigue

### intensité Almen de grenailage

- + 6-8 N
- 12-14 A
- 8-10 C

acier 35 CrMo4 trempé et revenu

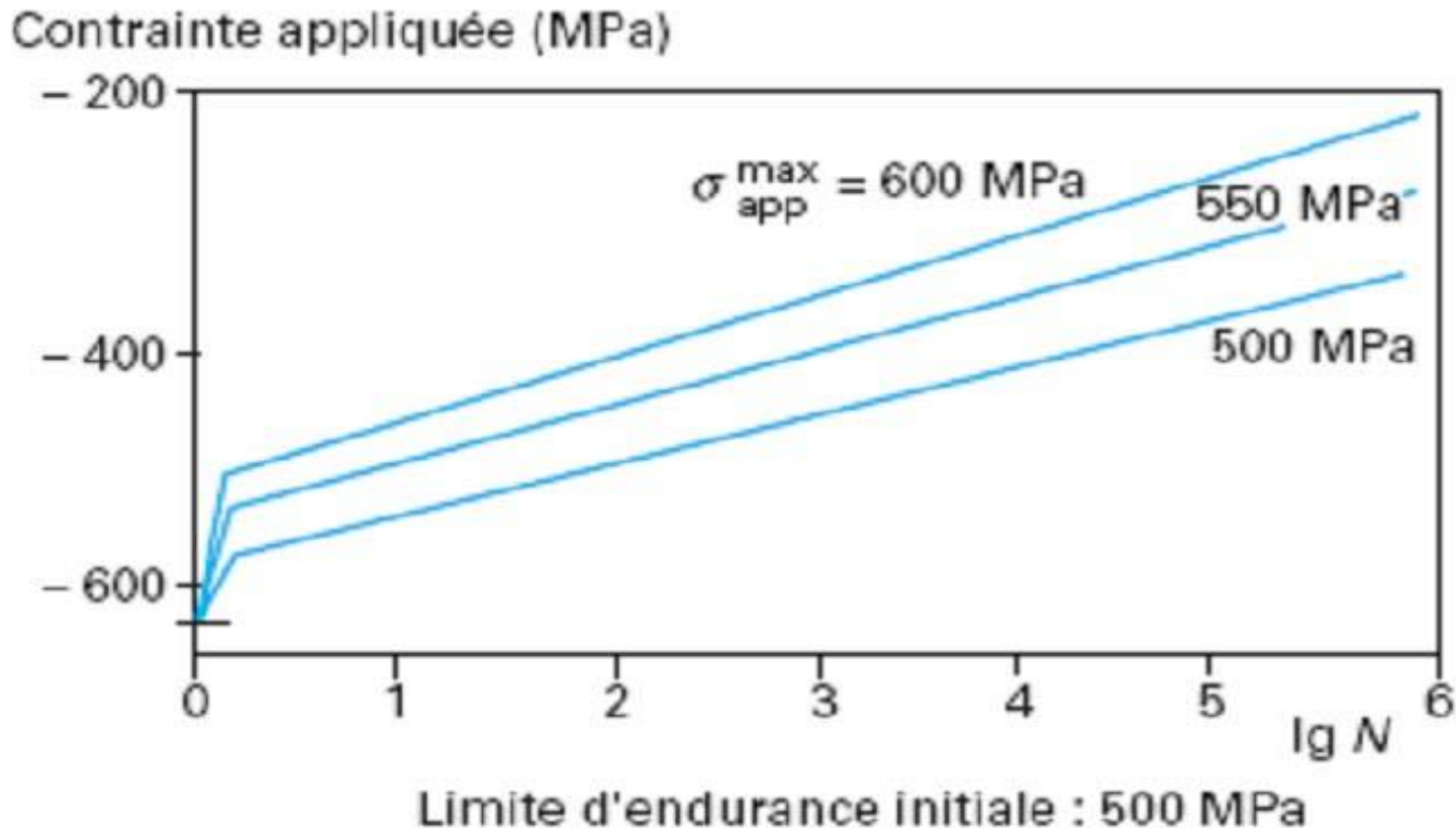
N nombre de cycles

I contrainte appliquée ± 330 MPa

II contrainte appliquée ± 230 MPa

# RS relaxation (8)

## Mechanical relaxation - fatigue



Evolution of shot-peening RS under repeated tensile loading for 35CD4 steel

# RS relaxation (9)

## General consideration

RS relaxation is related to resistance to **microplasticity**  $R_i$  of material. Relaxation under an applied stress  $s$  and with the presence of  $\sigma_R$  begins when:  $\sigma + \sigma_R > R_i$  resistance to **microplasticity**  $R_i$  takes different values according cases. It's related to  $T^\circ\text{C}$ , time, frequency and microstructural state of material

Sollicitation	Contrainte appliquée $\sigma$	$R_i$
Thermique	$\sigma = 0$	$R_p(t)$ résistance au fluage
Déformation uniaxiale	$\sigma \nearrow$	$R_e$ limite d'élasticité
Fatigue	$\sigma -$	$\sigma_D$ limite d'élasticité cyclique
$t$ temps.		

**Value of resistance to **microplasticity**  $R_i$  for different loadings**

# Traitements « mécaniques »

Les enjeux techniques concernent :

- l'amélioration de la résistance à la fatigue sous certains type de sollicitations ;
- l'amélioration de la résistance à la corrosion simple ou à la corrosion sous contrainte ;
- l'amélioration de la résistance à l'usure.

À l'échelle micro-, les «défauts» situés en surface sont soumis à l'attaque du milieu environnant. Le mouvement des dislocations est libre et les domaines cristallins qui « débouchent » en surface se déforment plus aisément.

À l'échelle macro-, les zones de concentration de contrainte (raccordements, entailles, stries d'usinage, trous, ... ) sont souvent associées aux surfaces libres des pièces. En outre, la plupart des modes de sollicitation génèrent des maxima de contraintes situés en surface.

# Traitements « mécaniques »

- modification d'une couche superficielle de la pièce originale sur une épaisseur suffisante par traitement superficiel mécanique ou thermique
- **traitements de mise en précontrainte superficielle** sont des processus contrôlant parfaitement la source primaire de génération des contraintes résiduelles. Ils peuvent être classés en deux grandes catégories selon la nature de la source primaire active
  - les traitements mécaniques d'écrouissage superficiel ;
  - les traitements thermiques ou thermochimiques superficiels.

# Traitements « mécaniques »

Type de traitement	Origines principales des contraintes	Noms des traitements
Mécanique	Déformation plastique locale	Sablage, grenailage, martelage, galetage, polissage, etc.
Thermique	Dilatation différentielle et transformations de phase	Trempe et trempe superficielle
Thermo-chimique	Diffusion, dilatation différentielle et transformations de phase	Cémentation, carbonituration, nitruration, etc.

*Traitements de précontraintes*



# Traitements « mécaniques »

Dénomination	Mise en œuvre	Profondeur de traitement	Avantages et inconvénients
Tribofinition	Frottement	de 0,01 à 0,03 mm	Long et coûteux à réaliser
Grenaillage	Projection contrôlée de billes de différents diamètres, de différentes mesures et de différentes vitesses	de 0,1 à 0,6 mm suivant le matériau et les conditions	Quelques problèmes d'incrustation, de microdéfauts et parfois de rugosité S'adapte à toutes les géométries
Martelage	Impact d'aiguilles à extrémité sphérique	de 1 à 2 mm	Problème d'état de surface, ne s'applique qu'aux géométries simples
Galetage	Roulement d'un galet avec une forte force d'appui	de 2 à 3 mm	Variations dimensionnelles, nécessite une géométrie particulière
Ondes de choc	Explosion Laser impulsionnel de très forte puissance	jusqu'à 4 mm	S'adapte à toutes les géométries (pour le laser) Pas de modification de l'état de surface Traitement en cours de développement
Préconformage Autofrettage	Flexion, expansion ou torsion avec passage en plasticité	quelques mm	Traitement directionnel

***Différents traitements mécaniques de surface, modifier***

- caractéristiques géométriques de la surface;
- microstructure dans une couche sur la densité des défauts

# grenailage

Le grenailage (Shot peening) change



- la distribution des contraintes résiduelles
- la morphologie superficielle
- la dureté de la couche superficielle
- la microstructure de la couche traitée

# grenailage

Les objectifs du grenailage:

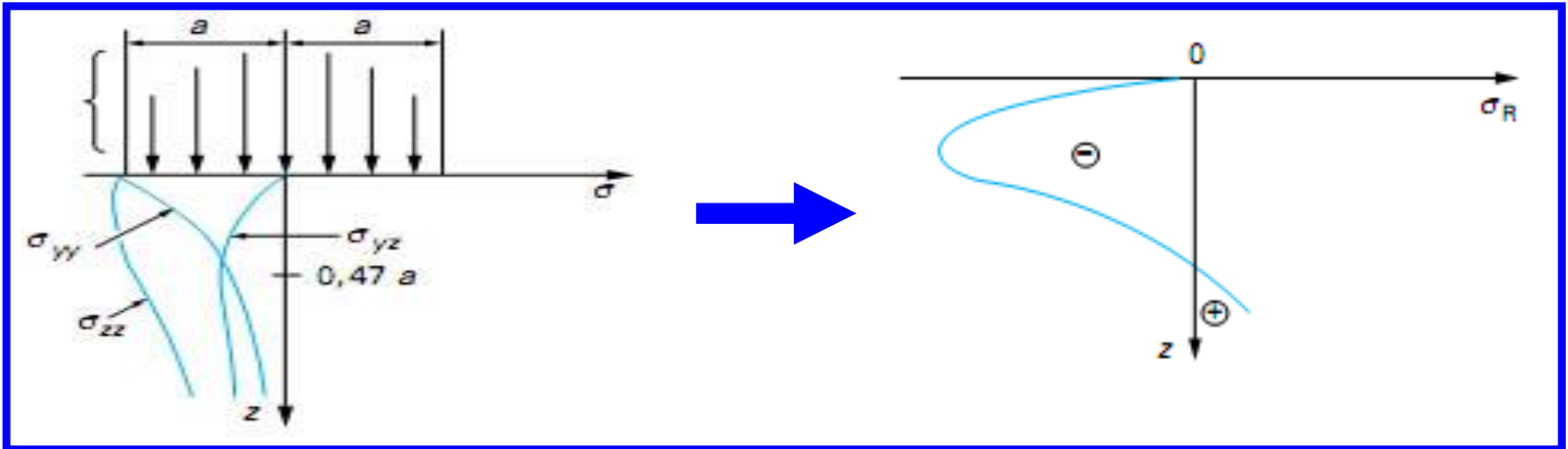
- augmentation de la limite en fatigue
- diminution des risques de corrosion sous-contrainte (stress corrosion and stress corrosion fatigue)
- diminution des risques de fatigue-abrasion (fretting and fretting corrosion)
- augmentation de la résistance anti-usure (abrasion and cavitation)

# Shot-peening & RS

During shot-peening:

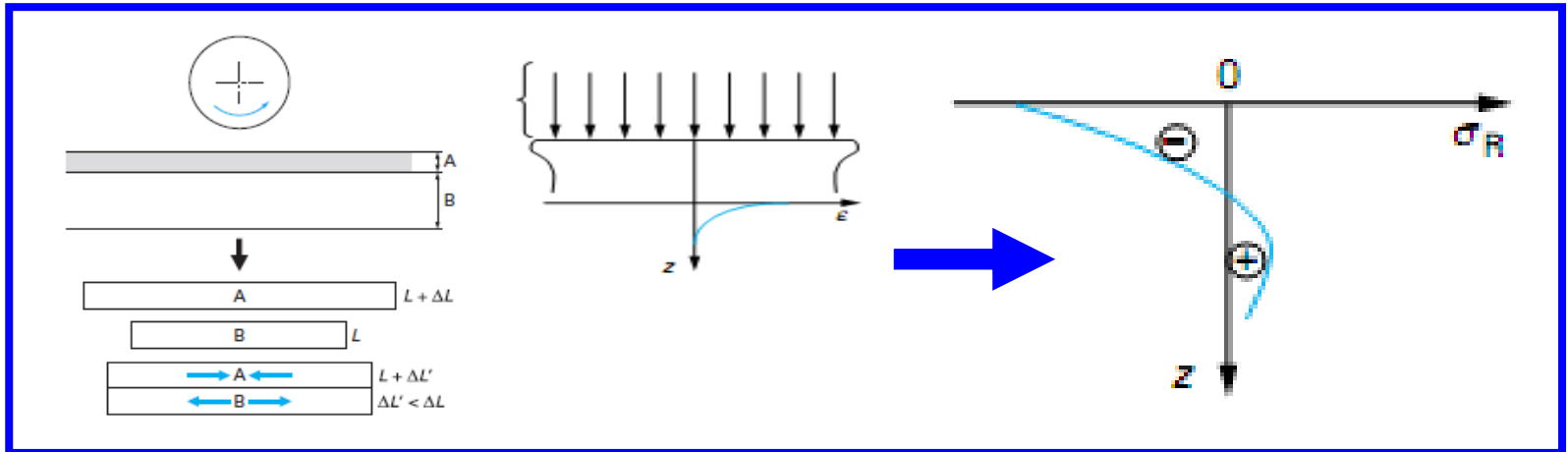
After shot-peening:

Hertz  
Pressure

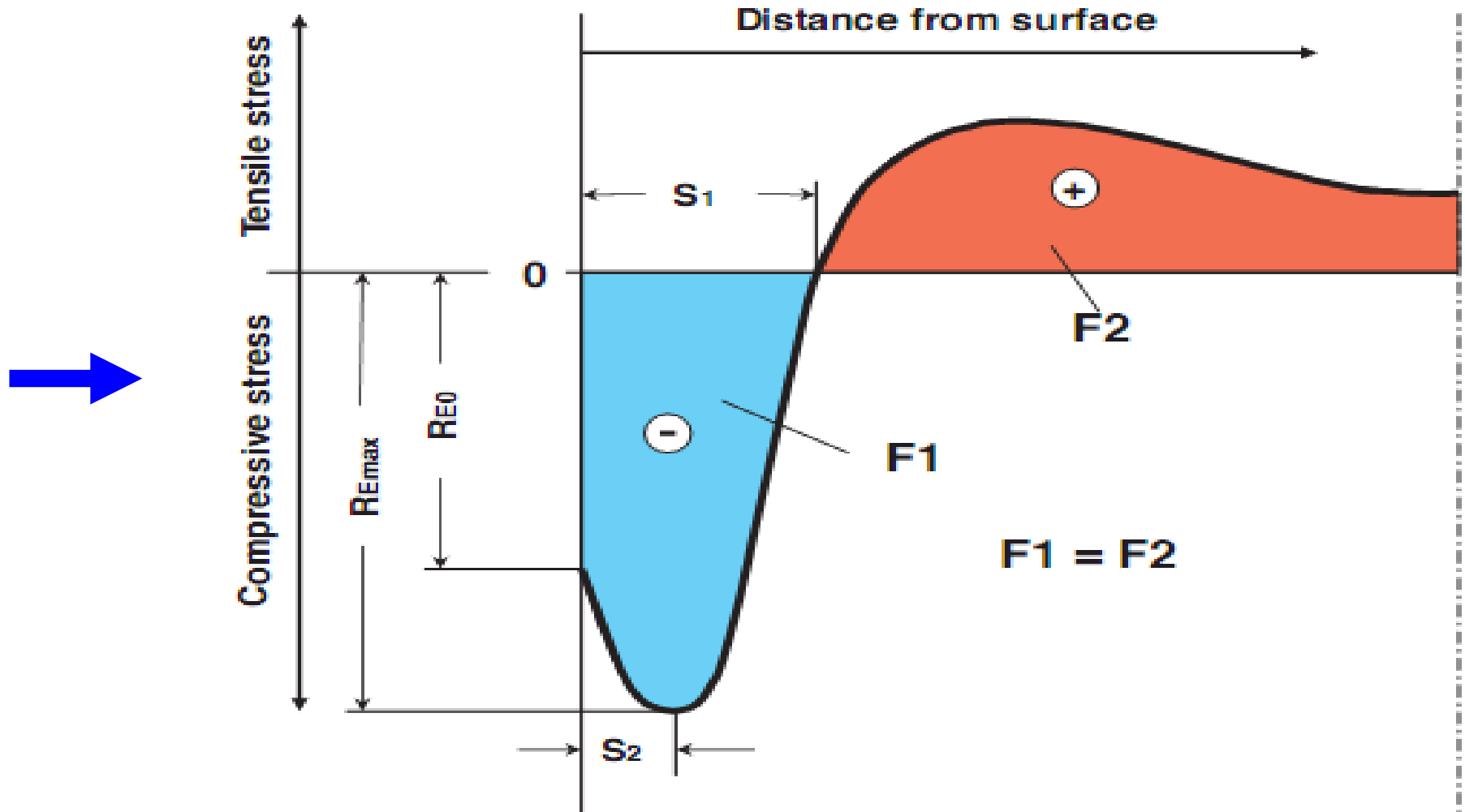


+

Side  
Plastic  
Deformation

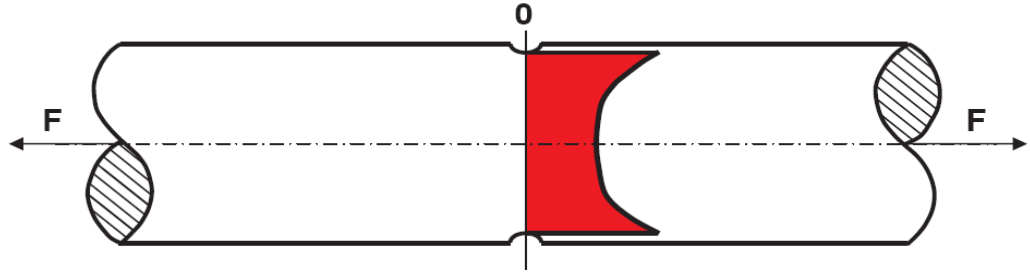


# Shot-peening & RS

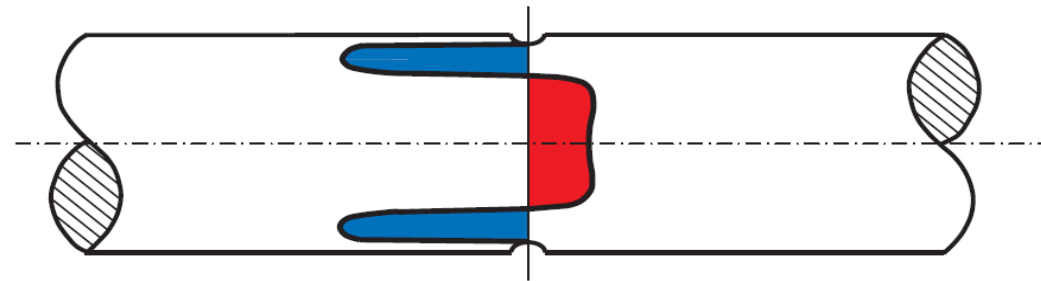


# Shot-peening & RS

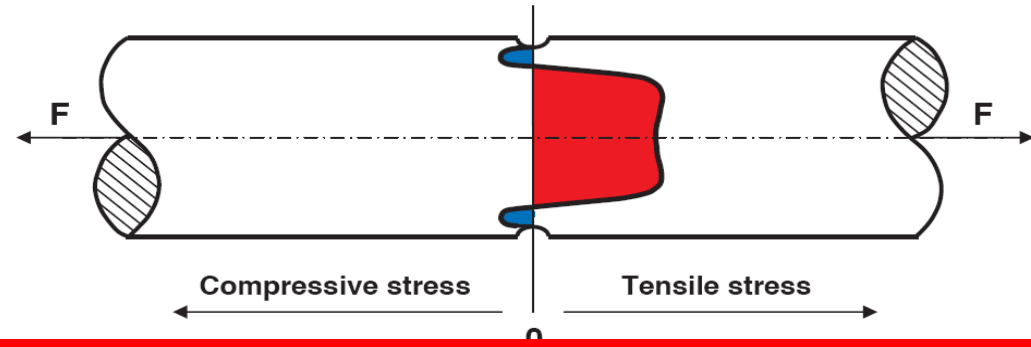
A) Stress distribution loaded unpeened



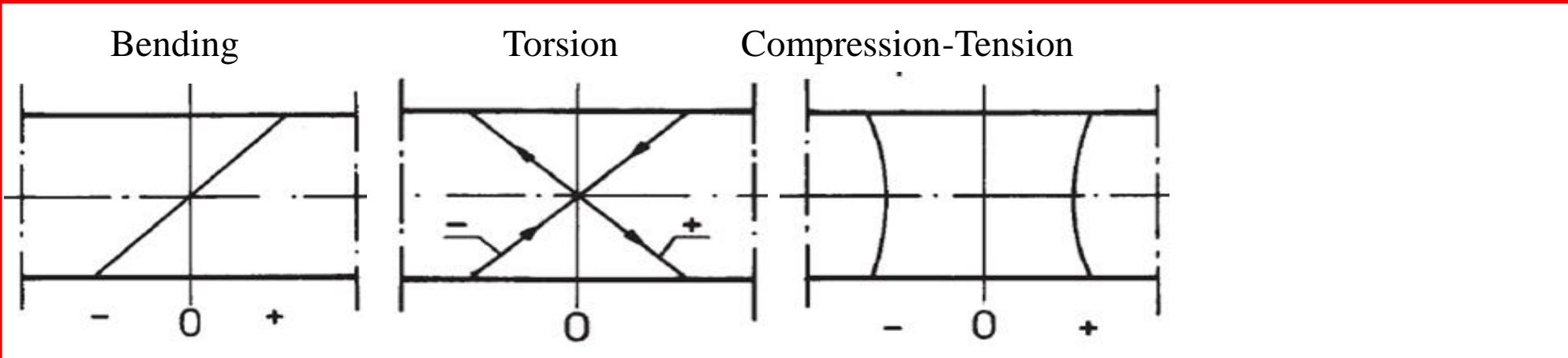
B) Residual stress distribution shot peened



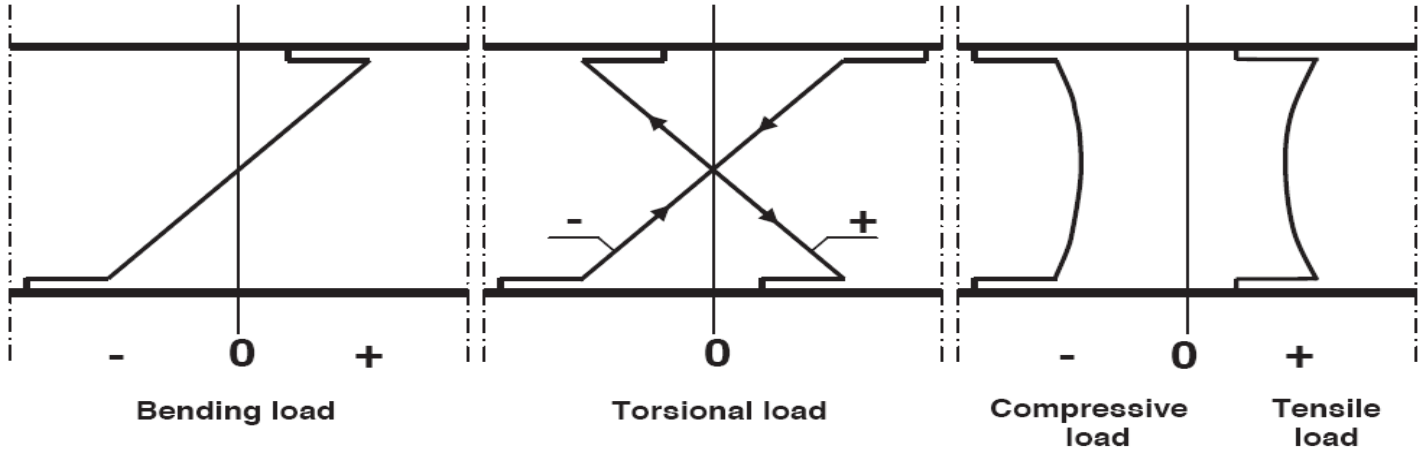
C) Resultant stress distribution shot peened and loaded



# Shot-peening & RS

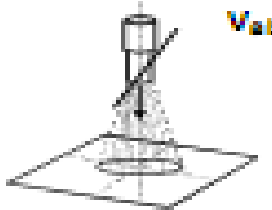
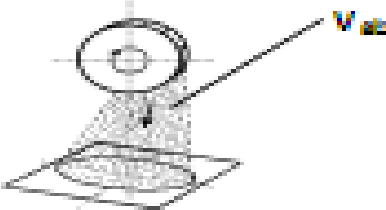

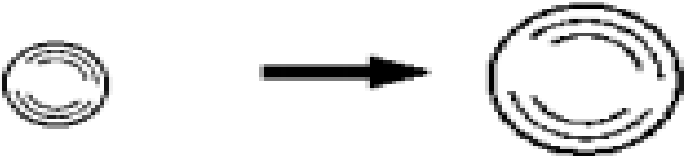
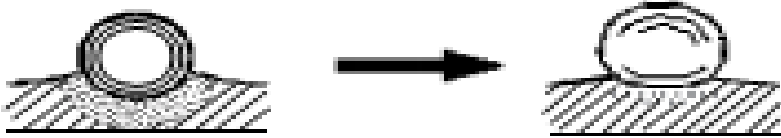


**distribution des contraintes avant grenailage et chargement**



**distribution des contraintes après grenailage et chargement**

# Shot-peening & process

<p><b>Shot peening system: compressed-air or centrifugal-force</b></p>	<p><b>Compressed-air</b> <math>V_{ab}</math></p>  <p><b>Centrifugal-force</b> <math>V_{ab}</math></p> 
<p><b>Velocity of impact Angle of impact</b></p>	
<p><b>Diameter of shot peening media Density of shot peening media</b></p>	
<p><b>Hardness of shot peening media</b></p>	
<p><b>Amount of impacts Peening time</b></p>	<p><b>Coverage</b></p>

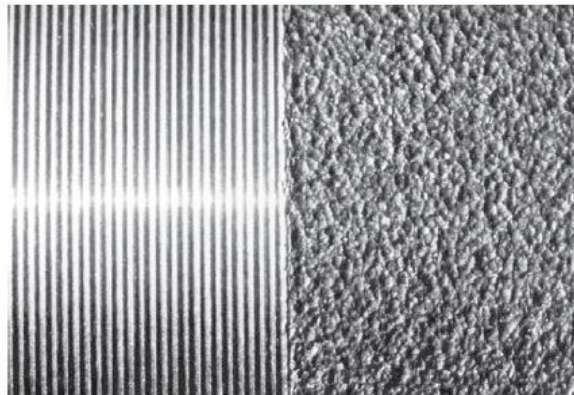


# Shot-peening & process

## Coverage ratio

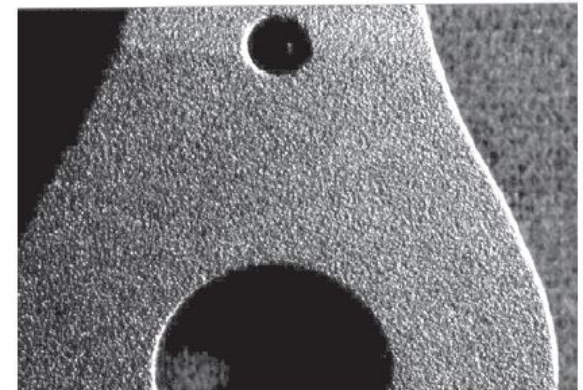
Coverage: the percentage of the surface impacted by the shot-peening process. A coverage of 100% is only a theoretical limit and is neither realizable nor measurable. In the shot-peening process a minimum coverage of 98% is mandatory. A coverage of 98 % is the highest measurable limit.

**Intensity** and **coverage** are the most important parameters in the shot-peening process.



Not shot peened

Coverage 98 %



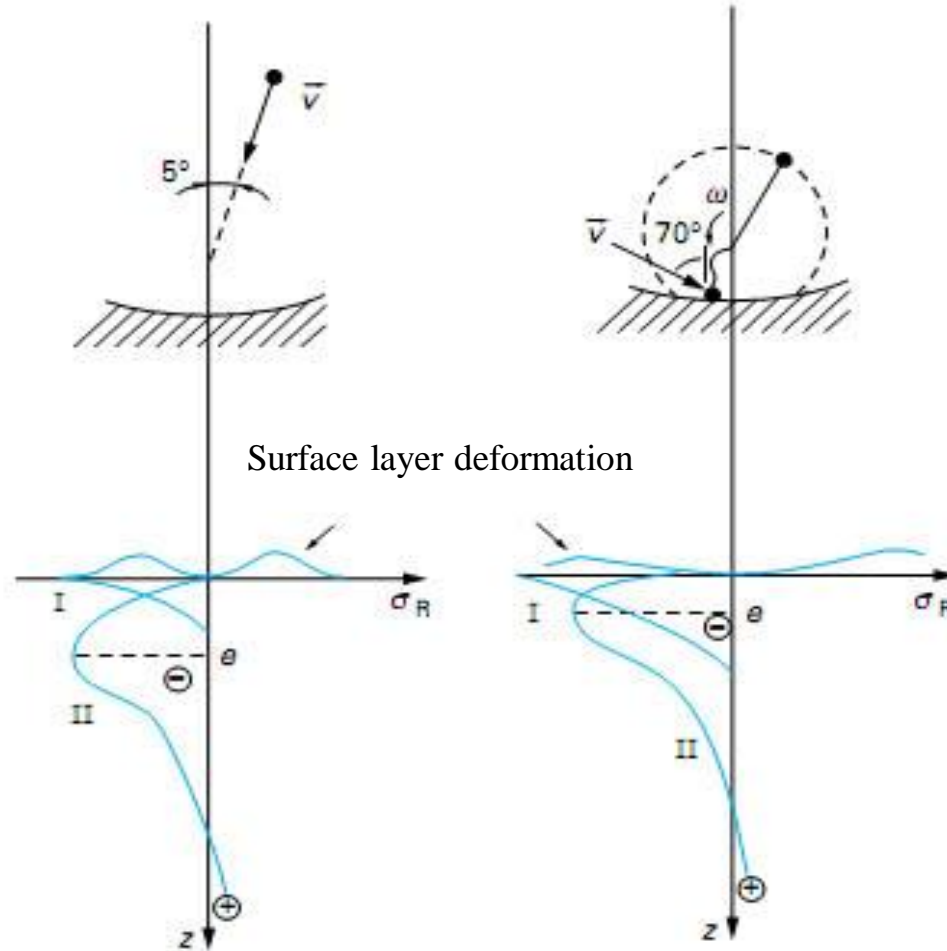
Coverage 98 %

# Shot-peening & process

## Impact angle

random  
ball peening  
(external surface  
Treatment):

- ➔  $\uparrow R_a$
- ➔  $\uparrow \theta$
- ➔  $\downarrow \sigma_{Rmax}$

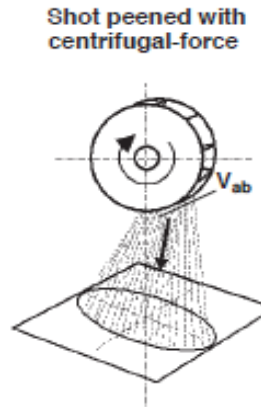
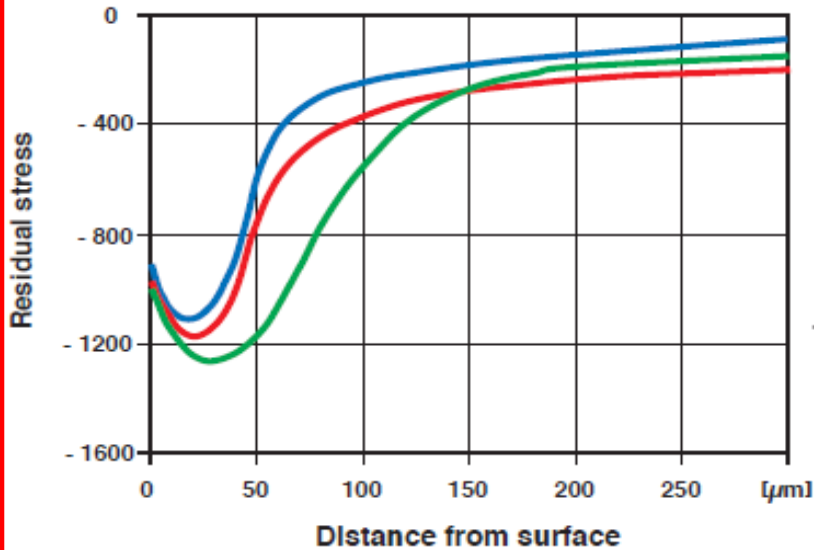


grazing  
ball peening  
(inner surface  
Treatment):

- ➔  $\downarrow R_a$
- ➔  $\downarrow \theta$
- ➔  $\uparrow \sigma_{Rmax}$

# Shot-peening & process

## Shot-peening Systeme



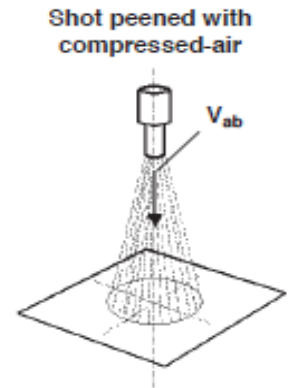
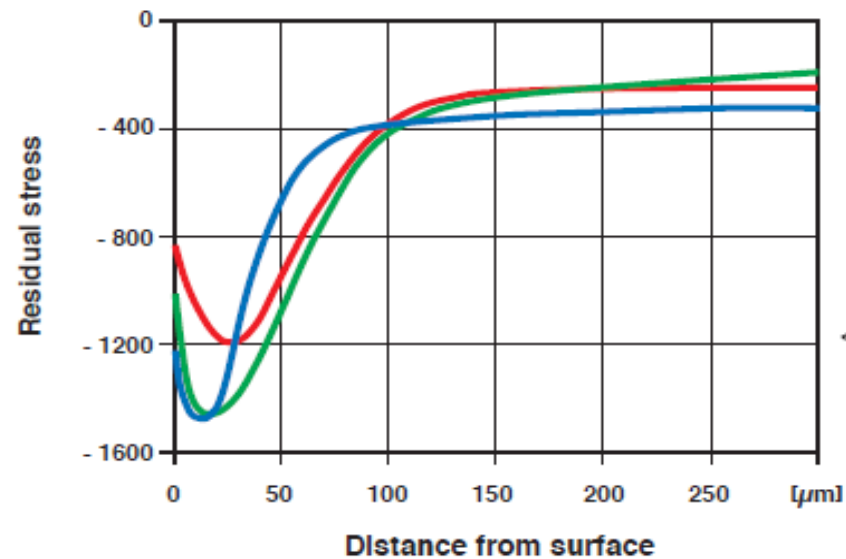
### Shot peening parameters

Shot peening media: cut wire, spherical (G3), 0.8 mm  $\varnothing$ , 58 - 60 HRC

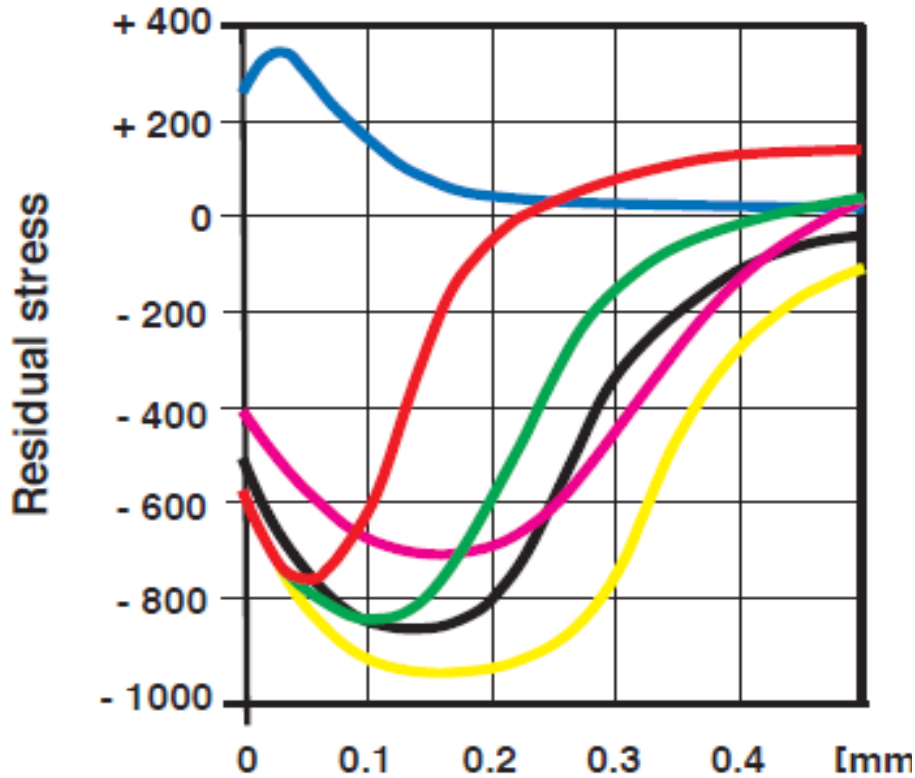
Coverage : 2 x 1 98 %

Intensity :

- = 0.20 mm A
- = 0.30 mm A
- = 0.40 mm A



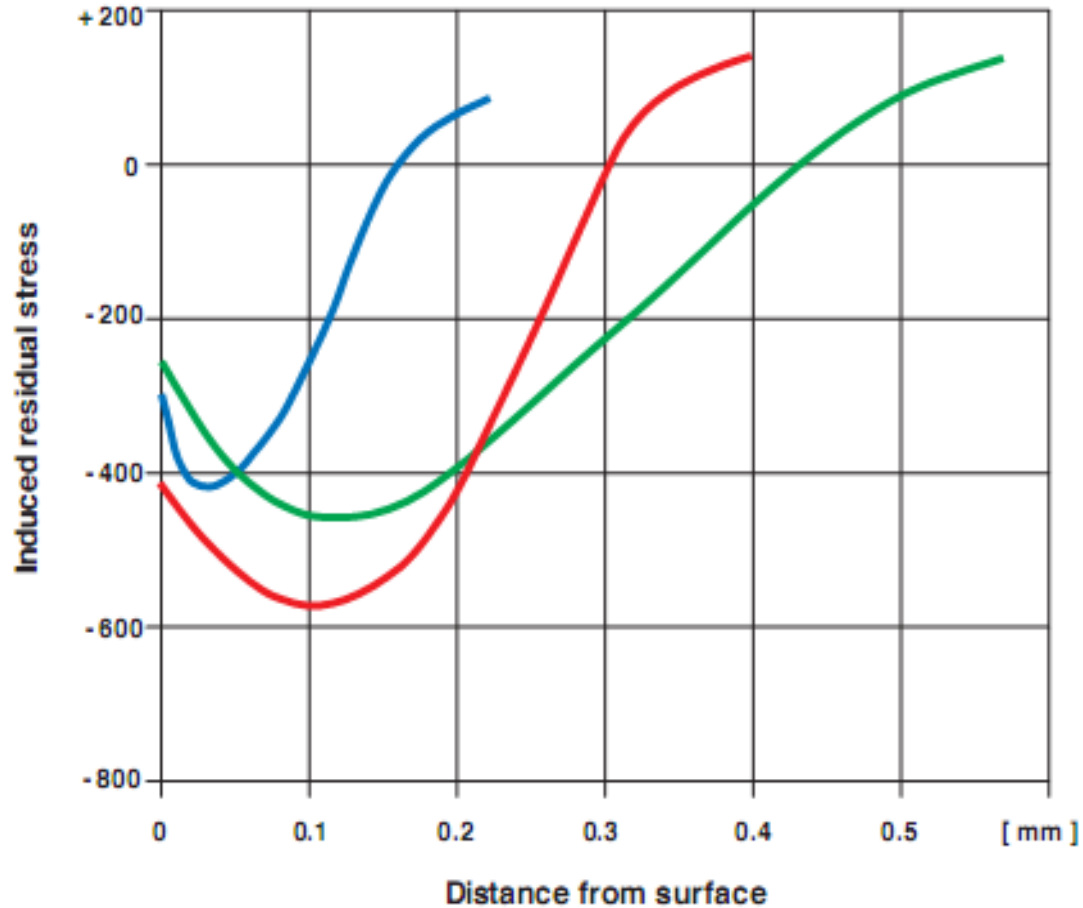
# Shot-peening & process



Intensity

Line	Hardness of shot [HCR]	Shot size [mm Ø]	Intensity [mm A]	Coverage
Blue	Unpeened	—	—	—
Red	46 - 51	0.6	0.25	98 %
Green	46 - 51	0.6	0.45	98 %
Black	46 - 51	0.6	0.55	98 %
Magenta	46 - 51	0.6	0.65	98 %
Yellow	46 - 51	0.6	0.55	6 x t 98 %

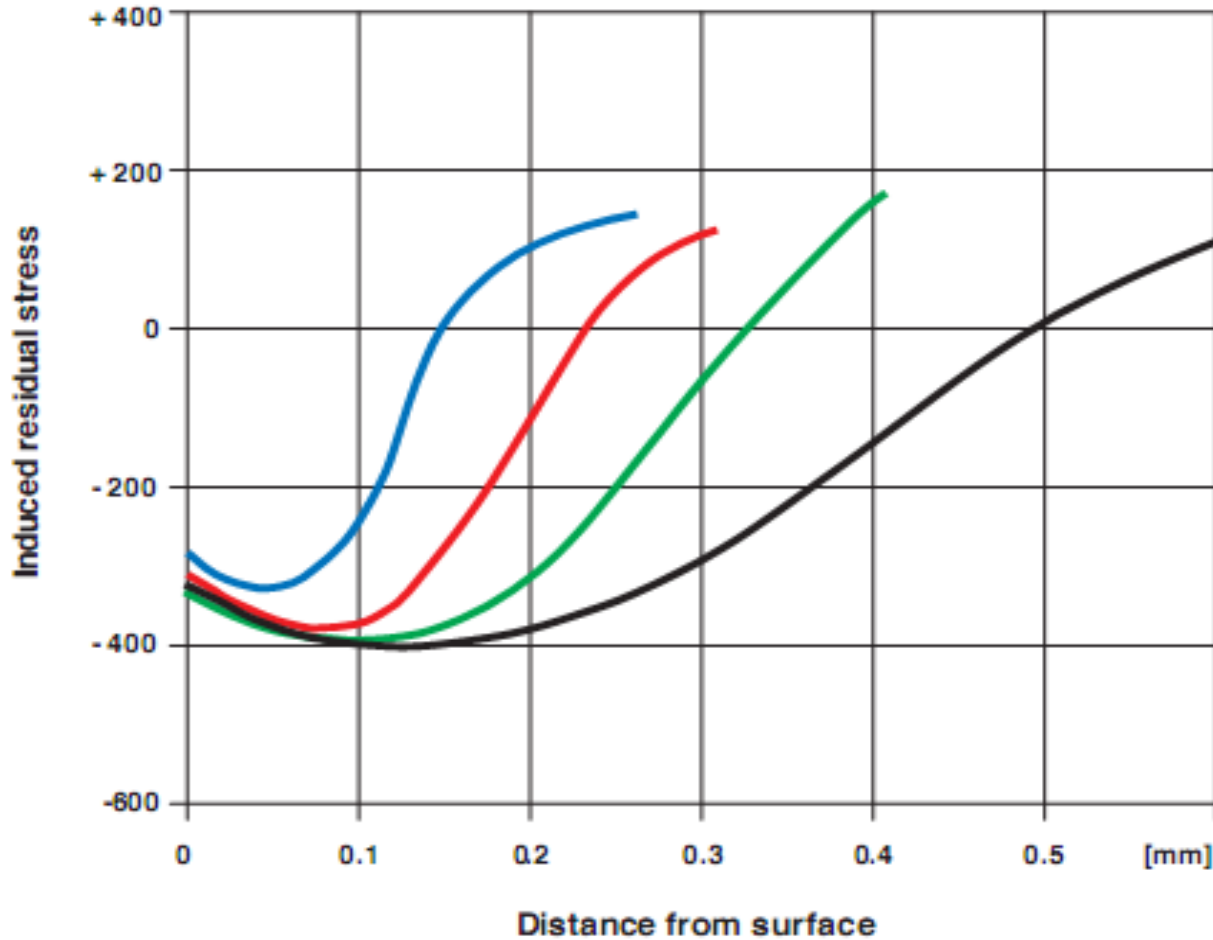
# Shot-peening & process



## Nature & shot size

Line	Shot media	Shot size [mm Ø]	Intensity [mm A]
Blue	Glass beads	0.2	0.15
Red	Cut wire shot	0.6	0.30
Green	Cut wire shot	1.0	0.40

# Shot-peening & process

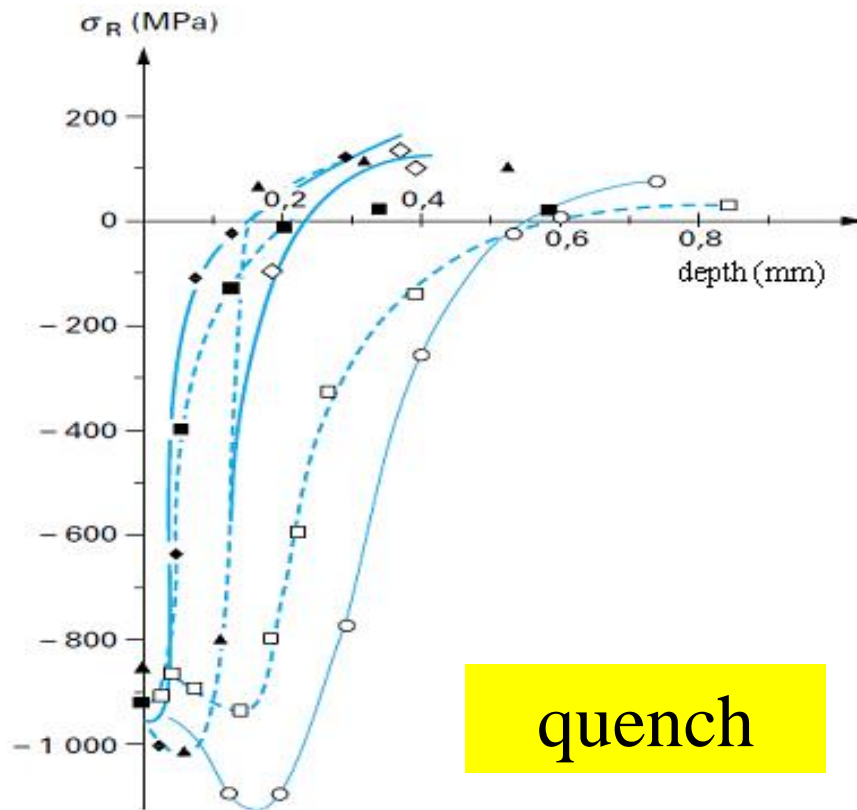


Shot size

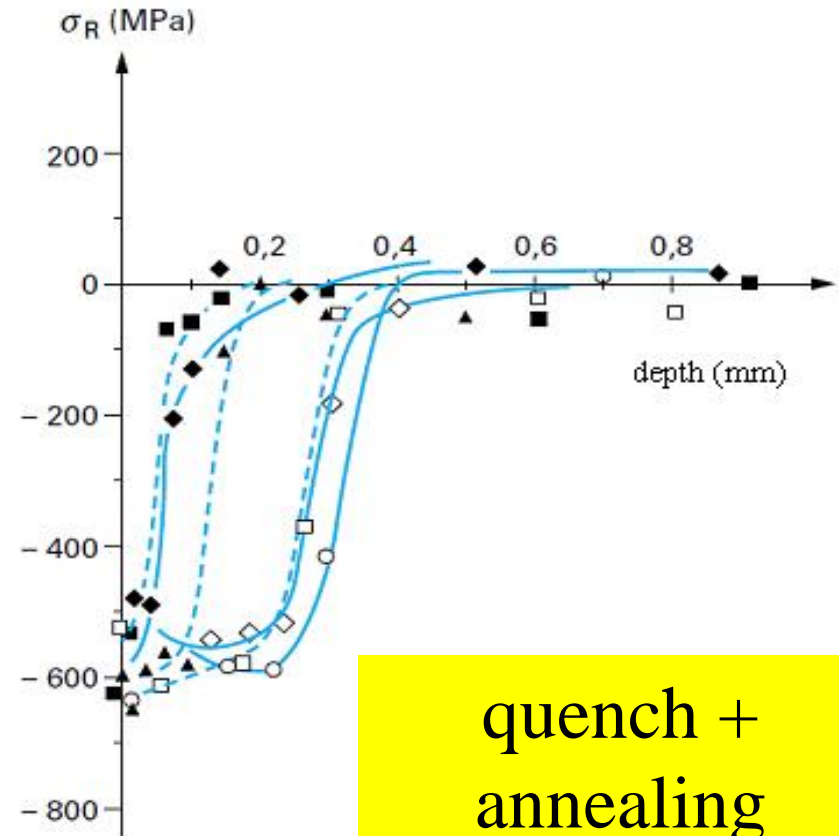
Line	Intensity [mm A]	Shot size [mm Ø]	Coverage
Blue	0.18	0.5	98 %
Red	0.28	0.5	98 %
Green	0.35	0.7	98 %
Black	0.90	1.2	98 %

# Shot-peening & process

## Metallurgical influence



quench



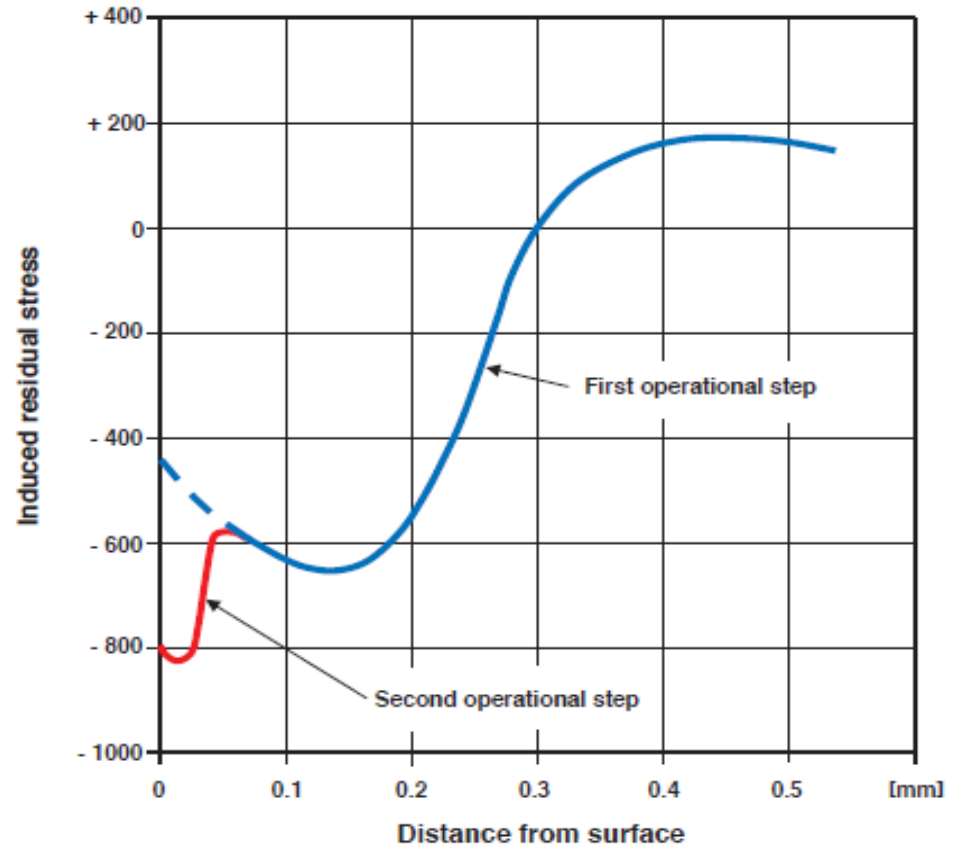
quench +  
annealing

# Shot-peening: applications

## DUO-Shot peening

-In the 1st operation, a high intensity jet and a shot size of up to 1.2 mm Ø are used with spherical cut wire in a centrifugal-force shot peening machine.

- In the 2nd operation, a low intensity jet and small shot size are applied, with glass beads in a nozzle shot peening machine powered by compressed-air.

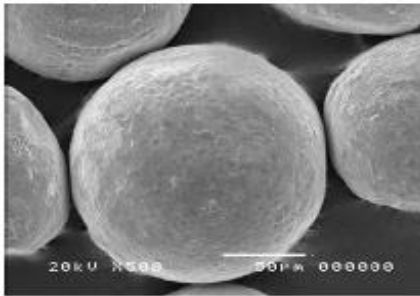


Line	Intensity [mm A]	Shot size [mm Ø]	Coverage	Shot peening media
<span style="color: blue;">—</span>	0.35 - 0.40	0.8	1.25 x t 98 %	Cut wire
<span style="color: red;">—</span>	0.10 - 0.15	0.3	98 %	Glass beads

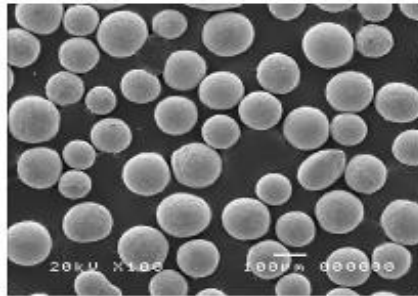


# Shot-peening: applications

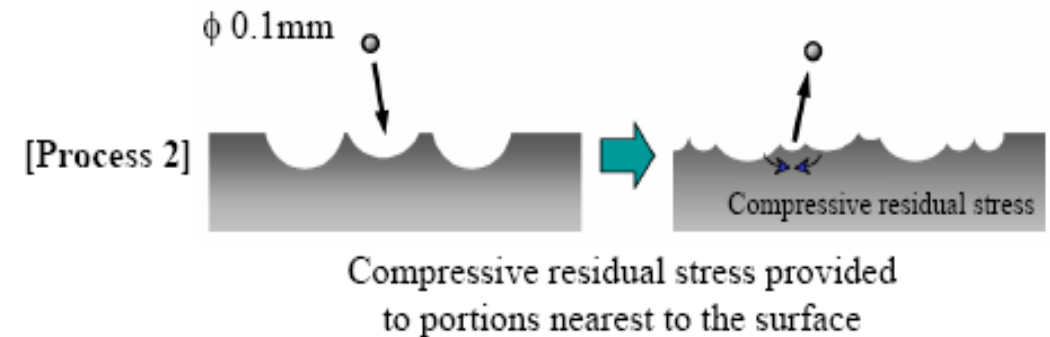
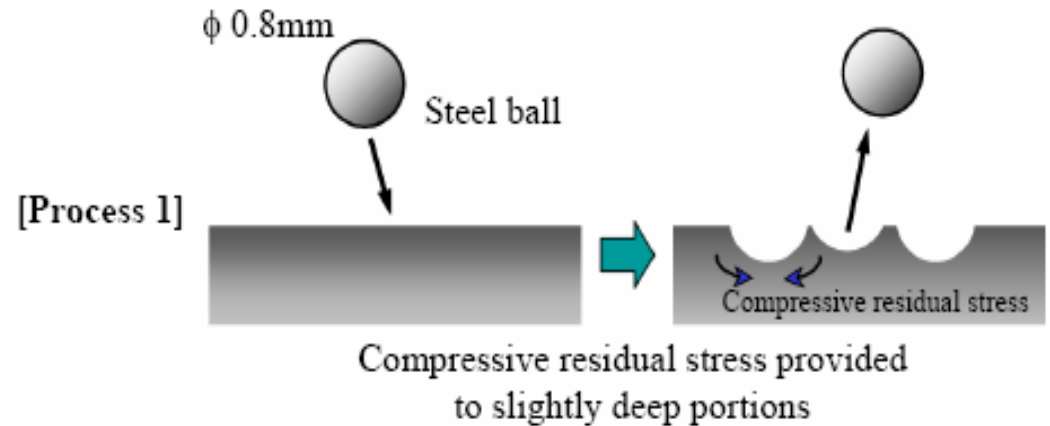
## DUO-SP on carbon steel



(a)  $\phi$  0.8mm

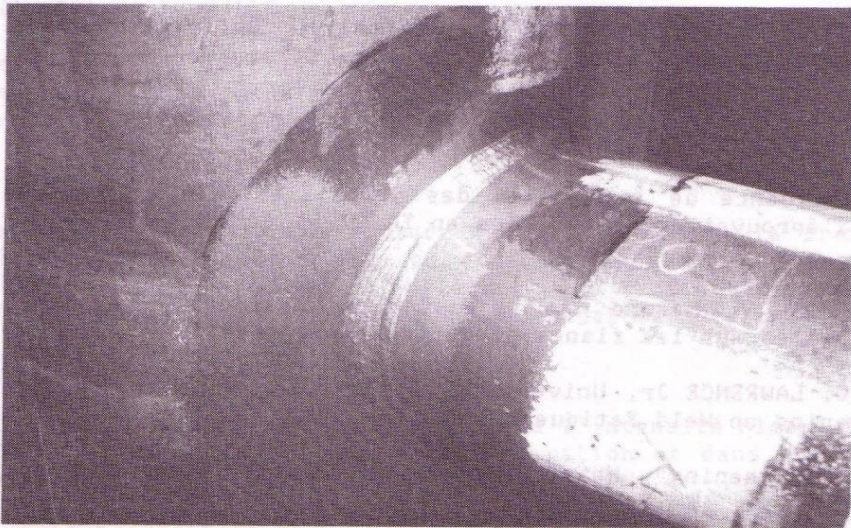


(b)  $\phi$  0.1mm



# Shot-peening: applications

## Example 1: welded carbon steel component



1st SP: steel shots,  
 $\phi=0.3$  mm, Hv = 45/55 HRC,  
F 15/20A  
> 125%

2nd SP: steel shots,  
 $f=1.4$  mm, Hv = 45/55 HRC,  
F 20/23C  
> 125%

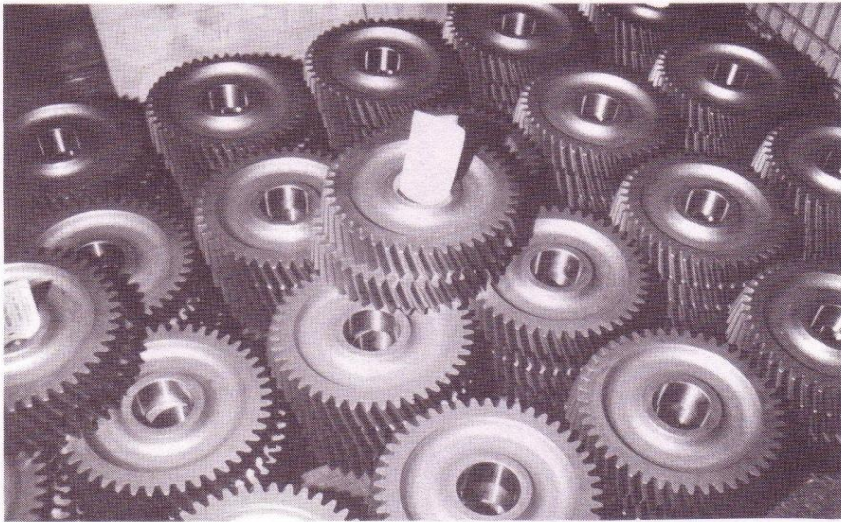
Material characteristics:Component:

- Re = 460 MPa
- Rm = 585 MPa
- A = 25%
- Hv < 25 HRC

- Thickness: 30 mm
- Admissible treatment thickness > 1 mm
- Minimum radius : R=0.5 mm
- Roughness: Ra < 2  $\mu$ m

# Shot-peening: applications

## Example 2: steel gears after carbonitriding



- 1st SP: steel shots,  
 $\phi=0.6$  mm, Hv = 55/62 HRC,  
F 40/45A  
= 150%
- 2nd SP: glass shots,  
 $\phi=0.2$  mm,  
F 15/20 N  
> 100%

Material characteristics:Component:

- Re = 850 MPa
- Rm = 1300 MPa
- A = 8%
- Hv = **62 HRC**

- Thickness: 3 mm in tooth head
- Admissible treatment thickness > 0.3 mm
- Minimum radius : R=1.5 mm
- Roughness: **Ra < 1.2  $\mu$ m**

# Shot-peening: applications

Example 3: steel gears teeth after surface induction quench



SP: steel shots,  
 $\phi=0.8$  mm, Hv = 45/55 HRC,  
F 40/45A  
> 150%

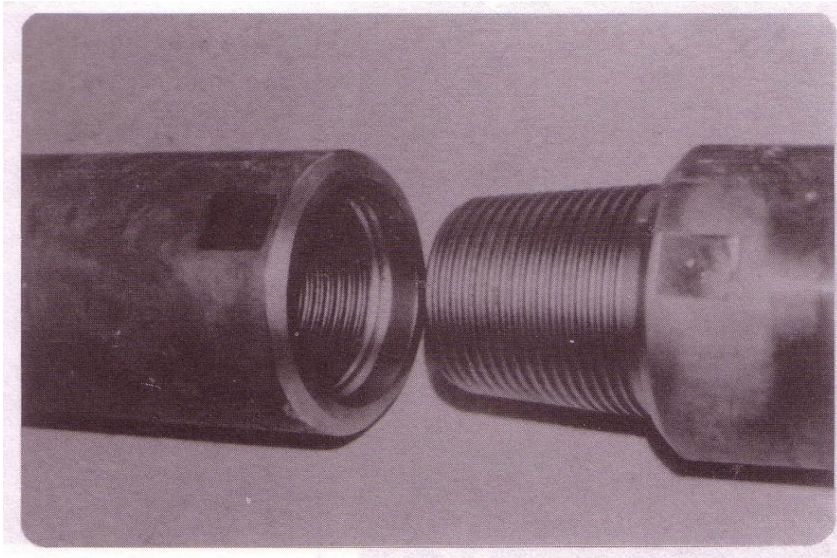
Material characteristics:Component:

- Re = 600 MPa
- Rm = 920 MPa
- A = 10%
- Hv = 58/60 HRC

- Thickness: 10 mm
- Admissible treatment thickness > 1 mm
- Minimum radius : R=2.5 mm
- Roughness: Ra <1.6  $\mu$ m

# Shot-peening: applications

## Example 4: inside thread in steel with Nickel



SP: ceramic shots,  
 $\phi=0.215$  mm,  
F 10/15A  
> 125%

Material characteristics:Component:

- Re = 700 MPa
- Rm = 800 MPa
- A = 10%
- Hv = **20 HRC**

- Thickness: > 10 mm
- Admissible treatment thickness > 1 mm
- Minimum radius : **R=0.6 mm**
- Roughness: Ra = 2  $\mu$ m

# Shot-peening: applications

## Example 5: Louvre Pyramide stainless steel structure



1st SP: stainless steel shots,

$\phi=0.8$  mm,

F 45/50A

> 100%

2nd SP: glass shots,

$\phi=75/150$  mm,

F 10/12 N

> 100%

Material characteristics: Component:

-Re = 750 MPa

-Rm = 950 MPa

-A = 30%

-Hv = 35 HRC

-Thickness: > 10 mm

-Admissible treatment thickness > 1 mm

-Roughness: **Ra = 6.4  $\mu$ m**

# New technologies

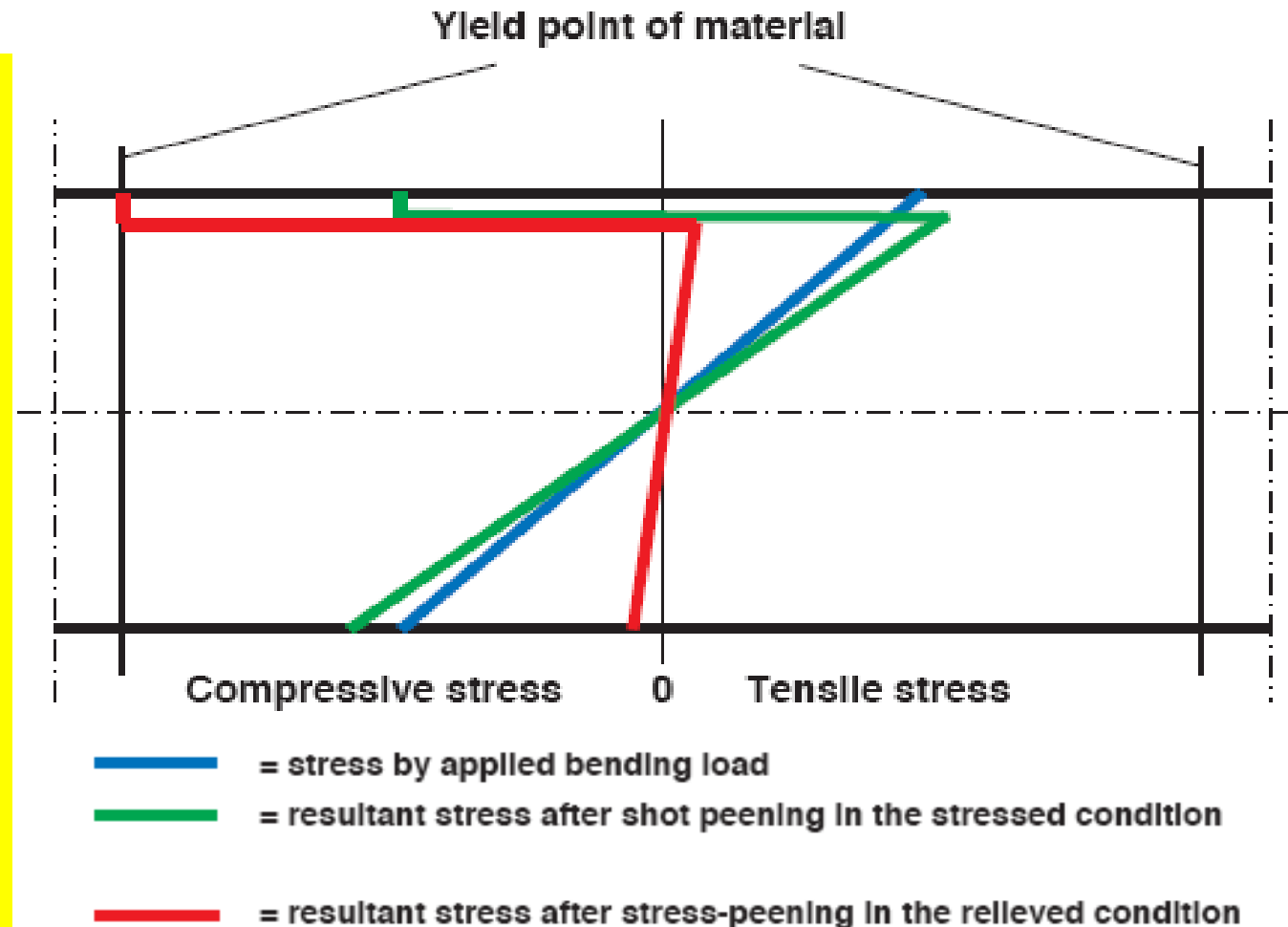
- Stress peening
- Peen Forming
- Ultrasonic peening
- Water peening
- Laser peening

# New technologies

## Stress peening

• A part is shot peened in an externally loaded condition by using a fixture or weight to simulate the operating tensile stress.

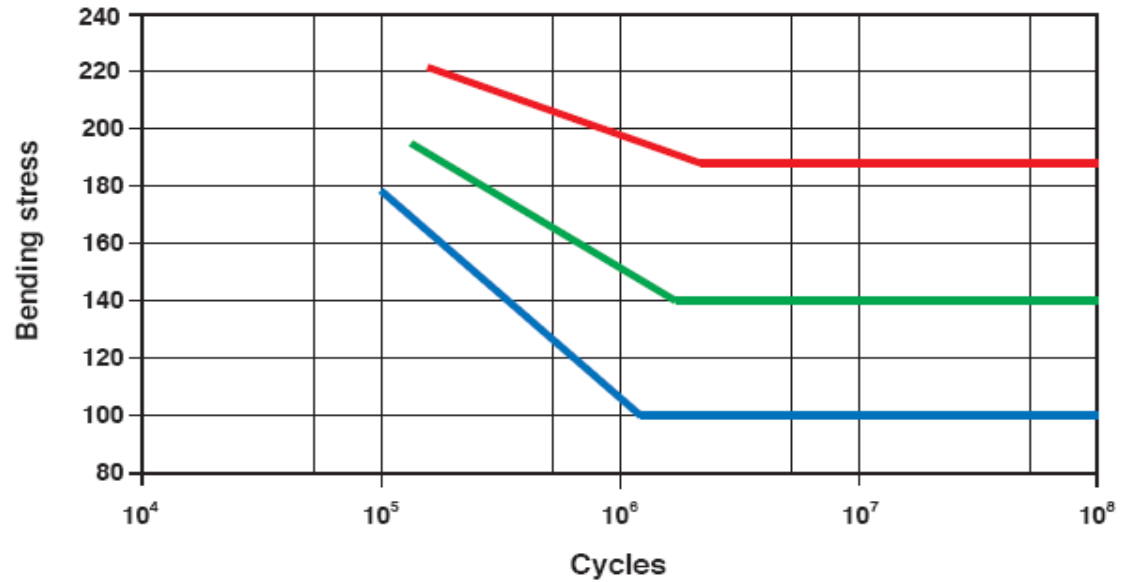
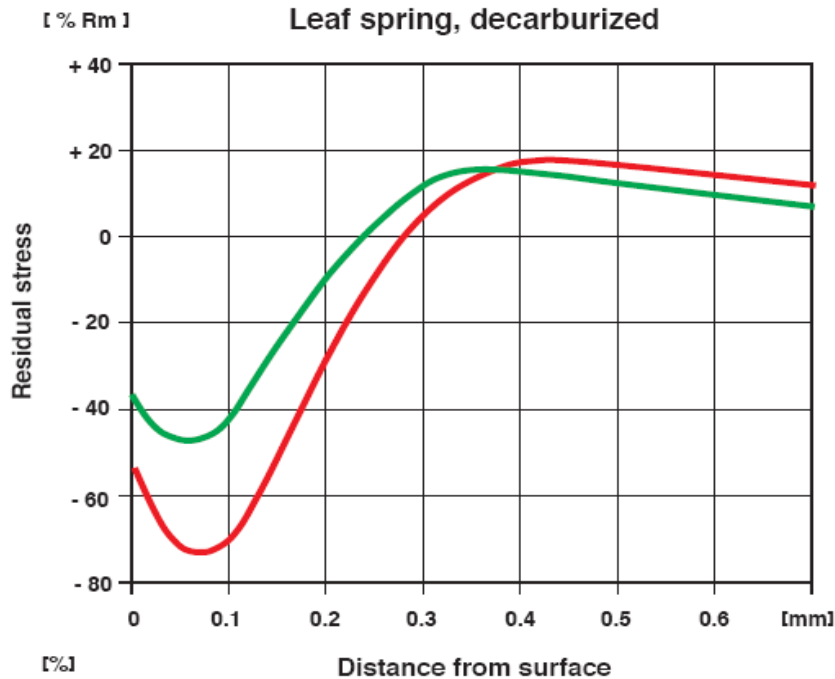
\* After shot peening, the parts will be relieved of the applied load, leading to an even higher compressive residual stress up to the yield stress of the material





# New technologies

## Stress peening



- = not shot peened
- = shot peened (normal)
- = stress-peened (externally loaded with about 50% of Rm)

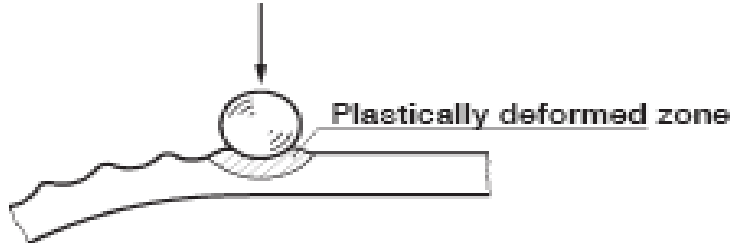


Rocker arm in a toggle lever prestress fixture

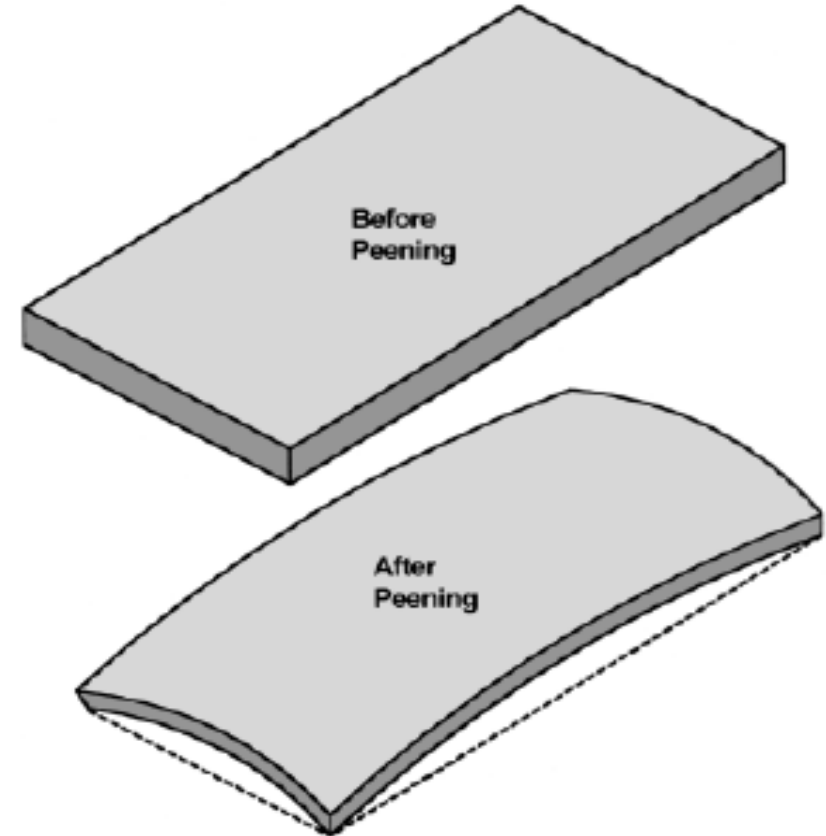
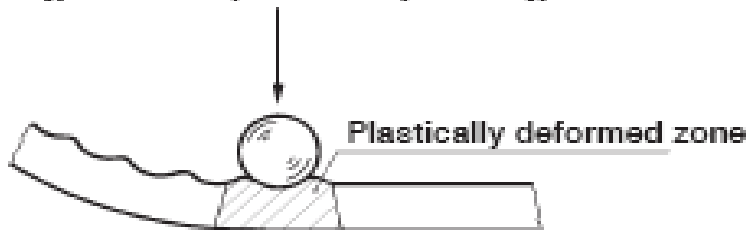
# New technologies

## Peen Forming

Low velocity of shot peening media



High velocity of shot peening media



parts with relatively thin cross section may be formed only on one side. The advantages are: no tooling, no development time, no heat

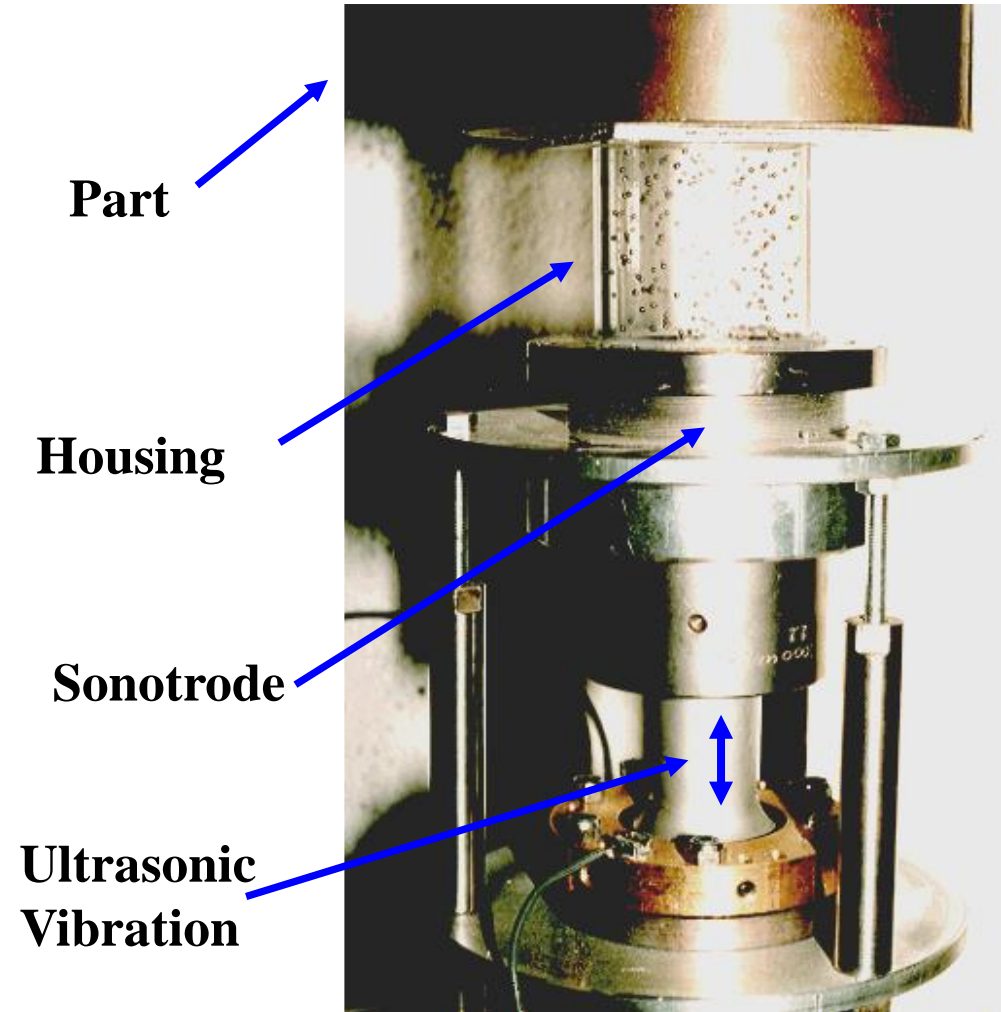
# New technologies

## Ultrasonic peening

The bearing balls are put in movement by a Sonotrode, vibrating at an ultrasonic frequency.

the balls are contained inside a housing enclosed by the Sonotrode surface and the part to treat.

Longitudinal vibrations of the Sonotrode randomly throw the balls inside the housing as molecules in a gas. Therefore, the treatment is homogeneous on all surfaces of the enclosure on the part to treat.



# New technologies

## Ultrasonic peening advantages

- A very consistent and repeatable peening process
- A clean, simple and lean process
- The use of small quantities of media
- A reduction or elimination of masking
- The elimination of decontamination
- Machines are small, quiet and easy to operate
- Increased flexibility
- Much improved surface finish
- Introduce very deep compressive residual stresses
- A highly optimized fatigue life
- Peening complicated geometries (threads, notches, ID of holes or the inside of hollow parts)



Ultrasonic  
Peening  
Floor  
Model



Portable Model  
with Peening Head



# New technologies

## Water jet peening

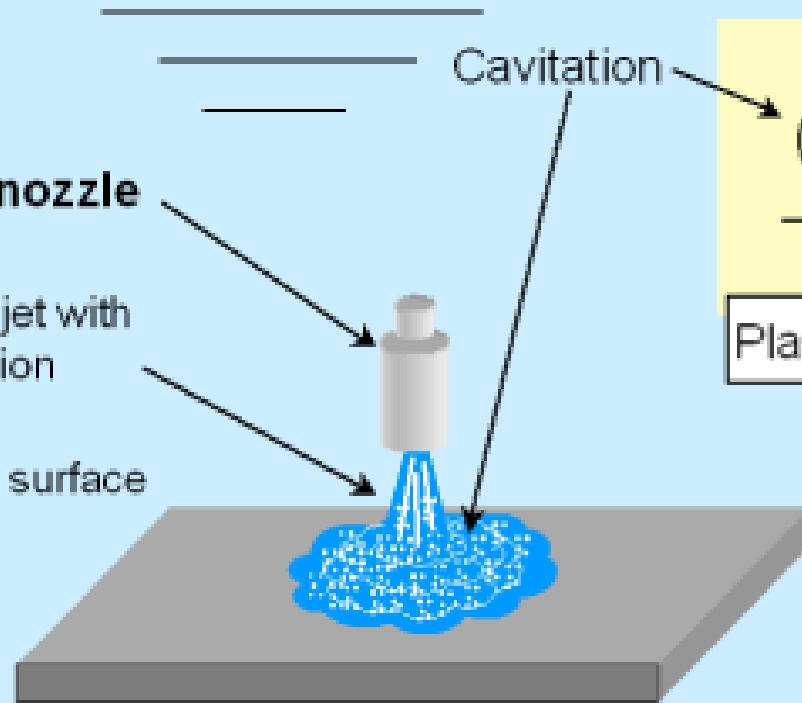


Cavitation flow image

WJP nozzle

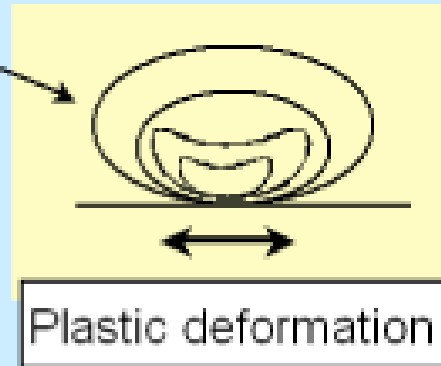
Water jet with cavitation

Metal surface



<Image model>

Cavitation



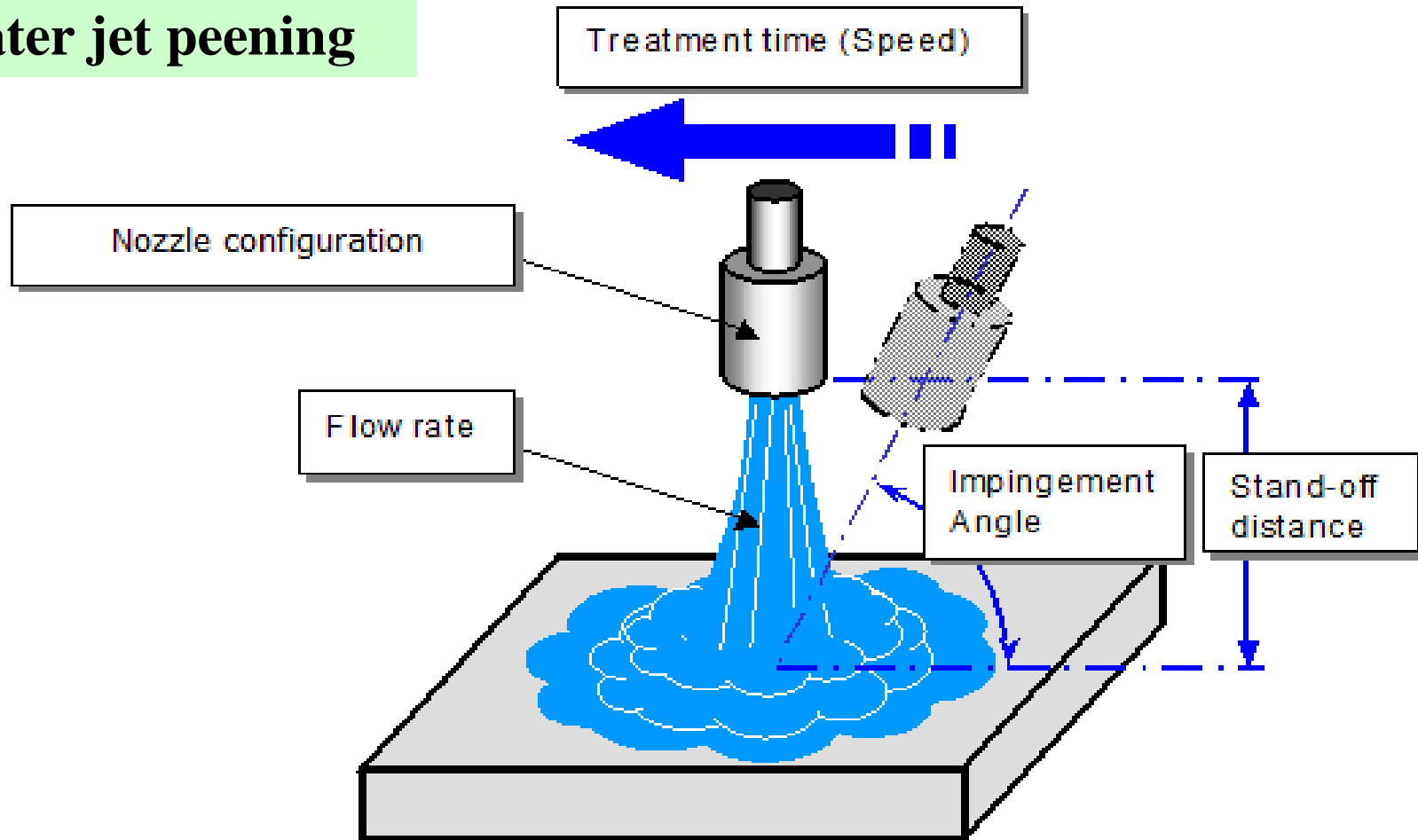
Plastic deformation

Pressure by cavitation collapse :  
around 1,000 MPa  
(145ksi)

**Mechanism of residual stress improvement with Water jet peening**

# New technologies

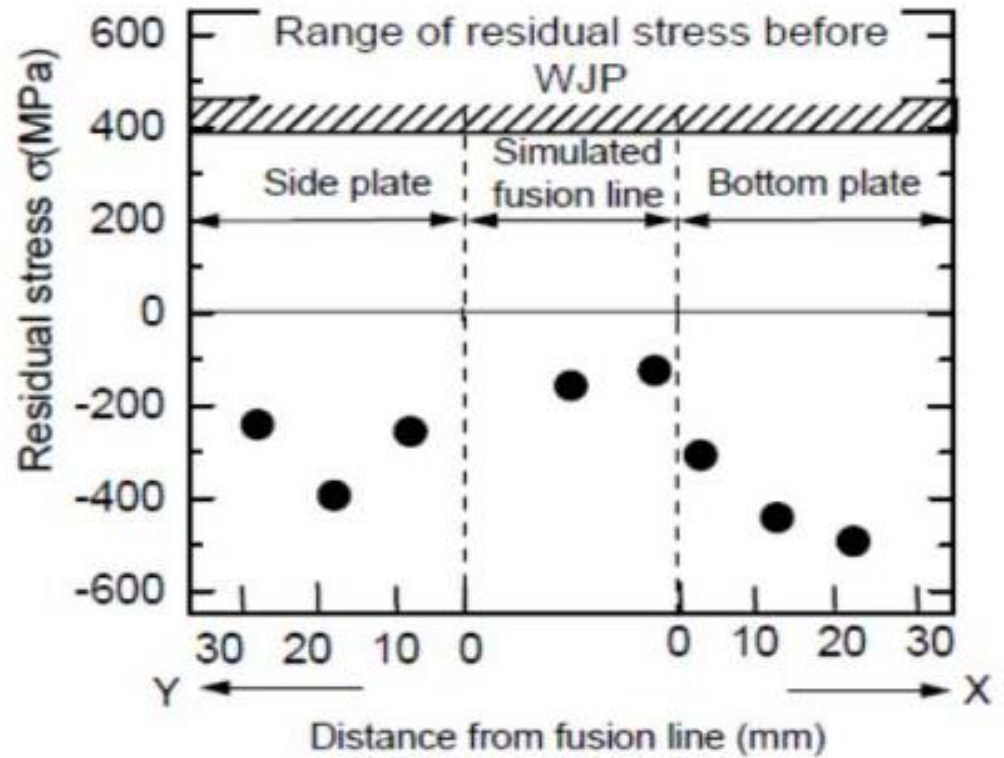
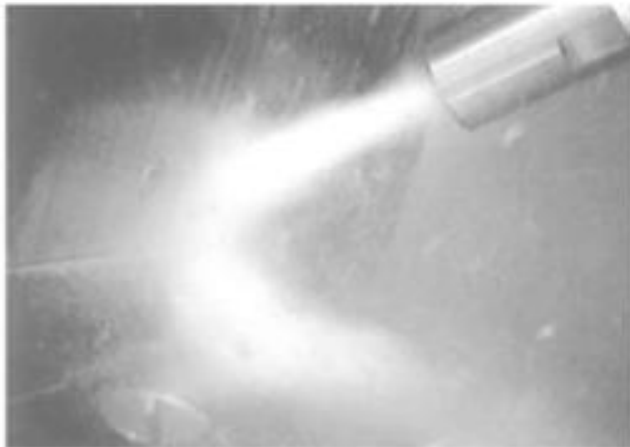
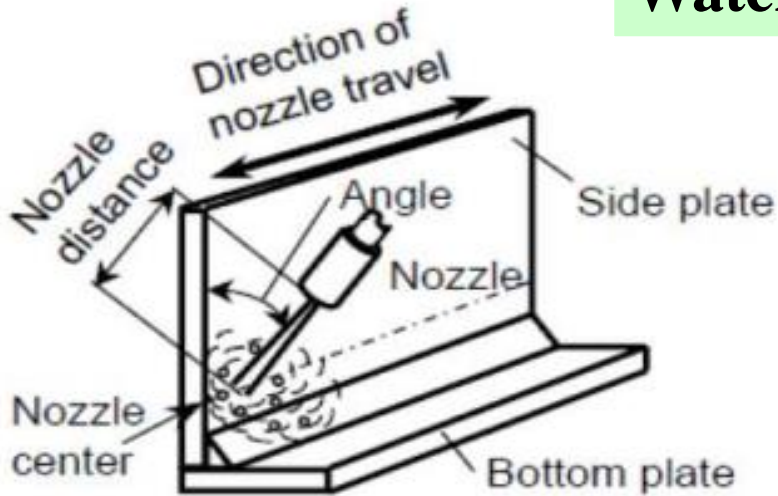
## Water jet peening



Essential variables of water jet peening

# New technologies

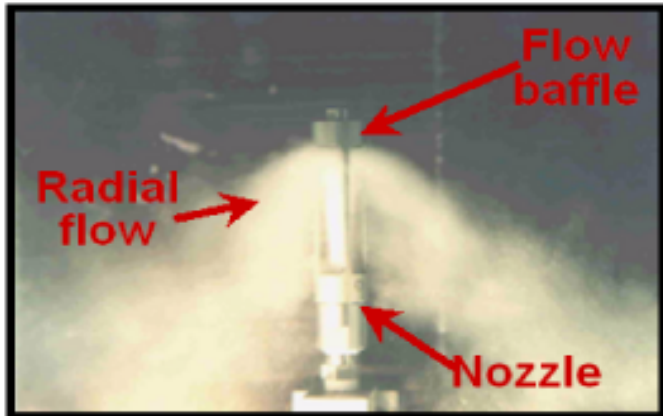
## Water jet peening



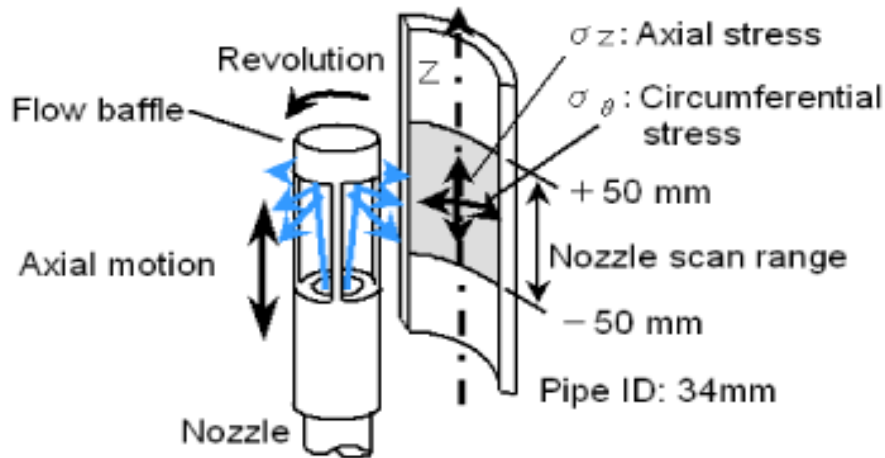
Side plate : Direct flow

Bottom plate : Reflected flow around corner

# New technologies

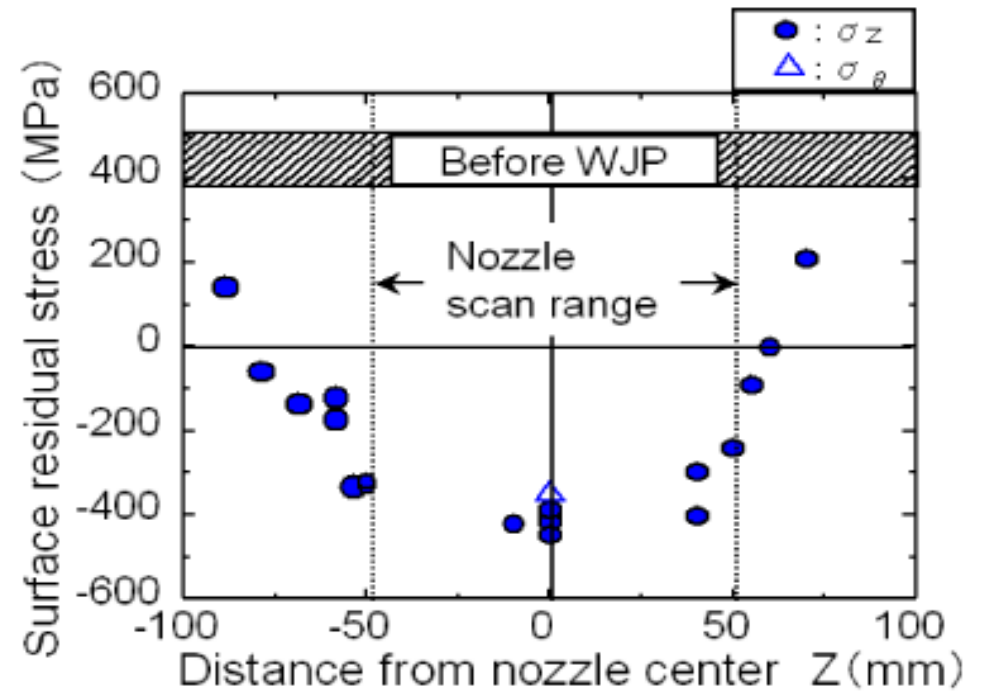


Radial cavitation flow by a flow baffle



WJP procedure for small diameter pipe ID

## Water jet peening

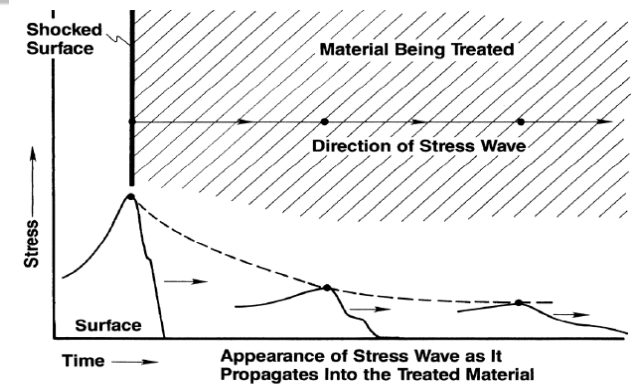
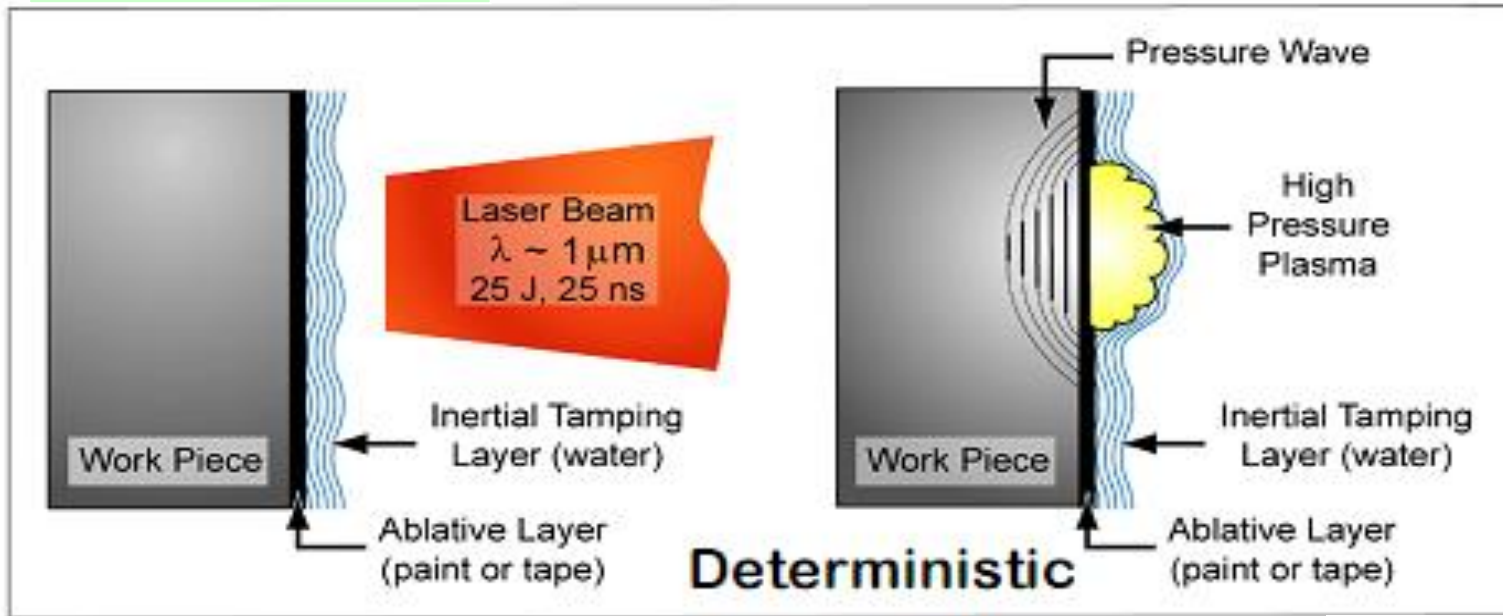


Stress distribution of pipe ID



# New technologies

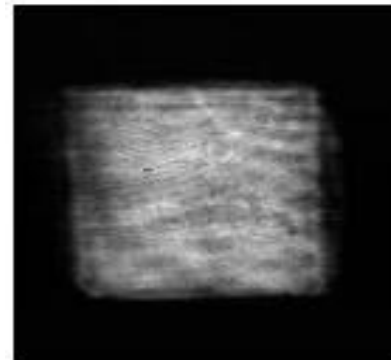
## Laser peening



# New technologies

## Laser peening

- Extension of conventional shot peening
- Laser peening provides
  - \* Highly compressive surface residual stress
  - \* Deep layer of compressive residual stress
  - \* Smooth surface
  - \* Deterministic, precise process control



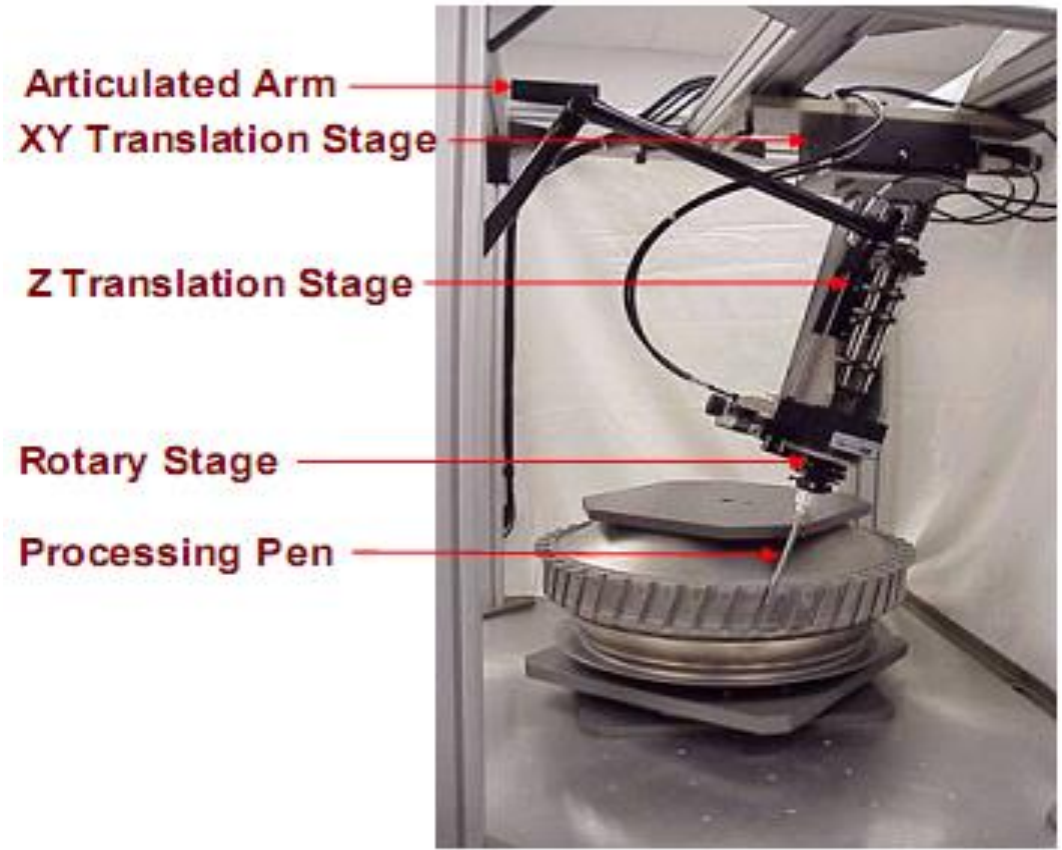
Laser near field image



Laser peened aluminum

# New technologies

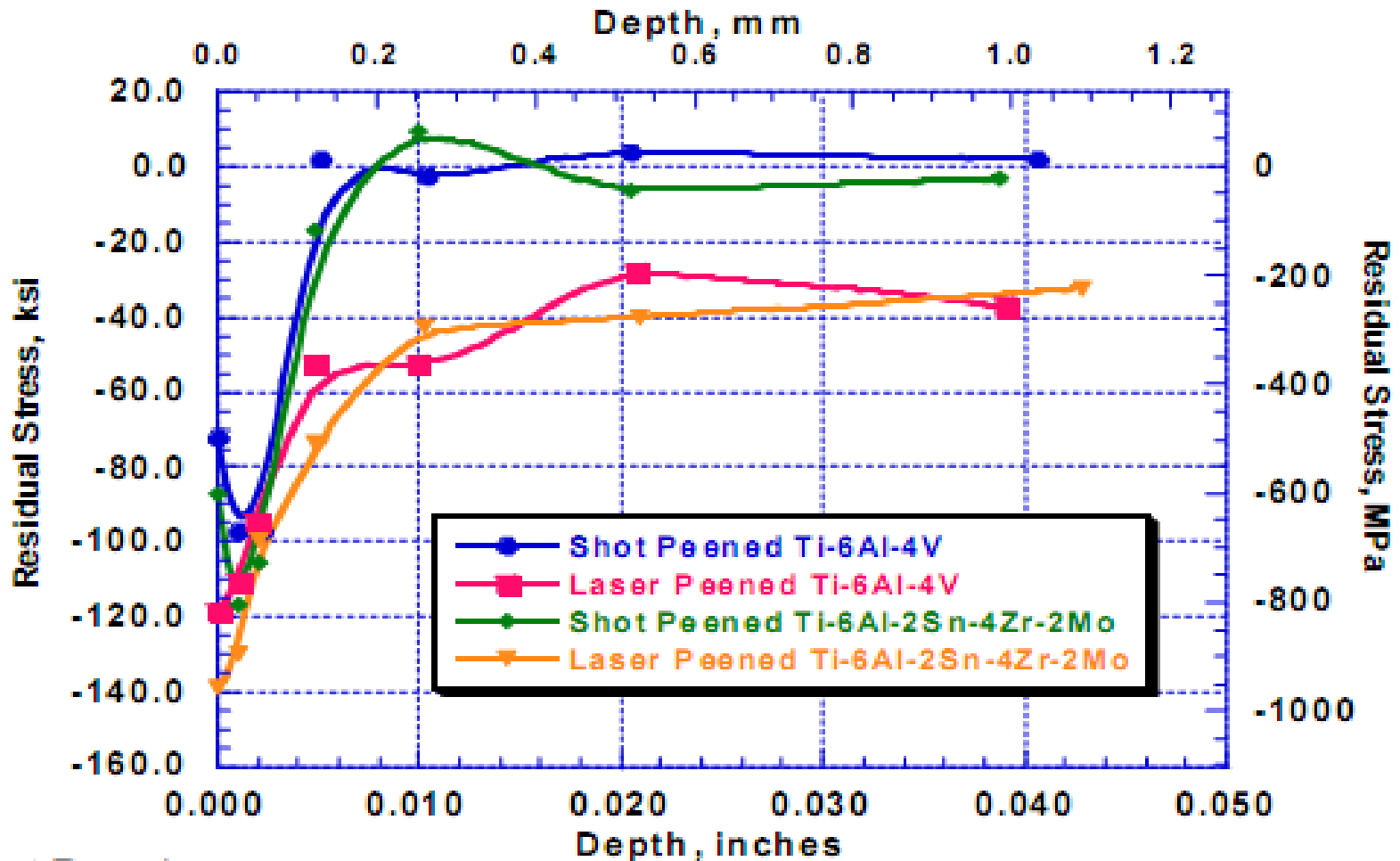
## Laser peening



F110- GE-400 2nd stage fan disk

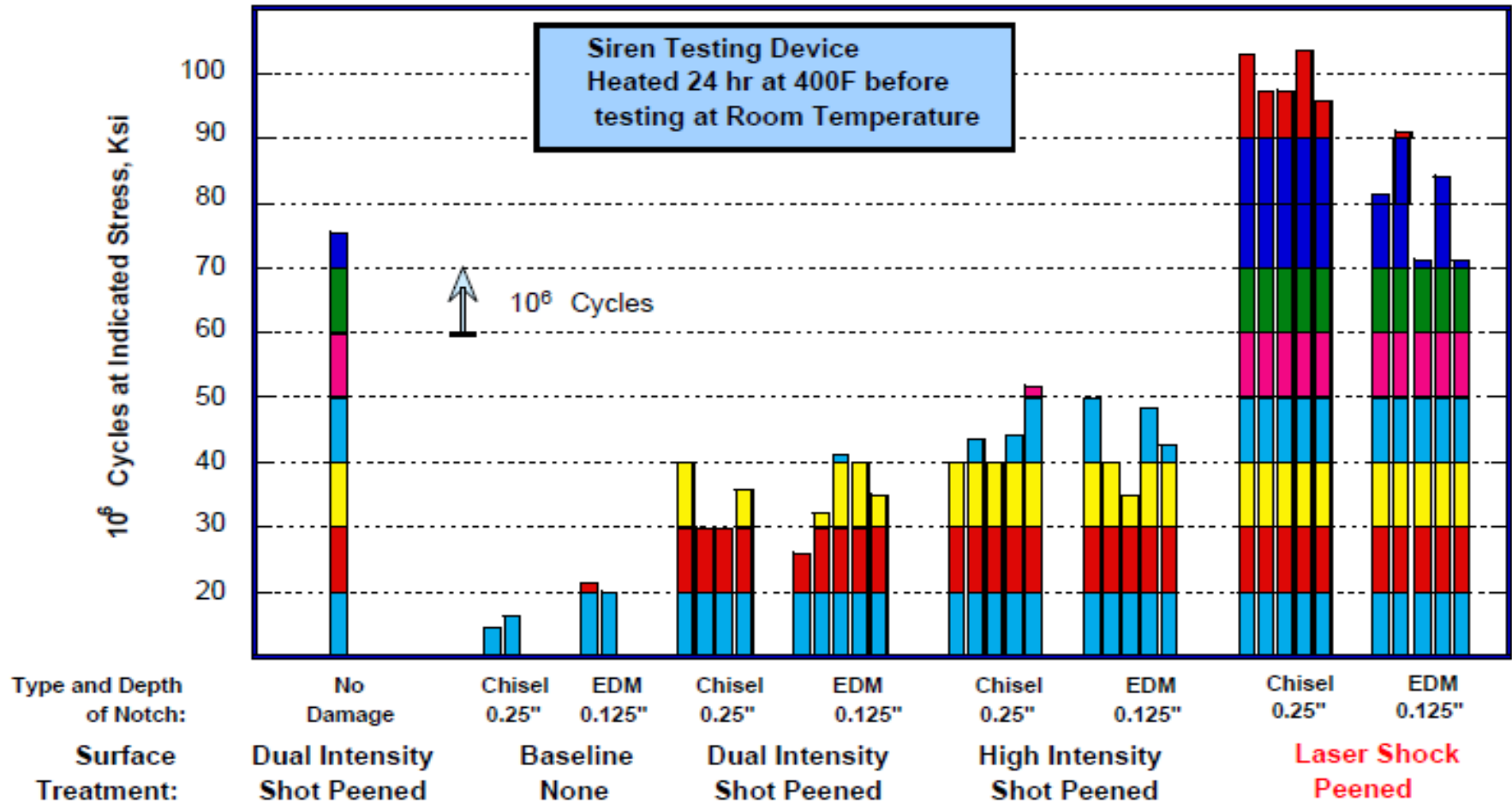
# New technologies

## Laser peening



# New technologies

## Laser peening



# New technologies

## Laser peening



*B1-B Lancer, F101-GE-102 Engine*



*F/A-22 Raptor, F119-PW-100 Engine*



*F-16 Falcon, F110-GE-100,129 Engines*



# New technologies

## Laser peening



- **Chinook Transmissions**

- Engine - Gear tooth root
- Forward - Planetary gears
- Aft - Spiral bevel gear

- **Apache**

- Main rotor transmission shaft – Upper and lower splines



*Chinook*



*Apache*